

U. S. Department of Justice
Bureau of Investigation

P.O. Box 1616,
Dallas, Texas.

December 2, 1932.

Director,
U.S. Bureau of Investigation,
Washington, D.C.

Dear Sir:-

With reference to Bureau letter of 11/17/32 relative to the identification work of the Bureau and more particularly to I.I. #30, the following information was secured relative thereto by Special Agent [redacted] at Amarillo, Texas:

"The records of the Amarillo Police Department reflect that Charles Samuels Dunn was arrested by the Sheriff's Office at Amarillo on 4/22/26, charged highway robbery. The Chief of Police and several other officers connected with the Police Department at present do not recall this arrest.

No one connected with the Sheriff's Office at present recalls the details of this arrest. Wiley Pollard, Sheriff in Potter County in 1926, is now dead.

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[redacted], one of the Deputy Sheriffs, Potter County, in 1926, stated that Dunn had been living around Amarillo for eight or ten months prior to his arrest and is known as a bootlegger and tough character; that he hi-jacked another bootlegger, took a load of whiskey away from him as well as his money and gun; that for this offense Dunn was arrested and detained in the City Jail in Amarillo for a period of approximately eight months pending prosecution on charges of highway robbery; that after Dunn had been in jail several months one of his kinsmen, a cousin, whose name could not be recalled by [redacted], came to the Sheriff's Office and stated that Norvin Roark was Dunn's correct name and that he was wanted by the United States Government for murder of an army officer. [redacted] stated that Dunn was immediately fingerprinted and prints were forwarded to the United States Bureau of Investigation, and the identity of Dunn subsequently was ascertained. He advised that Dunn was later removed to the United States Penitentiary at Leavenworth and that the local charges for highway robbery were dismissed."

Very truly

F. J. Blake
F. J. Blake,
Special Agent in Charge

FJB:LMT
cc 66-129-

DEC 7 - 1932

80-130-1
66-2741-139
BUREAU OF INVESTIGATION
DEC 6 1932 A.M.
O'LEARY
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U. S. Department of Justice

Bureau of Investigation

905 Federal Reserve Bank Building,

Kansas City, Missouri.

December 28, 1932.

Director,
United States Bureau of Investigation,
Washington, D.C.

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Dear Sir:

Referring to Bureau letter of November 17, 1932, concerning interesting identification No. 30, please be advised that Special Agent [redacted] of this office secured the following information at the United States Penitentiary at Leavenworth, Kansas:

"NORVIN S. ROARK, Register #25669. This convict was sentenced at Camp Valdo, France, December 2, 1918, by General Court Martial Order, on a charge of murder, to serve life imprisonment in the United States Penitentiary, Leavenworth, Kansas. In January, 1919, while in France, Roark made his escape. He was received at the United States Penitentiary, Leavenworth, Kansas, June 29, 1926, from Amarillo, Texas. He was transferred to St. Elizabeth Hospital, Washington, D. C., for mental observation on March 31, 1927, but was returned to the penal institution on March 7, 1928, under the pronouncement of physicians that he was mentally competent and with the further statement that he had planned, with other prisoners, to escape from St. Elizabeth Hospital. There is a letter in the files from [redacted], Deputy United States Marshal, Eldorado, Arkansas, to the effect that Roark is a dangerous man and is reported to have killed seven or eight people in that vicinity. This letter further advised that Roark intended to effect his escape, and in furtherance of his plan advised his mother, before he was received at the penitentiary, to not write him for sometime, obviously with the intention of making his escape and leaving no trail of relatives or friends behind him through whom it might later be possible to locate him."

Very truly yours,

R. E. Vetterli

R. E. VETTERLI

Special Agent in Charge

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BUREAU OF INVESTIGATION
DEC 30 1932 A.M.
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JAN 10 1933

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U. S. Department of Justice
Bureau of Investigation
Washington, D. C.

LCS:AM

August 31, 1932.

INTERESTING IDENTIFICATION
NUMBER THIRTY.

SOLDIER WHO KILLED OFFICER IN
FRANCE APPREHENDED.

On December 2, 1918 one Norvin Roark was convicted and sentenced to serve life imprisonment in the Federal Penitentiary at Leavenworth, Kansas, for the murder of a lieutenant in the U. S. Army, while in the American Expeditionary Forces in France. However, before he was committed to the Leavenworth institution, Roark escaped and fled to Spain. Later he returned secretly to the United States and proceeded to Amarillo, Texas, where he assumed the name of Charles Samuel Dunn.

In April of 1926, Roark alias Dunn was arrested by the Amarillo, Texas, Police Department, on a charge of highway robbery. Although the Amarillo officials were not aware of the fact that Dunn's true name was Roark and that he was wanted by the Federal Government to serve a life term for murder, they took his fingerprints and forwarded them to the United States Bureau of Investigation at Washington, D. C., to see whether Dunn had a previous criminal record. Upon receipt of these prints, it was found that Dunn was identical with Roark, the convicted murderer. This urgent news was telegraphed to the Amarillo authorities who held Roark in custody until guards from the Leavenworth Penitentiary could arrive at Amarillo. Roark alias Dunn was received in the Leavenworth institution on June 29, 1926 to serve the life sentence for the crime of murder mentioned above.

Without the benefit of the information appearing in the fingerprint files of the United States Bureau of Investigation in this case, Roark, the convicted murderer, possibly would have been enabled to continue his criminal operations. With the benefit of the information contained in the Bureau's files, however, it will be observed that his return to custody to law enforcement officials was a relatively simple matter.

80-130

UNITED STATES BUREAU OF INVESTIGATION

March 24, 1933.

I. I. #30 -1

MORVIN ROARK alias CARLOS SAMUEL DUMM

Murder committed while in A.E.F. in
France - convicted - sentenced Leaven-
worth Penitentiary - escaped while still
in Europe - located through fingerprints.

Private Morvin S. Roark, after conviction on a charge of murder, was sentenced at Camp Valdo, France, on December 2, 1918, by the General Court Martial. He was to serve a term of life imprisonment in the United States Penitentiary at Leavenworth, Kansas, and the indictment charged him with having murdered a lieutenant in the United States Army while Roark and the lieutenant were serving with the American Expeditionary Forces in France. Before Roark was committed to the Leavenworth Institution, and in fact, before he was returned to the United States, he made his escape and fled to Spain. He later returned secretly to the United States, and proceeded to Amarillo, Texas, where he assumed the name of Carlos Samuel Dumm.

Roark, alias Dumm, arrived at Amarillo some time in 1925, and during the next eight or ten months he gained a reputation as a bootlegger and "tough" character, and on one occasion was charged with hi-jacking another bootlegger, as a result of which he took possession of a load of whiskey, as well as the money and gun of his rival bootlegger, and for this offense he was arrested and detained in the City Jail in Amarillo for approximately eight months, awaiting prosecution on a charge of highway robbery. While awaiting this trial, information was received by the Sheriff's office at Amarillo, indicating that Dumm was perhaps not the correct name of this individual, and further indicating that he might be wanted by the United States Government on a charge of murdering an Army officer. There was no confirmation of this allegation, however, so Dumm was immediately fingerprinted, and his prints were forwarded to the Identification Division of the United States Bureau of Investigation, where, after the prints were received, they were classified and were identified as being those of Morvin Roark, who had been sentenced on the charge of murder. Upon making the identification, this Bureau immediately notified the authorities at Amarillo, and Roark, alias Dumm, was later transferred

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BUREAU OF INVESTIGATION	
APR 6 1933 P.M.	
DEPARTMENT OF JUSTICE	
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to the Leavenworth Penitentiary, where he was received on June 29, 1926, to serve the life sentence for which he had been sentenced.

Information has been received indicating that Board was subsequently transferred to the St. Elizabeth's Hospital in Washington, D.C., for mental observation, in 1927, but was returned a year later, following pronouncement by physicians that he was mentally competent, and it was also stated by the physicians that he had planned, with other prisoners, to escape from St. Elizabeth's Hospital.

JOHN EDGAR HOOVER
DIRECTOR

Division of Investigation

U. S. Department of Justice
Washington, D. C.

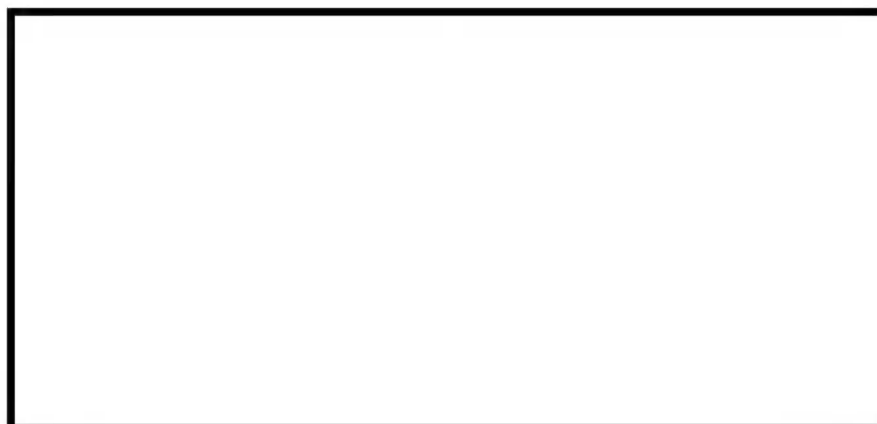
LAH:MG

September 21, 1934.

MEMORANDUM FOR MR. TOLSON

Interesting Identification #30-A has been reviewed under my personal supervision. This identification which refers to Norvin~~X~~Roark alias Carlos Samuel~~X~~Dunn has been revised in two particulars. The investigative file indicates that Roark was convicted of the murder of one private Joe Collins. The Interesting Identification refers to the victim as Lieutenant. This error has been corrected. The correct title of the Division has been inserted where necessary. In other respects the Identification appears to be entirely correct.

Respectfully,



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INDEXED

SEP 28 1934

80-130-4
SEP 23 1934
U. S. DEPT. OF JUSTICE

Department of Justice

Bureau of Investigation

Washington, D. C.

I. I. #30-A

September 21, 1934

NORVIN ROARK alias CARLOS SAMUEL DUNN

Murder committed while in A. E. F. in
France - convicted - sentenced Leaven-
worth Penitentiary - escaped while still
in Europe - located through fingerprints.

Norvin S. Roark, after conviction on a charge of murder, was sentenced at Camp Valdo, France, on December 2, 1918, by the General Court Martial. He was to serve a term of life imprisonment in the United States Penitentiary at Leavenworth, Kansas, and the indictment charged him with having murdered a private in the United States Army while Roark and the private were serving with the American Expeditionary Forces in France. Before Roark was committed to the Leavenworth Institution, and in fact, before he was returned to the United States, he made his escape and fled to Spain. He later returned secretly to the United States, and proceeded to Amarillo, Texas, where he assumed the name of Carlos Samuel Dunn.

Roark, alias Dunn, arrived at Amarillo some time in 1925, and during the next eight or ten months he gained a reputation as a bootlegger and "tough" character, and on one occasion was charged with hi-jacking another bootlegger, as a result of which he took possession of a load of whiskey, as well as the money and gun of his rival bootlegger, and for this offense he was arrested and detained in the City Jail in Amarillo for approximately eight months, awaiting prosecution on a charge of highway robbery. While awaiting this trial, information was received by the Sheriff's Office at Amarillo, indicating that Dunn was perhaps not the correct name of this individual, and further indicating that he might be wanted by the United States Government on a charge of murdering an Army officer. There was no confirmation of this allegation, however, so Dunn was immediately fingerprinted, and his prints were forwarded to the Identification Unit of the Division of Investigation, where, after the prints were received, they were classified and were identified as being those of Norvin Roark, who had been sentenced on the charge of murder. Upon making the identification, this Division immediately notified the authorities at Amarillo, and Roark, alias Dunn, was later transferred

86-17-14

to the Leavenworth Penitentiary, where he was received on June 29, 1926, to serve the life sentence for which he had been sentenced.

Information has been received indicating that Roark was subsequently transferred to the St. Elizabeth's Hospital in Washington, D. C., for mental observation, in 1927, but was returned a year later, following pronouncement by physicians that he was mentally competent, and it was also stated by the physicians that he had planned, with other prisoners, to escape from St. Elizabeth's Hospital.

Federal Bureau of Investigation

U. S. Department of Justice

Washington, D. C.

EPC:ON

May 9, 1936.

MEMORANDUM FOR [REDACTED]

Re: Interesting Identification #L-30.

There is transmitted herewith for approval interesting identification #L-30. This describes identifications made in the Laboratory which were very instrumental in the conviction of [REDACTED] and [REDACTED] for an extortion attempt on [REDACTED] in San Angelo, Texas. Not only was the handwriting of [REDACTED] identified in the extortion note but paper found on his person was found to be similar to that used in the extortion attempt and traces of indented writing found on the paper were discovered to constitute words used in the extortion note. It is also interesting to know that [REDACTED] writing was identified with two other extortion cases down there involving the victims [REDACTED] and [REDACTED].

The Bureau's laboratory examiner testified at the trial of this case. The case is filed in the Bureau under #9-1673.

Respectfully,

2176
E. P. Coffey

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RECORDED & INDEXED

Federal Bureau of Investigation

U. S. Department of Justice

I. I. #1-30

Washington, D. C.

May 8, 1936.

EXAMINATION OF INDENTED WRITING ON SHEET OF
TABLET PAPER REVEALS SAME WORDING AS FOUND ON
EXTORTION LETTER

Between March 5th and March 13th, 1936, [redacted] from [redacted], received several extortion letters in which the sum of \$1,000 was demanded under threats of bodily injury to [redacted] and [redacted] and threats to "blow up" the [redacted] which he owned and operated. An investigation by Special Agents of the Dallas, Texas office of the Federal Bureau of Investigation, acting in cooperation with members of the San Angelo, Texas Police Department resulted in the apprehension of [redacted] and [redacted], members of a gang, who were observed on two or three occasions near the scene of the payoff spots designated in the extortion letters. At the time of his arrest [redacted] had several sheets of pencil tablet paper on his person. A search of his home revealed a tablet, the second page of which contained indented writing traces such as would be made if the preceding page were written on while still attached to the tablet. There were also found in [redacted] home several blank envelopes.

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This material together with numerous specimens of [redacted] handwriting was submitted to the Technical Laboratory for examination purposes. A comparison of [redacted] handwriting with the handwriting in the extortion notes resulted in an identification of his handwriting. The examiner on comparing the handwriting of [redacted] with the handwriting in several extortion letters received by [redacted] and [redacted], in two other cases under investigation by the Bureau, effected an identification. Upon examination it was ascertained that the blank envelopes found in the home of [redacted] were similar with respect to style, cut, and dimensions to within 1/100 of an inch, to the envelopes used for two of the extortion letters. Similarly, the paper found upon the person of [redacted] compared favorably with the paper used in the extortion letters. Upon placing the sheet

80-130-5

Page Two,
I. I. #L-30

containing the indentations in a parallel beam of light, shadows cast by this beam of light enabled the examiner to determine that the indentations consisted of words, which upon comparison were found to be identical with words in one of the extortion letters received by the victim [redacted]. Testimony on the above findings was given before the district court at San Angelo in the trial of [redacted] and one other member of the suspected gang, by the Laboratory's document examiner. On April 28th both individuals tried were found guilty by the jury and were later sentenced to serve three years in a Federal penitentiary and pay \$2,500 in fines.

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RECORDED

DEC 7 - 1932

~~66-2742-1-187~~
80-131-1

December 5, 1932.

Special Agent in Charge,
United States Bureau of Investigation,
619 Federal Building,
Los Angeles, Calif.

Dear Sir:

The Bureau desires to thank you for your letter of November 28, 1932, wherein you refer to an incorrect date appearing in Interesting Identification #31. As stated in your letter the date should be May 2, 1930 rather than May 2, 1932. The Bureau's records have been corrected accordingly.

Very truly yours,

Director.

VISION

DEC 5 1932

P.M.

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U. S. Bureau of Investigation

Department of Justice

619 Federal Bldg.
Los Angeles, California
November 28, 1932

Director
United States Bureau of Investigation
Washington, D. C.

Dear Sir:

With reference to Bureau letter dated November 17, 1932, which transmits interesting cases brought to light by certain fingerprint identifications made by the Bureau, your attention is called to the case designated as I.I. #31.

The report sets forth in its second paragraph that JAKE HARRIS was received at that institution (McNeil Island, Washington) on May 2, 1932. Examination of the file in the case of JAKE HARRIS, Escaped Federal Prisoner, and of the circular issued by the United State Penitentiary at McNeil Island, dated October 10, 1931, discloses that HARRIS was received at McNeil Island, Washington, on May 2, 1930.

This error is called to the attention of the Bureau as it is felt that interested peace officers might gain the impression that JAKE HARRIS, who is at present being sought by this Bureau as an escaped federal prisoner, was recently returned to the United States Penitentiary at McNeil Island, Washington.

Very truly yours,

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T. F. CULLEN
SPECIAL AGENT IN CHARGE

WMB:T

DEC 7 1932

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LIND. ONE
12-5-32

UNITED STATES BUREAU OF INVESTIGATION

I. I. #31

ESCAPED CONVICT CAPTURED
AS A COUNTERFEITER

On November 11, 1922, Jake Harris was arrested at Orange, California, and incarcerated in the County Jail at Santa Ana, California, charged with attempting to rape a fourteen-year old girl, a resident of the former city. He was sentenced on January 19, 1923, to serve from 0 to 25 years in the State Prison, at San Quentin, California, at which institution he was received three days later.

While serving an assignment with Road Camp #11, on October 23, 1927, Harris effected his escape. Apparently fearing capture if he attempted to obtain legitimate employment, Harris became a counterfeiter. He operated in this capacity until January 11, 1930, when, as Jacob Harris, he was arrested by the Sheriff at Salt Lake City, Utah, and delivered to agents of the United States Secret Service. Upon receipt of Harris' fingerprint impressions from the Sheriff at Salt Lake City, Utah, in the Identification Division of the United States Bureau of Investigation, he was identified with the subject of the escape from the State Prison at San Quentin, California, and the Warden of that institution was advised to this effect by telegram. A fingerprint card subsequently received from the United States Penitentiary at McNeil Island, Washington, by the United States Bureau of Investigation, indicated that he was received at that institution on May 2, 1932, from Salt Lake City, Utah, to serve a sentence of three years for counterfeiting. The Warden of the State Prison at San Quentin, California, having been informed by the United States Bureau of Investigation as to the whereabouts of Harris, may, if he so desires, place a detainer with the Washington institution to insure his removal to San Quentin after the expiration of his three year term.

Jas. Angeles
Salt Lake
✓

WHDL:EMF
80-131-2

February 2, 1933.

RECORDED

FEB 3 - 1933

Special Agent in Charge,
United States Bureau of Investigation,
619 Federal Building,
Los Angeles, California.

Dear Sir:

Reference is made to the report of Special Agent [redacted], dated January 26, 1933, entitled JACOB HARRIS, with aliases, Fugitive, Identification Order #1175 - Interesting Identification #31.

In this connection it is not desired by the Bureau that the obtaining of this information by Special Agents while they are engaged on other investigations in the vicinity where the identification information is available, should be used as a basis for a report; neither is it desired that a case be opened and recorded as such by the field office obtaining such information. It will be proper to submit such information in the future by letter or memorandum, and it will be unnecessary to submit undeveloped leads for other offices, due to the fact that the other interested offices have been furnished with the basic data similar to that furnished your office.

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It is suggested that you eliminate the recording of this particular case from the record of the investigative assignments in your office.

Very truly yours,

Director.

W. H. D. S.

[Handwritten signature]

[Handwritten mark]

RECORDED
FEB 2 1933

FORM NO. 1

THIS CASE ORIGINATED AT

LOS ANGELES

L.A.

FILE NO. 32-27

REPORT MADE AT: Los Angeles	DATE WHEN MADE: 1-26-33	PERIOD FOR WHICH MADE: 1-20-33	REPORT MADE BY: <div style="border: 1px solid black; width: 100px; height: 15px;"></div> AB
TITLE: JACOB HARRIS, alias "JINKS" HARRIS, alias JAKE HARRIS, alias JACK HARRIS, alias FRED GIBSON, alias FRED TAYLOR - FUGITIVE I. O. No. 1175.			CHARACTER OF CASE: INTERESTING IDENTIFICATION NO.31

SYNOPSIS OF FACTS:

Subject as JAKE HARRIS arrested Orange, California, 11-11-22, on charge of rape; sentenced to serve nothing to twenty-five years State Penitentiary, San Quentin; escaped 10-28-27; re-arrested as counterfeiter 1-11-30 by sheriff, Salt Lake City; sentenced two years McNeil Island. Identified by finger prints as being JAKE HARRIS who escaped from San Quentin. He again escaped from McNeil Island 10-9-31 and is now wanted as an escaped Federal prisoner.

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REFERENCE:

Bureau letter dated 11-17-32 and Bureau I. I. #31.

DETAILS

On November 11, 1922, subject as JAKE HARRIS was employed at the Hammond Lumber Company, Orange, California. He had been a frequent visitor at the , in , which was run by a man named had a , who attended the Union High School in Orange, and it was her habit to stay around the cafe in the evening, assisting .

DO NOT WRITE IN THESE SPACES

APPROVED AND FORWARDED: <i>H. J. Kuey acting</i> SPECIAL AGENT IN CHARGE	80-131-2	RECORDED AND INDEXED: JAN 3
COPIES OF THIS REPORT FURNISHED TO: 2 Bureau 2 Los Angeles	BUREAU OF INVESTIGATION DEPARTMENT OF JUSTICE ROUTED TO: <i>H. J. Kuey</i>	CHECKED OFF: FEB 3. 1933 JACKETED:

On the evening of November 11, 1922, she went to her home to get her school books, and then intended to return to the cafe as was her custom. She was followed to her home by JAKE HARRIS, who was under the influence of liquor, and when she started to leave the house and to lock the front door, she found that HARRIS had pushed his way into the house. He grabbed her by the arm and dragged her into a room, forced her upon a bed and attempted to rape her. He failed in his attempt, due to the struggles of the girl, and by reason of the fact that the girl's mother at that time drove up to the house, and subject was frightened away.

HARRIS was arrested the same evening by Police Officer Jamison of Orange, and was incarcerated in the county jail at Santa Ana, California. He was convicted, and on January 19, 1923, was sentenced to serve from nothing to twenty-five years in the State Prison at San Quentin. He escaped from this institution October 28, 1927, while working on Road Camp No. 11.

HARRIS then became a counterfeiter and operated under the name of JACOB HARRIS until he was arrested at Salt Lake City, January 11, 1930, and was delivered to Agents of the United States Secret Service. He was convicted and sentenced to serve two years in the United States Penitentiary at McNeil Island and was identified upon receipt of finger prints at the Identification Division of the United States Bureau of Investigation, as being the JAKE HARRIS who had escaped from San Quentin.

Under date of October 9, 1931, HARRIS again escaped from McNeil Island and is now wanted as an escaped Federal prisoner.

CLOSED

U. S. Department of Justice
Bureau of Investigation
Post Office Box 507
San Francisco, California.

DPS/AP.
32-9

Feb. 9, 1933.

Director,
United States Bureau of Investigation,
Washington, D.C.

Dear Sir:

Re: INTERESTING IDENTIFICATION No. 31,
ESCAPED CONVICT CAPTURED AS A
COUNTERFEITER.

At the San Quentin Penitentiary, San Quentin, California, an Agent of this office obtained the following information relative to JACOB HARRIS; San Quentin No. 37325, received at McNeil Island Penitentiary No. 8257, on May 2, 1931, from Utah, sentenced three years for counterfeiting.

The records of San Quentin Penitentiary further disclose that it received a telegram from McNeil Island on Nov. 30, 1931, advising that Harris had escaped from McNeil Island Penitentiary on Oct. 10, 1931, and was wanted at that penitentiary. Harris is still wanted at San Quentin Penitentiary.

In Interesting Identification No. 31, it is noted that Harris was received at McNeil Island on May 2, 1932, from Salt Lake City, Utah, to serve a sentence of three years for counterfeiting. It is believed possible that inasmuch as the month and date, May 2d, are identical, as contained in the San Quentin records, and also in Interesting Identification No. 31, that a mistake might have been made as to the year, inasmuch as San Quentin records show that Harris escaped from McNeil Island Penitentiary on Oct. 10, 1931, and is still wanted by the authorities at McNeil Island and at San Quentin Penitentiaries. It is requested that the year above mentioned be checked to ascertain whether Harris was actually received at McNeil Island on May 2, 1932. If such is the case, it is suggested that the authorities at San Quentin Penitentiary be notified of Harris' present whereabouts, as they have no record of such.

Very truly yours,

E. B. Montgomery
E. B. Montgomery,
Acting Special Agent in Charge.

RECORDED
&
INDEXED

FEB 20 1933

70-31-3	
BUREAU OF INVESTIGATION	
FEB 15 1933 A.M.	
DEPARTMENT OF JUSTICE	
CLEGG	FILE

318 Hewes Building,
San Francisco, California.

HRP/AP.
32-9

June 2, 1933.

Director,
United States Bureau of Investigation,
Washington, D.C.

Dear Sir:

With reference to Bureau letter dated November 18, 1932, transmitting certain memoranda concerning identifications made that might be of interest in the territory covered by the San Francisco Office, please be advised that the following information was developed:

With reference to Interesting Identification No. 19, Special Agent [redacted], when at Lone, California, ascertained that a check of the records at the Preston School of Industry failed to disclose any inmate by the name of [redacted] or [redacted] which were aliases used by [redacted]. It was pointed out to Agent [redacted] that the Preston School of Industry is not a penal institution, but rather a correctional institution for wayward boys, and does not use fingerprint identification.

In connection with Interesting Identifications Nos. 31 and 35, it was ascertained at San Quentin Penitentiary that there was no additional information of interest, other than that contained in the Bureau memoranda. In connection with Interesting Identification No. 31, it will be noted that the escape from San Quentin Penitentiary occurred in 1927, and [redacted], advised that there was nothing of particular interest with reference to this escape.

Very truly yours,

W. A. Rorer,
Special Agent in Charge.

JUN 15 1933

80-131-4

BUREAU OF INVESTIGATION	
JUN 7 1933 P.M.	
DEPARTMENT OF JUSTICE	
CLERK	FILE

U.S. DEPT. OF JUSTICE

ORIGINAL FILED IN 80-131-4

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JOHN EDGAR HOOVER
DIRECTOR

Division of Investigation

U. S. Department of Justice

Washington, D. C.

LAH:AMP.

November 5, 1934.

MEMORANDUM FOR MR. TOLSON.

Interesting Identification #31 has been reviewed under my personal supervision. The substance of the narrative is that an identification effected by fingerprints in the Division made possible the return of a Federal prisoner following his sentence at McNeil Island to the San Quentin Prison, California, from which the subject had escaped in 1927. The investigative file, however, shows that Jacob Harris, the subject, escaped from McNeil Island on October 9, 1931. The file also shows that Harris is still an escaped Federal prisoner and as such is wanted by the Division. It is believed that this subsequent development takes away from the interesting feature which is based upon the effectiveness of the fingerprint identification as a means of returning the escaped prisoner to California. It is believed that this interesting identification should be canceled.

Respectfully,



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11-6

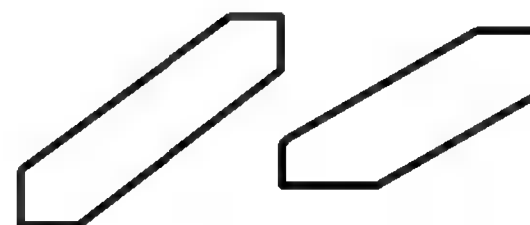
Canceled 11/8/34
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RECORDED & INDEXED

NOV 10 1934

NOV 9 1934



Department of Justice

Bureau of Investigation

Washington, D. C.

February 27, 1930.

No. 31.

INTERESTING IDENTIFICATIONS.

From the day in November, 1922, when the County Jail at Santa Ana, California, received Jake Harris after his arrest at Orange, California, it appeared that an outraged sense of public decency would be avenged for his shameless act of attempted rape on a fourteen year old girl. Harris was sentenced to 25 years in the San Quentin, California, Penitentiary where he was received on January 21, 1923.

After his assignment to the road camp, however, Harris had no reluctance in seizing the first opportunity to make a "break" and he effected an escape on October 28, 1927. He became an accomplished counterfeiter and preyed upon the unprotected until his arrest by the Sheriff at Salt Lake City, Utah, on January 11, 1930, who turned him over to the United States Secret Service authorities. When his fingerprint record was received in Washington from the Salt Lake City Sheriff, the worries of the Federal Government were temporarily ended for through the identification established there Harris will be returned to California for the purpose of serving the remaining twenty-one years of his term.

C

U. S. Department of Justice

Bureau of Investigation

1216 Smith-Young Tower,
San Antonio, Texas,
September 15, 1932.

Director,
United States Bureau of Investigation,
Washington, D. C.

RECORDED

&

INDEXED

SEP 28 1932

Re: James McKee, Colored,
Interesting Identification.

66-2741-1-35

BUREAU OF INVESTIGATION	
SEP 19 1932 A.M.	
U. S. DEPARTMENT OF JUSTICE	
Div. Six	FILE

Dear Sir:

With reference to Bureau Letter dated September 1, 1932, the following criminal record of James McKee, Colored, (not [redacted]) was secured by Agent [redacted] from the Bureau of Records and Identification, Texas Prison System, Huntsville, Texas, which reflects that James McKee, colored, was convicted for murder in McLennan County, Texas, and sentenced on May 19, 1914, to serve from 5 to 60 years confinement in the Penitentiary at Huntsville, Texas, and was received at said institution on May 29, 1914, Convict No. 36160, and was paroled to [redacted], of [redacted], on February 12, 1920, by Governor W. P. Hobby, Parole No. 606, Page #65, parole being revoked by Governor Pat Neff by proclamation dated June 10, 1921; subject escaped from parole June 10, 1921.

On July 14, 1921 [redacted] was arrested and delivered to the Texas Prison at Huntsville, Texas, taken to be James McKee, #36160, escaped prisoner. The records further reflect that [redacted] was not photographed but was finger-printed and finger-prints were forwarded to the Division of Identification & Information at Washington, D. C., to be compared with the finger-prints of James McKee, No. 36160, and that the comparison of these prints by the Division of Identification & Information revealed that they were not identical and [redacted] was released from the Texas Prison at Huntsville from this evidence.

On June 19, 1928, James McKee was recaptured at Denton, Texas, and returned to the Huntsville Prison, after being gone 7 years and nine days. On July 20, 1931, the records were cleared by order of General Manager and on August 8, 1931, subject was granted a general parole by Governor R. S. Sterling, is now on parole and title clear.

[redacted] Bureau of Records and Identification, Texas Prison System, Huntsville, Tex., advised Agent [redacted] that when James McKee was received at the institution he was photographed in 1914, his prison number being 36160, and furnished Agent [redacted] with his photograph. When he was received again in 1928 he was also photographed and one of these photographs was furnished Agent [redacted], these photographs being hereto attached. No photograph of [redacted] was ever made by the Prison authorities as they were sure that he and James McKee were one

2nd. 2d. &
9-22-32 R.S.

- 2 -

and the same person until their finger-prints had been classified by the Division of Identification and Information at Washington and found not to be the same.

Very truly yours,

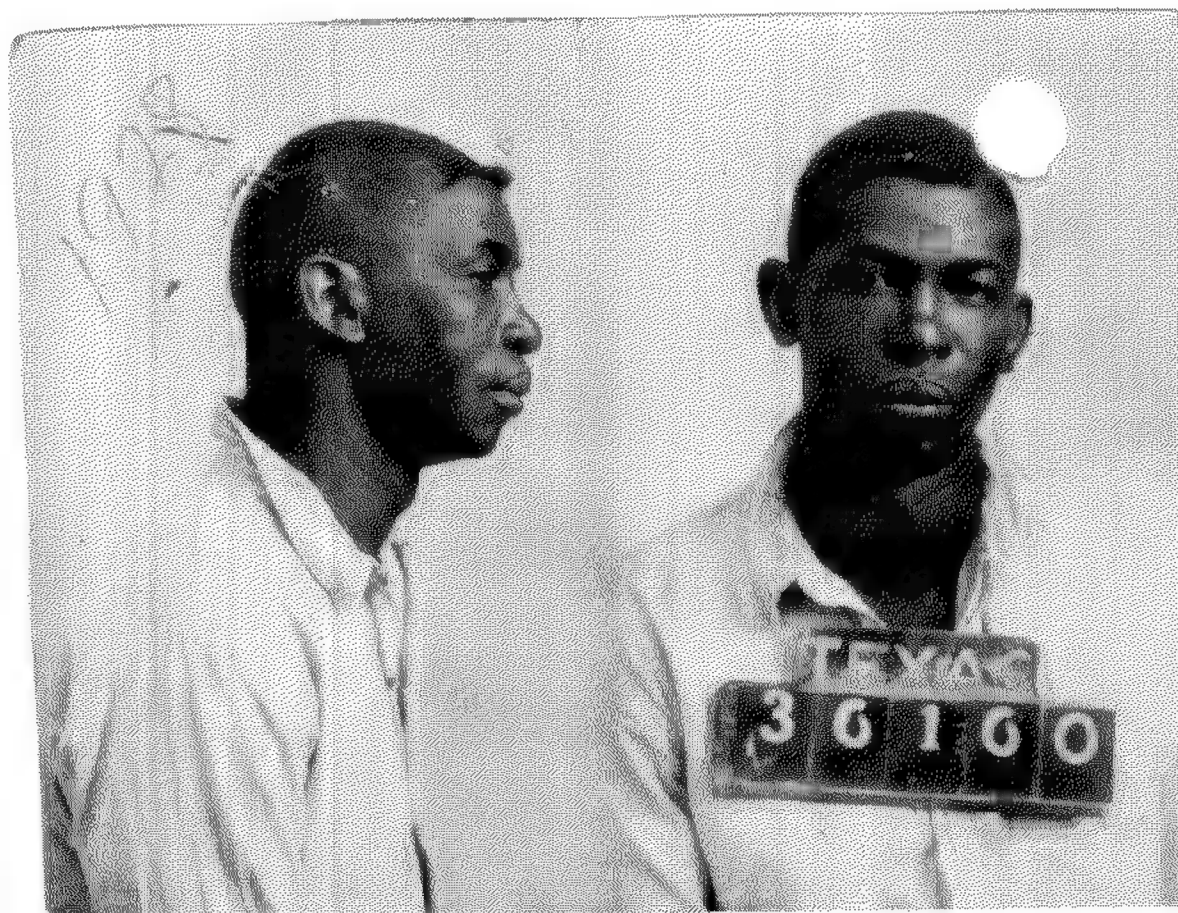
F. J. Blake

F. J. Blake,

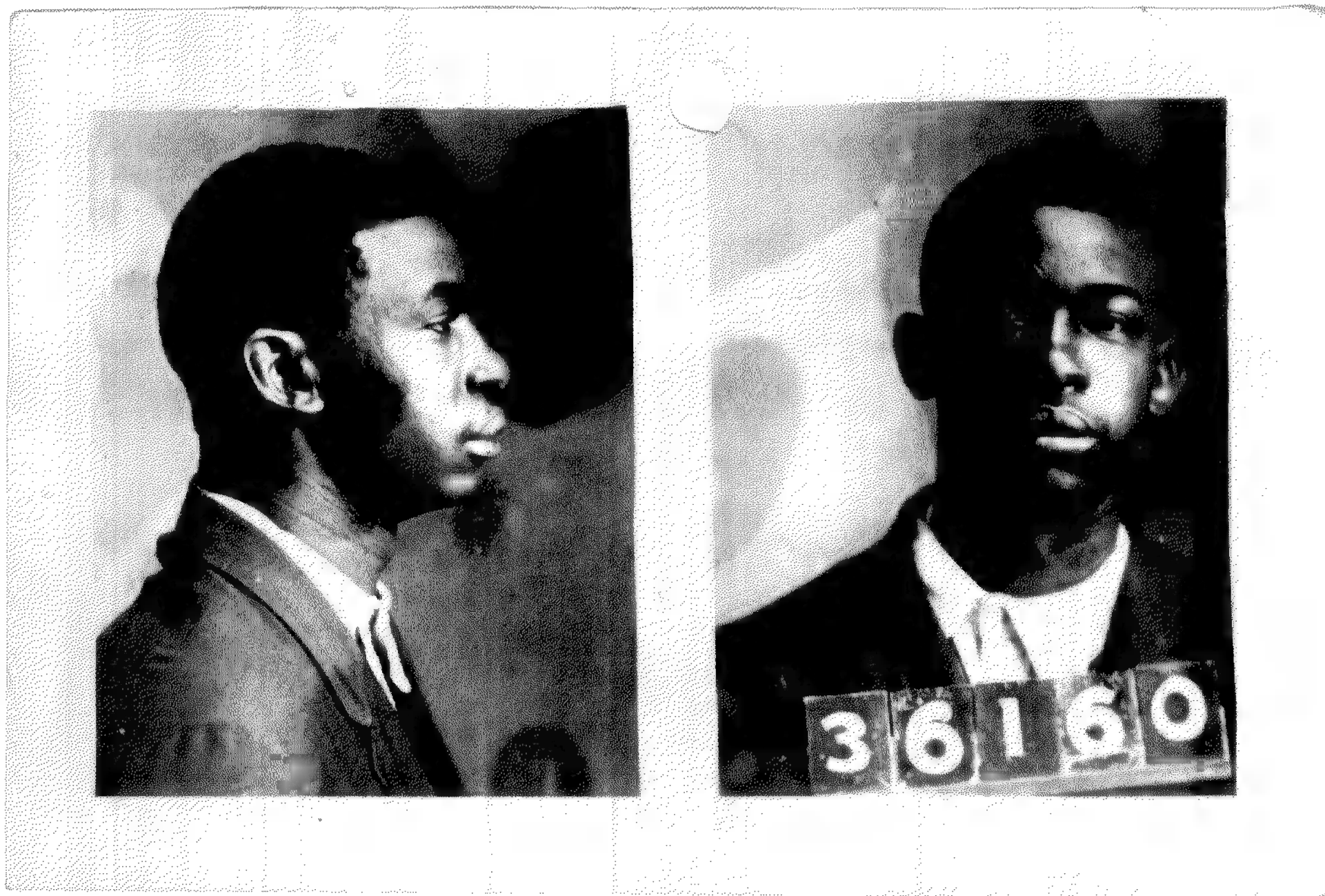
Acting Special Agent in Charge.

EHP/gm
Encls.

S.A.#62-818







UNITED STATES BUREAU OF INVESTIGATION

I. 1. #32

James McKee -

FINGERPRINT IDENTIFICATION PROVES
CORRECT IDENTITY OF PAROLE VIOLATOR
AND RELEASES PERSON ARRESTED IN ERROR.

July
One James McKee, colored, was convicted for murder in McLennan County, Texas, and sentenced on May 19, 1914, to serve from 5 to 60 years confinement in the Penitentiary at Huntsville, Texas. He was received in that institution on May 29, 1914, and was paroled on ~~February~~ 12, 1920, his parole being revoked on June 10, 1921.

On July 14, 1921, [] was arrested and delivered to the Texas Prison at Huntsville, Texas, believed to be James McKee, the parole violator. The records of the Huntsville institution reflect that [] was not photographed but that he was fingerprinted and his fingerprints forwarded to the United States Penitentiary at Leavenworth, Kansas, to be compared with the actual fingerprints of James McKee, the parole violator. When this comparison was effected, it was found that the fingerprints of these persons were not identical and [] was released by the Texas Prison System upon the basis of this information.

On June 19, 1928, James McKee was recaptured at Denton, Texas, and returned to the Huntsville Prison.

It is interesting to observe that upon receipt of [] in the Huntsville Prison, no photograph was prepared in his case by the prison authorities as they were positive that he and James McKee were one and the same person until their fingerprints had been classified and compared at the Leavenworth Penitentiary, and it was found that they were not identical.

This case is a very excellent illustration of the efficacy of the fingerprint system of identification, not alone to insure the determination of criminal records in the case of previous offenders

b6
b7C

Chicago
New York
St. Paul

and the apprehension of escaped prisoners or parole violators but to assist in the proper disposition of charges against innocent persons who otherwise would be suspected of various offenses or of being wanted as fugitives from justice.

The fingerprints comprising the collection of the Leavenworth Penitentiary Bureau, on July 1, 1924, were transferred to the jurisdiction of the [United States Bureau of Investigation] at Washington, D. C., when the said [Bureau,] under Congressional authority initiated the compilation of identification records upon a larger scale. At the present time about ~~2500~~ fingerprint cards are received in the Bureau daily from approximately ~~1000~~ law enforcement officers and agencies located throughout the United States, and in foreign countries.

- 2500
6900

JOHN EDGAR HOOVER
DIRECTOR

Division of Investigation

U. S. Department of Justice

Washington, D. C.

LAH:MG

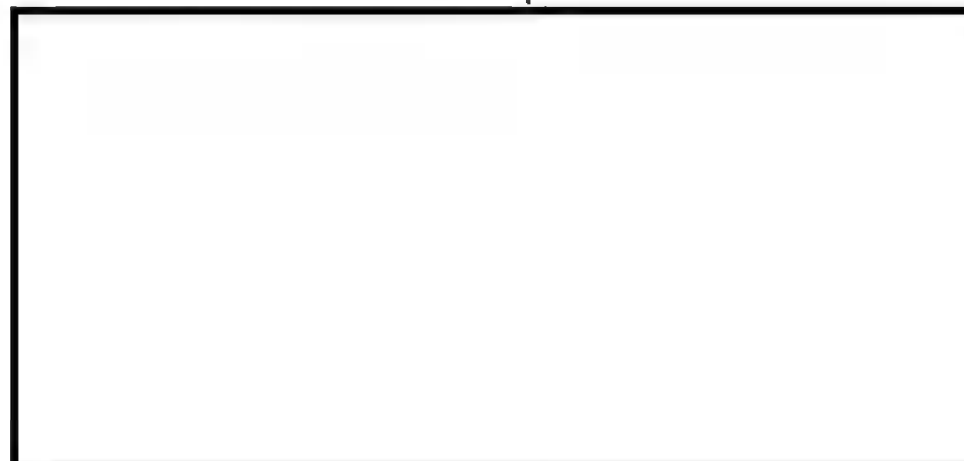
December 1, 1934

MEMORANDUM FOR MR. TOLSON

Interesting Identification #32-A has been reviewed under my personal supervision. The only error found in the text relates to the date on which James McKee, Identification Unit file #208413, the subject of the narrative, was paroled. The date was given as February 12, 1920, in the original Interesting Identification. The date given in the Identification Unit file is July 12, 1920.

The correct title of the Division has been given in all instances. Otherwise the Interesting Identification appears to be correct.

Respectfully,



Mr. Nathan
Mr. Tolson
Mr. Clegg
Mr. Baughman
Chief Clerk
Mr. Coffey
Mr. Cowley
Mr. Edwards
Mr. Egan
Mr. Harbo
Mr. Keith
Mr. Lester
Mr. Quinn
Mr. Schilder
Mr. Tamm
Mr. Tracy

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RECORDED & INDEXED

DEC 13 1934

80-132-2	
DIVISION OF INVESTIGATION	
DEC 11 1934 A.M.	
U. S. DEPARTMENT OF JUSTICE	
FOR	FILE

FIM:EBS

I. I. #32-A

November 28, 1934

JAMES McKEE

FINGERPRINT IDENTIFICATION PROVES
CORRECT IDENTITY OF PAROLE VIOLATOR
AND RELEASES PERSON ARRESTED IN ERROR

One James McKee, colored, was convicted for murder in McLennan County, Texas, and sentenced on May 19, 1914, to serve from 5 to 60 years confinement in the Penitentiary at Huntsville, Texas. He was received in that institution on May 29, 1914, and was paroled on July 12, 1920, his parole being revoked on June 10, 1921.

On July 14, 1921, [] was arrested and delivered to the Texas Prison at Huntsville, Texas, believed to be James McKee, the parole violator. The records of the Huntsville institution reflect that [] was not photographed but that he was fingerprinted and his fingerprints forwarded to the United States Penitentiary at Leavenworth, Kansas, to be compared with the actual fingerprints of James McKee, the parole violator. When this comparison was effected, it was found that the fingerprints of these persons were not identical and [] was released by the Texas Prison System upon the basis of this information.

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On June 19, 1928, James McKee was recaptured at Denton, Texas, and returned to the Huntsville Prison.

It is interesting to observe that upon receipt of [] in the Huntsville Prison, no photograph was prepared in his case by the prison authorities as they were positive that he and James McKee were one and the same person until their fingerprints had been classified and compared at the Leavenworth Penitentiary, and it was found that they were not identical.

This case is a very excellent illustration of the efficacy of the fingerprint system of identification, not alone to insure the determination of criminal records in the case of previous offenders and the

apprehension of escaped prisoners or parole violators but to assist in the proper disposition of charges against innocent persons who otherwise would be suspected of various offenses or of being wanted as fugitives from justice.

The fingerprints comprising the collection of the Leavenworth Penitentiary Bureau, on July 1, 1924, were transferred to the jurisdiction of the Division of Investigation at Washington, D. C., when the said Division, under Congressional authority initiated the compilation of identification records upon a larger scale. At the present time about 2,500 fingerprint cards are received in the Division daily from approximately 6,900 law enforcement officers and agencies located throughout the United States, and in foreign countries.

Department of Justice

Bureau of Investigation

Washington, D. C.

February 27, 1930.

No. 32.

INTERESTING IDENTIFICATIONS.

The lifeless form of an unknown man was found by the New York police in a pile of rubbish on a vacant lot. All indications were that he had been ruthlessly murdered. All marks had been removed from his clothing and nothing was found which would give any clue as to his identity. It seemed to be another enlistment in that great army of the unknown dead. As a last resort the fingerprint impressions of the dead man were taken and sent to the National Division of Identification and Information located in Washington, D. C. The experts there identified the fingerprints of the unknown man as being those of Cosimo Granuzzo, aliases, Rudolph Bruno, James Coletto, N. Nardo. Further the records revealed that on November 18, 1914, this man was received at the Minnesota State Prison under sentence of ten years for forgery; that in 1914 he was arrested in Chicago, Illinois, for forgery and placed on probation; and that in May, 1919, he was arrested in Chicago, Illinois, by the United States Secret Service for raising notes.

✓

U. S. Department of Justice
Bureau of Investigation

LCS:HT:MAN

Washington, D. C.

August 29, 1932.

INTERESTING IDENTIFICATION

NUMBER THIRTY-ONE

ESCAPED CONVICT CAPTURED AS A COUNTERFEITER.

On November 11, 1922, Jake Harris was arrested at Orange, California, and incarcerated in the County Jail at Santa Ana, California, charged with attempting to rape a fourteen-year old girl, a resident of the former city. He was sentenced on January 19, 1923, to serve from 0 to 25 years in the State Prison, at San Quentin, California, at which institution he was received three days later.

While serving an assignment with Road Camp #11, on October 28, 1927, Harris effected his escape. Apparently fearing capture if he attempted to obtain legitimate employment, Harris became a counterfeiter. He operated in this capacity until January 11, 1930, when, as Jacob Harris, he was arrested by the Sheriff at Salt Lake City, Utah, and delivered to agents of the United States Secret Service. Upon receipt of Harris' fingerprint impressions from the Sheriff at Salt Lake City, Utah, in the Identification Division of the United States Bureau of Investigation, he was identified with the subject of the escape from the State Prison at San Quentin, California, and the Warden of that institution was advised to this effect by telegram. A fingerprint card subsequently received from the United States Penitentiary at McNeil Island, Washington, by the United States Bureau of Investigation, indicated that he was received at that institution on May 2, 1930, from Salt Lake City, Utah, to serve a sentence of three years for counterfeiting. The Warden of the State Prison at San Quentin, California, having been informed by the United States Bureau of Investigation as to the whereabouts of Harris, may, if he so desires, place a detainer with the Washington institution to insure his removal to San Quentin after the expiration of his three year term.

U. S. Bureau of Investigation

Department of Justice

625 Lafayette Bldg.,
Detroit, Michigan.
February 4, 1933.

Director,
United States Bureau of Investigation,
Washington, D.C.

Dear Sir:-

Referring to Bureau communication dated
November 17, 1932, particularly to Interesting Ident-
ification #33, I am transmitting herewith memorandum
showing the result of inquiries made in this district
regarding this Interesting Identification.

Very truly yours,

Wm. Larson

WM. LARSON,
Special Agent in Charge

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WL-ICM
Encl. cc

FEB 7 - 1933

80-133 - /
BUREAU OF INVESTIGATION
FEB 6 1933 A.M.
DEPARTMENT OF JUSTICE
FILED

CLEGG

U. S. Bureau of Investigation

Department of Justice
625 Lafayette Building,
Detroit, Michigan.

February 2, 1933.

MEMORANDUM FOR SPECIAL AGENT IN CHARGE:-

In accordance with your oral instructions, I have assembled the following data relating to Interesting Identification #33.

The files of the Identification Bureau of the Cleveland Police Department reflect that RICHARD DAVIS as Richard H. Davis, #44943, was arrested on charge of suspicious person on August 5, 1929 and on August 6, 1929 was sentenced on this charge to costs and thirty days in the Workhouse at Warrensville, Ohio. This arrest was made by Officers [redacted] and [redacted] of the Police Department at Cleveland, Ohio. [redacted] of the Workhouse, at Warrensville, Ohio, was interviewed and produced records relative to Richard Davis who was received at the Workhouse on August 6, 1929 as Richard H. Davis, #6326, to serve a term of thirty days on a charge of suspicious person from Cleveland, Ohio. Further, that on August 7, 1929, Richard Davis escaped from this Workhouse. The records of the Workhouse do not disclose the circumstances of the escape nor is there any person there, according to [redacted] who could supply this information. [redacted] advised that it is his recollection that the Workhouse had been notified by the Ohio State Bureau of Identification and the United States Bureau of Investigation that Davis, under the name of Ray Raymond, had been arrested at Akron, Ohio, January 4, 1930, and that he [redacted] had communicated with the Akron authorities but that Davis had been released by them prior to his communication.

[redacted] further advised that at the present time Davis is wanted at the Workhouse to serve out his aforementioned sentence. It should be noted here that the Workhouse at Warrensville, Ohio, is an institution of the City of Cleveland, Ohio.

Officer [redacted], Bureau of Identification, Police Department, Akron, Ohio, advised that on January 4, 1930, Richard Davis as Ray Raymond alias Richard Davidson alias Richard Harding Davis, #19745, was arrested in that city as a suspicious character by Officers [redacted] and [redacted], and on January 7, 1930, was sentenced to thirty days by Judge Cox. The records do not reflect what action was taken as to Davis after the imposition of the sentence by Judge Cox.

Officer [redacted] advised that in 1930, he, together with the two aforementioned officers, had arrested Davis about

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80-133-1

2:00 A.M., on January 4, 1930, when they were driving by a negro house of prostitution and observed a white woman sitting in the window. The woman rapped on the window and motioned for him to come in. The officers approached this woman and talked to her at which time she suggested that he (Officer [redacted]) accompany her upstairs to which he consented. After some little argument the woman contended that they have intercourse in an unnatural manner and after some little argument Officer [redacted] exhibited his badge and placed this woman under arrest. A fight ensued and it was discovered that the party posing as a prostitute was not a woman but was Davis dressed in woman's clothing.

[redacted]
Special Agent.

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UNITED STATES BUREAU OF INVESTIGATION

I. I. #33.

Frequently, the escaped criminal is identified through his arrest for minor infractions of the law, or even when he is held as a suspect for investigation. With only limited time at their disposal, police generally realize that the problem of establishing identity must be quickly solved, and they therefore lose no time in submitting prints to the National Division of Identification and Information for this purpose.

The practice proved successful in the case of Richard Davis, who, at the age of only nineteen, was received in the Pennsylvania Industrial Reformatory at Huntingdon, Pennsylvania, on January 18, 1916, to serve a sentence of ten years for sodomy and buggery, and who was, after his release from the Reformatory, later arrested by the Cleveland, Ohio, Police on August 5, 1929, and escaped from the Warrensville, Ohio, Workhouse two days later. His period of liberty was only five months, for on January 4, 1930, he was arrested by the police of Akron, Ohio, as a suspicious person, and his prints immediately transmitted to the National Division of Identification and Information. Although at the time of his Akron arrest he used the alias of Ray Raymond, a search of the files of the above Division soon proved that this was but a gesture to conceal his true identity. Nature, however, had placed upon his finger tips infallible characteristics of personal identification, and as a result the Bureau was able to properly advise the authorities of Davis' arrest in Akron, Ohio.

Chicago

✓ Detroit

✓ Phila.

1 all 66-2741-1-156

No fact obtainable

Phila.

H. H.

[Handwritten signature/initials]

JOHN EDGAR HOOVER
DIRECTOR

Division of Investigation

U. S. Department of Justice
Washington, D. C.

LAH:AMP.
ms

November 5, 1934.
19/3

MEMORANDUM FOR MR. TOLSON.

Interesting Identification #33 which refers to an identification effected in 1930 involving one Richard Davis with aliases, has been reviewed under my personal supervision. The facts indicate that Davis in 1930 was arrested by the police at Akron, Ohio; that his fingerprints were submitted to the Division and were identified with a record showing that Davis had escaped from the Warrensville, Ohio Workhouse in 1929. It appears, however, that officials at Warrensville, Ohio have stated that they would not make any effort to apprehend or locate Davis unless he was found within a short distance from the Workhouse and that they would not even take steps to determine if Davis was being held by the Akron police. It further appears that the escape was effected by Davis at Warrensville, following a 30-day sentence on a suspicious person charge.

In view of these facts, it is believed that the identification does not possess sufficient interest to justify maintaining it in the file of interesting identifications and it is believed that it should be canceled.

Respectfully,



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*OK
11-6*

*Canceled 11/8/34
W.H.H.*

RECORDED & INDEXED

NOV 10 1934

80-133 - 2
NOV 9 1934

80-134-1
DIVISION OF INVESTIGATION
DEC 13 1934 A.M.
RECEIVED
Ident. Unit
TOLSON
File

UNITED STATES BUREAU OF INVESTIGATION

I. I. #34

ESCAPED PRISONER RECAPTURED IN
COMPANY OF ANOTHER FUGITIVE

[redacted], as [redacted], was received at the State Prison Farm, Raiford, Florida, in 1924, to serve a sentence of two years for larceny of an automobile. Prior to his entrance into the Florida institution, [redacted] had served thirteen months in the New Jersey Reformatory for larceny and carrying concealed weapons; five years in New York State Reformatory at Elmira, New York, for second degree grand larceny; and five years in Sing Sing Prison for carrying concealed weapons.

On June 17, 1924, [redacted] effected his escape from the Florida institution, and for five years after his escape successfully evaded recapture, and remained at large. However, on December 27, 1929, this individual was arrested by the Police Department at Atlanta, Georgia, for the larceny of an automobile. He gave his name to the Atlanta police as [redacted]. The Atlanta police took the fingerprints of this man, and transmitted them to the United States Bureau of Investigation, Washington, D. C., where they were immediately identified as being the fingerprints of [redacted], escaped prisoner from the State Prison Farm at Raiford, Florida.

Arrested with [redacted] in Atlanta, was [redacted], who had been received at the Florida State Farm at Raiford, Florida, on July 10, 1926, to serve a fifteen-year sentence for burglary as [redacted]. [redacted] had escaped September 2, 1926, was recaptured in Arkansas, and returned to custody on October 31, 1926, had again escaped, and was at large, when arrested in Atlanta, Georgia, in company with [redacted].

On April 9, 1930, [redacted] and [redacted] both were received at the United States Penitentiary, Atlanta, Georgia, to serve one year and one day, and eighteen months, respectively, for violation of the National Motor Vehicle Theft Act. Information with reference to the escape of these individuals from the Florida institution, was, of course, furnished by the United States Bureau of Investigation to the interested officials, in order that arrangements could be made to file detainers against them at the Atlanta Penitentiary.

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b7C

*Cancelled
for Miami
2/6/34
H*

Jacksonville

U. S. Department of Justice
Bureau of Investigation
Washington, D. C.

August 14, 1930.

INTERESTING IDENTIFICATIONS

NUMBER THIRTY-FOUR

ESCAPE RECAPTURED
IN COMPANY OF FORMER FUGITIVE.

[redacted], as # [redacted], was received at the State Prison Farm, Raiford, Florida, in 1924 to serve a sentence of two years for larceny of an automobile. Prior to his entrance into the Florida institution, Cassidy had served thirteen months in the New Jersey Reformatory for larceny and carrying concealed weapons; five years in New York State Reformatory at Elmira, New York, for second degree grand larceny; and five years in Sing Sing Prison for carrying concealed weapons.

On June 17, 1924, [redacted] effected his escape from the Florida institution, and for five years after his escape successfully evaded recapture, and remained at large. However, on December 27, 1929, this individual was arrested by the Police Department at Atlanta, Georgia, for the larceny of an automobile. He gave his name to the Atlanta police as [redacted]. The Atlanta police took the fingerprints of this subject, and transmitted them to the National Division of Identification and Information in Washington, D. C., where they were immediately identified as being the fingerprints of [redacted], escaped prisoner from the State Prison Farm at Raiford, Florida.

Arrested with [redacted] in Atlanta was [redacted], who had been received at the Florida State Farm at Raiford, Florida, on July 10, 1926, to serve a fifteen-year sentence for burglary as [redacted]. [redacted] had escaped September 2, 1926, was recaptured in Arkansas and returned to custody on August 31, 1926, had again escaped and was at large when arrested in Atlanta, Georgia, in company with [redacted].

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W. H. C.

U. S. Department of Justice

Bureau of Investigation

905 Federal Reserve Bank Building,

Kansas City, Missouri.

December 28, 1932.

Director,
United States Bureau of Investigation,
Washington, D.C.

Dear Sir:

Replying to Bureau letter of November 17, 1932, concerning interesting identifications, please be advised that Special Agent [redacted] at Jefferson City, Missouri, made inquiry concerning I. I. No. 35, and was informed by authorities of that institution that no record could be found of Frank Lytle, who, according to Bureau memorandum, was incarcerated in that institution.

If the Bureau desires further inquiry in this connection, it is requested that Lytle's Jefferson City, Missouri, Penitentiary number be furnished, if possible.

Very truly yours,

R. E. Vetterli

R. E. VETTERLI
Special Agent in Charge.

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b7C

REV:ebc

RECORDED
&
INDEXED

JAN 13 1933

80-135-1	
BUREAU OF INVESTIGATION	
DEC 30 1932 A.M.	
U.S. DEPT. OF JUSTICE	
OLGG W.N. SD	FILE
Div 5	

-12-33

KFC:AM
80-135-1

RECORDED

January 12, 1933.

JAN 13 1933

Special Agent in Charge,
United States Bureau of Investigation,
905 Federal Reserve Bank Building,
Kansas City, Missouri.

Dear Sir:

Reference is made to your letter of December 28, 1932, concerning Interesting Identification #35, involving Frank Lytle. For your additional information in this case, the fingerprint files of the Bureau disclose this individual, as Horace Swendson, was received at the Missouri State Penitentiary, their #22420, on December 3, 1919, to serve a sentence of 2 years for forgery 4th.

Very truly yours,

For the Director,

Assistant Director.

BUREAU
MAILED
JAN 12 1933

Ex-101
gph

UNITED STATES BUREAU OF INVESTIGATION

I. I #35 A

See U.S. D. J.

CRIMINAL USES
"A NEW NAME DAILY".

Ident
According to the information appearing in the identification files of the United States Bureau of Investigation, Frank Lytle has engaged in an extensive career in the dissemination of bad checks. During his criminal career he has been confined in prisons in many section of the United States, including the San Quentin and Reppesa, California, State Institutions, and the State Prisons of South Carolina, Utah and Missouri, and has experienced many detentions for short periods in police department, and county jails.

In an effort to conceal his identity, Lytle has resorted to the use of ninety known aliases. However, through an examination of his fingerprint cards it has been possible to trace his criminal activities consistently. Below is a list of the aliases which Lytle is known to have used in passing fictitious checks in many sections of the country:

Paul I. Grimm
James Madison
Allen G. Davies
C. C. Ramsey
Gene Hunter
Frederick Bolts
Cecil Forman
C. V. Thomas
J. G. Hatley
James McPherson
G. B. Morgan
C. Golman
B. Schupp
G. Schinkler
W. G. Dewey
Thomas Purlee
Clarence Steel
Joseph Harry Abbott
J. H. Perry
Grant R. Perry
J. D. Allen
C. Goldman

Charles King
Fred Botts
George Arnholdt
Oscar Biglow
Claude Spindel
Contrrell Wentworth
Onie Brax
C. ~~Golmann~~ - *GOLMANN*
A. J. Reed
I. L. Tabler
Albert VanVelser
I. A. Chaffer
David Popjoy
2 George Bruner - *Bruner*
Rich Kinney
Frank Kilgore
V. G. Marshall
D. Weyman
Percival E. King
Herbert Koehler
Charles C. Grant
Harvey C. Chindler

Anson Tradd
George Sieboldt
C. Arnholdt
George Siebolt
Onie Brax
Orton Heline
David Adams
3 Albert VanWeiger -
Nathan Downs
J. Carlquest
C. Schindler
C. T. Homas
D. A. Clark
F. M. Sheppard
George Reese
C. Schinker
George Donovan
Ed. Conraye
Herbert Keifer
Philip J. Collins
J. D. Anderson
C. T. Thomas

*Charlotte
Kansas City
Salt Lake
San Francisco*

F. A. Canby

C. B. King

~~James Madison~~

Stanley Dacus

J. L. Tabler

W. Burkett

Horace Swendsen

Harry R. Mann

Emil Nauchoffer

Bertrand Hill

Orton Seiboldt

3 ~~Charles Seiboldt~~

J. C. Steele

G. W. Robertson

Lee McAllister

Paul Grim

Central Wentworth

Stanley Dams

Alonzo Banci

Harvey C. Bennett

C. W. Robertson

W. C. Dewey

J. T. S. Brown

Anson Trad - *hb*

Harvey C. Bennett

OK *Joan allatt*
OK. *Frank Lytle*

LCS:KPM
80-135-2

January 30, 1933.

Special Agent in Charge,
United States Bureau of Investigation,
1806 First National Bank Building,
Charlotte, North Carolina.

Dear Sir:

In reply to your letter of January 20, 1933, relative to Interesting Identification #35, concerning Frank Lytle, please be advised that the following is the complete record of this individual, as reflected by the Bureau's files:

Subject as Joseph H. Abbott, #5176, arrested PD, Omaha, Nebraska, at Salt Lake City, Utah, May 29, 1917, charge forgery; case nolleprossed, 5-30-18.

As Horace Swendson, #3713, arrested PD, St. Joseph, Mo., Oct. 1, 1919, charge forgery; disposition not given.

As Horace Swendson, #22480, received State Penitentiary, Jefferson City, Mo., Dec. 3, 1919, from Buchanan Co., crime forgery, 4th degree; sentence 2 years.

As Frank Lytle, #3936, arrested SO, San Bernardino, Calif., April 6, 1923, charge bad checks; en route to Pasadena, Calif., 4-9-23.

As Frank Lytle, #1167, arrested PD, Pasadena, Calif., April 9, 1923, at San Bernardino, Calif., charge fictitious checks; disposition not given.

As Jos. Harry Abbott, #5428, arrested PD, San Diego, Calif., April 11, 1923, at Pasadena, Calif., charge not given; 4-12-23, delivered to Pasadena, Calif.

As Joseph H. Abbott, #38407, received San Quentin Prison, San Quentin, Calif., Sept. 29, 1923, from Los Angeles, crime fictitious checks; sentence 1 to 14 years.

As Joseph H. Abbott, #12761, received Folsom Prison, Represa, Calif., Oct. 4, 1923, from Los Angeles Co., crime fictitious checks; sentence 1 to 14 years.

The following notations appear on our records:

"5/19/13, Columbia Prison, So. Carolina, forgery; one year.
9/11/14, Seattle, #5753, Fugitive for Salt Lake City, Utah.
Salt Lake City, #1349, forg. 3-10 yrs. Utah St. Pen. Rel. 5/24/17.

File

W H L

SAC, Charlotte.

- 2 -

1-30-33.

3-24-21, C.B.A. Bull. #1425, wanted - checks.
1-25-22, Orange Co. Circ. #B-165, wanted - checks.
C.B.A. 2-00-22, #1633, pg. 67 -- A.B.A., 3-00-22, Pg. 66.
C.B.A. Circ. #254, 2-20-22, wanted - checks.
7-20-22, Indiana B.A. Bull. #40, wanted - checks.
8-00-22, A.B.A. pg. 12, wanted - checks.
8-18-22, Mo. B.A. Circ., wanted - checks.
8-00-22, Ohio B.A. Bull., wanted in Cal. and Indiana.
8-21-22, Ill. B.A. Bull., #30, wanted in Cal. and Indiana.
9-00-22, A.B.A., pg. 18, checks.
3-19-23, C.B.A., #1861, wanted - fictitious checks.
4-9-23, C.B.A., Lett. chg. besides wanted in Pasadena, Long Beach,
Santa Ana, San Pedro, San Diego, Louisville, Ky., and Indianapolis.
#3129, Salt Lake, Utah State Pen. (P.S.)"

Kindly be advised that the Bureau files do not contain the name and number under which this individual was incarcerated in the State Penitentiary at Columbia, South Carolina.

Very truly yours,

Director.

Director.

MA 581233 14



1806 First National Bank Building
Charlotte, N. C.

80-135-2
BUREAU OF INVESTIGATION
A. J. M.
OCEAN VIEW
1

U. S. Department of Justice

Bureau of Investigation

1806 First Nat'l Bank Building
Charlotte, N. C.

March 17, 1933

Director
United States Bureau of Investigation
Washington, D. C.

Dear Sir:

Re: ~~FRANK~~LYTLE
INTERESTING IDENTIFICATION #35

There is being transmitted herewith, in duplicate, copy of memorandum of Special Agent [redacted] at Columbia, South Carolina, dated March 16, 1933.

In view of the information set forth in Agent [redacted] memorandum, which indicates that the information desired cannot be readily secured, I deem it advisable to discontinue inquiry on this matter.

Very truly yours,

E. E. Conroy

E. E. CONROY,
Special Agent in Charge

EEC:BW
32-24

1 Encl.

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RECORDED

INDEXED

MAR 23 1933

20-135-3	
BUREAU OF INVESTIGATION	
MAR 20 1933 A.M.	
DEPARTMENT OF JUSTICE	FILE

Wk 3/22/33

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U. S. Department of Justice
Bureau of Investigation

Columbia, S. C.
March 16, 1933.

MEMORANDUM TO THE SPECIAL AGENT IN CHARGE

Re: File #32-24
I. I. #35

This memorandum is furnished for your information and so you may communicate with the Bureau appropriately.

The South Carolina State Penitentiary records, made during the time the subject of this interesting identification is alleged to have been incarcerated there, are based solely on alphabetical entries and they are in a rather uncertain state of accuracy. I talked to [redacted] and the record clerk, who assisted me in searching every available record in this connection.

Serial #2 of this file shows that the prisoner here concerned used ninety aliases. [redacted] said "it would be impossible for us to search for all those names", and of course I did not urge that such a task be attempted. Bureau letter dated January 30, 1933, setting out the fingerprint record of this individual was therefore used as the basis for the search. A diligent and thorough examination of the records revealed only one of these names and the records as to that prisoner are as follows:

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Joseph Abbott, as #18,415, was received at the S. C. Penitentiary April 23, 1911 from Greenville County, S. C. to serve a term of three years, on conviction of forgery. He was paroled March 7, 1913. His place of birth is recorded as Trimble County, Kentucky and his description is as follows: Age, 33 years (1911); male; white; height, 5 ft. 5 in.; light hair; blue eyes; fair complexion; scar left forearm under side; scar between first and second fingers on left hand.

To carry this further, you may desire that the records of the county court at Greenville, S. C., be examined.

32-24

[redacted]
Special Agent.

70-135-3

318 Hewes Building,
San Francisco, California

HRP:AP.

32-9

Director,
United States Bureau of Investigation,
Washington, D.C.

Dear Sir:

With reference to Bureau letter dated November 18, 1932, transmitting certain memoranda concerning identifications made that might be of interest in the territory covered by the San Francisco Office, please be advised that the following information was developed:

With reference to Interesting Identification No. 19, Special Agent [redacted], when at Ione, California, ascertained that a check of the records at the Preston School of Industry failed to disclose any inmate by the name of [redacted] or [redacted] which were aliases used by [redacted]. It was pointed out to agent [redacted] that the Preston School of Industry is not a penal institution, but rather a correctional institution for wayward boys, and does not use fingerprint identification.

In connection with Interesting Identifications Nos. 31 and 35, it was ascertained at San Quentin Penitentiary that there was no additional information of interest, other than that contained in the Bureau memoranda. In connection with Interesting Identification No. 31, it will be noted that the escape from San Quentin Penitentiary occurred in 1927, and [redacted] advised that there was nothing of particular interest with reference to this escape.

Very truly yours,

W. A. Rorer,
Special Agent in Charge.

JUN 15 1933

80-135-4
BUREAU OF INVESTIGATION
JUN 14 1933 P.M.
U.S. DEPT. OF JUSTICE
FILE

ORIGINAL FILED IN 80-1117
Sub 66-2741-1-111

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HHC:AMP
80-135-3

March 22, 1933.

RECORDED

SPECIAL AGENT IN CHARGE,
UNITED STATES BUREAU OF INVESTIGATION,
1806 FIRST NATIONAL BANK BUILDING,
CHARLOTTE, NORTH CAROLINA.

Dear Sir:

Reference is made to your communication dated March 17, 1933, relating to the matter entitled FRANK LITTLE, INTERESTING IDENTIFICATION #35, wherein it is suggested that it might be inadvisable to continue inquiries relative to this matter.

The Bureau concurs with your suggestion.

Very truly yours,

Director.

RECORDED FILES DIVISION
MAILED

MAR 22 1933

U.S. DEPT. OF JUSTICE

JOHN EDGAR HOOVER
DIRECTOR
LAH:FL

Federal Bureau of Investigation

U. S. Department of Justice

Washington, D. C.
March 19, 1935.

MEMORANDUM FOR MR. TOLSON.

There is attached hereto Interesting Identification #35-A, which is a revision of Interesting Identification #35. This has been reviewed under my personal supervision. A review of the file resulted in the location of 9 additional aliases, which makes the total number of aliases used by Frank Lytle 101. The correct title of the Bureau was inserted where necessary. No other changes were needed.

Respectfully,



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RECORDED & INDEXED

80-135-5

MAR 28 1935

27 1935

BACKUS

File 3/29/35
(3) [initials]
GAB

[Signature]

Federal Bureau of Investigation
United States Department of Justice

I. I. #35-A.

Washington, D. C.

March 13, 1935.

FRANK LYTLE.
CRIMINAL USES
"A NEW NAME DAILY".

According to the information appearing in the identification files of the Federal Bureau of Investigation, Frank Lytle has engaged in an extensive career in the dissemination of bad checks. During his criminal career he has been confined in prisons in many sections of the United States, including the San Quentin and Represa, California State institutions, and the State Prisons of South Carolina, Utah and Missouri, and has experienced many detentions for short periods in police departments and county jails.

In an effort to conceal his identity, Lytle has resorted to the use of one hundred and one known aliases. However, through an examination of his fingerprint cards it has been possible to trace his criminal activities consistently. Below is a list of the aliases which Lytle is known to have used in passing fictitious checks in many sections of the country:

Joseph J. Abbot
J. H. Abbott
Joseph Abbott
Joseph H. Abbott
Joseph Harry Abbott
David Adams
J. D. Allen
J. D. Anderson
C. Arnholdt
George Arnholdt
Alonzo Banci
Harvey C. Bennett
Oscar Biglow
Frederick Bolts
Fred Botts
Onie Branx
J. T. S. Brown
George Bruner
Onie Brux
W. Burkett
F. A. Canby

J. Carlquest
I. A. Chaffer
Harvey C. Chindler
D. A. Clark
Philip J. Collins
Ed Conraye
Stanley Dacus
Stanley Dams
Allen G. Davies
W. C. Dewey
W. G. Dewey
George Donovan
Nathan Downs
Cecil Forman
C. Goldman
C. Golman
C. Golmann
Charles C. Grant
Paul Grim
Paul I. Grimm
G. G. Hatley

J. G. Hately
Orton Heline
Bertrand Hill
Arnold Holland
C. T. Homas
Gene Hunter
Herbert Keifer
Frank Kilgore
C. B. King
Charles King
Percival E. King
Rich Kinney
Herbert Koehler
Frank A. Lytle
Frank Lyttle
James Madison
James Madson
V. G. Marshall
Lee McAllister
James McPherson
G. B. Morgan

80-135

am

Harry R. Munn
Emil Nauchaffer
Emil Nauchoffer
Grant R. Perry
J. H. Perry
David Popjoy
Thomas Purlee
G. C. Ramsey
A. J. Reed
George Reese
C. W. Robertson
G. W. Robertson
I. A. Schaffer

C. Schindler
C. Schinker
C. Schinkler
Charles Schlinder
B. Schupp
Orton Seiboldt
F. M. Sheppard
George Sieboldt
George Siebolt
Claude Spindel
Clarence Steel
J. C. Steele
Horace Swendsen

Horace Swendson
J. L. Tabler
C. T. Thomas
C. V. Thomas
Anson Trabb
Anson Trad
Anson Tradd
Albert VanWelger
Albert VanVelser
Central Wentworth
Contrell Wentworth
D. Weyman

FEDERAL BUREAU OF INVESTIGATION

Room 4729 3/26 1935.
Phones 560 & 53.

To: Director
Mr. Nathan
Mr. Tolson
[Redacted]
Mr. Clegg
Mr. Egan
[Redacted]
Mr. Harbo
[Redacted]
Miss Gandy
[Redacted]
Files Section
Supervisor
Chief Clerk
Mr. Coffey
[Redacted]
Mr. [Redacted]

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b7C

*This L.I. has been
revised. Please
file.*

NOT RECORDED

[Redacted]

U. S. Department of Justice
Bureau of Investigation
Washington, D. C.

LCS:AM

August 29, 1932.

INTERESTING IDENTIFICATION
NUMBER THIRTY-FIVE.

CRIMINAL USES
"A NEW NAME DAILY".

According to the information appearing in the identification files of the United States Bureau of Investigation, Frank Lytle has engaged in an extensive career in the dissemination of bad checks. During his criminal career he has been confined in prisons in many sections of the United States, including the San Quentin and Represa, California, State institutions, and the State Prisons of South Carolina, Utah and Missouri, and has experienced many detentions for short periods in police department and county jails.

In an effort to conceal his identity, Lytle has resorted to the use of ninety known aliases. However, through an examination of his fingerprint cards it has been possible to trace his criminal activities consistently. Below is a list of the aliases which Lytle is known to have used in passing fictitious checks in many sections of the country:

Paul I. Grimm
James Madison
Allen G. Davies
C. C. Ramsey
Gene Hunter
Frederick Bolts
Cecil Forman
C. V. Thomas
J. G. Hately
James McPherson
G. B. Morgan
C. Golman
B. Schupp
G. Schinkler
W. G. Dewey
Thomas Purlee
Clarence Steel
Joseph Harry Abbott
J. H. Perry
Grant R. Perry
J. D. Allen
C. Goldman

Charles King
Fred Botts
George Arnholdt
Oscar Biglow
Claude Spindel
Contrell Wentworth
Onie Brux
C. Colmann
A. J. Reed
I. L. Tabler
Albert VanVelser
I. A. Chaffer
David Popjoy
George Bruner
Rich Kinney
Frank Kilgore
V. G. Marshall
D. Weyman
Percival E. King
Herbert Koehler
Charles C. Grant
Harvey C. Chindler

Anson Tradd
George Sieboldt
C. Arnholdt
George Siebolt
Onie Branx
Orton Heline
David Adams
Albert VanWeiger
Nathan Downs
J. Carlquest
C. Schindler
C. T. Homas
D. A. Clark
F. M. Sheppard
George Reese
C. Schinker
George Donovan
Ed. Conraye
Herbert Keifer
Philip J. Collins
J. D. Anderson
C. T. Thomas

80-135

F. A. Canby
C. B. King
James Madson
Stanley Dacus
J. L. Tabler
W. Burkett
Horace Swendsen
Harry R. Munn

Emil Nauchoffer
Bertrand Hill
Orton Seiboldt
Charles Schlinder
J. C. Steele
G. W. Robertson
Lee McAllister
Paul Grim

Central Wentworth
Stanley Dams
Alonzo Banci
Harvey C. Bennett
C. W. Robertson
W. C. Dewey
J. T. S. Brown
Anson Trad

U. S. Department of Justice
Bureau of Investigation

905 Federal Reserve Bank Building,

Kansas City, Missouri.

December 28, 1932.

Director,
United States Bureau of Investigation,
Washington, D.C.

Dear Sir:

Referring to Bureau letter of November 17, 1932, concerning interesting identification No. 36, please be advised that Special Agent [redacted] of this office secured the following information at the United States Penitentiary at Leavenworth, Kansas:

P. 1-5
"A. L. ~~BAKER~~, Register #11542. The file concerning this individual disclosed that as Charles M. Davis, #9218, received October 24, 1919, from Denver, Colorado; crime using the mails to defraud; three sentences of three years duration each, to run concurrently. This sentence was served.

As A. L. Baker, Register #11542, received from Lincoln, Nebraska, to serve sentence of four years for using the mails to defraud. While serving as an outside trusty he made his escape by walking away from the premises.

As Ernest Williams, Register #31757, he was again received at the United States Penitentiary, February 15, 1925, from New Haven, Connecticut, under sentence of five years for violation of the postal laws, using the mails to defraud. He was released on expiration of his sentence June 21, 1932, and on September 9, 1932, was arrested by [redacted] Kansas City, Missouri; charge using mails to defraud. He furnished bond for a hearing in this matter on September 14, 1932, jumped his bond and is presumably now a fugitive."

76-269
11/13/32
PM

Very truly yours,

R. E. Vetterli

R. E. VETTERLI

Special Agent in Charge.

CF--ebc

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RECORDED
&
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JAN 10 1933

80-136-1	
BUREAU OF INVESTIGATION	
DEC 30 1932 A.M.	
DEPARTMENT OF JUSTICE	
OLGG	FILE

11/13/32
PM

UNITED STATES BUREAU OF INVESTIGATION

I. I. #36

FEDERAL PRISONER CAUGHT AFTER NINE YEARS.

In May, 1919, one A. L. Baker, alias Charles M. Davis, alias H. D. Whitney, successfully made his escape from the Federal Penitentiary at Leavenworth, Kansas, where he was serving a sentence of four years for using the mails to defraud. On July 1, 1924, the fingerprint records of the Federal Penitentiary at Leavenworth, Kansas, were transferred to the United States Bureau of Investigation. Included in these records was the fingerprint card of A. L. Baker. A notation was posted thereon to the effect that this person was wanted as a fugitive from justice.

Nine years later, the Police of Meriden, Connecticut, transmitted to the United States Bureau of Investigation the fingerprints of one Ernest Harold Williams, who was under arrest there on a charge of obtaining money under false pretenses. Immediately, the fingerprints of Williams were identified as being those of A. L. Baker, the escaped prisoner from Leavenworth, and the interested authorities were notified by telegram.

Baker, alias Williams was thereupon sentenced to serve six months in the local court at Meriden, Connecticut for obtaining money under false pretenses. It further appeared that he had again violated the Postal Laws, and for this violation, he was given a sentence of five years in the United States Penitentiary at Leavenworth, Kansas. This sentence was imposed on February 15, 1929.

On September 12, 1932, the fingerprints of Baker, alias E. H. Williams, were again received in the United States Bureau of Investigation, reflecting that this prisoner had been apprehended again on September 9, 1932, on a charge of using the mails to defraud.

✓ Kansas City
✓ New York

U. S. Department of Justice
Bureau of Investigation

Post-Office Box #251
Grand Central Station
New York, N. Y.

EAT:ML
32-14

January 13, 1933.

Director,
United States Bureau of Investigation,
Washington, D. C.

Dear Sir:-

Reference is made to Bureau communication dated November 17, 1932, relative to interesting identifications, and transmitting to this office certain interesting identifications, as prepared by the Bureau.

With particular reference to Interesting Identification #36, which pertains to the arrest of one A. L. Baker alias Charles M. Davis, I am transmitting herewith duplicate copies of a memorandum submitted to me under date of January 10, 1933, by Special Agent [redacted]. The information contained in Agent [redacted] memorandum was obtained while he was conducting other official investigations at Meriden, Conn.

Very truly yours,

T. F. Cullen
T. F. CULLEN,
Special Agent in Charge.

1 Encls.
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&
INDEXED

JAN 17 1933

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BUREAU OF INVESTIGATION	
JAN 14 1933 4 A.M.	
DEPT. OF JUSTICE	FILE
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32-14

result, the "racket" of Williams was discovered.

At the time of Williams' arrest, he had with him a woman whom he represented to be his wife but who they later discovered was not his wife and this she also admitted. She, as a result, was committed to the House of Good Shepherds in Hartford, Conn., and he was fingerprinted and sentenced to serve a term of six months by the local courts. As a result of the fingerprinting of Williams, his identity and record were then discovered when his prints were forwarded to the Bureau in Washington.

Information as to this individual is contained in serial 16 of this file.



Special Agent

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U. S. Department of Justice

Bureau of Investigation

Post Office Box #251
Grand Central Station
New York City

JES:IW
32-14

January 10, 1933

MEMORANDUM FOR FILE

With reference to the arrest of A. L. Baker at Meriden, Connecticut, as Ernest Harold Williams, Mr. M. D. Carroll, Chief of Police, Meriden, Conn., advised that Baker, under the name of Williams, had come to Meriden early in 1928, located himself in an office there and proceeded to have literature printed with his name thereon as custodian of the Masonic Club, headquarters 50 Crown Street, Meriden, Conn. Williams' procedure then was to correspond with various cigar manufacturers in different parts of the country and inform them that a Masonic meeting at the local temple was to be held in the very near future and that he wished an order of cigars, usually in small numbers, to be sent to him for the alleged Masonic meeting. At the time of placing the first order, Williams would also state that it was desired that the bill for the first order of cigars be sent him prior to the twentieth of the month in which the order was placed because a meeting would be held on or about that date and the bill disposed of at that meeting. On receipt of this first order of cigars, Williams would immediately send back an order to the company which furnished the first order, complimenting them upon the good cigars they furnished and telling the company how well the members of the local lodge liked the cigars and that they were all interested in making purchases of the same for their own use and, at the same time, Williams would place an order for 1000 cigars which he allegedly was ordering for the members of the local lodge. In connection with this order, he would also state that he wished the bill forwarded to him for these cigars prior to the twentieth of the month so that it could be disposed of at the regular meeting of the lodge on or about that date.

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The idea which Williams had in mind was that upon the receipt of the 1000 cigars from the various cigar companies, he would then take them out and sell them to cigar stores and customers wherever he could find them, at reduced rates.

Investigation into his activities began by the local police department as a result of Williams' placing an order with a man named [redacted] who, Mr. Carroll believed, lived in [redacted] or in that immediate vicinity and who happened to be a member of the Masonic Order there. Upon receipt of the order from Williams, Watts communicated with the Meriden Police and requested that they investigate the matter and, as a

80-136-2

DIVISION OF INVESTIGATION
U. S. DEPARTMENT OF JUSTICE.

September 26, 1933.

I. I. #36-A.

CHARLES M. DAVIS
SWINDLER WITH LONG CRIMINAL
RECORD AGAIN AT LARGE.

The criminal career of Charles M. Davis as reflected in the fingerprint files of the Division of Investigation of the U. S. Department of Justice presents an interesting study in the tendency of some criminals to specialize in one particular branch of crime, as well as establishing the importance of fingerprints in identifying fugitives.

Under the name Charles M. Davis, the earliest record of his fingerprints reflects his conviction in the U. S. District Court of Colorado at Denver on October 19, 1914, charged with using the mails to defraud, for which he was sentenced to serve 3 years in the United States Penitentiary at Leavenworth, Kansas. The fingerprint card received from the Leavenworth institution discloses that as Harry E. Cobb, Davis had served a term of 5 years in the Albany County Penitentiary for forgery, having been received on February 18, 1899. Subsequently as Harold C. Whitney, he had served a sentence of 3 to 2½ years for attempted grand larceny, having been received at the Auburn Prison, Auburn, New York on December 31, 1907. As Harold F. Dinsmore he was arrested by the Police Department at Chicago, Illinois, on December 30, 1910, charged with con game and sentenced to 3 months in the House of Correction.

After being released from the Leavenworth Penitentiary, upon expiration of his sentence on February 8, 1917, he was returned to that institution on March 3 of the same year after having been convicted in the District Court of Lincoln, Nebraska, on February 26, 1917, charged with using mails to defraud under the name of A. L. Baker and for which he was sentenced to serve 4 years. A notification was posted to his fingerprint record to the effect Davis had escaped from Leavenworth on May 20, 1919 and his apprehension was sought.

According to information subsequently furnished the Division, an individual by the name of Williams arrived in Meriden, Connecticut, in 1928 and established himself in an office in that city and had literature printed with his name thereon as custodian of the Masonic Club of Meriden, Connecticut. Using these headquarters Williams then ordered cigars from various manufacturers throughout the country for shipment to Meriden to be used at Masonic meetings and subsequently to be sold to members of the lodge. A manufacturer who received one of these orders became suspicious and requested the Meriden police to investigate the activities of Williams. Accordingly, he was arrested by the Meriden authorities on May 17, 1928, charged with obtaining goods under false pretenses.

W.H. 9/27

His fingerprints were recorded and submitted to the Division at Washington to determine whether a prior criminal record existed in his case. When these fingerprints were classified and searched by the technical experts of the Division, they were found to be identical with the escaped convict from the Leavenworth Penitentiary, Charles M. Davis alias A. L. Baker. In view of the importance of the case a telegram was immediately dispatched to the Meriden authorities acquainting them with the true identity of their prisoner Williams.

On April 5, 1929, there was received in the Division of Investigation at Washington the fingerprint record of Davis indicating he had again been received at the United States Penitentiary at Leavenworth, Kansas, this time convicted in the U. S. District Court at New Haven, Connecticut, for violating the Postal Laws for which he was sentenced to 5 years. This print also indicated that on the charge of obtaining goods under false pretenses he had been sentenced to six months.

On September 12, 1932, the fingerprints of Davis were again received in the Division at Washington, this time under the name E. H. Williams. He had been arrested by the U. S. Marshal at Kansas City, Missouri, on September 9, 1932, charge using mails to defraud, and the disposition of the case was furnished as pending. Not long thereafter a wanted circular was received from the Post Office Inspector in Charge at Kansas City, Missouri, indicating that Davis under the name Ernest H. Williams after having been arrested at Kansas City, Missouri, on September 16, 1932, charged with operation of fake scheme in offering to sell chickens at greatly reduced prices had furnished bond on this charge and his apprehension was then desired. The wanted notice for Davis was reinstated against his fingerprint record in the Division's files where it will remain unless cancelled by Post Office Authorities, until his fingerprints again disclose his location.

U. S. Department of Justice
Bureau of Investigation
Washington, D. C.

April 22, 1930.

INTERESTING IDENTIFICATIONS

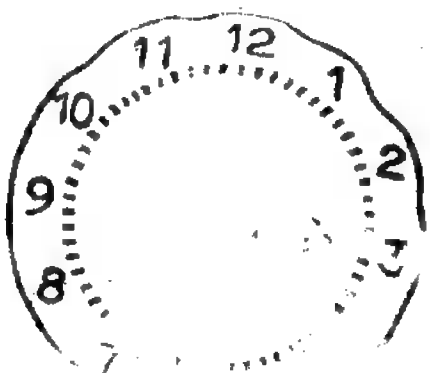
NUMBER THIRTY-SIX.

FEDERAL PRISONER CAUGHT AFTER NINE YEARS.

In May, 1919, one A. L. Baker, alias Charles M. Davis, alias H. D. Whitney, successfully made his escape from the Federal Penitentiary at Leavenworth, Kansas, where he was serving a sentence of four years for using the mails to defraud. The Federal Penitentiary immediately communicated with the National Division of Identification and Information, advising of the escape of this prisoner, and as a result there was posted on the fingerprint record of Baker, which was already on file in the above Division, the information to the effect that this subject was a fugitive from justice.

Ten years later, the police of Meriden, Connecticut, transmitted to the National Division of Identification and Information the fingerprints of one Ernest Harold Williams, who was under arrest there on a charge of obtaining money under false pretenses. Immediately, the fingerprints of this subject were identified as being those of A. L. Baker, the escaped prisoner from Leavenworth. As a result of this identification, which was communicated to the interested authorities by telegraph, Baker was returned to the Federal Penitentiary to complete the sentence imposed upon him back in 1919.

EX-11



APR 22 1930

U. S. Department of Justice
Bureau of Investigation
Washington, D. C.

LCS:CGS:MAM

September 21, 1932.

INTERESTING IDENTIFICATION.

NUMBER THIRTY-SIX

FEDERAL PRISONER CAUGHT AFTER NINE YEARS.

In May, 1919, one A. L. Baker, alias Charles M. Davis, alias H. D. Whitney, successfully made his escape from the Federal Penitentiary at Leavenworth, Kansas, where he was serving a sentence of four years for using the mails to defraud. On July 1, 1924, the fingerprint records of the Federal Penitentiary at Leavenworth, Kansas, were transferred to the United States Bureau of Investigation. Included in these records was the fingerprint card of A. L. Baker. A notation was posted thereon to the effect that this person was wanted as a fugitive from justice.

Nine years later, the Police of Meriden, Connecticut, transmitted to the United States Bureau of Investigation the fingerprints of one Ernest Harold Williams, who was under arrest there on a charge of obtaining money under false pretenses. Immediately, the fingerprints of Williams were identified as being those of A. L. Baker, the escaped prisoner from Leavenworth, and the interested authorities were notified by telegram.

Baker, alias Williams was thereupon sentenced to serve six months in the local court at Meriden, Connecticut for obtaining money under false pretenses. It further appeared that he had again violated the Postal Laws, and for this violation, he was given a sentence of five years in the United States Penitentiary at Leavenworth, Kansas. This sentence was imposed on February 15, 1929.

On September 12, 1932, the fingerprints of Baker, alias E. H. Williams, were again received in the United States Bureau of Investigation, reflecting that this prisoner had been apprehended again on September 9, 1932, on a charge of using the mails to defraud.

UNITED STATES BUREAU OF INVESTIGATION

I. I. #37

[REDACTED] CONFEDERATE
IDENTIFIED AFTER DEATH

The stormy career of George (Dutch) Anderson is perhaps as glamorous and exciting as the stories of his confederates, [REDACTED] and [REDACTED]. Anderson was well educated, spoke several languages fluently, always frequented good hotels or furnished apartments, and his fondness and intimate knowledge of music and fine literature afforded him the opportunity of entering almost any stratum of society. His accomplishments as a criminal were as variegated as the most versatile of master-criminals for he was a murderer, safe-blower, bandit, pickpocket, forger, bank burglar and general thief.

The denouement of his career began to unfold when he was received at the United States Penitentiary at Atlanta, Georgia, on August 25, 1922, from New York to serve twenty-five years for robbery of a mail truck with [REDACTED]. On December 30, 1923, Anderson escaped from the Atlanta Penitentiary. The forces of the governmental investigative agencies conducted a manhunt of enormous proportions for two years but little was heard of the elusive Anderson until August 14, 1925, when, in company with [REDACTED] who was later apprehended in Indiana, Anderson killed one Ben Hance and the latter's wife near Muncie, Indiana. The Indiana authorities and the Warden of the Atlanta Penitentiary both offered substantial rewards for his capture and finally he was shot and killed in a gun battle with the police of Muskegon, Michigan, and his prints sent to the United States Bureau of Investigation, on November 6, 1925. Although he was known locally as Charles P. Davis in Muskegon, the above Bureau was enabled to establish his true identity and thus close one angle of the Indiana murder and call off a nation-wide search for the Atlanta escape.

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b7C

✓ Birmingham
✓ Chicago
✓ Cincinnati
New York

U. S. Bureau of Investigation

Department of Justice

1420 Enquirer Building
Cincinnati, Ohio

HHR:SLB
#32-1

January 12, 1933

Director,
United States Bureau of Investigation,
Washington, D. C.

Dear Sir:-

Reference is had to Interesting Identification #37.

Special Agent [] contacted Sheriff Fred Puckett of Muncie, Ind., who, in 1925, was with the Muncie, Ind., Police Department, and was assigned to render any possible assistance in the capture of []. Mr. Puckett advised that some eight miles north of Muncie, on the Mississinewa River, there lived in 1925, Ben Hance and his wife. They resided in a small shack.

[] had a mistress, whose first name was [], and whose last name Mr. Puckett could not remember, but who was generally known as []. She was staying at Hance's shack part of the time.

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Recently, Mr. Puckett has been in San Francisco and was told by some detective there that this woman was now in that city. The Muncie Police Officers received information that [] was associating with his mistress at Hance's shack, and the officers were pressing Hance for information. Hance finally got to talking to the officers and told all about the others. Puckett then got a "tip" that [] was on Mulberry Street in Muncie. This tip was followed up and [] was caught on Charles Street in Muncie.

After [] was taken to headquarters, they began looking for his confederates, George Anderson and [], known as [].

RECORDED

INDEXED

JAN 17 1933

80-137-2	
BUREAU OF INVESTIGATION	
JAN 13 1933 P.M.	
DEPARTMENT OF JUSTICE	
CLERK	FILE

While Puckett was engaged in interviewing [redacted], [redacted] and [redacted] got on an interurban, and George (Dutch) Anderson also fled the city. Puckett later learned that [redacted] was in or around Detroit, Michigan, and Puckett proceeded to that city, and was quite close to [redacted] at Flint, Michigan, where the latter had a brother. It appears that [redacted] had given a bad check for a Cadillac car in Detroit, and was to be at a hotel there. However, before [redacted] appointment at the hotel, Mr. Puckett was called back to Muncie, Indiana.

At Hance's hangout on the Mississinewa River, as above mentioned, [redacted] called [redacted] had been seen. He was a known associate of [redacted] and Anderson. Mr. Puckett states that [redacted] had at one time been on the Police Force at Hartford City, Indiana. [redacted] was with George Anderson when Hance and his wife were killed on August 14, 1925. It is presumed that [redacted] and Anderson killed Mr. and Mrs. Hance, because Hance had given the officers information which assisted in locating [redacted] as above set out.

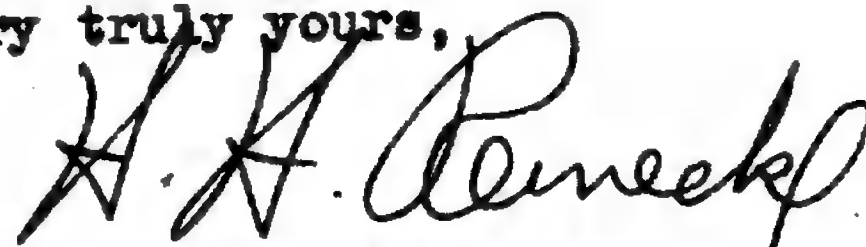
According to Mr. Puckett there was another man who was supposed to have had some contact with this gang. He was a [redacted] at Muncie, Ind., at the time, but now said to be somewhere near Chicago, Illinois.

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According to Mr. Puckett, all the parties involved fled from Muncie after the killing of Ben Hance and wife. Relative to the killing of George Anderson and the capture of [redacted] in Michigan, Mr. Puckett knows only what was seen in the papers at the time. [redacted], of course, was returned to Muncie, Indiana, where he was tried for the murder of Ben Hance and wife, and upon conviction, was sentenced to serve a life sentence in the State Penitentiary at Michigan City, Indiana, where he is now incarcerated.

The Cincinnati office has an old file, wherein George Anderson is a subject, but it only contains two inconsequential reports which add nothing of interest to the above.

Very truly yours,



H. H. REINECKE,
Acting Special Agent In Charge.

U. S. Department of Justice

Bureau of Investigation

POST OFFICE BOX 1405,
CHICAGO, ILLINOIS.

February 24, 1933.

Director,
United States Bureau of Investigation,
Washington, D. C.

Dear Sir:-

Re: Interesting Identification #37,
GEORGE (DUTCH) ANDERSON

Ident Unit #
1404T

Reference is made to Bureau letter dated November 17, 1932, which contained information regarding various interesting identifications, at which time particular reference was made to the case of GEORGE (DUTCH) ANDERSON.

Please be advised that while Special Agent [redacted] of this office, was in Muskegon, Michigan, recently he interviewed Mr. Peter Hanson, Chief of Police. Mr. Hanson advised that on October 31st, 1925, George (Dutch) Anderson, who had previously been unknown in that city was seen on the streets of Muskegon about 7:45 p.m., at which time he entered the Colonial Tea Room, located at 357 Western Avenue, where he purchased a box of candy from [redacted], giving in payment a twenty dollar counterfeit bill, and received change therefor. The owner of the tea room, being in need of small change, immediately proceeded to a bank located across the street, which remained open evenings for accommodating its customers. On presentation of the twenty dollar bill to the cashier, for change, [redacted] was immediately informed regarding the counterfeit nature of the bill. He immediately communicated with the Muskegon Police Department and Detective Charles Hammond was instructed to investigate the case.

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Detective Hammond and [redacted] met at the Police Station and proceeded down the street, where they observed Anderson in a drug store, apparently making a purchase. Detective Hammond waited until Anderson had left the drug store, followed him down the street, placed him under arrest and started to police headquarters.

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BUREAU OF INVESTIGATION	
FEB 27 1933 A.M.	
DEPT. OF JUSTICE	FILE
CLEGG	

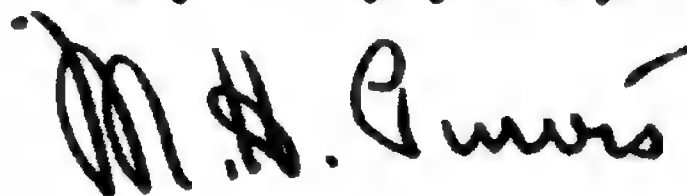
As they neared the Police Station they met a woman, and upon coming abreast of her, Anderson seized the woman, held her between himself and Detective Hammond as a shield, drew a revolver, and shot at Detective Hammond. After he had shot at Detective Hammond, Anderson released the woman, and ran into a nearby alley. However, his shots had gone wild and Detective Hammond gave chase. Anderson fired again at the detective, striking him in the abdomen. Hammond returned the fire, striking Anderson in the chest and left arm, and he fell mortally wounded. Other officers, hearing the shots which were within a half block of the police station, rushed to Hammond's rescue and took him to a local hospital where he died about an hour and a half later.

The officers then returned to the alley where the body of Anderson was picked up and carried to the police station. He had apparently been killed almost instantly. Upon searching Anderson's clothing the Police found calling cards bearing the name, Charles P. Davis; they also found on his person about two thousand dollars worth of twenty dollar counterfeit bills.

The Muskegon Police searched their wanted notices and circulars, noting that apparently a wanted notice had been issued by the Post Office Department for Anderson, whereupon they contacted [redacted] of Cleveland, Ohio, who came to Muskegon and partially identified Anderson. However, after Anderson was killed his fingerprints were taken and furnished to this Bureau in Washington, D.C., through which medium he was positively identified. Chief Hanson reiterated that prior to the date Anderson was killed he was unknown in the City of Muskegon.

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Very truly yours,



M. H. PURVIS,
Special Agent in Charge.

DOS:GVT

62-1325

U. S. Bureau of Investigation

Department of Justice
625 Lafayette Building,
Detroit, Michigan.

January 31, 1933.

Director,
United States Bureau of Investigation,
Washington, D.C.

Dear Sir:-

Referring to Bureau communication dated November 17, 1932, with which was enclosed memoranda concerning certain Interesting Identifications in the territory covered by this office. I am transmitting herewith memorandum submitted by Special Agent [redacted], of this office, setting out the result of his inquiry at Cleveland, Ohio, concerning Interesting Identification #38, entitled JOHN ~~X~~ACCHIONE.

It will be noted in Agent [redacted] memorandum that the Police Department at Cleveland do not usually take fingerprints of persons arrested on minor charges; however, it is felt that undoubtedly in bringing this case directly to the attention of [redacted] of the Identification Bureau, Police Department, Cleveland, that he will readily realize the significance of fingerprinting everybody taken into custody.

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Very truly yours,



WM. LARSON,
Special Agent in Charge

WL-ICM

/ Encl.

[Handwritten initials]

[Handwritten initials]

[Handwritten initials]

[Handwritten initials]

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&
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FEB 4 - 1933

FEB 4 1933

80-138-1	
BUREAU OF INVESTIGATION	
FEB 2 1933 A.M.	
DEPARTMENT OF JUSTICE	
CLEGG	FILE

Cleveland, Ohio.
January 30, 1933.

MEMORANDUM FOR SPECIAL AGENT IN CHARGE:-

Reference is made to Bureau letter dated November 17, 1932, transmitting memorandum as to Interesting Identification #38, in which a traffic violator was identified as a murderer.

Please be advised that at about 1:15 P.M., December 4, 1929, Motorcycle Patrolman [redacted], one of the youngest members of the Motorcycle Squad of the Cleveland Police Department, saw a dilapidated junk wagon making a left turn against a red light at the intersection of 37th Street and Woodland Avenue, and immediately proceeded to overtake the vehicle. When he came abreast of the driver's seat he saw blood on the face of the shabby old man who was driving. The driver was ordered to pull to the curb, but the man appeared to be intoxicated to such an extent that no attention was paid to the officer and it was necessary for Patrolman [redacted] to speed ahead of the wagon, dismount, and catch the head of the horse. As the horse and wagon were brought to a stop, the driver toppled off of the seat and sprawled on the pavement. It appeared that the blood on the driver's face was the result of one or more previous falls from the wagon.

The driver was placed under arrest and taken to Central Police Station where he was booked as John Cocchione, 2356 East 28th Street. Further information on the blotter indicates that the prisoner gave his occupation as laborer and his nationality as Italian. He was charged with a violation of the City Traffic Ordinance and when arraigned on this charge the following day was sentenced to pay a fine of \$50.00 or serve thirty days in jail. Inasmuch as Cacchione was unable to pay the fine he was committed to the Workhouse for the period of the jail sentence.

Under conditions as they exist in Cleveland at the present time, the fingerprints of this prisoner would not have been taken by the Police Department since he was not arrested on a felony charge and was not suspected of being a fugitive. He would have been printed, however, after he had been received at the Workhouse, but in 1929 fingerprints of persons committed to the Workhouse were being taken by the City Police. Cacchione's prints were taken after his conviction on December 5th, under the supervision of [redacted], of the Bureau of Criminal Identification, one copy being forwarded to the Bureau. On December 11th a telegram was received from the Bureau advising that this man was an escaped prisoner from the Illinois State Prison. Records of the Police Department indicate that Cacchione was returned to the City Jail upon the completion of his sentence in the Workhouse and was there held as a fugitive from justice for the Illinois authorities.

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Inasmuch as the neighborhood in which Cacchione lived at the time of his arrest in Cleveland has since been occupied almost exclusively by negroes no one was located who could furnish any information as to how long Cacchione lived in Cleveland before he was apprehended as a traffic violator.

Special Agent

NDZ-ICM

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UNITED STATES BUREAU OF INVESTIGATION

I. I. #38

TRAFFIC VIOLATOR IDENTIFIED AS MURDERER

On December 4, 1929, John Cacchione, a sixty year old man, with gray hair, light blue eyes, and a kindly appearing countenance, was arrested at Cleveland, Ohio, for violating a traffic ordinance. He was fined \$50 and given 30 days in jail. Little did the Cleveland Police suspect this old man of having a previous criminal record, or realize that this gentle and respectable looking traffic violator might be a murderer. However, when the fingerprints of this individual were received in the United States Bureau of Investigation from the Cleveland Police, it was revealed by a comparison of these prints that Cacchione was an escape from the Illinois State Penitentiary, where he was serving a term for murder. The records revealed that in June, 1918, he was convicted in Cook County, Illinois, on a charge of murder, and sentenced to serve 15 years in the State Prison. In March, 1925, he made his escape and remained at large until arrested in Cleveland on the traffic charge and identified by his prints on file in the United States Bureau of Investigation at Washington, D. C.

Upon making the identification, the above Bureau immediately notified the Illinois and Cleveland authorities by telegraph, in order to insure Cacchione's return to the Illinois State Prison to complete his sentence. Information was later received from the Illinois State Prison to the effect that Cacchione had been returned to that institution and on January 13, 1932, had been discharged upon the expiration of his sentence.

*Chicago
Detroit*

JOHN EDGAR HOOVER
DIRECTOR

Division of Investigation

U. S. Department of Justice
Washington, D. C.

FIM:AMP.

November 26, 1934.

MEMORANDUM FOR MR. TOLSON.

Interesting Identification #38 has been reviewed under my personal supervision and the following changes have been made therein so that it will agree with the facts of record in the investigative file and the files of the Identification Unit.

In this case the original narrative indicates that the subject was "a sixty-year old man, with gray hair, light blue eyes, and a kindly appearing countenance" who was arrested at Cleveland, Ohio, for violating a traffic ordinance. It goes on further to say "Little did the Cleveland Police suspect this old man of having a previous criminal record or realize that this gentle and respectable looking traffic violator might be a murderer".

Investigation by the Detroit, Michigan, office of the Division reflects the following information with respect to this person:

On December 4, 1929, a Motorcycle Patrolman of the Cleveland Police Department saw a dilapidated junk wagon making a left turn against a red light. When he became abreast of the driver's seat he saw blood on the face of the shabby old gentleman who was driving. The driver was ordered to pull to the curb but appeared to be intoxicated to such an extent that no attention was paid to the officer and it was necessary for the officer to speed ahead of the wagon, dismount, and catch the head of the horse. After the horse and wagon were brought to a stop the driver toppled off the seat and sprawled on the pavement.

The revised copy of the Interesting Identification has been changed so as to accord with the facts as disclosed by the investigation in this case. Moreover, all reference to the United States Bureau of Investigation has been deleted and this has been changed to read Division of Investigation.

Respectfully,

RECORDED

DEC 13 1934

80-138-2
DIVISION OF INVESTIGATION
DEC 11 1934 A.M.
U. S. DEPARTMENT OF JUSTICE
FILE

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File 12/12/34
WHD

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McM

Mr. Tolson
Mr. Clegg
Mr. Glavin
Mr. Ladd
Mr. Nichols
Mr. Rosen
Mr. Tracy
Mr. Carson
Mr. Coffey
Mr. Hendon
Mr. Keith
Mr. Lester
Mr. Quinn
Mr. Schilder
Mr. Tamm
Mr. Tracy

FIM:ESS

I. I. #38-A

November 26, 1934

JOHN CACCHIONE
TRAFFIC VIOLATOR IDENTIFIED AS MURDERER

On December 4, 1929, Motorcycle Patrolman [] of the Cleveland, Ohio Police Department, observed a dilapidated junk wagon making a left turn against a red light at a street intersection in Cleveland and immediately proceeded to overtake the vehicle. When he became abreast of the driver's seat, he saw blood on the face of the shabby old man who was driving. The driver was ordered to pull to the curb but he appeared to be intoxicated to such an extent that no attention was paid to the officer and it was necessary for Patrolman [] to speed ahead of the wagon, dismount and catch the head of the horse. As the horse and wagon were brought to a stop, the driver toppled off the seat and sprawled on the pavement.

The driver was placed under arrest and booked at the police station as John Cacchione. He was fined \$50.00 and received a sentence of thirty days for violating a traffic ordinance.

The fingerprints of this individual were taken by officials of the Cleveland Police Department and were transmitted to the Division of Investigation, United States Department of Justice, where it was ascertained that this individual was an escape from the Illinois State Prison where he had been serving a term for murder. The records revealed that in June, 1918, he was convicted in Cook County, Illinois, on a charge of murder and sentenced to serve fifteen years in the State Prison. In March, 1925, he made his escape and remained at large until arrested in Cleveland on the traffic charge and identified by his fingerprints on file in the Identification Unit of the Division of Investigation.

Upon making the identification, the Division immediately notified the Illinois and Cleveland authorities by telegraph, in order to insure Cacchione's return to the Illinois State Prison to complete his sentence. Information was later received from the Illinois State Prison to the effect that Cacchione had been returned to that institution and on January 13, 1932, had been discharged upon the expiration of his sentence.

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Department of Justice

Bureau of Investigation

Washington, D. C.

February 26, 1930.

No. 38.

INTERESTING IDENTIFICATIONS.

On December 4, 1929, John Cacchione was arrested in Cleveland, Ohio, for violating a traffic ordinance. He was fined fifty dollars and given thirty days in jail. Little did the Cleveland police suspect this man of having a previous criminal record or realize that this traffic violator might be a murderer. However, when the fingerprints of this individual were received by the National Division of Identification and Information from the Cleveland police it was revealed by a comparison of the fingerprints that Cacchione was an escape from the Illinois State Penitentiary where he was serving time for murder. The records revealed that in June, 1918, he was convicted in Cook County, Illinois, on a charge of murder and sentenced to serve fifteen years in the state prison. He made his escape in March, 1925, and remained at large until arrested in Cleveland on the traffic charge and identified by the National fingerprint bureau in Washington, D.C.

Upon making the identification the Washington bureau immediately notified the Chicago and Cleveland authorities by wire in order to insure Cacchione's return to the Illinois State Prison to complete his sentence for the taking of a human life.

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JOHN EDGAR HOOVER
DIRECTOR

U. S. Department of Justice
Bureau of Investigation
Washington, D. C.

December 30, 1932.

MEMORANDUM FOR THE DIRECTOR

Reference is made to Interesting Identification Number Thirty Nine which was prepared by this Division on February 27, 1930, concerning FRANK RYAN. Attention is called to the fact that this interesting identification does not set forth the date that the latent fingerprints involved were identified. A careful examination of the fingerprint file of this subject discloses that this identification must have been made some time prior to March 5, 1922. In view thereof, it is apparent that this Bureau did not participate in making this identification and, accordingly, the Interesting Identification in question should be cancelled.

Respectfully,

L. C. Schilder

L. C. Schilder.

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INDEXED

JAN 9 - 1933

80-139-1	
BUREAU OF INVESTIGATION	
JAN 7 1933 A.M.	
DEPARTMENT OF JUSTICE	
NATHAN EGG	FILE

UNITED STATES BUREAU OF INVESTIGATION

I. I. #39 INTERESTING IDENTIFICATIONS

A burglar gained entrance into the home of a wealthy resident of Colorado Springs, Colorado, and looted the place of various valuable articles, including high priced rifles and guns. The only clue was some fingerprint impressions which the burglar had unconsciously left on the broken window pane. These latent impressions were photographed. It was then recalled that the ranch on which the house was located was formerly rented by the Colorado State Prison, and that one Frank Ryan, a prisoner at the Colorado State Prison had been paroled to this ranch and hence was familiar with the premises. The fingerprints of this former prisoner and the latent impressions taken from the scene of the crime were forwarded to the National Division of Identification and Information in Washington, D. C.. There a comparison was made and the prints were found to be identical. This information was furnished the Colorado authorities and when Ryan was confronted with this scientific proof he admitted his guilt; was convicted and sentenced. This closed the incident so far as the Colorado authorities were concerned but the National fingerprint bureau kept the prints on file as a future check on the activities of this criminal.

In January, 1929, the National Division of Identification and Information received from the police department of Vallejo, California, the fingerprints of a man who was under arrest there on a charge of burglary and who committed suicide by hanging himself in his cell. A search of the records revealed this man to be Frank Ryan, the Colorado burglar.

*Salt Lake
San Francisco*

K

U. S. Bureau of Investigation

Department of Justice

318 Hewes Building
San Francisco, California.

Mr. Nathan	
Mr. Clegg	✓
Mr. Glavin	
Mr. Ladd	
Mr. Nichols	
Mr. Rosen	
Mr. Tracy	
Mr. Egan	
Mr. Gurnea	
Mr. Harbo	
Mr. Hendon	
Mr. Jones	
Mr. Quinn	
Mr. Nease	
Mr. Gandy	

HRP/AP.

June 29, 1933.

Director,
United States Bureau of Investigation,
Washington, D.C.

Dear Sir:

With reference to Bureau letter dated November 18, 1932, transmitting certain memoranda concerning identifications made that might be of interest in the territory covered by the San Francisco Office, I am transmitting herewith a copy of a memorandum prepared in connection with Interesting Identification No. 39.

Very truly yours,

E. B. Montgomery
E. B. Montgomery, Acting
Special Agent in Charge.

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INDEXED

JUL 7 - 1933

80-139-2	
BUREAU OF INVESTIGATION	
JUL 5 1933 A.M.	
DIRECTOR	
OLEGG	TOLSON

318 Hewes Building
San Francisco, California.

HRP/AP.
32-9

June 28, 1933.

MEMORANDUM:

Re: INTERESTING IDENTIFICATION NO. 39.

In connection with the arrest of Frank Francis Ryan as Stewart Willis, by the Vallejo Police Department on January 5, 1929, the following interesting information was developed:

The Vallejo, California, Police Department had received numerous complaints from the Pacific Telephone & Telegraph Company, to the effect that various telephone pay stations, i.e., stations where coins are dropped in the box, were being robbed, and particularly the pay station located in the entrance to the telephone office itself. In view of the fact that the burglaries seemed to be concentrated on this particular box, the telephone was wired to the Police Department, so that whenever the coin box was opened, a bell would ring at the station headquarters.

On the night of January 5, 1933, a signal was received indicating that the box was being opened. Officers from the Vallejo Police Department rushed to the Pacific Telephone & Telegraph Building, several blocks away. When they arrived at the pay station, Ryan was just leaving the booth. He was taken into custody, and when searched at Police Headquarters, considerable money was found on his person, likewise was found a very complete assortment of home-made keys, and other instruments that could be used for opening boxes. According to information of the Police Authorities at Vallejo, the keys and instruments in the possession of Ryan, at the time of his arrest, would open any coin box in use on the Pacific Coast.

Ryan refused to talk, and, at the time he was booked, gave the name of Stewart Willis. After being fingerprinted at the Vallejo Police Department, Ryan got into communication with a young woman in that city, whom, it later developed, he was in the habit of calling on at regular intervals, and at which time he made the habit of picking the coin boxes in Vallejo. This young woman later advised the Police Department in Vallejo that when she called on Ryan at the Police Station, on the night of January 5, 1929, he made

86-139-2

the statement to her that the authorities would not return him to prison.

On the night of January 6, 1929, Ryan received his dinner at approximately 5:20 P.M. At 8 o'clock on the same night, the jailer of the Vallejo Police Department, in making his rounds, observed that Ryan had attempted to hang himself. He was immediately cut down and received medical attention, which attention failed to revive him. Ryan strangled himself, taking a blanket from off his cot, and fastened one end of the blanket around his neck, and the other end he made fast to one of the upper cell bars. The cell blocks contained double deck bunks, and Ryan had climbed to the top bunk, and with the blanket securely around his neck, had jumped off the bunk to the floor, strangling himself.

In view of the fingerprint method of identification, it became apparent to Ryan that his identity, as an escaped prisoner from the Colorado State Penitentiary, would sooner or later be ascertained, that is, as soon as the prints were cleared through the National Bureau of Identification. Further, in view of the California Habitual Criminal Act, Ryan could have received life imprisonment for the theft of the money from the Pacific Telephone & Telegraph Company, in view of the fact that Ryan had two previous arrests in the State of Colorado.

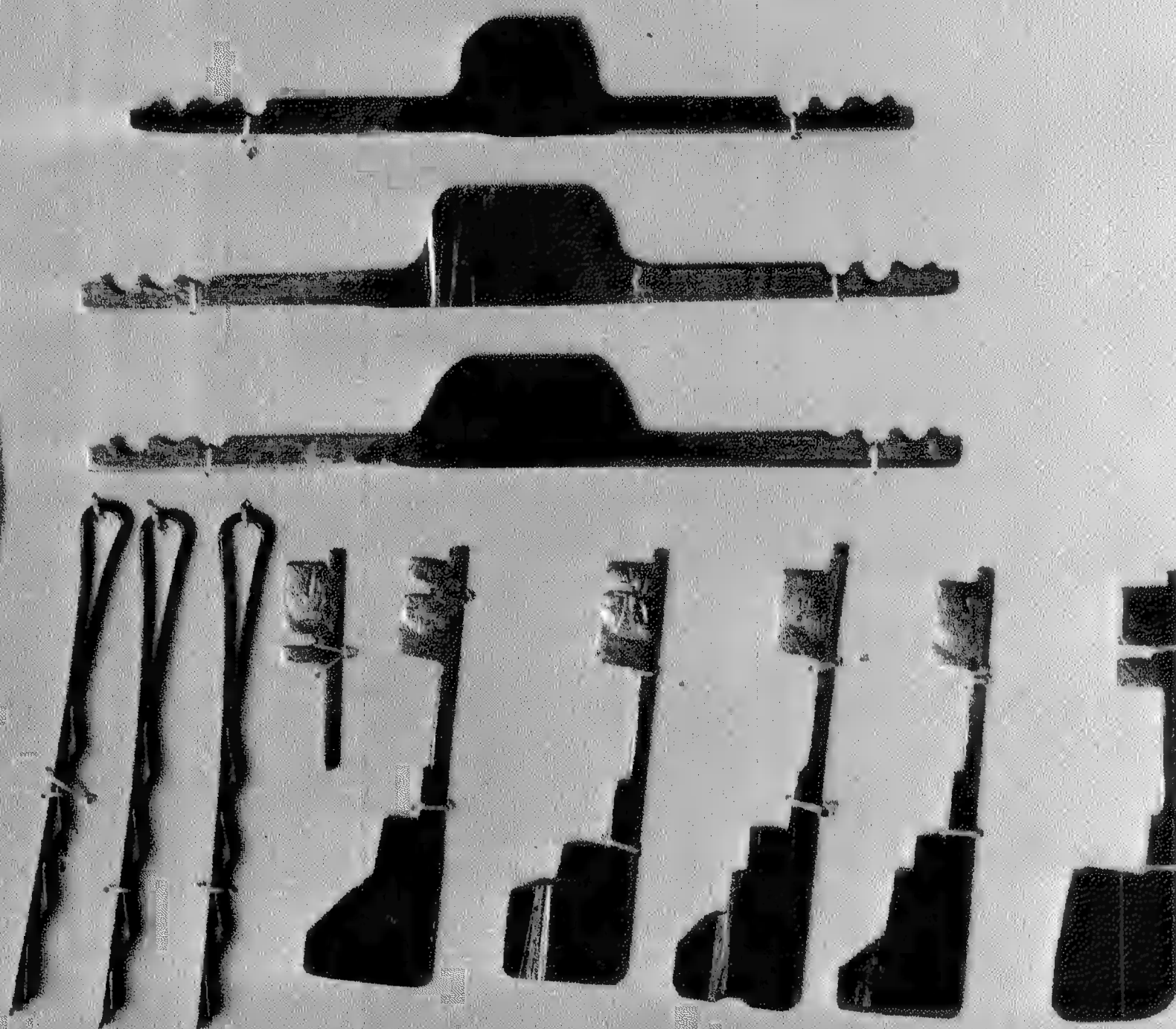
Attached to Bureau copy of this memorandum is a photograph showing the keys and instruments made by Ryan for the purpose of picking the lock boxes.

Special Agent

✓ c.c. Bureau

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Lock Picks found on Frank Francis Ryan, alias Stewart Willis, Frank F. Willis, January 5th, 1929 when he was arrested for picking locks on 50-K coin boxes in Vallejo. The 3 pieces shown in circle will open the locks. Willis had been arrested 6 times for burglary and was an escaped convict from Colorado Penitentiary. Hung himself in jail cell on night of January 6th, 1929 in Vallejo.



JOHN EDGAR HOOVER
DIRECTOR

Division of Investigation

U. S. Department of Justice

Washington, D. C.

FIM:MG

November 21, 1934.

MEMORANDUM FOR MR. TOLSON

Mr. Tolson
Mr. Clegg
Mr. Glavin
Mr. Ladd
Mr. Nichols
Mr. Rosen
Mr. Tracy
Mr. Carson
Mr. Coffey
Mr. Cowley
Mr. Edwards
Mr. Egan
Mr. Harbo
Mr. Keith
Mr. Lester
Mr. Quinn
Mr. Schilder
Mr. Tamm
Mr. Tracy

Reference is made to Interesting Identification #39 which was prepared by this Division on February 27, 1930, concerning FRANK RYAN. A thorough review of the files of the Identification Unit fails to disclose any data which will support the first paragraph of this Interesting Identification.

Attention is called to the fact that this Interesting Identification does not set forth the date that the latent fingerprints involved were identified. A careful examination of the fingerprint file of this subject discloses that this identification must have been made some time prior to March 5, 1922. In view thereof it is apparent that this Division did not participate in making this identification and accordingly the Interesting Identification in question should be cancelled.

Respectfully,



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cancelled 11/23/34
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RECORDED & INDEXED

DEC 14 1934

80-139-3	
DIVISION OF INVESTIGATION	
DEC 13 1934 A.M.	
U. S. DEPARTMENT OF JUSTICE	
FILE	FILE

Department of Justice

Bureau of Investigation

Washington, D. C.

February 27, 1930.

No. 39.

INTERESTING IDENTIFICATIONS.

A burglar gained entrance into the home of a wealthy resident of Colorado Springs, Colorado, and looted the place of various valuable articles, including high priced rifles and guns. The only clue was some fingerprint impressions which the burglar had unconsciously left on the broken window pane. These latent impressions were photographed. It was then recalled that the ranch on which the house was located was formerly rented by the Colorado State Prison, and that one Frank Ryan, a prisoner at the Colorado State Prison had been paroled to this ranch and hence was familiar with the premises. The fingerprints of this former prisoner and the latent impressions taken from the scene of the crime were forwarded to the National Division of Identification and Information in Washington, D. C. There a comparison was made and the prints were found to be identical. This information was furnished the Colorado authorities and when Ryan was confronted with this scientific proof he admitted his guilt; was convicted and sentenced. This closed the incident so far as the Colorado authorities were concerned but the National fingerprint bureau kept the prints on file as a future check on the activities of this criminal.

In January, 1929, the National Division of Identification and Information received from the police department of Vallejo, California, the fingerprints of a man who was under arrest there on a charge of burglary and who committed suicide by hanging himself in his cell. A search of the records revealed this man to be Frank Ryan, the Colorado burglar.

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U. S. Bureau of Investigation

Department of Justice

P. O. Box 696,
New Orleans, La.
February 21, 1933.

RW:cw.

Director,
United States Bureau of Investigation,
Washington, D. C.

Dear Sir:-

Reference is made to Bureau letter of November 17, 1932, requesting further information regarding certain Interesting Identification cases.

There are transmitted herewith copies of memorandum dated February 9, 1933, prepared by Special Agent [redacted], setting out available information concerning Interesting Identification #40, entitled "Fingerprints identify escaped prisoner".

Very truly yours,

R. Whitley

R. Whitley,
Special Agent in Charge.

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FEB 28 1933

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BUREAU OF INVESTIGATION	
FEB 23 1933 A.M.	
DEPARTMENT OF JUSTICE	
OLEGG	FILE

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Mobile, Ala., Feb. 9, 1933.

MEMORANDUM FOR SAC R. WHITLEY:

With reference to I. I. #40, New Orleans File 32-0-390, I was advised by Sheriff J. G. Moore, while recently at Evergreen, Ala., that, as a result of a report of an attempted burglary received from Greenville, Ala., officials, three men and a woman were picked up by his office; that the three men, [redacted], alias [redacted], and [redacted], and the woman, [redacted], alias [redacted], the latter being a young woman who claimed marriage to [redacted], were all surprised by the officers while they lay asleep under cover of one blanket near their parked car, which was located in the vicinity of Evergreen; that, at the time of the arrest, October 10, 1929, when the blanket was removed by the officers, two pistols were lying on the under blanket besides these parties, and they were all charged with having concealed weapons, since the Greenville officials did not attempt to make a case against them; further, that, according to information received from the Bureau, [redacted] was identified as [redacted], an escape from the Texas State Penitentiary, and was turned back to that institution on November 19, 1929, [redacted] and [redacted], having pled guilty to above charge on November 5, 1929, were fined \$500.00 and cost and 180 days hard labor additional, making a total sentence of 140 days for the unpaid fine, plus 180 days, plus \$42.90 cost, which was worked out at .75 per day, said sentence having been served at the Alabama State Prison Camp, Atmore, Ala.; that the girl, [redacted], was sent home to [redacted], residing at [redacted], the case against her having been nolprossed on November 23, 1929. Mr. Moore also advised that both [redacted] and [redacted] were ex-convicts from the Texas State Penitentiary, according to their criminal records, which were received from the Bureau at the same time information was received relative to [redacted]. Mr. Moore was unable to furnish any of the criminal records, stating that they had been misplaced.

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[redacted]
Special Agent.

80-140-1

JOHN EDGAR HOOVER
DIRECTOR

Division of Investigation

U. S. Department of Justice
Washington, D. C.

FIM:MG

November 28, 1934

MEMORANDUM FOR MR. TOLSON

Mr. Tolson
Mr. Clegg
Mr. Glavin
Mr. Ladd
Mr. Nichols
Mr. Rosen
Mr. Tracy
Mr. Carson
Mr. Coffey
Mr. Cowley
Mr. Edwards
Mr. Egan
Mr. Harbo
Mr. Keith
Mr. Lester
Mr. Quinn
Mr. Schilder
Mr. Tamm
Mr. Tracy

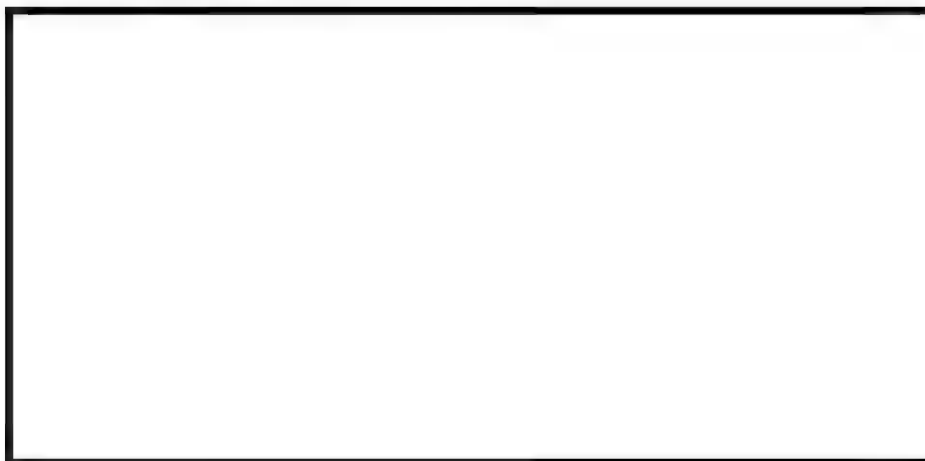
Interesting Identification #40 has been reviewed under my personal supervision and the following changes made therein:

References to the Bureau of Investigation have been changed to Division of Investigation.

Two arrests subsequent to that referred to in the Interesting Identification as originally prepared have been set out in the revised identification, namely, his sentence to the Texas State Penitentiary in December 1932 and his arrest at Sulphur Springs, Texas, in September 1934.

In other respects the Interesting Identification is found to accord with the facts of record as set out in the files of the Identification Unit.

Respectfully,



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File 12/12/34
with

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80-140-2
DIVISION OF INVESTIGATION
✓ DEC 11 1934 A.M.
U. S. DEPARTMENT OF JUSTICE
FOUR
FILE

Division of Investigation
U. S. Department of Justice
Washington, D. C.

I. I. #40-A

November 26, 1934.

FINGERPRINTS IDENTIFY ESCAPED PRISONER

On October 26, 1929, the Division of Investigation received, in its Identification Unit, from the Texas Prison System, at Huntsville, Texas, a set of fingerprint impressions, submitted on cardboard, by the Sheriff's Office at Evergreen, Alabama. The Huntsville institution forwarded these fingerprints to the Division with the statement that they had been searched in the files of the Texas Penitentiary, but no identification had been established.

When these fingerprints were examined by the technical experts engaged in the Identification Unit of the Division of Investigation, it was found that the individual represented thereby, who had given his name as [redacted] at Evergreen, Alabama, was [redacted], at that time an escapee from the Texas State Penitentiary. It will be noted that the Texas officials were not able to determine this fact prior to sending the prints of [redacted] alias [redacted] to the Division. Of additional interest, it was noted that [redacted] had escaped from the Texas institution, where he was serving two years for a theft, on October 14, 1929, and the notice of his escape was received in the Division of Investigation only seven days before the prints were received from the Sheriff at Evergreen, Alabama. The identification files of the Division of Investigation indicate that [redacted] alias [redacted] was taken into custody on November 21, 1929, for return to the Texas State Penitentiary.

It is interesting to observe that in November, 1931, this same person, as [redacted], was again committed to the State Penitentiary, Huntsville, Texas, to serve two years for the crime of burglary, and again in December 1932 was received at the same institution, to serve a term of two years for burglary. The latest information reflected by the files of the Identification Unit is that on September 27, 1934, he was arrested at Sulphur Springs, Texas, for burglary.

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30-140-2

UNITED STATES BUREAU OF INVESTIGATION

I. I. #40

FINGERPRINTS IDENTIFY ESCAPED PRISONER.

On October 26, 1929, the United States Bureau of Investigation received, in its Identification Division, from the Texas Prison System, at Huntsville, Texas, a set of fingerprint impressions, submitted on cardboard, by the Sheriff's Office at Evergreen, Alabama. The Huntsville institution forwarded these fingerprints to the Bureau with the statement that they had been searched in the files of the Texas Penitentiary, but no identification had been established.

When these fingerprints were examined by the technical experts engaged in the Identification Division of the United States Bureau of Investigation, it was found that the individual represented thereby, who had given his name as [redacted] at Evergreen, Alabama, was [redacted] [redacted], at that time an escapee from the Texas State Penitentiary. It will be noted that the Texas officials were not able to determine this fact prior to sending the prints of [redacted] alias [redacted] to the Bureau. Of additional interest, it was noted that [redacted] had escaped from the Texas institution, where he was serving two years for a theft, on October 14, 1929, and the notice of his escape was received in the United States Bureau of Investigation only seven days before the prints were received from the Sheriff at Evergreen, Alabama.

The identification files of the United States Bureau of Investigation indicate that [redacted] alias [redacted] was taken into custody on November 21, 1929, for return to the Texas State Prison. It is interesting to observe also that in November, 1931, this same person, as [redacted], was again committed to the State Penitentiary, Huntsville, Texas, to serve two years for the crime of burglary.

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Department of Justice

Bureau of Investigation

Washington, D. C.

February 28, 1930.

No. 40.

INTERESTING IDENTIFICATIONS.

On October 28, 1929, the National Division of Identification and Information received through the State Bureau of Identification, Huntsville, Texas, three sets of fingerprint impressions submitted on cardboard by the Sheriff's Office at Evergreen, Alabama. The Texas bureau forwarded these records with the statement that they had been searched through the files of the Texas penitentiary but no identification had been established; nor was there any wonder at this, for the impressions were smeared on cardboard in such a manner as to practically render unreadable the minute characteristics by which comparisons can be made. The technical experts in the National Division of Identification and Information, however, by careful searching were able to positively identify one of the subjects who had given his name as [redacted] at Evergreen, Alabama, as [redacted], who was at the time an escapee from the Texas State Penitentiary and wanted badly by that institution, although the authorities there were not able to identify the man before sending these prints to Washington. Of additional interest was the fact that [redacted] had only escaped from the Texas institution, where he was serving two years for theft, on October 14, 1929, and the notice of his escape was received in the National Division of Identification and Information on October 21, 1929, only seven days before the prints from the Sheriff of Evergreen, Alabama, arrived. The other two subjects, [redacted], and [redacted], were identified in Washington with [redacted], Dallas police No. [redacted], and [redacted], Leavenworth Penitentiary No. [redacted], respectively.

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UNITED STATES BUREAU OF INVESTIGATION

I. I. #40

FINGERPRINTS IDENTIFY ESCAPED PRISONER.

On October 26, 1929, the [United States Bureau of Investigation received, [In its Identification Division,] from the Texas Prison System, at Huntsville, Texas, a set of fingerprint impressions, submitted on cardboard, by the Sheriff's Office at Evergreen, Alabama. The Huntsville institution forwarded these fingerprints to the [Bureau] with the statement that they had been searched in the files of the Texas Penitentiary, but no identification had been established.

When these fingerprints were examined by the technical experts engaged in the [Identification Division of the United States Bureau of Investigation,] it was found that the individual represented thereby, who had given his name as [] at Evergreen, Alabama, was [] at that time an escape from the Texas State Penitentiary. It will be noted that the Texas officials were not able to determine this fact prior to sending the prints of [] alias [] to the [Bureau.] Of additional interest, it was noted that [] had escaped from the Texas institution, where he was serving two years for a theft, on October 14, 1929, and the notice of his escape was received in the [United States Bureau of Investigation] only seven days before the prints were received from the Sheriff at Evergreen, Alabama.

The identification files of the [United States Bureau of Investigation] indicate that [] alias [] was taken into custody on November 21, 1929, for return to the Texas State Prison. It is interesting to observe also that in November, 1931, this same person, as [], was again committed to the State Penitentiary, Huntsville, Texas, to serve two years for the crime of burglary.

and to

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*✓ New Orleans
San Antonio*

FEDERAL BUREAU OF INVESTIGATION
FOI/PA
DELETED PAGE INFORMATION SHEET
Civil Action# 07-3240

Total Deleted Page(s) = 15

- Page 5 ~ b6; b7C;
- Page 6 ~ b6; b7C;
- Page 7 ~ b6; b7C;
- Page 127 ~ Duplicate;
- Page 128 ~ Duplicate;
- Page 129 ~ Duplicate;
- Page 130 ~ Duplicate;
- Page 131 ~ Duplicate;
- Page 132 ~ Duplicate;
- Page 133 ~ Duplicate;
- Page 134 ~ Duplicate;
- Page 135 ~ Duplicate;
- Page 136 ~ Duplicate;
- Page 137 ~ Duplicate;
- Page 138 ~ Duplicate;

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X Deleted Page(s) X
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X For this Page X
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NOTE: Hand print names legibly; handwriting satisfactory for remainder.

Indices: ☐ Negative ☐ See below

Subject's name and aliases

Ray Brewer

Character of case

Labor Racketeering?

Complaint received

☐ Personal ☒ Telephonic Date 6-21-89 Time 12:40 pm.

Address of subject

Complainant's address and telephone number

Subject's Description

Race

Sex

Height

Hair

Build

Birth date and birthplace

Age

☐ Male

☐ Female

Weight

Eyes

Complexion

Social Security Number

Scars, marks or other data

Facts of complaint

C received allegations from

and

that subjects have been embezzling funds

from Local 695 International Alliance of Theatrical and Stage Employees, 11331 Ventura Blvd, #201, Studio City, CA. Brewer was the mgr. of the Union from 1979 to Oct 88 and continues to work part-time. In Oct 88 Brewer took \$114,000 from union trust funds as his retirement.

and went on a 4 month vacation

and hasn't been seen since. He is supposedly in the Caribbean. Many people know of and/or expect wrongdoing but there are 2,200 members and only about 700 jobs so most don't want to make waves because they'll be blackballed from working. C said

knows about Union from the beginning and may be willing to provide valuable information. Union must file a LM-2 financial form with the Dept of Labor and is able to notice problems on those forms. C has stack of LM-2's he would make available for review. C also told of questionable property sale. Property was purchased 1979, sold in 1982 for very small profit at significant loss than previous appraisal.

WCC-1

150B-LA-103431-1

JUN 22 1989

FBI - LOS ANGELES

BLOCK STAMP

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 7/21/89

[redacted]
[redacted] telephone [redacted] appeared at the Los Angeles Office of the FBI, and provided the following information regarding ROY BREWER [redacted] and [redacted] who are associated with Local 695, of the INTERNATIONAL ALLIANCE OF THEATRICAL AND STAGE EMPLOYEES (IATSE), 11331 Ventura Boulevard, #201, Studio City, California.

[redacted]
[redacted] explained that [redacted] is currently the [redacted] for Local 695, ROY BREWER is a current Assistant Business Representative (officially part time due to retirement), and [redacted] is the [redacted] of the local. [redacted] has reportedly been somewhere in the South Pacific (perhaps on an island called Ruratonga) for the past seven months. He supposedly walked away from lucrative jobs with two TV situation comedies, one of which is Golden Girls. BREWER is supposed to be a close personal friend of RONALD REAGAN, from the days of REAGAN's involvement with entertainment industry unions. [redacted] in 1986, initiated a civil suit of [redacted] in Federal Court, Los Angeles. This suit is funded by the Motion Picture Pension Fund, 11365 Ventura Boulevard, #300, Studio City, California, telephone (818) 769-0081. The suit alleges that [redacted] violated the civil RICO statutes due to [redacted] corporations, CATALINA being a "double breasted" company. [redacted] explained that [redacted] two corporations are unionized, but CATALINA is not. The term "double breasted" means dealing in union and non-union work at the same time.

According to [redacted] sued him because [redacted] refused a "sweetheart deal" proposed by [redacted] [redacted] offered to allow CATALINA to remain non-union in return for information from [redacted] on the non-union activities of other employers involved

Investigation on 7/20/89 at Los Angeles, California File # LA 156B-LA-103431-2
by SA [redacted] BAR Date dictated 7/20/89

156B-LA-103431

Continuation of FD-302 of [REDACTED], On 7/20/89, Page 2

[REDACTED] in editing and sound mixing [REDACTED]

[REDACTED] of [REDACTED] three corporations, has agreed to cooperate with [REDACTED] in exchange for certain concessions.

[REDACTED] he was put into contact with another Local 695 member [REDACTED] who possessed information that BREWER and [REDACTED] were involved in certain irregularities. [REDACTED] freely admitted that he brought this matter to the attention of the FBI in order to get back at [REDACTED] for initiating the suit against him. The other reason for getting involved was because he was less likely to be affected by union retribution than [REDACTED] whose jobs come solely from the union.

[REDACTED] stated that he and [REDACTED] made comparisons of financial statements, accounting reports, Labor Organization Annual Reports (LM-2), and statements from people associated with the union. From this investigation, they have identified two major areas of concern. One involves the purchase of two properties by the local, located at 2779 Cahuenga Boulevard, and 10911 Riverside Drive, Los Angeles. [REDACTED] claims that comparison of purchase documents and accounting statements with what was reported on the local's LM-2s are inconsistent. According to [REDACTED] has indicated that he is knowledgeable about the real estate irregularities.

He further explained that it appears that the Cahuenga Boulevard property was purchased by the Local in 1979, and sold in 1982 for a very small profit at significantly less than a previous appraisal.

156B-LA-103431

Continuation of FD-302 of [REDACTED], On 7/20/89, Page 3

The other major area of concern pertains to a so called "retirement fund" for BREWER. This Dryfus Liquid Asset Fund was opened in 1978 and closed in October, 1988, with about \$114,000 going directly to BREWER from the account upon his "so called retirement." The fund carried a Local 695 federal tax number, and local minutes show that BREWER asked the local to put union money into a trust fund in BREWER's name for retirement purposes. However, the Board of Directors never pass on it. Through a review of documents, [REDACTED] and [REDACTED] have determined that money was transferred directly from the local's bank account into BREWER's "retirement fund", thus causing a "comingling" of funds. Accounting/financial statements of the local show the \$114,000 as a retirement for BREWER however, the LM-2s show it to be a "general disbursement". [REDACTED] added that BREWER is not a signator on the Dryfus Fund.

[REDACTED] said the person who originally identified the irregularities between the financial statements and the LM-2s was the local's secretary MAUREEN MADERY deceased [REDACTED]

[REDACTED] is knowledgeable about this matter.

Others with knowledge about this matter and who may be willing to cooperate include [REDACTED]

[REDACTED] has also furnished information to SA [REDACTED]
[REDACTED] U.S. Department of Labor, 3660 Wilshire Boulevard, Los Angeles, California.

[REDACTED] provided three pages of personal notes and figures pertaining to this matter, attached hereto.

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 7/21/89

[redacted] telephone [redacted] and [redacted] appeared at the Los Angeles office of the FBI and furnished information and documentation pertaining to Local 695 of the INTERNATIONAL ALLIANCE OF THEATRICAL AND STATE EMPLOYEES (IATSE), 11331 Ventura Boulevard, #201, Studio City, California.

[redacted]

[redacted] assisted [redacted] in the review of financial documents and LM-2s pertaining to Local 695, and is knowledgeable about certain inconsistencies with the purchase of real estate by the local, and a "retirement fund" for ROY BREWER, Assistant Business Representative. From what [redacted] said, he has no information independent from [redacted] regarding these matters.

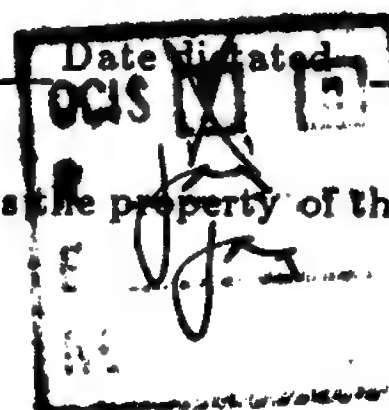
[redacted] provided copies of the following listed documents, attached hereto:

- 1) Labor Organization Annual Reports (LM-2) for the years 1978 through 1988.
- 2) IATSE Profit and Loss Statements for the years 1979, 1980, 1981, 1982, 1985, 1986, and 1988.
- 3) A Study in Immorality, by Father GEORGE H. DUNNE.
- 4) 1981 Comparison - LM-2 and 1981 CPA Report.
- 5) One page letter dated January 21, 1981, to Local 695 members, signed [redacted]

Investigation on 7/20/89 at Los Angeles, California File # LA 156B-LA-103432

by SA [redacted] BAR

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI to your agency; it and its contents are not to be distributed outside your agency.



156B-LA-103431

Continuation of FD-302 of , On 7/20/89, Page 2

6) ~~Seven page letter~~ dated May 22, 1982, to Local
695 members, signed

LM-2

1988

**MUST BE USED BY LABOR ORGANIZATIONS WITH \$100,000 OR MORE IN RECEIPTS
AND LABOR ORGANIZATIONS WHICH ARE UNDER TRUSTEESHIP**

Labor-Management Reporting and Disclosure Act of 1959, as amended
and
Title VII of the Civil Service Reform Act

This report is mandatory under P.L. 86-367 as amended. Failure to comply may result in criminal prosecution, fines or civil penalties as provided by 29 USC. secs. 849 or 448.

READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT. SUBMIT THIS REPORT IN DUPLICATE.

... PLEASE CAREFULLY BEFORE PREPARING THIS REPORT. PRINT THIS REPORT IN DUPLICATE.

JAMES OSBURN
STAGE & PICTURE OPERATORS AFL-CIO
LU 00695
11331 VENTURA BLVD SUITE 201
STUDIO CITY CA 91604

007-032
10C 6
1288
L2 M

1. FILE NUMBER
007032

2. Period covered
From 01 01 88
Thru 12 31 88

3. CITY, COUNTY AND STATE WHERE CHARTERED TO OPERATE:
CITY Studio City COUNTY Los Angeles STATE CA

4. NAME OF LABOR ORGANIZATION (as shown on charter, constitution, etc.)
See Item Number 22.

5. AFFILIATION
and Canada
I.A.T.S.E. & M.P.M.O. of U.S.

6. DESIGNATION (Local, Lodge, etc.)

7. DESIGNATION NUMBER

8. OFFICIAL MAILING ADDRESS (For mail to the organization):
(In care of) NAME OF PERSON
James A. Osburn
NUMBER AND STREET
11331 Ventura Blvd.
BLDG. AND ROOM NUMBER, IF ANY
Suite #201
CITY Studio City STATE CA ZIP CODE 91604

9. Are organization records kept at the official mailing address? ☐ Yes ☒ No
If "No," show address including ZIP Code in Item 22.

DURING THE REPORTING PERIOD DID YOUR ORGANIZATION DIRECTLY OR INDIRECTLY:

10. Have any accounts in banks or other financial institutions held in a name other than that of your organization? ☐ YES ☒ NO

11. Liquidate or reduce any liabilities without disbursement of cash? ☐ YES ☒ NO

12. Create or participate in the administration of any business enterprises or other organizations which met the definition of a "subsidiary organization" as that term is defined in the instructions on page 22. ☐ YES ☒ NO

13. Acquire any goods or property in any manner other than by purchase or dispose of any goods or property in any manner other than by sale? ☐ YES ☒ NO

14. Create or participate in the administration of a trust or other fund or organization, a primary purpose of which is to provide benefits for members or their beneficiaries, as defined by section 3(l) of the Act? ☐ YES ☒ NO

15. Discover any loss or shortage of funds or other property? ☐ YES ☒ NO
(If the answer to any of the above questions is "Yes," provide details in Item 22. See specific instructions for items answered "Yes.")

16. A. Was the labor organization insured by a fidelity bond during the reporting period? ☒ Yes ☐ No
B. If "Yes," enter the maximum amount recoverable for loss caused by any person \$ 100,000

17. Enter the date of your organization's next regular election of officers.
Month February Year 1989

AS OF THE END OF THE REPORTING PERIOD:

18. Were any assets pledged as security or encumbered in any other way? ☒ Yes ☐ No

19. Did your organization have any contingent liabilities? ☐ Yes ☒ No
(If the answer to item 18 or 19 is "Yes," provide details in Item 22.)

20. Did your organization have any changes in its constitution and bylaws (other than changed dues amounts) or in practices described in statements submitted with Form LM-1 or Form LM-1A since your organization filed Form LM-1 or most recently updated it by filing a Form LM-1A? ☐ Yes ☒ No
If "Yes," attach an updated Form LM-1A to this report, with required documents

21. List fees and dues required. (Complete each line. Enter "None" or "Not Applicable" as appropriate.)
(1) Initiation fee or fees required from new members \$ (A) If one rate applies, enter here (B) If more than one rate applies, enter here
Minimum Maximum
\$ 1,043 \$ 3,147
(2) Fees other than dues required from transfer members \$ 1,043 \$ 3,147
(3) Are work permits issued? ☐ Yes ☒ No
If "Yes," give fees required \$ per \$ per
(4) Regular dues or fees or other periodic payments required to remain a member of the reporting labor organization (per year, mo., etc.) \$ 72 per Qtr \$ 97 per Qtr

22. ADDITIONAL INFORMATION (If this is a terminal report, see Section XII of the instructions)

Item Number

4. International Sound Technicians, Cinetechnicians, Television Engineers, Studio Projectionists and Projection Technicians, Local 695, I.A.T.S.E. & M.P.M.O.

14. (a) Death Benefit Fund - a special account used for the purposes and objectives of a death benefit for projectionists members.
(b) Administers life insurance coverage for other members through Providence Life & Accident Insurance Co.

18. Deed of Trust, valued at \$252,639 and reported as mortgage investment pledged as security for \$100,000 loan.

(If more space is needed, attach additional sheets with further statement, properly identified.)

Each of the undersigned, duly authorized officers of the above labor organization, declares, under the applicable penalties of law, that all of the information submitted in this report (including the information contained in any accompanying documents) has been examined by the signatory and is, to the best of the undersigned's knowledge and belief, true, correct, and complete.

75. SIGNED: [Signature] PRESIDENT
at: Studio City, CA on: 3/31/89 Date
City State Telephone Number (Include Area Code)
(818) 985-9204

76. SIGNED: [Signature] TREASURER
at: Studio City, CA on: APR 14 1989 Date
City State Telephone Number (Include Area Code)
(818) 985-9204

*See section on "Penalties" in accompanying instructions.

FILL OUT SCHEDULES 1 THROUGH 14 BEFORE
FILLING OUT STATEMENTS A THROUGH C

ENTER AMOUNTS IN DOLLARS ONLY
STATEMENT A—ASSETS AND LIABILITIES

ASSETS		FROM SCH #	Start of Reporting Period (A)	cts	End of Reporting Period (B)	cts	LIABILITIES		FROM SCH #	Start of Reporting Period (C)	cts	End of Reporting Period (D)	cts
Item							Item						
23. (a) Cash on hand (Statement C, lines 1)			\$ 1,520	XX	\$ 1,520	XX	32. Accounts Payable			\$ 69,654	XX	\$ 90,987	XX
(b) Cash in banks (Statement C, lines 2)			281,048	XX	60,201	XX	33. Loans Payable	8		—	XX	—	XX
24. Accounts Receivable			180,748	XX	203,415	XX	34. Mortgages Payable			—	XX	—	XX
25. Loans Receivable	1		—	XX	—	XX	35. Other Liabilities	4		42,716	XX	147,890	XX
26. U.S. Treasury Securities			—	XX	—	XX	36. TOTAL LIABILITIES			\$ 112,370	XX	\$ 238,877	XX
27. Mortgage Investments			254,995	XX	252,639	XX	NET ASSETS						
28. Other Investments	2		166,794	XX	116,794	XX	37. Net Assets (Item 31 less Item 36)			\$ 847,283	XX	\$ 475,858	XX
29. Fixed Assets	5		54,679	XX	64,389	XX							
30. Other Assets	3		19,869	XX	15,777	XX							
31. TOTAL ASSETS			\$ 959,653	XX	\$ 714,735	XX							

STATEMENT B—RECEIPTS AND DISBURSEMENTS

CASH RECEIPTS		FROM SCH #	(A)	cts	CASH DISBURSEMENTS		FROM SCH #	(B)	cts
Item					Item				
38. Dues			\$ 902,364	XX	55. Per Capita Tax			\$ 199,296	XX
39. Per Capita Tax			—	XX	56. Fees, Fines, Assessments, etc.			—	XX
40. Fees			173,721	XX	57. To Affiliates of Funds Collected on Their Behalf			18,258	XX
41. Fines			14,567	XX	58. For Account of Affiliates			—	XX
42. Assessments			—	XX	59. To Officers:	9			
43. Work Permits			—	XX	(a) Gross			164,541	
44. On Behalf of Affiliates for Transmittal to Them			—	XX	(b) Less Deductions			30,171	
45. Sale of Supplies			—	XX	60. To Employees:	10			
46. Interest			50,703	XX	(a) Gross			550,232	
47. Dividends			12,541	XX	(b) Less Deductions			140,047	
48. Rents			—	XX	61. Office and Administrative Expense			204,761	XX
49. Loans Obtained	8		—	XX	62. Educational and Publicity Expense			750	XX
50. Sale of Investments and Fixed Assets	7		42,984	XX	63. Professional Fees			63,168	XX
51. Repayment of Loans Made	1		—	XX	64. Benefits	11		165,474	XX
52. From Members for Disbursement on Their Behalf			—	XX	65. Loans Made	1		—	XX
53. From Other Sources	13		102,439	XX	66. Contributions, Gifts and Grants	12		4,500	XX
54. TOTAL RECEIPTS (Items 38 through 53)			\$ 1,299,319	XX	67. Supplies for Resale			—	XX
					68. Purchase of Investments and Fixed Assets	6		34,059	XX
					69. Direct Taxes			44,872	XX
					70. Withholding Taxes			176,190	XX
					71. On Behalf of Individual Members			—	XX
					72. Repayment of Loans Obtained	8		—	XX
					73. For Other Purposes	14		64,279	XX
					74. TOTAL DISBURSEMENTS (Items 55 through 73)			\$ 1,520,166	XX

STATEMENT C—CASH

Cash at Start of Reporting Period		(A)	Cash at End of Reporting Period		(B)
1. Cash on Hand		\$ 1,520	1. Cash on Hand		\$ 1,520
2. Cash in Banks (Checking Accounts and Other Deposits)		281,048	2. Cash in Banks (Checking Accounts and Other Deposits)		60,201
3. Total of Lines 1 and 2		282,568	<div>↓</div>		
4. Total Receipts from Line 54		1,299,319			
5. Total of Lines 3 and 4		1,581,887			
6. Total Disbursements from Line 74		1,520,166	3. Total of Lines 1 and 2		\$ 61,721
7. Deduct Line 6 from Line 5		\$ 61,721			

If the amount entered in line 7, column (A) does not equal the amount entered in line 3, column (B), there is a discrepancy in your reporting. Correct the discrepancy or explain it in Item 22.

If more space is needed to list items in any of the schedules below, continue the list on additional sheets, using the same column headings used on the schedule and enter the totals on the line provided for additional listings on the schedule.

SCHEDULE 1—LOANS RECEIVABLE

List below outstanding loans to officers, employees, or members which at any time during the reporting period exceeded \$250 and list all loans, regardless of amount, made to business enterprises. (A)	Loans Outstanding at Start of the Period (B)	Loans Made During the Period (C)	Repayments Received During the Period		Balance at the End of the Period (E)
			Cash (D)(1)	Other Than Cash (D)(2)	
1. (Name) _____ (Purpose) _____ (Security) _____ (Terms of Repayment) _____	\$	\$	\$	\$	\$
2. (Name) _____ (Purpose) _____ (Security) _____ (Terms of Repayment) _____					
3. Totals from Additional Listings, if any.					
4. Totals of Loans not Listed above.					
5. Totals of Lines 1 through 4.	\$	\$	\$	\$	\$

Enter the Totals from Line 5 in Item 25, Column A. Item 65 Item 51 Item 22 with Explanation Item 25, Column B

SCHEDULE 2—INVESTMENTS OTHER THAN U.S. MORTGAGE INVESTMENT

Description (A)	Amount (B)
Marketable Securities:	
1. Total Cost	\$116,794
2. Total Book Value	116,794
3. Itemize each Marketable Security holding which is valued at over \$1000 and which is also greater than 20% of the amount given in Line 2.	
(a) Corporate Bonds	61,794
(b) Mutual Fund	55,000
(c)	
(d)	
Other Investments:	
4. Total Cost	
5. Total Book Value	
6. Itemize each Other Investment holding which is valued at over \$1000 and which is also greater than 20% of the amount given in Line 5. Also itemize each subsidiary for which separate reports are attached.	
(a)	
(b)	
(c)	
(d) Total from Additional Listings, if any	
7. Total of Lines 2 and 5.	\$116,794
Enter the Total from Line 7 in Item 28, Column B	

USURY SECURITIES AND

SCHEDULE 3—OTHER ASSETS

Description (A)	Book Value (B)
1. Security Investments	\$ 617
2. Prepaid Rent Deposit	3,841
3. Prepaid Expenses	5,821
4. Lapel pins in stock	283
5. Total from Additional Listings, if any	5,215
6. Total of Lines 1 through 5.	\$15,777
Enter the Total of Line 6 in Item 30, Column B	
SCHEDULE 4—OTHER LIABILITIES	
Description (A)	Amount at End of Period (B)
1. Loan secured by Trust Deed	\$100,000
2. Unearned initiation fees	39,129
3. Unearned I.A. process. fees	8,600
4. Payroll Taxes Payable	161
5.	
6.	
7.	
8. Total from Additional Listings, if any	
9. Total of Lines 1 through 8.	\$147,890
Enter the Total from Line 9 in Item 35, Column D	

SCHEDULE 5—FIXED ASSETS

Description (A)	Cost or Other Basis (B)	Total Depreciation (If any) or Amount Expensed (C)	Book Value (D)	Fair Market Value (E)
1. Land (Give Location):	\$		\$	\$
2. Totals from Additional Listings, if any				
3. Buildings (Give Location):		\$		
4. Totals from Additional Listings, if any				
5. Automotive Equipment				
6. Office Furniture and Equipment	155,773	110,187	45,586	45,586
7. Other Fixed Assets	50,676	31,873	18,803	18,803
8. Totals of Lines 1 through 7.	\$206,449	\$ 142,060	\$ 64,389	\$ 64,389
Enter the Total from Line 8, column D in Item 29, Column B				

SCHEDULE 6—PURCHASE OF INVESTMENTS AND FIXED ASSETS

Description of Assets (If land or buildings, give location) (A)	Cost (B)	Book Value (C)	Cash Paid (D)
1. Leasehold Improvements	\$ 19,215	\$ 14,755	\$ 19,215
2. Furniture & Fixtures : Phones	3,195	2,794	3,195
3. Wall Cabinet	920	799	920
4. Desks	2,682	2,330	2,682
Totals from Additional Listings, if any	8,047	7,309	8,047
Totals of Lines 1 through 5.	\$ 34,059	\$ 27,687	\$ 34,059
Enter the Total from Line 6, Column D in Item 68			

Assets Traded In on Assets Purchased.

Description of Asset Traded In (A)	Cost (B)	Book Value (C)	Trade In Allowance (D)
(a)	\$	\$	\$
(b)			

SCHEDULE 7—SALE OF INVESTMENTS AND FIXED ASSETS

Description of Assets Sold (If land or building, give location) (A)	Cost (B)	Book Value (C)	Gross Sales Price (D)	Amount Received (E)
1. Corporate Bonds	\$ 50,000	\$ 50,000	\$42,984	\$42,984
2.				
3.				
4. Total from Additional Listings, if any				
5. Totals of Lines 1 through 4.	\$ 50,000	\$ 50,000	\$42,984	\$42,984
Enter the Total from Line 5, Column E in Item 50				

SCHEDULE 8—LOANS PAYABLE

Source of Loans Payable at any Time During the Reporting Period (A)	Terms for Repayment (B)	Balance at Start of Period (C)	Loans Obtained During Period (D)	Repayment of Loans During Period		Balance at End of Period (F)
				Cash (E)(1)	Other Than Cash (E)(2)	
1.		\$	\$	\$	\$	\$
2.						
3.						
4.						
5. Total from Additional Listings, if any						
6. Totals of Lines 1 through 5		\$	\$	\$	\$	\$
Enter the Totals from Line 6 in		Item 33, Column C	Item 49	Item 72	Item 22 with Explanation	Item 33, Column D

ENTER AMOUNTS IN DOLLARS ONLY

SCHEDULE 9—LIST OF ALL OFFICERS AND DISBURSEMENTS TO OFFICERS

1. 2. 3. 4. 5. 6. 7. 8. 9. 10. 11.	Name (Important: List all persons who held office during the period) (A)	Title (B)	Status (C)	Gross Salary (before taxes and other deductions) (D)	Allowances (E)	Other Direct and Indirect Disbursements		Total (H)
						Expenses Including Reimbursed Expenses (F)	Other Disbursements (G)	
1.			C	\$ 77,922	\$ 2,600	\$ 5,038		\$ 85,560
2.			C			1,000		1,000
3.			C			1,000		1,000
4.			N	1,568		1,000		2,568
5.			C			1,000		1,000
6.			C			1,000		1,000
7.			C			1,000		1,000
8.			N			1,000		1,000
9.			N			1,000		1,000
10.				61,740		7,673		69,413
11.	Totals of Lines 1 through 10.			\$ 141,230	\$ 2,600	\$ 20,711		\$ 164,541

Code for Column C, "Status": past officer—P; continuing officer—C; new officer during the reporting period—N

Enter the Total of Line 11, Column H in

Item 52(a)

NOTE: If any officer was not elected at a regular election in accordance with the provisions of the constitution of the labor organization, enter "N" in Column C.

SCHEDULE 10—DISBURSEMENTS TO EMPLOYEES

List below all employees who received more than \$10,000 in gross salary, allowances, and other direct and indirect disbursements from this labor organization and any affiliate. (A) Position (B)		Name of Affiliated Organization (if applicable) (C)	Gross Salary (before taxes and other deductions) (D)	Allowances (E)	Other Direct and Indirect Disbursements		Total (H)
					Expenses Including Reimbursed Expenses (F)	Other Disbursements (G)	
1.			\$ 56,144		\$ 1,350		\$ 57,494
2.			18,214		95		18,309
3.	R. Brewer	Rep.	52,198		1,024	114,225	167,447
4.			30,107				30,107
5.			58,012	3,675			61,687
6.	Total from Additional Listings, if any		195,198		4,133		199,331
7.	Total for all employees who, during the reporting period, received \$10,000 or less gross salary, allowances, and other direct and indirect disbursements.		13,957	900	1,000		15,857
8.	Totals of Lines 1 through 7.		\$ 423,830	\$ 4,575	\$ 7,602		\$ 550,232

Enter the Total of Line 8, Column H in

Item 60(a)

SCHEDULE 11—BENEFITS

Type of Benefit (A)	To Whom Paid (B)	Amount (C)
1. Members Life Ins.	Providence	61,263
2. Hospitalization	M.P. Hew	
3. & Med.	Fund	42,119
4. Pension Benefits	M.P. Indus.	
5.	Pension Fund	35,533
6. Death Benefit Fund	Union	
7.	Members	26,559
8.		
9.		
10. Total from Additional Listings, if any		
11. Total of Lines 1 through 10.		\$ 165,474

Enter the Total from Line 11 in

Item 64

SCHEDULE 12—CONTRIBUTIONS, GIFTS AND GRANTS

Type (A)	Amount (B)
1. Calif, Labor Fed. - OSHA	\$2,000
2. Will Rogers Memorial	1,300
3. Motion Picture & TV Fund	1,100
4. Motion Picture & TV Retirees	
5. Fund	100
6.	
7.	
8.	
9.	
10. Total from Additional Listings, if any	
11. Total of Lines 1 through 10	\$4,500

Enter the Total from Line 11 in

Item 66

SCHEDULE 13—OTHER RECEIPTS

Other Sources (A)	Amount (B)
1. Lapel pins	\$ 83
2. Repayment of mortgage	
3. investment made (Bazoian)	2,356
4. Loan secured by Trust Deed	100,000
5.	
6.	
7.	
8.	
9.	
10. Total from Additional Listings, if any	
11. Total of Lines 1 through 10.	102,439

Enter the Total from Line 11 in

Item 53

SCHEDULE 14—OTHER DISBURSEMENTS

Other Purposes (A)	Amount (B)
1. Awards expense	\$ 355
2. Books purchased	165
3. Members training expense	46,510
4. Interest paid on note	
5. secured by deed of trust	4,750
6. Negotiating expense	9,763
7. Organizing companies	2,304
8. Seminars	432
9.	
10. Total from Additional Listings, if any	
11. Total of Lines 1 through 10.	\$ 64,279

Enter the Total from Line 11 in

Item 73

LOCAL 695

SCHEDULE 9 - LIST OF ALL OFFICERS AND DISBURSEMENTS TO OFFICERS (Continued)

b6
b7c

Name	Title	Status	Gross Salary	Allowances	Expenses	Other Disb.	Total
(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)
		C	\$	\$	\$1,000	\$	\$ 1,000
		C			1,000		1,000
		N			1,000		1,000
		N			1,000		1,000
		C			1,000		1,000
		C	61,740		1,673		63,413
		P			1,000		1,000
					\$61,740	\$	\$7,673

LOCAL 695

SCHEDULE 10 - DISBURSEMENTS TO EMPLOYEES (Continued)

Name	Position	Affiliated Organization	Gross Salary	Allowances	Expenses	Other Disb.	Total
			\$ 31,665	\$	\$ 198	\$	\$ 31,863
			25,367		47		25,414
			24,505		1,000		25,505
			28,767				28,767
			59,582		2,888		62,470
			25,312				25,312
			\$195,198	\$	\$4,133	\$	\$199,331

FOR ORGANIZATION ANNUAL REPORT
FORM LM-2

Form approved
Office of Management & Budget
No. 1210-0001

MUST BE USED BY LABOR ORGANIZATIONS WITH \$100,000 OR MORE IN RECEIPTS
AND LABOR ORGANIZATIONS WHICH ARE UNDER TRUSTEESHIP

Labor-Management Reporting and Disclosure Act of 1959, as amended
and

CONSTITUTION, BYLAWS and Title VII of the Civil Service Reform Act

This report is mandatory under 29 U.S.C. 257, as amended. Failure to comply may result in criminal prosecution, fines, and civil penalties as provided by 29 U.S.C. sec. 209

READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT. SUBMIT THIS REPORT IN DUPLICATE.

JAMES OSBURN
STAGE & PICTURE OPERATORS AFL-CIO
LU 00695
11331 VENTURA BLVD, SUITE 201
STUDIO CITY, CA 91604

007-032
12A 6

1. FILE NUMBER
1007032

6

2. Period covered
From MO DAY YR
01 01 87
Thru 12 31 87

3. CITY, COUNTY AND STATE WHERE CHARTERED TO OPERATE:

CITY STUDIO CITY COUNTY LOS ANGELES STATE CA

4. NAME OF LABOR ORGANIZATION (as shown on charter, constitution, etc.)
INTERNATIONAL SOUND TECHNICIANS, CINETECHNICIANS
& TELEVISION ENGINEERS OF THE MOTION PICTURE &
TELEVISION INDUSTRIES LOCAL 695, I.A.T.S.E.

5. OFFICIAL MAILING ADDRESS (For mail to the organization):

(In care of) NAME OF PERSON
JAMES A. OSBURN

5. AFFILIATION & M.P.M.O. OF US & CANADA
I.A.T.S.E. & M.P.M.O. OF US & CANADA

NUMBER AND STREET
11331 VENTURA BLVD.

6. DESIGNATION (Local, Lodge, etc.)
LOCAL

7. DESIGNATION NUMBER
695

BLDG. AND ROOM NUMBER, IF ANY
STUDIO 201

9. Are organization records kept at the official mailing address? ☒ Yes ☐ No
If "No," show address including ZIP Code in Item 22.

CITY STUDIO CITY, CA STATE ZIP CODE
91604

DURING THE REPORTING PERIOD DID YOUR ORGANIZATION DIRECTLY
OR INDIRECTLY:

YES NO

10. Have any accounts in banks or other financial institutions held
in a name other than that of your organization? ☐ Yes ☒ No

11. Liquidate or reduce any liabilities without disbursement of cash? ☐ Yes ☒ No

12. Create or participate in the administration of any business enter-
prises or other organizations which meet the definition of a "sub-
sidiary organization" as that term is defined in the instructions
on page 2? ☐ Yes ☒ No

13. Acquire any goods or property in any manner other than by pur-
chase or dispose of any goods or property in any manner other
than by sale? ☐ Yes ☒ No

14. Create or participate in the administration of a trust or other
fund or organization, a primary purpose of which is to provide
benefits for members or their beneficiaries, as defined by sec-
tion 3(l) of the Act? ☒ Yes ☐ No

15. Discover any loss or shortage of funds or other property? ☒ Yes ☐ No

(If the answer to any of the above questions is "Yes," provide details in
Item 22. See specific instructions for items answered "Yes.")

16. A. Was the labor organization insured by a fidelity bond
during the reporting period? ☒ Yes ☐ No
B. If "Yes," enter the maximum amount recoverable
for loss caused by any person \$ 100,000

17. Enter the date of your organization's next regular election of officers.

Month FEBRUARY Year 1988

AS OF THE END OF THE REPORTING PERIOD:

18. Were any assets pledged as security or encumbered
in any other way? ☐ Yes ☒ No

19. Did your organization have any contingent liabilities? ☐ Yes ☒ No

(If the answer to Item 18 or 19 is "Yes," provide details in Item 22.)

20. Did your organization have any changes in its constitution and bylaws (other
than changed dues amounts) or in practices described in statements sub-
mitted with Form LM-1 or Form LM-1A since your organization filed Form
LM-1 or most recently updated it by filing a Form LM-1A? ☐ Yes ☒ No
If "Yes," attach an updated Form LM-1A to this report, with required
documents.

21. List fees and dues required. (Complete each line. Enter "None" or "Not
Applicable" as appropriate.)

(1) Initiation fee or fees required from new members \$

(2) Fees other than dues required from transfer members \$

(3) Are work permits issued? ☐ Yes ☒ No
If "Yes," give fees required \$ per

(4) Regular dues or fees or other periodic payments required to remain
a member of the reporting labor organization (per year, mo., etc.) \$ per

(A) If one rate applies, enter here

(B) If more than one rate applies, enter here

Minimum Maximum
\$ 1,043 \$ 3,147

\$ 1,043 \$ 3,147

\$ 72 per OTR \$ 97 per OTR

22. ADDITIONAL INFORMATION (If this is a terminal report, see Section XII of the instructions.)

Item Number

14

ADMINISTERS LIFE INSURANCE COVERAGE OF MEMBERS THROUGH
TRANSAMERICA OCCIDENTAL LIFE INSURANCE CO.

(If more space is needed, attach additional sheets with further statement, properly identified.)

Each of the undersigned, duly authorized officers of the above labor organization, declares, under the applicable penalties of law, that all of the information sub-
mitted in this report (including the information contained in any accompanying documents) has been examined by the signatory and is, to the best of the under-
signed's knowledge and belief, true, correct, and complete.

75. SIGNED: James A. Osburn PRESIDENT

(If other title, cross
out and write in
correct title above.
Explain in Item 22.)

at: STUDIO CITY CA on: 818/985-9204
City State Date

Telephone Number (Include Area Code)

76. SIGNED: James A. Osburn Bus. Rep./Executive Director

(If other title, cross
out and write in
correct title above.
Explain in Item 22.)

at: STUDIO CITY CA on: (818) 985-9204
City State Date

Telephone Number (Include Area Code)

*See section on "Penalties" in accompanying instructions.

ENTER AMOUNTS IN DOLLARS ONLY

STATEMENT A—ASSETS AND LIABILITIES

Item	ASSETS	FROM SCH #	Start of Reporting Period (A)		End of Reporting Period (B)		Item	LIABILITIES	FROM SCH #	Start of Reporting Period (C)		End of Reporting Period (D)	
			cts		cts					cts		cts	
23	(a) Cash on hand (Statement C, lines 1)		\$ 1,470	XX	\$ 1,470	XX	32	Accounts Payable		\$ 48,698	XX	\$ 67,135	XX
	(b) Cash in banks (Statement C, lines 2)		191,175	XX	196,152	XX	33	Loans Payable	8	NONE	XX	NONE	XX
24	Accounts Receivable		125,632	XX	174,627	XX	34	Mortgages Payable		NONE	XX	NONE	XX
25	Loans Receivable	1	NONE	XX	NONE	XX	35	Other Liabilities	4	42,080	XX	42,386	XX
26	U.S. Treasury Securities		NONE	XX	NONE	XX	36	TOTAL LIABILITIES		\$ 90,778	XX	109,521	XX
27	Mortgage Investments		257,089	XX	254,995	XX		NET ASSETS					
28	Other Investments	2	NONE	XX	NONE	XX							
29	Fixed Assets	5	47,967	XX	45,311	XX	37	Net Assets (Item 31 less Item 36)		\$ 552,947	XX	582,663	XX
30	Other Assets	3	20,392	XX	19,629	XX							
31	TOTAL ASSETS		\$ 643,725	XX	\$ 692,184	XX							

STATEMENT B—RECEIPTS AND DISBURSEMENTS

Item	CASH RECEIPTS	FROM SCH #	(A)		Item	CASH DISBURSEMENTS	FROM SCH #	(B)	
			cts					cts	
38	Dues		\$ 828,450	XX	45	Per Capita Tax		\$ 177,777	XX
39	Per Capita Tax		NONE	XX	56	Fees, Fines, Assessments, etc.		NONE	XX
40	Fees		160,449	XX	57	To Affiliates of Funds Collected on Their Behalf		19,704	XX
41	Fines		14,313	XX	58	For Account of Affiliates		NONE	XX
42	Assessments		60	XX	59	To Officers:	9		
43	Work Permits		NONE	XX		(a) Gross		82,057	
44	On Behalf of Affiliates for Transmittal to Them		NONE	XX		(b) Less Deductions		25,312	
45	Sale of Supplies		50	XX	60	To Employees:	10		
46	Interest		52,369	XX		(a) Gross		382,862	
47	Dividends		19	XX		(b) Less Deductions		119,216	
48	Rents		NONE	XX	61	Office and Administrative Expense		164,039	XX
49	Loans Obtained	8	NONE	XX	62	Educational and Publicity Expense		NONE	XX
50	Sale of Investments and Fixed Assets	7	288	XX	63	Professional Fees		46,048	XX
51	Repayment of Loans Made	1	NONE	XX	64	Benefits	11	103,198	XX
52	From Members for Disbursement on Their Behalf		NONE	XX	65	Loans Made	1	NONE	XX
53	From Other Sources	13	13,321	XX	66	Contributions, Gifts and Grants	12	2,330	XX
54	TOTAL RECEIPTS (Items 38 through 53)	13	\$ 1,069,319	XX	67	Supplies for Resale		NONE	XX
					68	Purchase of Investments and Fixed Assets	6	10,544	XX
					69	Direct Taxes		32,898	XX
					70	Withholding Taxes		139,274	XX
					71	On Behalf of Individual Members		NONE	XX
					72	Repayment of Loans Obtained	6	NONE	XX
					73	For Other Purposes	14	48,109	XX
					74	TOTAL DISBURSEMENTS (Items 55 through 73)		\$ 1,064,312	XX

STATEMENT C—CASH

Cash at Start of Reporting Period		(A)	Cash at End of Reporting Period		(B)
1. Cash on Hand		\$ 1,470	1. Cash on Hand		\$ 1,470
2. Cash in Banks (Checking Accounts and Other Deposits)		191,175	2. Cash in Banks (Checking Accounts and Other Deposits)		197,622
3. Total of Lines 1 and 2		192,615			
4. Total Receipts from Line 54		1,069,319			
5. Total of Lines 3 and 4		1,261,934			
6. Total Disbursements from Line 74		1,064,312			
7. Deduct Line 6 from Line 5		\$ 197,622	3. Total of Lines 1 and 2		\$ 197,622

If the amount entered in line 7, column (A) does not equal the amount entered in line 3, column (B), there is a discrepancy in your reporting. Correct the discrepancy or explain it in Item 22.

If more space is needed to list items in any of the schedules below, continue the list on additional sheets, using the same column headings used on the schedule and enter the totals on the line provided for additional listings on the schedule.

SCHEDULE 1—LOANS RECEIVABLE

List below outstanding loans to officers, employees, or members which at any time during the reporting period exceeded \$250 and list all loans, regardless of amount, made to business enterprises. (A)	Loans Outstanding at Start of the Period (B)	Loans Made During the Period (C)	Repayments Received During the Period		Balance at the End of the Period (E)
			Cash (D)(1)	Other Than Cash (D)(2)	
1. (Name) _____ (Purpose) _____ (Security) _____ (Terms of Repayment) _____	\$	\$	\$	\$	\$
2. (Name) _____ (Purpose) _____ (Security) _____ (Terms of Repayment) _____					
3. Totals from Additional Listings, if any.					
4. Totals of Loans not Listed above.					
5. Totals of Lines 1 through 4.	\$	\$	\$	\$	\$

Enter the Totals from Line 5 in Item 25, Column A. Item 65. Item 51. Item 22, with Explanation. Item 25, Column B.

SCHEDULE 2--INVESTMENTS OTHER THAN U.S. TREASURY SECURITIES AND MORTGAGE INVESTMENTS

Description (A)	Amount (B)
Marketable Securities:	
1. Total Cost	\$
2. Total Book Value	
3. Itemize each Marketable Security holding which is valued at over \$1000 and which is also greater than 20% of the amount given in Line 2.	
(a)	
(b)	
(c)	
(d)	
Other Investments:	
4. Total Cost	
5. Total Book Value	
6. Itemize each Other Investment holding which is valued at over \$1000 and which is also greater than 20% of the amount given in Line 5. Also itemize each subsidiary for which separate reports are attached.	
(a)	
(b)	
(c)	
(d) Total from Additional Listings, if any	
7. Total of Lines 2 and 5.	\$
Enter the Total from Line 7 in Item 28, Column B	

SCHEDULE 3--OTHER ASSETS

Description (A)	Book Value (B)
1. SECURITY INVESTMENTS	\$ 61
2. LAPEL PINS IN STOCK	81
3. PREPAID EXPENSES & RENT DEP.	14,850
4. I.A. PROCESSING FEE	3,350
5. Total from Additional Listings, if any	NONE
6. Total of Lines 1 through 5.	\$ 19,620
Enter the Total of Line 6 in Item 30, Column	

SCHEDULE 4--OTHER LIABILITIES

Description (A)	Amount at End of Period (B)
1. UNEARNED INITIATION FEES	\$ 36,211
2. UNEARNED I.A. PROCESSING FEES	6,225
3. PAYROLL TAXES PAYABLE	(50)
4.	
5.	
6.	
7.	
8. Total from Additional Listings, if any	
9. Total of Lines 1 through 8.	\$ 42,386
Enter the Total from Line 9 in Item 35, Column	

SCHEDULE 5--FIXED ASSETS

Description (A)	Cost or Other Basis (B)	Total Depreciation (If any) or Amount Expensed (C)	Book Value (D)	Fair Market Value (E)
1. Land (Give Location):	\$		\$	\$
2. Totals from Additional Listings, if any				
3. Buildings (Give Location):		\$		
4. Totals from Additional Listings, if any				
5. Automotive Equipment				
6. Office Furniture and Equipment	104,687	64,876	39,811	39,811
7. Other Fixed Assets	31,462	25,962	5,500	5,500
8. Totals of Lines 1 through 7.	\$ 136,149	\$ 90,838	\$ 45,311	\$ 45,311
Enter the Total from Line 8, column D in		Item 29, Column B		

SCHEDULE 6--PURCHASE OF INVESTMENTS AND FIXED ASSETS

Description of Assets (If land or buildings, give location) (A)	Cost (B)	Book Value (C)	Cash Paid (D)
1. EQUIPMENT--GESTEINER COPIER	\$ 1,630	\$ 1,478	\$ 1,630
2. LEASEHOLD IMPROVEMENT - ELECTRICAL	400	330	400
3. TYPEWRITER	1,145	973	1,145
4. DESKS	4,340	3,956	4,340
5. Totals from Additional Listings, if any	3,029	2,983	3,029
6. Totals of Lines 1 through 5.	\$ 10,544	\$ 9,720	\$ 10,544
Enter the Total from Line 6, Column D in		Item 68	

7. Assets Traded In on Assets Purchased:	Cost (B)	Book Value (C)	Trade-In Allowance (D)
Description of Asset Traded In (A)			
(a)	\$	\$	\$
(b)			

SCHEDULE 7--SALE OF INVESTMENTS AND FIXED ASSETS

Description of Assets Sold (If land or building, give location) (A)	Cost (B)	Book Value (C)	Gross Sales Price (D)	Amount Received (E)
1. TAFT BROADCASTING	\$ 0	\$ 0	\$ 288	\$ 288
2.				
3.				
4. Total from Additional Listings, if any				
5. Totals of Lines 1 through 4.	\$ 0	\$ 0	\$ 288	\$ 288
Enter the Total from Line 5, Column E in		Item 30		

SCHEDULE 8--LOANS PAYABLE

Source of Loans Payable at any Time During the Reporting Period (A)	Terms for Repayment (B)	Balance at Start of Period (C)	Loans Obtained During Period (D)	Repayment of Loans During Period		Balance at End of Period (F)
				Cash (E(1))	Other Than Cash (E(2))	
1.		\$	\$	\$	\$	\$
2.						
3.						
4.						
5. Total from Additional Listings, if any						
6. Totals of Lines 1 through 5.						
Enter the Total from Line 6 in		Item 33, Column C	Item 33, Column D	Item 33, Column E(1)	Item 33, Column E(2)	Item 33, Column F

ENTER AMOUNTS IN DOLLARS ONLY

SCHEDULE 9—LIST OF ALL OFFICERS AND DISBURSEMENTS TO OFFICERS

Name (Important: List all persons who held office during the period)	Title	Status (C)	Gross Salary (before taxes and other deductions) (D)	Allowances (E)	Other Direct and Indirect Disbursements		Total (H)
					Expenses Including Reimbursed Expenses (F)	Other Disbursements (G)	
		C	\$ 78,345	\$ 2,600	\$ 1,112	\$	\$ 82,057
3.							
4.							
5.							
6.							
7.							
8.							
9.							
10.	Total from Additional Listings, if any.						
11.	Totals of Lines 1 through 10.		\$ 78,345	\$ 2,600	\$ 1,112	\$	\$ 82,057

Code for Column C, "Status": past officer—P; continuing officer—C; new officer during this reporting period—N.
Enter the Total of Line 11, Column H in

NOTE: If any officer was not elected at a regular election in accordance with the constitution and bylaws, explain in Item 22. Item 59(a)

SCHEDULE 10—DISBURSEMENTS TO EMPLOYEES

List below all employees who received more than \$10,000 in gross salary, allowances, and other direct and indirect disbursements from this labor organization and any affiliate.

Name (A)	Position (B)	Name of Affiliated Organization (if applicable) (C)	Gross Salary (before taxes and other deductions) (D)	Allowances (E)	Other Direct and Indirect Disbursements		Total (H)
					Expenses Including Reimbursed Expenses (F)	Other Disbursements (G)	
			\$ 57,978	\$	\$ 428	\$	\$ 58,406
			14,782		201		14,983
			14,211				14,211
4.	BREWER, ROY	REF	34,911		258		35,169
			30,075				30,075
			218,533		2,578		221,111
7.	Total for all employees who, during the reporting period, received \$10,000 or less gross salary, allowances, and other direct and indirect disbursements.		8,907				8,907
8.	Totals of Lines 1 through 7.		\$ 379,397	\$	\$ 3,465	\$	\$ 382,862

Enter the Total of Line 8, Column H in

Item 61(a)

SCHEDULE 11—BENEFITS

Type of Benefit (A)	To Whom Paid (B)	Amount (C)
1. MEMBERS LIFE INSUR.	OCCIDENTAL	\$ 41,866
2. HOSPITALIZATION & MED.	M.P. HEW FUND	33,267
4. PENSION BENEFITS	M.P. INDUS. PENSION FUND	28,065
10.	Total from Additional Listings, if any	
11.	Total of Lines 1 through 10.	

Enter the Total from Line 11 in

Item 64

SCHEDULE 12—CONTRIBUTIONS, GIFTS AND GRANTS

Type (A)	Amount (B)
1. MOTION PICTURE & TV FUND	\$ 1,150
2. POLICE OFFICERS ASSOC.	180
3. NABET-LOCAL 53	1,000
4.	
5.	
6.	
7.	
8.	
9.	
10. Total from Additional Listings, if any	
11. Total of Lines 1 through 10.	\$ 2,330

Enter the Total from Line 11 in

Item 66

SCHEDULE 13—OTHER RECEIPTS

Other Sources (A)	Amount (B)
1. LIQUIDATION DAMAGES	\$ 9,412
2. REPAYMENT OF MORTGAGE INVESTMENT	
3. MADE (BAZOIAN)	2,094
4. REIM. EXPENSES	1,815
5.	
6.	
7.	
8.	
9.	
10. Total from Additional Listings, if any	
11. Total of Lines 1 through 10	\$ 13,321

Enter the Total from Line 11 in

Item 53

SCHEDULE 14—OTHER DISBURSEMENTS

Other Purposes (A)	Amount (B)
1. PAYROLL DEDUCTIONS ;	\$
2. PENSION CONTRIBUTION	4,213
3. MOTION PICTURE TRUST FUND	1,041
4.	
5.	
6.	
7.	
8.	
9.	
10. Total from Additional Listings, if any	42,855
11. Total of Lines 1 through 10	\$ 48,109

Enter the Total from Line 11 in

Item 73

INTERNATIONAL SOUND TECHNICIANS

SCHEDULE 6 - PURCHASE OF INVESTMENTS AND FIXED ASSETS (CONTINUED)

	<u>COST</u>	<u>BOOK VALUE</u>	<u>CASH PAID</u>
WALL UNIT	560	532	560
CHAIRS	1,198	1,180	1,198
VACUUM	233	233	233
LATERAL FILES/TABLES	1,038	1,038	1,038
GREAT AMERICAN COMM. CO. (2 shares)	0	0	0
	<u>3,029</u>	<u>2,983</u>	<u>3,029</u>

SCHEDULE 10 - DISBURSEMENTS TO EMPLOYEES (CONTINUED)

NAME	TITLE	STATUS	GROSS SALARY	ALLOWANCE	REIMB. EXPENSES	TOTAL
<div></div>			31,710		177	31,887
			22,657		110	22,767
			24,355			24,355
			60,095		695	60,790
			25,452			25,452
			54,264		1,596	55,860
			<u>218,533</u>		<u>2,578</u>	<u>221,111</u>

SCHEDULE 10A - DISBURSEMENTS TO EMPLOYEES (CONTINUED) (EMPLOYEES' SALARY (\$10,

NAME	TITLE	STATUS	GROSS SALARY	ALLOWANCE	REIMB. EXPENSES	TOTAL
<div></div>			4,451			4,451
			3,341			3,341
			150			150
			580			580
			385			385
			<u>8,907</u>			<u>8,907</u>

10 F.T. EMPLOYEES
3 SHARE YEAR.
~~★ 4 MEMBERS~~
★ 4 MEMBERS.

001, FACT OF YEAR.

INTERNATIONAL SOUND TECHNICIANS

SCHEDULE ¹⁴ - ADDITIONAL LISTINGS DISCLOSED (CONTINUED)

AWARDS EXPENSE	10,258
NEGOTIATIONS EXPENSE	2,564
ORGANIZING COMPANIES	4,188
TRAVEL EXPENSE	12,336
BUSINESS MEETINGS	1,780
MILEAGE ALLOWANCE	980
CONVENTION EXPENSE	6,222
MISCELLANEOUS EXPENSE *	4,527
PENSION CONTRIBUTION	4,213
MOTION PICTURE TRUST FUND	1,041
	<u>48,109</u>

* BOOKS PURCHASED	307
SEMINARS	4,220
	<u>4,527</u>

INTERNATIONAL SOUND TECHNICIANS, CINEMA TECHNICIANS
& TELEVISION ENGINEERS, LOCAL 695, I.A.T.S.E. & M.P.M.O.

	RESIDENCE	STUDIO
C		Universal
O		KCET
C		TBS
O		CBS - Newhart
C		Freelance
N		
N		Freelance
N		Local 871
C		Freelance
N		Skyline
C		Freelance
C		TBS
C		Fox
C		Warner Holly.
N		
G		Freelance
C		COMPACT Leximar
N		Freelance
R		Universal
N		
N		
N		Compact
N		
C		Editel
N	C.F.I.	
V	Technicolor	
V	C.F.I.	
C	C.F.I.	
V	Freelance	

b6
b7C

BREWER, Roy - Consultant/Rep.

LM-2

1986

LABOR ORGANIZATION ANNUAL REPORT
FORM LM-2

CONSTITUTION/BYLAWS

MUST BE USED BY LABOR ORGANIZATIONS WITH \$100,000 OR MORE IN RECEIPTS
AND LABOR ORGANIZATIONS WHICH ARE UNDER TRUSTEESHIP

Labor-Management Reporting and Disclosure Act of 1959, as amended
and
Title VII of the Civil Service Reform Act

This report is mandatory under P.L. 86-257, as amended. Failure to comply may result in criminal prosecution, fines, or civil penalties as provided by 29 U.S.C., secs. 439 or 440.

READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT. SUBMIT THIS REPORT IN DUPLICATE.

JAMES OSBURN
STAGE & PICTURE OPERATORS AFL-CIO
LU 00695
11331 VENTURA BLVD SUITE 201 1286
STUDIO CITY CA 91604 L2 M

007-032
12A 6

1. FILE NUMBER

007032

6

2. Period covered
From

MO DAY YR
01 01 86

Thru

12 31 86

APR 8 1987

3. CITY, COUNTY AND STATE WHERE CHARTERED TO OPERATE:

CITY Studio City

COUNTY Los Angeles

STATE CA

4. NAME OF LABOR ORGANIZATION (as shown on charter, constitution, etc.)
International Sound Technicians Cinetech-
nicians & Television Engineers of the
Motion Picture & Television Industries
Local 695, I.A.T.S.E.

8. OFFICIAL MAILING ADDRESS (For mail to the organization):

(In care of) NAME OF PERSON

James A. Osburn

5. AFFILIATION & M.P.M.O. of US & Canada
I.A.T.S.E. & M.P.M.O. of US & Canada

NUMBER AND STREET

11331 Ventura Blvd.

6. DESIGNATION (Local, Lodge, etc.)

Local

7. DESIGNATION NUMBER

695

BLDG. AND ROOM NUMBER, IF ANY

Studio 201

9. Are organization records kept at the official mailing address? ☒ Yes ☐ No
If "No," show address including ZIP Code in Item 22.

CITY

Studio City,

STATE CA

ZIP CODE 91604

DURING THE REPORTING PERIOD DID YOUR ORGANIZATION DIRECTLY OR INDIRECTLY:

YES NO

10. Have any accounts in banks or other financial institutions held in a name other than that of your organization? ☐ Yes ☒ No

11. Liquidate or reduce any liabilities without disbursement of cash? ☐ Yes ☒ No

12. Create or participate in the administration of any business enterprises or other organizations which met the definition of a "subsidiary organization" as that term is defined in the instructions on page 2? ☐ Yes ☒ No

13. Acquire any goods or property in any manner other than by purchase or dispose of any goods or property in any manner other than by sale? ☐ Yes ☒ No

14. Create or participate in the administration of a trust or other fund or organization, a primary purpose of which is to provide benefits for members or their beneficiaries, as defined by section 3(l) of the Act? ☒ Yes ☐ No

15. Discover any loss or shortage of funds or other property? ☐ Yes ☒ No

(If the answer to any of the above questions is "Yes," provide details in Item 22. See specific instructions for items answered "Yes.")

16. A. Was the labor organization insured by a fidelity bond during the reporting period? ☒ Yes ☐ No

B. If "Yes," enter the maximum amount recoverable for loss caused by any person

\$ 100,000.

17. Enter the date of your organization's next regular election of officers.

Month February

Year 1987

AS OF THE END OF THE REPORTING PERIOD:

18. Were any assets pledged as security or encumbered in any other way? ☐ Yes ☒ No

19. Did your organization have any contingent liabilities? ☐ Yes ☒ No

(If the answer to Item 18 or 19 is "Yes," provide details in Item 22.)

20. Did your organization have any changes in its constitution and bylaws (other than changed dues amounts) or in practices described in statements submitted with Form LM-1 or Form LM-1A since your organization filed Form LM-1 or most recently updated it by filing a Form LM-1A? ☐ Yes ☒ No
If "Yes," attach an updated Form LM-1A to this report, with required documents.

21. List fees and dues required. (Complete each line: Enter "None" or "Not Applicable" as appropriate.)

(1) Initiation fee or fees required from new members

(A) If one rate applies, enter here

(B) If more than one rate applies, enter here

Minimum

Maximum

(2) Fees other than dues required from transfer members

\$ 1,043

\$ 1,043

\$ 3,147

(3) Are work permits issued? ☐ Yes ☒ No

If "Yes," give fees required

\$ per

\$ per

\$ per

(4) Regular dues or fees or other periodic payments required to remain a member of the reporting labor organization (per year, mo., etc.)

\$ 66 per Qtr.

\$ 91 per Qtr.

\$ per

22. ADDITIONAL INFORMATION (If this is a terminal report, see Section XII of the instructions.)

Item Number

14 Administers life insurance coverage of members through Transamerica Occidental Life Insurance Co.

(If more space is needed, attach additional sheets with further statement, properly identified.)

Each of the undersigned, duly authorized officers of the above labor organization, declares, under the applicable penalties of law, that all of the information submitted in this report (including the information contained in any accompanying documents) has been examined by the signatory and is, to the best of the undersigned's knowledge and belief, true, correct, and complete.

75. SIGNED: James A. Osburn Business Rep./Exec. Director

at: Studio City, CA

City

State

Date

(818) 985-9204

Telephone Number (Include Area Code)

76. SIGNED: Richard Shultz TREASURER

at: Studio City, CA

City

State

Date

(818) 985-9204

Telephone Number (Include Area Code)

*See section on "Penalties" in accompanying instructions.

FILL OUT SCHEDULES 1 THROUGH 14 BEFORE
FILLING OUT STATEMENTS A THROUGH C

ENTER AMOUNTS IN DOLLARS ONLY
STATEMENT A—ASSETS AND LIABILITIES

ASSETS		FROM SCH #	Start of Reporting Period (A)	cts	End of Reporting Period (B)	cts	LIABILITIES		FROM SCH #	Start of Reporting Period (C)	cts	End of Reporting Period (D)	cts
Item							Item						
23. (a) Cash on hand (Statement C, lines 1)			\$ 1,470	XX	\$ 1,470	XX	32. Accounts Payable			\$ 42,892	XX	\$ 48,698	XX
(b) Cash in banks (Statement C, lines 2)			189,332	XX	191,175	XX	33. Loans Payable		8	None	XX	None	XX
24. Accounts Receivable			135,109	XX	125,632	XX	34. Mortgages Payable			None	XX	None	XX
25. Loans Receivable	1		None	XX	None	XX	35. Other Liabilities		4	31,614	XX	42,080	XX
26. U.S. Treasury Securities			None	XX	None	XX	36. TOTAL LIABILITIES			\$ 74,506	XX	\$ 90,778	XX
27. Mortgage Investments			271,751	XX	257,089	XX	NET ASSETS						
28. Other Investments	2		None	XX	None	XX	37. Net Assets (Item 31 less Item 36)						
29. Fixed Assets	5		51,612	XX	47,967	XX							
30. Other Assets	3		18,255	XX	20,392	XX							
31. TOTAL ASSETS			\$ 667,629	XX	\$ 643,725	XX							

STATEMENT B—RECEIPTS AND DISBURSEMENTS

CASH RECEIPTS		FROM SCH #	(A)	cts	CASH DISBURSEMENTS		FROM SCH #	(B)	cts
Item					Item				
38. Dues			\$ 820,615	XX	55. Per Capita Tax			\$ 122,934	XX
39. Per Capita Tax			None	XX	56. Fees, Fines, Assessments, etc.			None	XX
40. Fees			154,140	XX	57. To Affiliates of Funds Collected on Their Behalf			4,892	XX
41. Fines			13,158	XX	58. For Account of Affiliates			None	XX
42. Assessments			None	XX	59. To Officers:		9		
43. Work Permits			None	XX	(a) Gross				
44. On Behalf of Affiliates for Transmittal to Them			None	XX	(b) Less Deductions			112,117	XX
45. Sale of Supplies			116	XX	60. To Employees:		10		
46. Interest			55,469	XX	(a) Gross				
47. Dividends			21	XX	(b) Less Deductions			223,711	XX
48. Rents			None	XX	61. Office and Administrative Expense			153,756	XX
49. Loans Obtained	8		None	XX	62. Educational and Publicity Expense			1,000	XX
50. Sale of Investments and Fixed Assets	7		72	XX	63. Professional Fees			81,422	XX
51. Repayment of Loans Made	1		None	XX	64. Benefits		11	125,217	XX
52. From Members for Disbursement on Their Behalf			None	XX	65. Loans Made		1	None	XX
53. From Other Sources	13		19,082	XX	66. Contributions, Gifts and Grants		12	2,199	XX
54. TOTAL RECEIPTS (Items 38 through 53)	1		\$ 1,062,673	XX	67. Supplies for Resale			None	XX
					68. Purchase of Investments and Fixed Assets		6	10,196	XX
					69. Direct Taxes			30,288	XX
					70. Withholding Taxes			114,791	XX
					71. On Behalf of Individual Members			None	XX
					72. Repayment of Loans Obtained		8	None	XX
					73. For Other Purposes		14	78,307	XX
					74. TOTAL DISBURSEMENTS (Items 55 through 73)		1	\$ 1,060,830	XX

STATEMENT C—CASH

Cash at Start of Reporting Period		(A)	Cash at End of Reporting Period		(B)
1. Cash on Hand		\$ 1,470	1. Cash on Hand		\$ 1,470
2. Cash in Banks (Checking Accounts and Other Deposits)		189,332	2. Cash in Banks (Checking Accounts and Other Deposits)		191,175
3. Total of Lines 1 and 2		190,802	↓		
4. Total Receipts from Line 54		1,062,673			
5. Total of Lines 3 and 4		1,253,475			
6. Total Disbursements from Line 74		1,060,830			
7. Deduct Line 6 from Line 5		\$ 192,645	3. Total of Lines 1 and 2		\$ 192,645

If the amount entered in line 7, column (A) does not equal the amount entered in line 3, column (B), there is a discrepancy in your reporting. Correct the discrepancy or explain it in Item 22.

If more space is needed to list items in any of the schedules below, continue the list on additional sheets, using the same column headings used on the schedule and enter the totals on the line provided for additional listings on the schedule.

SCHEDULE 1—LOANS RECEIVABLE

List below outstanding loans to officers, employees, or members which at any time during the reporting period exceeded \$250 and list all loans, regardless of amount, made to business enterprises. (A)	Loans Outstanding at Start of the Period (B)	Loans Made During the Period (C)	Repayments Received During the Period		Balance at the End of the Period (E)
			Cash (D)(1)	Other Than Cash (D)(2)	
1. (Name) _____ (Purpose) _____ (Security) _____ (Terms of Repayment) _____	\$	\$	\$	\$	\$
2. (Name) _____ (Purpose) _____ (Security) _____ (Terms of Repayment) _____					
3. Totals from Additional Listings, if any.					
4. Totals of Loans not Listed above.					
5. Totals of Lines 1 through 4.	\$	\$	\$	\$	\$

Enter the Totals from Line 5 in Item 25, Column A. Item 65. Item 51. Item 22, with Explanation. Item 25, Column B.

ENTER AMOUNTS IN DOLLARS ONLY

SCHEDULE 2—INVESTMENTS OTHER THAN U.S. TREASURY SECURITIES AND MORTGAGE INVESTMENTS

Description (A)	Amount (B)
Marketable Securities:	
1. Total Cost	\$
2. Total Book Value	
3. Itemize each Marketable Security holding which is valued at over \$1000 and which is also greater than 20% of the amount given in Line 2.	
(a)	
(b)	
(c)	
(d)	
Other Investments:	
4. Total Cost	
5. Total Book Value	
6. Itemize each Other Investment holding which is valued at over \$1000 and which is also greater than 20% of the amount given in Line 5. Also itemize each subsidiary for which separate reports are attached.	
(a)	
(b)	
(c)	
(d) Total from Additional Listings, if any	
7. Total of Lines 2 and 5.	\$
Enter the Total from Line 7 in Item 28, Column B	

SCHEDULE 3—OTHER ASSETS

Description (A)	Book Value (B)
1. Security Investments	\$ 617
2. Lapel Pins in stock	905
3. Prepaid expenses & rent dep.	17,020
4. I.A. processing fee	1,850
5. Total from Additional Listings, if any	None
6. Total of Lines 1 through 5.	\$ 20,392
Enter the Total of Line 6 in Item 30, Column B	

SCHEDULE 4—OTHER LIABILITIES

Description (A)	Amount at End of Period (B)
1. Unearned initiation fees	\$ 28,274
2. Unearned I.A. processing fees	3,925
3. Motion picture & t.v. fund	372
4. Unearned advertising fees	9,508
5.	
6.	
7.	
8. Total from Additional Listings, if any	
9. Total of Lines 1 through 8.	\$ 42,080
Enter the Total from Line 9 in Item 35, Column D	

SCHEDULE 5—FIXED ASSETS

Description (A)	Cost or Other Basis (B)	Total Depreciation (If any) or Amount Expensed (C)	Book Value (D)	Fair Market Value (E)
1. Land (Give Location):	\$		\$	\$
2. Totals from Additional Listings, if any				
3. Buildings (Give Location):		\$		
4. Totals from Additional Listings, if any				
5. Automotive Equipment				
6. Office Furniture and Equipment	92,785	51,349	41,436	41,436
7. Other Fixed Assets	31,062	24,531	6,531	6,531
8. Totals of Lines 1 through 7.	\$123,847	\$ 75,880	\$ 47,967	\$ 47,967
Enter the Total from Line 8, column D in		Item 29, Column B		

SCHEDULE 6—PURCHASE OF INVESTMENTS AND FIXED ASSETS

Description of Assets (If land or buildings, give location) (A)	Cost (B)	Book Value (C)	Cash Paid (D)
1. Equipment-Gestetner copiers	\$ 12,672	\$ 11,892	\$ 9,336
2. Leasehold improvement-installation of glass	799	711	799
3. Purchase of stock door	61	61	61
4.			
5. Totals from Additional Listings, if any			
6. Totals of Lines 1 through 5.	\$ 13,532	\$ 12,664	\$ 10,196
Enter the Total from Line 6, Column D in		Item 68	

7. Assets Traded In on Assets Purchased:	Cost (B)	Book Value (C)	Trade In Allowance (D)
(a) IBM copier II #561704	\$ 8,354	\$ 2,506	\$ 2,506
(b) Xerox copier	8,358	830	830

SCHEDULE 7—SALE OF INVESTMENTS AND FIXED ASSETS

Description of Assets Sold (If land or building, give location) (A)	Cost (B)	Book Value (C)	Gross Sales Price (D)	Amount Received (E)
1. MGM (Grand Hotel)	\$ -0-	\$ -0-	\$ 72	\$ 72
2.				
3.				
4. Total from Additional Listings, if any				
5. Totals of Lines 1 through 4.	\$ -0-	\$ -0-	\$ 72	\$ 72
Enter the Total from Line 5, Column E in		Item 50		

SCHEDULE 8—LOANS PAYABLE

Source of Loans Payable at any Time During the Reporting Period (A)	Terms for Repayment (B)	Balance at Start of Period (C)	Loans Obtained During Period (D)	Repayment of Loans During Period		Balance at End of Period (F)
				Cash (E)(1)	Other Than Cash (E)(2)	
1.		\$	\$	\$	\$	\$
2.						
3.						
4.						
5. Total from Additional Listings, if any						
6. Totals of Lines 1 through 5.		\$	\$	\$	\$	\$
Enter the Totals from Line 6 in		Item 33, Column C	Item 49	Item 72	Item 22 with Explanation	Item 33, Column D

ENTER AMOUNTS IN DOLLARS ONLY

SCHEDULE 2—INVESTMENTS OTHER THAN U.S. TREASURY SECURITIES AND MORTGAGE INVESTMENTS

Description (A)	Amount (B)
Marketable Securities:	
1. Total Cost	\$
2. Total Book Value	
3. Itemize each Marketable Security holding which is valued at over \$1000 and which is also greater than 20% of the amount given in Line 2.	
(a)	
(b)	
(c)	
(d)	
Other Investments:	
4. Total Cost	
5. Total Book Value	
6. Itemize each Other Investment holding which is valued at over \$1000 and which is also greater than 20% of the amount given in Line 5. Also itemize each subsidiary for which separate reports are attached.	
(a)	
(b)	
(c)	
(d) Total from Additional Listings, if any	
7. Total of Lines 2 and 5.	\$
Enter the Total from Line 7 in Item 28, Column B	

SCHEDULE 3—OTHER ASSETS

Description (A)	Book Value (B)
1. Security Investments	\$ 617
2. Lapel Pins in stock	905
3. Prepaid expenses & rent dep.	17,020
4. I.A. processing fee	1,850
5. Total from Additional Listings, if any	None
6. Total of Lines 1 through 5.	\$ 20,392
Enter the Total of Line 6 in Item 30, Column B	

SCHEDULE 4—OTHER LIABILITIES

Description (A)	Amount at End of Period (B)
1. Unearned initiation fees	\$ 28,274
2. Unearned I.A. processing fees	3,925
3. Motion picture & t.v. fund	372
4. Unearned advertising fees	9,508
5.	
6.	
7.	
8. Total from Additional Listings, if any	
9. Total of Lines 1 through 8.	\$ 42,080
Enter the Total from Line 9 in Item 35, Column D	

SCHEDULE 5—FIXED ASSETS

Description (A)	Cost or Other Basis (B)	Total Depreciation (if any) or Amount Expensed (C)	Book Value (D)	Fair Market Value (E)
1. Land (Give Location):	\$		\$	\$
2. Totals from Additional Listings, if any				
3. Buildings (Give Location):		\$		
4. Totals from Additional Listings, if any				
5. Automotive Equipment				
6. Office Furniture and Equipment	92,785	51,349	41,436	41,436
7. Other Fixed Assets	31,062	24,531	6,531	6,531
8. Totals of Lines 1 through 7.	\$123,847	\$ 75,880	\$ 47,967	\$ 47,967
Enter the Total from Line 8, column D in Item 29, Column B				

SCHEDULE 6—PURCHASE OF INVESTMENTS AND FIXED ASSETS

Description of Assets (If land or buildings, give location) (A)	Cost (B)	Book Value (C)	Cash Paid (D)
1. Equipment-Gestetner copiers	\$ 12,672	\$ 11,892	\$ 9,336
2. Leasehold improvement-installation of glass	799	711	799
3. Purchase of stock door	61	61	61
4.			
5. Totals from Additional Listings, if any			
6. Totals of Lines 1 through 5.	\$ 13,532	\$ 12,664	\$ 10,196
Enter the Total from Line 6, Column D in Item 68			

Description of Asset Traded In (A)	Cost (B)	Book Value (C)	Trade In Allowance (D)
(a) IBM copier II #561704	\$ 8,354	\$ 2,506	\$ 2,506
(b) Xerox copier	8,358	830	830

SCHEDULE 7—SALE OF INVESTMENTS AND FIXED ASSETS

Description of Assets Sold (If land or building, give location) (A)	Cost (B)	Book Value (C)	Gross Sales Price (D)	Amount Received (E)
1. MGM (Grand Hotel)	\$ -0-	\$ -0-	\$ 72	\$ 72
2.				
3.				
4. Total from Additional Listings, if any				
5. Totals of Lines 1 through 4.	\$ -0-	\$ -0-	\$ 72	\$ 72
Enter the Total from Line 5, Column E in Item 50				

SCHEDULE 8—LOANS PAYABLE

Source of Loans Payable at any Time During the Reporting Period (A)	Terms for Repayment (B)	Balance at Start of Period (C)	Loans Obtained During Period (D)	Repayment of Loans During Period		Balance at End of Period (F)
				Cash (E)(1)	Other Than Cash (E)(2)	
1.		\$	\$	\$	\$	\$
2.						
3.						
4.						
5. Total from Additional Listings, if any						
6. Totals of Lines 1 through 5.		\$	\$	\$	\$	\$

Enter the Totals from Line 6 in Item 33, Column C Item 49 Item 72 Item 22 with Explanation Item 33, Column D

ENTER AMOUNTS IN DOLLARS ONLY

SCHEDULE 9—LIST OF ALL OFFICERS AND DISBURSEMENTS TO OFFICERS

Name (Important: List all persons who held office during the period) (A)	Title (B)	Status (C)	Gross Salary (before taxes and other deductions) (D)	Allowances (E)	Other Direct and Indirect Disbursements		Total (H)
					Expenses Including Reimbursed Expenses (F)	Other Disbursements (G)	
		C	\$ 68,461	\$ 2,650	\$ 4,387		\$ 75,498
		C	56,397		1,837		58,234
		N			1,000		1,000
		N			1,000		1,000
		N			1,000		1,000
		N			1,000		1,000
		N			1,000		1,000
		C			1,000		1,000
		C			1,000		1,000
					3,000		3,000
11. Totals of Lines 1 through 10.			\$124,858	\$ 2,650	\$16,224	\$	\$143,732

Code for Column C, "Status": past officer—P; continuing officer—C; new officer during this reporting period—N.

Enter the Total of Line 11, Column H in

NOTE: If any officer was not elected at a regular election in accordance with the constitution and bylaws, explain in Item 22. Item 59(a)

SCHEDULE 10—DISBURSEMENTS TO EMPLOYEES

List below all employees who received more than \$10,000 in gross salary, allowances, and other direct and indirect disbursements from this labor organization and any affiliate.

Position	Name of Affiliated Organization (if applicable) (C)	Gross Salary (before taxes and other deductions) (D)	Allowances (E)	Other Direct and Indirect Disbursements		Total (H)
				Expenses Including Reimbursed Expenses (F)	Other Disbursements (G)	
		\$ 56,397	\$	\$ 1,366	\$	\$57,763
		61,749		1,144		62,893
Roy Brewer	Rep	32,886		1,445		34,332
		23,827		6,175		30,002
		33,847		24		33,871
6. Total from Additional Listings, if any		75,220		3,023		78,243
7. Total for all employees who, during the reporting period, received \$10,000 or less gross salary, allowances, and other direct and indirect disbursements.		13,279		1,885		15,164
8. Totals of Lines 1 through 7.		\$297,205	\$	\$15,062	\$	\$312,268

Enter the Total of Line 8, Column H in

Item 60(a)

SCHEDULE 11—BENEFITS

Type of Benefit (A)	To Whom Paid (B)	Amount (C)
Members Life Insur.	Occidental	66,825
Hospitalization & Med.	M.P.	31,672
	HEW Fund	
Pension Benefits	M.P. Industry	
	Pension Fund	26,720
10. Total from Additional Listings, if any		
11. Total of Lines 1 through 10.		\$ 125,217

Enter the Total from Line 11 in

Item 64

SCHEDULE 12—CONTRIBUTIONS, GIFTS AND GRANTS

Type (A)	Amount (B)
1. Motion Picture and tv. fund	\$ 1,454
2. Hands Across America	100
3. Child Abuse Center	95
4. Muscular Dystrophy	50
5. Permanent charities	500
6.	
7.	
8.	
9.	
10. Total from Additional Listings, if any	
11. Total of Lines 1 through 10.	\$ 2,199

Enter the Total from Line 11 in

Item 66

SCHEDULE 13—OTHER RECEIPTS

Other Sources (A)	Amount (B)
Liquidation damages	\$ 5,311
Repayment of mortgage	
3. investments made	13,399
Cash received on behalf of	
5. Motion Picture Trust Fund	372
6.	
7.	
8.	
9.	
10. Total from Additional Listings, if any	
11. Total of Lines 1 through 10.	\$ 19,082

Enter the Total from Line 11 in

Item 53

SCHEDULE 14—OTHER DISBURSEMENTS

Other Purposes (A)	Amount (B)
1. Payroll deductions	\$
2. Pension contribution	4,009
3. Motion Picture trust fund	1,372
4.	
5.	
6.	
7.	
8.	
9.	
10. Total from Additional Listings, if any	72,919
11. Total of Lines 1 through 10.	\$78,307

Enter the Total from Line 11 in

Item 73

INTERNATIONAL SOUND TECHNICIANS

Name	Title	Status	Gross Salary	Allowance	Reimbursed Expenses	Total
------	-------	--------	-----------------	-----------	------------------------	-------

SCHEDULE 9 - LIST OF ALL OFFICERS AND DISBURSEMENTS (continued)

	C				1,000	1,000
	N				1,000	1,000
	C				<u>1,000</u>	<u>1,000</u>
					<u>3,000</u>	<u>3,000</u>

SCHEDULE 10 - DISBURSEMENTS TO EMPLOYEES (continued)

		19,377	48	19,425
		23,652		23,652
		24,107		24,107
		<u>8,084</u>	<u>2,975</u>	<u>11,059</u>
		<u>75,220</u>	<u>3,023</u>	<u>78,243</u>

INTERNATIONAL SOUND TECHNICIANS

SCHEDULE 14 - ADDITIONAL LISTINGS DISCLOSED

Awards Expense	15,248
By-Laws Expense	6,463
Negotiations Expense	12,018
Organizing Companies	4,251
Travel Expense	2,542
Business Meetings	4,304
Mileage Allowance	2,263
Convention Expense	22,077
Miscellaneous Expense *	<u>3,756</u>
	<u>72,919</u>

SCHEDULE 14 - ACCOUNTS COMPRISING MISCELLANEOUS EXPENSE* (AS ABOVE)

Books Purchased	1,041
Checking Non IA Production	44
Seminars and/or Educational Classes	2,546
Transfer of Deposits to Revenue	<u>125</u>
	<u>3,756</u>

3/26/86

BOARD OF DIRECTORS
INTERNATIONAL SOUND TECHNICIANS, CINETECHNICIANS & TEEVISION ENGINEERS, LOCAL 695

DIRECTORS		RESIDENCE	STUDIO
RE-ELEC.			Universal
			KCET
			TBS
			CBS M/T W/Th/F
			Freelance
			Freelance
			Freelance
			Local 871
			Freelance
			Freelance
			Warner Holly
			TBS
			Fox
			Freelance
			Compact
			Glen Glenn
RE-ELEC.			Freelance
			Compact
			BVC
			BVC
			Editel
			Editel
			C.F.I.
			Technicolor
RE-ELEC.			Disney
			Freelance
RE-ELEC.			

LAB ORGANIZATION ANNUAL REPORT
FORM LM-2

MUST BE USED BY LABOR ORGANIZATIONS WITH \$100,000 OR MORE IN RECEIPTS
AND LABOR ORGANIZATIONS WHICH ARE UNDER TRUSTEESHIP

Labor-Management Reporting and Disclosure Act of 1959, as amended
and
Title VII of the Civil Service Reform Act

This report is mandatory under P.L. 86-257, as amended. Failure to comply may result in criminal prosecution, fines, or civil penalties as provided by 29 U.S.C., secs. 439 or 440.

READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT. SUBMIT THIS REPORT IN DUPLICATE.

APR 04 1986

JAMES OSBURN
STAGE & PICTURE OPERATORS AFL-CIO
LU 00695
11331 VENTURA BLVD SUITE 201 12E5
STUDIO CITY CA 91604 L2 M

1. FILE NUMBER			
LM007032			
2. Period covered	MO	DAY	YR
	01	01	85
From			
Thru	12	31	85

3. CITY, COUNTY AND STATE WHERE CHARTERED TO OPERATE:

CITY STUDIO CITY COUNTY LOS ANGELES STATE CALIFORNIA

4. NAME OF LABOR ORGANIZATION (as shown on charter, constitution, etc.)
International Sound Technicians, Cine
technicians and Television Engineers
of the Motion Picture and Television
Industries Local 695 I.A.T.S.E. and
5. AFFILIATION M.P.M.O. of U.S. and Canada.
I.A.T.S.E. and M.P.M.O. of U.S. and
Canada

6. DESIGNATION (Local, Lodge, etc.)
Local

7. DESIGNATION NUMBER
695

8. OFFICIAL MAILING ADDRESS (For mail to the organization):

(In care of) NAME OF PERSON

James A. Osburn

NUMBER AND STREET

11331 Ventura Boulevard

BLDG AND ROOM NUMBER, IF ANY

Suite 201

CITY

Studio City

STATE

CA

ZIP CODE

91604

9. Are organization records kept at the official mailing address? ☒ Yes ☐ No
If "No," show address including ZIP Code in Item 22.

DURING THE REPORTING PERIOD DID YOUR ORGANIZATION DIRECTLY
OR INDIRECTLY:

10. Have any accounts in banks or other financial institutions held
in a name other than that of your organization? ☐ Yes ☒ No
11. Liquidate or reduce any liabilities without disbursement of cash? ☐ Yes ☒ No
12. Create or participate in the administration of any business enter-
prises or other organizations which met the definition of a "sub-
sidiary organization" as that term is defined in the instructions
on page 2? ☐ Yes ☒ No
13. Acquire any goods or property in any manner other than by pur-
chase or dispose of any goods or property in any manner other
than by sale? ☐ Yes ☒ No
14. Create or participate in the administration of a trust or other
fund or organization, a primary purpose of which is to provide
benefits for members or their beneficiaries, as defined by sec-
tion 3(l) of the Act? ☒ Yes ☐ No
15. Discover any loss or shortage of funds or other property? ☐ Yes ☒ No

(If the answer to any of the above questions is "Yes," provide details in
Item 22. See specific instructions for items answered "Yes.")

16. A. Was the labor organization insured by a fidelity bond
during the reporting period? ☒ Yes ☐ No
B. If "Yes," enter the maximum amount recoverable
for loss caused by any person \$ 100,000

17. Enter the date of your organization's next regular election of officers.

Month February Year 1986

AS OF THE END OF THE REPORTING PERIOD:

18. Were any assets pledged as security or encumbered
in any other way? ☐ Yes ☒ No

19. Did your organization have any contingent liabilities? ☐ Yes ☒ No
(If the answer to Item 18 or 19 is "Yes," provide details in Item 22.)

20. Did your organization have any changes in its constitution and bylaws (other
than changed dues amounts) or in practices described in statements sub-
mitted with Form LM-1 or Form LM-1A since your organization filed Form
LM-1 or most recently updated it by filing a Form LM-1A? ☐ Yes ☒ No
If "Yes," attach an updated Form LM-1A to this report, with required
documents.

21. List fees and dues required. (Complete each line. Enter "None" or "Not
Applicable" as appropriate.)

- (1) Initiation fee or fees required from new members \$ _____
- (2) Fees other than dues required from transfer members \$ _____
- (3) Are work permits issued? ☐ Yes ☒ No
If "Yes," give fees required \$ _____ per _____
- (4) Regular dues or fees or other periodic payments required to remain
a member of the reporting labor organization (per year, mo., etc.) \$ _____ per _____

(A) If one rate applies, enter here

(B) If more than one rate applies, enter here

Minimum

\$ 1.043

Maximum

\$ 3.147

\$ 1.043

\$ 3.147

\$ _____ per _____

\$ _____ per _____

\$ _____ per _____

\$ _____ per _____

\$ 66 per qtr

\$ 91 per qtr

22. ADDITIONAL INFORMATION (If this is a terminal report, see Section XII of the instructions)

Item Number

14

Administers life insurance coverage of members through Transamerica
Occidental Life Insurance Co.

21(4)

Dues as of quarter-ending 12/31/85, 3 quarters ending 9/30/85. The
minimum and maximum dues was \$63 and \$88 respectively.

(If more space is needed, attach additional sheets with further statement, properly identified.)

Each of the undersigned, duly authorized officers of the above labor organization, declares, under the applicable penalties of law, that all of the information with-
mitted in this report (including the information contained in any accompanying documents) has been examined by the signatory and is, to the best of the signat-
ory's knowledge and belief, true, correct, and complete.

75. SIGNED:

James A. Osburn, D.O.

PRESIDENT
(If other title, cross
out and write in
correct title above.
Explain in Item 22.)

at: Studio City, Calif.

on:

City

State

Date

(H18) 985-9204

Telephone Number (Include Area Code)

76. SIGNED:

TREASURER

(If other title, cross
out and write in
correct title above.
Explain in Item 22.)

at: Studio City, Calif.

on:

City

State

Date

(H18) 985-9204

Telephone Number (Include Area Code)

*See section on "Penalties" in accompanying instructions.

FILL OUT SCHEDULES 1 THROUGH 14 BE
FILLING OUT STATEMENTS A THROUGH C

ENTER AMOUNTS IN DOLLARS ONLY

STATEMENT A—ASSETS AND LIABILITIES

Item	ASSETS	FROM SCH #	Start of Reporting Period (A)		cts	End of Reporting Period (B)		cts	Item	LIABILITIES	FROM SCH #	Start of Reporting Period (C)		cts	End of Reporting Period (D)		cts
			\$			\$						\$			\$		
23.	(a) Cash on hand (Statement C, lines 1)		\$ 1,470	XX		\$ 1,470	XX		32.	Accounts Payable		\$ 18,980	XX		\$ 42,892	XX	
	(b) Cash in banks (Statement C, lines 2)		187,889	XX		189,332	XX		33.	Loans Payable	8	NONE	XX		NONE	XX	
24.	Accounts Receivable		130,352	XX		135,109	XX		34.	Mortgages Payable		NONE	XX		NONE	XX	
25.	Loans Receivable	1	NONE	XX		NONE	XX		35.	Other Liabilities	4	38,781	XX		31,614	XX	
26.	U.S. Treasury Securities		NONE	XX		NONE	XX		36.	TOTAL LIABILITIES		\$ 57,761	XX		\$ 74,506	XX	
27.	Mortgage Investments		274,779	XX		271,751	XX			NET ASSETS							
28.	Other Investments	2	NONE	XX		NONE	XX										
29.	Fixed Assets	5	56,682	XX		51,612	XX		37.	Net Assets (Item 31 less Item 36)		\$ 631,706	XX		\$ 693,023	XX	
30.	Other Assets	3	38,295	XX		18,255	XX										
31.	TOTAL ASSETS		\$ 689,467	XX		\$ 667,529	XX										

STATEMENT B—RECEIPTS AND DISBURSEMENTS

Item	CASH RECEIPTS	FROM SCH #	(A)		cts	Item	CASH DISBURSEMENTS	FROM SCH #	(B)		cts
			\$						\$		
38.	Dues		\$ 814,907	XX		55.	Per Capita Tax		\$ 118,440	XX	
39.	Per Capita Tax		NONE	XX		56.	Fees, Fines, Assessments, etc.		NONE	XX	
40.	Fees		148,241	XX		57.	To Affiliates of Funds Collected on Their Behalf		4,812	XX	
41.	Fines		12,648	XX		58.	For Account of Affiliates		NONE	XX	
42.	Assessments		150	XX		59.	To Officers:	9			
43.	Work Permits		NONE	XX		(a) Gross	69,821				
44.	On Behalf of Affiliates for Transmittal to Them		NONE	XX		(b) Less Deductions	13,291		56,530	XX	
45.	Sale of Supplies		110	XX		60.	To Employees:	10			
46.	Interest		58,911	XX		(a) Gross	354,857		248,097	XX	
47.	Dividends		20	XX		(b) Less Deductions	106,760		145,380	XX	
48.	Rents		NONE	XX		61.	Office and Administrative Expense		500	XX	
49.	Loans Obtained	8	NONE	XX		62.	Educational and Publicity Expense		154,734	XX	
50.	Sale of Investments and Fixed Assets	7	NONE	XX		63.	Professional Fees		94,221	XX	
51.	Repayment of Loans Made	1	NONE	XX		64.	Benefits	11	NONE	XX	
52.	From Members for Disbursement on Their Behalf		17,420	XX		65.	Loans Made	1	1,155	XX	
53.	From Other Sources	13	\$ 1,052,422	XX		66.	Contributions, Gifts and Grants	12	NONE	XX	
54.	TOTAL RECEIPTS (Items 38 through 53)					67.	Supplies for Retail		9,243	XX	
						68.	Purchase of Investments and Fixed Assets	6	31,080	XX	
						69.	Direct Taxes		107,197	XX	
						70.	Withholding Taxes			XX	
						71.	On Behalf of Individual Members			XX	
						72.	Repayment of Loans Obtained	8		XX	
						73.	For Other Purposes	14	79,590	XX	
						74.	TOTAL DISBURSEMENTS (Items 55 through 73)		\$ 1,050,979	XX	

STATEMENT C—CASH

Cash at Start of Reporting Period		(A)	Cash at End of Reporting Period		(B)
1. Cash on Hand		\$ 1,470	1. Cash on Hand		\$ 1,470
2. Cash in Banks (Checking Accounts and Other Deposits)		187,889	2. Cash in Banks (Checking Accounts and Other Deposits)		189,332
3. Total of Lines 1 and 2		189,359			
4. Total Receipts from Line 54		1,052,422			
5. Total of Lines 3 and 4		1,241,781			
6. Total Disbursements from Line 74		1,050,979			
7. Deduct Line 6 from Line 5		190,802	3. Total of Lines 1 and 2		190,802

If the amount entered in line 7, column (A) does not equal the amount entered in line 3, column (B), there is a discrepancy in your reporting. Correct the discrepancy or explain it in Item 22.

If more space is needed to list items in any of the schedules below, continue the list on additional sheets, using the same column headings used on the schedule and enter the totals on the line provided for additional listings on the schedule.

SCHEDULE 1—LOANS RECEIVABLE

List below outstanding loans to officers, employees, or members which at any time during the reporting period exceeded \$750 and list all loans, regardless of amount, made to business enterprises. (A)	Loans Outstanding at Start of the Period (B)	Loans Made During the Period (C)	Repayments Received During the Period		Balance at the End of the Period (E)
			Cash (D)(1)	Other Than Cash (D)(2)	
1. (Name) _____ (Purpose) _____ (Security) _____ (Terms of Repayment) _____					
2. (Name) _____ (Purpose) _____ (Security) _____ (Terms of Repayment) _____					
3. Totals from Additional Listings, if any.					
4. Totals of Loans not Listed above.					
5. Totals of Lines 1 through 4.					

Enter the Totals from Line 5 in Item 25, Column A. Item 25, Column B. Item 25, Column C. Item 25, Column D. Item 25, Column E. Item 25, Column F. Item 25, Column G. Item 25, Column H. Item 25, Column I. Item 25, Column J. Item 25, Column K. Item 25, Column L. Item 25, Column M. Item 25, Column N. Item 25, Column O. Item 25, Column P. Item 25, Column Q. Item 25, Column R. Item 25, Column S. Item 25, Column T. Item 25, Column U. Item 25, Column V. Item 25, Column W. Item 25, Column X. Item 25, Column Y. Item 25, Column Z. Item 25, Column AA. Item 25, Column AB. Item 25, Column AC. Item 25, Column AD. Item 25, Column AE. Item 25, Column AF. Item 25, Column AG. Item 25, Column AH. Item 25, Column AI. Item 25, Column AJ. Item 25, Column AK. Item 25, Column AL. Item 25, Column AM. Item 25, Column AN. Item 25, Column AO. Item 25, Column AP. Item 25, Column AQ. Item 25, Column AR. Item 25, Column AS. Item 25, Column AT. Item 25, Column AU. Item 25, Column AV. Item 25, Column AW. Item 25, Column AX. Item 25, Column AY. Item 25, Column AZ. Item 25, Column BA. Item 25, Column BB. Item 25, Column BC. Item 25, Column BD. Item 25, Column BE. Item 25, Column BF. Item 25, Column BG. Item 25, Column BH. Item 25, Column BI. Item 25, Column BJ. Item 25, Column BK. Item 25, Column BL. Item 25, Column BM. Item 25, Column BN. Item 25, Column BO. Item 25, Column BP. Item 25, Column BQ. Item 25, Column BR. Item 25, Column BS. Item 25, Column BT. Item 25, Column BU. Item 25, Column BV. Item 25, Column BW. Item 25, Column BX. Item 25, Column BY. Item 25, Column BZ. Item 25, Column CA. Item 25, Column CB. Item 25, Column CC. Item 25, Column CD. Item 25, Column CE. Item 25, Column CF. Item 25, Column CG. Item 25, Column CH. Item 25, Column CI. Item 25, Column CJ. Item 25, Column CK. Item 25, Column CL. Item 25, Column CM. Item 25, Column CN. Item 25, Column CO. Item 25, Column CP. Item 25, Column CQ. Item 25, Column CR. Item 25, Column CS. Item 25, Column CT. Item 25, Column CU. Item 25, Column CV. Item 25, Column CW. Item 25, Column CX. Item 25, Column CY. Item 25, Column CZ. Item 25, Column DA. Item 25, Column DB. Item 25, Column DC. Item 25, Column DD. Item 25, Column DE. Item 25, Column DF. Item 25, Column DG. Item 25, Column DH. Item 25, Column DI. Item 25, Column DJ. Item 25, Column DK. Item 25, Column DL. Item 25, Column DM. Item 25, Column DN. Item 25, Column DO. Item 25, Column DP. Item 25, Column DQ. Item 25, Column DR. Item 25, Column DS. Item 25, Column DT. Item 25, Column DU. Item 25, Column DV. Item 25, Column DW. Item 25, Column DX. Item 25, Column DY. Item 25, Column DZ. Item 25, Column EA. Item 25, Column EB. Item 25, Column EC. Item 25, Column ED. Item 25, Column EE. Item 25, Column EF. Item 25, Column EG. Item 25, Column EH. Item 25, Column EI. Item 25, Column EJ. Item 25, Column EK. Item 25, Column EL. Item 25, Column EM. Item 25, Column EN. Item 25, Column EO. Item 25, Column EP. Item 25, Column EQ. Item 25, Column ER. Item 25, Column ES. Item 25, Column ET. Item 25, Column EU. Item 25, Column EV. Item 25, Column EW. Item 25, Column EX. Item 25, Column EY. Item 25, Column EZ. Item 25, Column FA. Item 25, Column FB. Item 25, Column FC. Item 25, Column FD. Item 25, Column FE. Item 25, Column FF. Item 25, Column FG. Item 25, Column FH. Item 25, Column FI. Item 25, Column FJ. Item 25, Column FK. Item 25, Column FL. Item 25, Column FM. Item 25, Column FN. Item 25, Column FO. Item 25, Column FP. Item 25, Column FQ. Item 25, Column FR. Item 25, Column FS. Item 25, Column FT. Item 25, Column FU. Item 25, Column FV. Item 25, Column FW. Item 25, Column FX. Item 25, Column FY. Item 25, Column FZ. Item 25, Column GA. Item 25, Column GB. Item 25, Column GC. Item 25, Column GD. Item 25, Column GE. Item 25, Column GF. Item 25, Column GG. Item 25, Column GH. Item 25, Column GI. Item 25, Column GJ. Item 25, Column GK. Item 25, Column GL. Item 25, Column GM. Item 25, Column GN. Item 25, Column GO. Item 25, Column GP. Item 25, Column GQ. Item 25, Column GR. Item 25, Column GS. Item 25, Column GT. Item 25, Column GU. Item 25, Column GV. Item 25, Column GW. Item 25, Column GX. Item 25, Column GY. Item 25, Column GZ. Item 25, Column HA. Item 25, Column HB. Item 25, Column HC. Item 25, Column HD. Item 25, Column HE. Item 25, Column HF. Item 25, Column HG. Item 25, Column HH. Item 25, Column HI. Item 25, Column HJ. Item 25, Column HK. Item 25, Column HL. Item 25, Column HM. Item 25, Column HN. Item 25, Column HO. Item 25, Column HP. Item 25, Column HQ. Item 25, Column HR. Item 25, Column HS. Item 25, Column HT. Item 25, Column HU. Item 25, Column HV. Item 25, Column HW. Item 25, Column HX. Item 25, Column HY. Item 25, Column HZ. Item 25, Column IA. Item 25, Column IB. Item 25, Column IC. Item 25, Column ID. Item 25, Column IE. Item 25, Column IF. Item 25, Column IG. Item 25, Column IH. Item 25, Column II. Item 25, Column IJ. Item 25, Column IK. Item 25, Column IL. Item 25, Column IM. Item 25, Column IN. Item 25, Column IO. Item 25, Column IP. Item 25, Column IQ. Item 25, Column IR. Item 25, Column IS. Item 25, Column IT. Item 25, Column IU. Item 25, Column IV. Item 25, Column IW. Item 25, Column IX. Item 25, Column IY. Item 25, Column IZ. Item 25, Column JA. Item 25, Column JB. Item 25, Column JC. Item 25, Column JD. Item 25, Column JE. Item 25, Column JF. Item 25, Column JG. Item 25, Column JH. Item 25, Column JI. Item 25, Column JJ. Item 25, Column JK. Item 25, Column JL. Item 25, Column JM. Item 25, Column JN. Item 25, Column JO. Item 25, Column JP. Item 25, Column JQ. Item 25, Column JR. Item 25, Column JS. Item 25, Column JT. Item 25, Column JU. Item 25, Column JV. Item 25, Column JW. Item 25, Column JX. Item 25, Column JY. Item 25, Column JZ. Item 25, Column KA. Item 25, Column KB. Item 25, Column KC. Item 25, Column KD. Item 25, Column KE. Item 25, Column KF. Item 25, Column KG. Item 25, Column KH. Item 25, Column KI. Item 25, Column KJ. Item 25, Column KK. Item 25, Column KL. Item 25, Column KM. Item 25, Column KN. Item 25, Column KO. Item 25, Column KP. Item 25, Column KQ. Item 25, Column KR. Item 25, Column KS. Item 25, Column KT. Item 25, Column KU. Item 25, Column KV. Item 25, Column KW. Item 25, Column KX. Item 25, Column KY. Item 25, Column KZ. Item 25, Column LA. Item 25, Column LB. Item 25, Column LC. Item 25, Column LD. Item 25, Column LE. Item 25, Column LF. Item 25, Column LG. Item 25, Column LH. Item 25, Column LI. Item 25, Column LJ. Item 25, Column LK. Item 25, Column LL. Item 25, Column LM. Item 25, Column LN. Item 25, Column LO. Item 25, Column LP. Item 25, Column LQ. Item 25, Column LR. Item 25, Column LS. Item 25, Column LT. Item 25, Column LU. Item 25, Column LV. Item 25, Column LW. Item 25, Column LX. Item 25, Column LY. Item 25, Column LZ. Item 25, Column MA. Item 25, Column MB. Item 25, Column MC. Item 25, Column MD. Item 25, Column ME. Item 25, Column MF. Item 25, Column MG. Item 25, Column MH. Item 25, Column MI. Item 25, Column MJ. Item 25, Column MK. Item 25, Column ML. Item 25, Column MM. Item 25, Column MN. Item 25, Column MO. Item 25, Column MP. Item 25, Column MQ. Item 25, Column MR. Item 25, Column MS. Item 25, Column MT. Item 25, Column MU. Item 25, Column MV. Item 25, Column MW. Item 25, Column MX. Item 25, Column MY. Item 25, Column MZ. Item 25, Column NA. Item 25, Column NB. Item 25, Column NC. Item 25, Column ND. Item 25, Column NE. Item 25, Column NF. Item 25, Column NG. Item 25, Column NH. Item 25, Column NI. Item 25, Column NJ. Item 25, Column NK. Item 25, Column NL. Item 25, Column NM. Item 25, Column NO. Item 25, Column NP. Item 25, Column NQ. Item 25, Column NR. Item 25, Column NS. Item 25, Column NT. Item 25, Column NU. Item 25, Column NV. Item 25, Column NW. Item 25, Column NX. Item 25, Column NY. Item 25, Column NZ. Item 25, Column OA. Item 25, Column OB. Item 25, Column OC. Item 25, Column OD. Item 25, Column OE. Item 25, Column OF. Item 25, Column OG. Item 25, Column OH. Item 25, Column OI. Item 25, Column OJ. 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Item 25, Column UE. Item 25, Column UF. Item 25, Column UG. Item 25, Column UH. Item 25, Column UI. Item 25, Column UJ. Item 25, Column UK. Item 25, Column UL. Item 25, Column UM. Item 25, Column UN. Item 25, Column UO. Item 25, Column UP. Item 25, Column UQ. Item 25, Column UR. Item 25, Column US. Item 25, Column UT. Item 25, Column UV. Item 25, Column UW. Item 25, Column UX. Item 25, Column UY. Item 25, Column UZ. Item 25, Column VA. Item 25, Column VB. Item 25, Column VC. Item 25, Column VD. Item 25, Column VE. Item 25, Column VF. Item 25, Column VG. Item 25, Column VH. Item 25, Column VI. Item 25, Column VJ. Item 25, Column VK. Item 25, Column VL. Item 25, Column VM. Item 25, Column VN. Item 25, Column VO. Item 25, Column VP. Item 25, Column VQ. Item 25, Column VR. Item 25, Column VS. Item 25, Column VT. Item 25, Column VU. Item 25, Column VV. Item 25, Column VW. Item 25, Column VX. Item 25, Column VY. Item 25, Column VZ. Item 25, Column WA. Item 25, Column WB. Item 25, Column WC. Item 25, Column WD. Item 25, Column WE. Item 25, Column WF. Item 25, Column WG. Item 25, Column WH. Item 25, Column WI. Item 25, Column WJ. Item 25, Column WK. Item 25, Column WL. Item 25, Column WM. Item 25, Column WN. Item 25, Column WO. Item 25, Column WP. Item 25, Column WQ. Item 25, Column WR. Item 25, Column WS. Item 25, Column WT. Item 25, Column WU. Item 25, Column WV. Item 25, Column WW. Item 25, Column WX. Item 25, Column WY. Item 25, Column WZ. Item 25, Column XA. Item 25, Column XB. Item 25, Column XC. Item 25, Column XD. Item 25, Column XE. Item 25, Column XF. Item 25, Column XG. Item 25, Column XH. Item 25, Column XI. Item 25, Column XJ. Item 25, Column XK. Item 25, Column XL. Item 25, Column XM. Item 25, Column XN. Item 25, Column XO. Item 25, Column XP. Item 25, Column XQ. Item 25, Column XR. Item 25, Column XS. Item 25, Column XT. Item 25, Column XU. Item 25, Column XV. Item 25, Column XW. Item 25, Column XX. Item 25, Column XY. Item 25, Column XZ. Item 25, Column YA. Item 25, Column YB. Item 25, Column YC. Item 25, Column YD. Item 25, Column YE. Item 25, Column YF. Item 25, Column YG. Item 25, Column YH. Item 25, Column YI. Item 25, Column YJ. Item 25, Column YK. Item 25, Column YL. Item 25, Column YM. Item 25, Column YN. Item 25, Column YO. Item 25, Column YP. Item 25, Column YQ. Item 25, Column YR. Item 25, Column YS. Item 25, Column YT. Item 25, Column YU. Item 25, Column YV. Item 25, Column YW. Item 25, Column YX. Item 25, Column YY. Item 25, Column YZ. Item 25, Column ZA. Item 25, Column ZB. Item 25, Column ZC. Item 25, Column ZD. Item 25, Column ZE. Item 25, Column ZF. Item 25, Column ZG. Item 25, Column ZH. Item 25, Column ZI. Item 25, Column ZJ. Item 25, Column ZK. Item 25, Column ZL. Item 25, Column ZM. Item 25, Column ZN. Item 25, Column ZO. Item 25, Column ZP. Item 25, Column ZQ. Item 25, Column ZR. Item 25, Column ZS. Item 25, Column ZT. Item 25, Column ZU. Item 25, Column ZV. Item 25, Column ZW. Item 25, Column ZX. Item 25, Column ZY. Item 25, Column ZZ.

ENTER AMOUNTS IN DOLLARS ONLY

SCHEDULE 2—INVESTMENTS OTHER THAN U.S. TREASURY SECURITIES AND MORTGAGE INVESTMENTS

Description (A)	Amount (B)
Marketable Securities:	
1. Total Cost	\$
2. Total Book Value	
3. Itemize each Marketable Security holding which is valued at over \$1000 and which is also greater than 20% of the amount given in Line 2.	
(a)	
(b)	
(c)	
(d)	
Other Investments:	
4. Total Cost	
5. Total Book Value	
6. Itemize each Other Investment holding which is valued at over \$1000 and which is also greater than 20% of the amount given in Line 5. Also itemize each subsidiary for which separate reports are attached.	
(a)	
(b)	
(c)	
(d) Total from Additional Listings, if any	
7. Total of Lines 2 and 5	\$
Enter the Total from Line 7 in Item 28, Column B	

SCHEDULE 3—OTHER ASSETS

Description (A)	Book Value (B)
1. Security Investments	\$ 556
2. Lapel Pins in Stock	1,565
3. Prepaid Expenses	10,943
4. Rent Deposits	3,841
5. Total from Additional Listings, if any	1,350
6. Total of Lines 1 through 5	\$ 18,255
Enter the Total of Line 6 in Item 30, Column B	

SCHEDULE 4—OTHER LIABILITIES

Description (A)	Amount at End of Period (B)
1. Unearned inc. - Initiation Fees	\$ 18,534
2. Unearned inc. - IA Process Fee	3,550
3. Unearned 50th Advertising Fee	9,508
4. Accrued Payroll Tax	22
5.	
6.	
7.	
8. Total from Additional Listings, if any	
9. Total of Lines 1 through 8	\$ 31,614
Enter the Total from Line 9 in Item 35, Column D	

SCHEDULE 5—FIXED ASSETS

Description (A)	Cost or Other Basis (B)	Total Depreciation (If any) or Amount Expensed (C)	Book Value (D)	Fair Market Value (E)
1. Land (Give Location):	\$		\$	\$
2. Totals from Additional Listings, if any				
3. Buildings (Give Location):	\$			
4. Totals from Additional Listings, if any				
5. Automotive Equipment				
6. Office Furniture and Equipment	96,825	52,244	44,581	44,581
7. Other Fixed Assets	30,262	23,231	7,031	7,031
8. Totals of Lines 1 through 7	\$ 127,087	\$ 75,475	\$ 51,612	\$ 51,612
Enter the Total from Line 8, column D in		Item 29, Column B		

SCHEDULE 6—PURCHASE OF INVESTMENTS AND FIXED ASSETS

Description of Assets (If land or buildings, give location) (A)	Cost (B)	Book Value (C)	Cash Paid (D)
1. Typewriters	\$ 3,408	\$ 2,958	\$ 2,988
2. Computer CRT and Cables	1,603	1,406	1,603
3. Phone Speakers	1,169	994	1,169
4. Refrigerator	300	290	300
5. Totals from Additional Listings, if any	3,183	2,935	3,183
6. Totals of Lines 1 through 5	\$ 9,663	\$ 8,583	\$ 9,243
Enter the Total from Line 6, Column D in		Item 68	

Assets Traded In on Assets Purchased:	Cost (B)	Book Value (C)	Trade In Allowance (D)
(a) Typewriter	\$ 1,574	\$ 490	\$ 420
(b)			

SCHEDULE 7—SALE OF INVESTMENTS AND FIXED ASSETS

Description of Assets Sold (If land or building, give location) (A)	Cost (B)	Book Value (C)	Gross Sales Price (D)	Amount Received (E)
1.	\$	\$	\$	\$
2.				
3.				
4. Total from Additional Listings, if any				
5. Totals of Lines 1 through 4	\$	\$	\$	\$
Enter the Total from Line 5, Column E in		Item 50		

SCHEDULE 8—LOANS PAYABLE

Number of Loans Payable at any Time During the Reporting Period (A)	Terms for Repayment (B)	Balance at Start of Period (C)	Loans Obtained During Period (D)	Repayment of Loans During Period		Balance at End of Period (F)
				Cash (E)(1)	Other Than Cash (E)(2)	
1.		\$	\$	\$	\$	\$
2.						
3.						
4.						
5. Total from Additional Listings, if any						
6. Totals of Lines 1 through 5		\$	\$	\$	\$	\$
Enter the Totals from Line 6 in		Item 13, Column C	Item 49	Item 72	Item 73 with Explanation	Item 11, Column D

ENTER AMOUNTS IN DOLLARS ONLY

SCHEDULE 9—LIST OF ALL OFFICERS AND DISBURSEMENTS TO OFFICERS

Name (Important: List all persons who held office during the period) (A)	Title (B)	Status (C)	Gross Salary (before taxes and other deductions) (D)	Allowances (E)	Other Direct and Indirect Disbursements		Total (H)
					Expenses Including Reimbursed Expenses (F)	Other Disbursements (G)	
		C	\$ 66,610	\$ 2,600	\$ -	\$ -	\$ 69,210
		C	-	-	-	-	-
		C	-	-	-	-	-
		C	-	-	-	-	-
		C	-	-	-	-	-
		C	175	-	-	-	175
		C	436	-	-	-	436
10. Total from Additional Listings, if any.							
11. Totals of Lines 1 through 10.			\$ 67,221	\$ 2,600	\$ -	\$ -	\$ 69,821

Code for Column C, "Status": past officer—P; continuing officer—C; new officer during this reporting period—N.

Enter the Total of Line 11, Column H in Item 59(a)

NOTE: If any officer was not elected at a regular election in accordance with the constitution and bylaws, explain in Item 22.

SCHEDULE 10—DISBURSEMENTS TO EMPLOYEES

List below all employees who received more than \$10,000 in gross salary, allowances, and other direct and indirect disbursements from this labor organization and any affiliate.		Name of Affiliated Organization (if applicable) (C)	Gross Salary (before taxes and other deductions) (D)	Allowances (E)	Other Direct and Indirect Disbursements		Total (H)
(A)	Position (B)				Expenses Including Reimbursed Expenses (F)	Other Disbursements (G)	
		-	\$ 31,385	\$ -	\$ 82	\$ -	\$ 31,467
		-	57,115	-	4,141	-	61,256
3.	R. Brewer	REP	32,614	-	597	-	33,211
		-	31,451	-	-	-	31,451
		-	25,984	-	16	-	26,000
		-	166,053	-	2,322	-	168,452
7. Total for all employees who, during the reporting period, received \$10,000 or less gross salary, allowances, and other direct and indirect disbursements.			2,801	-	219	-	3,020
8. Totals of Lines 1 through 7.			\$ 347,403	\$ -	\$ 7,454	\$ -	\$ 354,857

Enter the Total of Line 8, Column H in Item 60(a)

SCHEDULE 11—BENEFITS

Type of Benefit (A)	To Whom Paid (B)	Amount (C)
1. Hospitalization & Medical	M.P. Fund	\$ 33,176
2. Members' Life Ins.	Occidental	33,056
3. M.P. Pension Fund	M.P. Ind.	
4.	Pension Fund	27,989
5.		
6.		
7.		
8.		
9.		
10. Total from Additional Listings, if any		
11. Total of Lines 1 through 10.		\$ 94,221

Enter the Total from Line 11 in Item 64

SCHEDULE 12—CONTRIBUTIONS, GIFTS AND GRANTS

Type (A)	Amount (B)
1. Motion Picture + T.V. Fund	\$ 955
2. VLPEC Picnic	200
3.	
4.	
5.	
6.	
7.	
8.	
9.	
10. Total from Additional Listings, if any	
11. Total of Lines 1 through 10	\$ 1,155

Enter the Total from Line 11 in Item 66

SCHEDULE 13—OTHER RECEIPTS

Other Sources (A)	Amount (B)
1. Liquidated Damages	\$ 14,398
2. Repayment of Mortgage	
3. Investments Made	3,028
4.	
5.	
6.	
7.	
8.	
9.	
10. Total from Additional Listings, if any	
11. Total of Lines 1 through 10.	\$ 17,426

Enter the Total from Line 11 in Item 63

SCHEDULE 14—OTHER DISBURSEMENTS

Other Purposes (A)	Amount (B)
1. <u>Payroll Deductions</u>	\$
2. Pension Deduction	4,202
3. TEFCU Deduction	7,300
4. Motion Picture Trust Fund	1,294
5. Insurance Deduction	58
6.	
7.	
8.	
9.	
10. Total from Additional Listings, if any	66,736
11. Total of Lines 1 through 10.	\$ 79,590

Enter the Total from Line 11 in Item 72

INTERNATIONAL SOUND TECHNICIANS
12/31/85

APR 04 1986

SCHEDULE 6 - ADDITIONAL LISTINGS

<u>Leasehold Improvements</u>	<u>Cost (B)</u>	<u>Book Value (C)</u>	<u>Cash Paid (D)</u>
Electrical Rewiring	\$3,166	\$2,918	\$3,166
<u>Investments</u>			
Marketable Securities	<u>17</u>	<u>17</u>	<u>17</u>
	\$3,183	\$2,935	\$3,183
	*****	*****	*****

SCHEDULE 3 ADDITIONAL LISTING

	<u>Book Value (B)</u>
I.A. Processing Fees (prepaid)	\$1,350

BOARD OF DIRECT

RESIDENCE

STUDIO

isne.

CET

BS -TJ Hook

BS W/T/F-17

Freelance

Freelance

Freelance

Freelance

Local 871

Warner Holly

Freelance

Glen Glenn

Warner Hwd.

Fox

Compact

Universal

Freelance

Freelance

Compact

BVC

Freelance

Editel

Editel

C.P.I.

Technicolor

M.G.M.

Disney

Freelance

APR 04 1986

BREWER, Roy - Consultant/Rep.

12/2/85

b6
b7C

INTERNATIONAL SOUND TECHNICIANS
12/31/85

SCHEDULE 14 - ADDITIONAL LISTINGS

<u>Description</u>	<u>Amount (B)</u>
Awards Expense	\$10,702
Defense Fund	15,115
Negotiations Expense	5,433
Picketing Expense	1,198
Travel Expense	9,377
Business Meeting Expense	4,647
Auto Expense	1,633
By-Laws Expense	7,843
Referendum Expense	5,373
Miscellaneous Expense	<u>5,415</u>
	\$66,736

APR 04 1986

LM-2

1984

LABOR ORGANIZATION ANNUAL REPORT
FORM LM-2

No. 1214-0001

MUST BE USED BY LABOR ORGANIZATIONS WITH \$100,000 OR MORE IN RECEIPTS
AND LABOR ORGANIZATIONS WHICH ARE UNDER TRUSTEESHIP

LMSA, LOS ANGELES

MAY 6 1985

Labor-Management Reporting and Disclosure Act of 1959, as amended
and
Title VII of the Civil Service Reform Act

This report is mandatory under P.L. 86-257, as amended. Failure to comply may result in criminal prosecution, fines, or civil penalties as provided by 29 U.S.C. secs. 439 or 440.

READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT. SUBMIT THIS REPORT IN DUPLICATE.

IMPORTANT

Label is here →
Please peel off top part
and place in same box on
next copy of form.

Label data are correct,
leave items 4 through
blank.

Label data are incorrect,
complete items 4 through 8.

007-032
72
JAMES OSBURN
STAGE & PICTURE OPERATORS AFL-CIO
LU 00695
11331 VENTURA BLVD SUITE 201 1234
STUDIO CITY CA 91604 L2 M

1. FILE NUMBER

007032

2. Period covered

From

MO

DAY

YR

01

01

84

Thru

12

31

84

3. CITY, COUNTY AND STATE WHERE CHARTERED TO OPERATE:

CITY STUDIO CITY

COUNTY LOS ANGELES

STATE CA

4. NAME OF LABOR ORGANIZATION (as shown on charter, constitution, etc.)

Internat'l Sound Technicians, Cine Technicians
Television Engineers of the Motion Picture &
V. Indust., Local 695 I.A.T.S.E. & M.P.M.O. of

5. AFFILIATION

US & Canada
I.A.T.S.E. & M.P.M.O. of U.S. & Canada

6. DESIGNATION (Local, Lodge, etc.)

LOCAL

7. DESIGNATION NUMBER

695

8. OFFICIAL MAILING ADDRESS (For mail to the organization):

(In care of) NAME OF PERSON

James A. Osburn

NUMBER AND STREET

11331 Ventura Boulevard

BLDG. AND ROOM NUMBER, IF ANY

Suite 201

9. Are organization records kept at the official mailing address? ☒ Yes ☐ No
If "No," show address including ZIP Code in Item 22.

CITY

STATE

ZIP CODE

Studio City

CA

91604

DURING THE REPORTING PERIOD DID YOUR ORGANIZATION DIRECTLY
OR INDIRECTLY:

YES NO

0. Have any accounts in banks or other financial institutions held
in a name other than that of your organization? ☐ Yes ☒ No
1. Liquidate or reduce any liabilities without disbursement of cash? ☐ Yes ☒ No
2. Create or participate in the administration of any business enter-
prises or other organizations which met the definition of a "sub-
sidiary organization" as that term is defined in the instructions
on page 27 ☐ Yes ☒ No
3. Acquire any goods or property in any manner other than by pur-
chase or dispose of any goods or property in any manner other
than by sale? ☐ Yes ☒ No
4. Create or participate in the administration of a trust or other
fund or organization, a primary purpose of which is to provide
benefits for members or their beneficiaries, as defined by sec-
tion 3(l) of the Act? ☒ Yes ☐ No
5. Discover any loss or shortage of funds or other property? ☐ Yes ☒ No

(If the answer to any of the above questions is "Yes," provide details in
Item 22. See specific instructions for items answered "Yes.")

16. A. Was the labor organization insured by a fidelity bond
during the reporting period? ☒ Yes ☐ No

B. If "Yes," enter the maximum amount recoverable
for loss caused by any person \$ 100,000

17. Enter the date of your organization's next regular election of officers.

Month February Year 1985

AS OF THE END OF THE REPORTING PERIOD:

18. Were any assets pledged as security or encumbered
in any other way? ☐ Yes ☒ No
19. Did your organization have any contingent liabilities? ☐ Yes ☒ No
(If the answer to Item 18 or 19 is "Yes," provide details in Item 22.)

20. Did your organization have any changes in its constitution and bylaws (other
than changed dues amounts) or in practices described in statements sub-
mitted with Form LM-1 or Form LM-1A since your organization filed Form
LM-1 or most recently updated it by filing a Form LM-1A? ☐ Yes ☒ No
If "Yes," attach an updated Form LM-1A to this report, with required
documents.

1. List fees and dues required. (Complete each line. Enter "None" or "Not
Applicable" as appropriate.)

- (1) Initiation fee or fees required from new members \$ _____
- (2) Fees other than dues required from transfer members \$ _____
- (3) Are work permits issued? ☐ Yes ☒ No
If "Yes," give fees required \$ _____ per _____
- (4) Regular dues or fees or other periodic payments required to remain
a member of the reporting labor organization (per year, mo., etc.) \$ _____ per _____

(A) If one rate applies, enter here

(B) If more than one rate applies, enter here

Minimum

Maximum

\$ 1043

\$ 3147

\$ 1043

\$ 3147

\$ _____ per _____

\$ _____ per _____

\$ _____ per _____

\$ 63 per Qtr

\$ 88 per Qtr

2. ADDITIONAL INFORMATION (If this is a terminal report, see Section XII of the instructions.)

Item Number

14

Administers life insurance coverage of members through Transamerica
Occidental Life Insurance Co.

(If more space is needed, attach additional sheets with further statement, properly identified.)

Each of the undersigned, duly authorized officers of the above labor organization, declares, under the applicable penalties of law,* that all of the information sub-
mitted in this report (including the information contained in any accompanying documents) has been examined by the signatory and is, to the best of the under-
signed's knowledge and belief, true, correct and complete.

75. SIGNED: James A. Osburn Bus. Rep.

PRESIDENT

(If other title, cross

out and write in

exact title above.

Explain in Item 22.)

at: Studio City, California

on: 3/27/85

City

State

Date

(818) 985-9204

Telephone Number (Include Area Code)

76. SIGNED: James A. Osburn Executive Director

TREASURER

(If other title, cross

out and write in

exact title above.

Explain in Item 22.)

at: Studio City, California

on: 3/27/85

City

State

Date

(818) 985-9204

Telephone Number (Include Area Code)

*See section on "Penalties" in accompanying instructions.

FILL OUT SCHEDULES 1 THROUGH 14 BEFORE
FILLING OUT STATEMENTS A THROUGH C

ENTER AMOUNTS IN DOLLARS ONLY

STATEMENT A—ASSETS AND LIABILITIES

ASSETS		FROM SCH #	Start of Reporting Period (A)		cts	End of Reporting Period (B)		cts	LIABILITIES		FROM SCH #	Start of Reporting Period (C)		cts	End of Reporting Period (D)		cts
Item									Item								
23. (a) Cash on hand (Statement C, lines 1)			\$ 150	XX		\$ 1,470	XX		32. Accounts Payable			\$ 53,829	XX		\$ 18,980	XX	
(b) Cash in banks (Statement C, lines 2)			102,321	XX		187,889	XX		33. Loans Payable	8		1,118	XX		NONE	XX	
24. Accounts Receivable			160,175	XX		130,352	XX		34. Mortgages Payable			NONE	XX		NONE	XX	
25. Loans Receivable	1		NONE	XX		NONE	XX		35. Other Liabilities	4		NONE	XX		38,781	XX	
26. U.S. Treasury Securities			NONE	XX		NONE	XX		36. TOTAL LIABILITIES			\$ 54,947	XX		\$ 57,761	XX	
27. Mortgage Investments			277,196	XX		274,779	XX		NET ASSETS								
28. Other Investments	2		NONE	XX		NONE	XX		37. Net Assets (Item 31 less Item 36)			\$ 549,166	XX		\$ 631,706	XX	
29. Fixed Assets	5		45,892	XX		56,682	XX										
30. Other Assets	3		18,379	XX		38,295	XX										
31. TOTAL ASSETS			\$ 604,113	XX		\$ 689,467	XX										

STATEMENT B—RECEIPTS AND DISBURSEMENTS

CASH RECEIPTS		FROM SCH #	(A)		cts	CASH DISBURSEMENTS		FROM SCH #	(B)		cts
Item						Item					
38. Dues			\$ 796,856	XX		55. Per Capita Tax			\$ 144,138	XX	
39. Per Capita Tax			NONE	XX		56. Fees, Fines, Assessments, etc.			NONE	XX	
40. Fees			170,531	XX		57. To Affiliates of Funds Collected on Their Behalf			4,788	XX	
41. Fines			10,544	XX		58. For Account of Affiliates			NONE	XX	
42. Assessments			180	XX		59. To Officers:	9				
43. Work Permits			NONE	XX		(a) Gross			95,918		
44. On Behalf of Affiliates for Transmittal to Them			NONE	XX		(b) Less Deductions			17,325		
45. Sale of Supplies			187	XX		60. To Employees:	10				
46. Interest			59,797	XX		(a) Gross			290,029		
47. Dividends			18	XX		(b) Less Deductions			72,377		
48. Rents			NONE	XX		61. Office and Administrative Expense					
49. Loans Obtained	8		NONE	XX		62. Educational and Publicity Expense					
50. Sale of Investments and Fixed Assets	7		NONE	XX		63. Professional Fees					
51. Repayment of Loans Made	1		NONE	XX		64. Benefits	11				
52. From Members for Disbursement on Their Behalf			NONE	XX		65. Loans Made	1				
53. From Other Sources	13		19,876	XX		66. Contributions, Gifts and Grants	12				
54. TOTAL RECEIPTS (Items 38 through 53)			\$ 1,057,989	XX		67. Supplies for Resale					
						68. Purchase of Investments and Fixed Assets	6				
						69. Direct Taxes					
						70. Withholding Taxes					
						71. On Behalf of Individual Members					
						72. Repayment of Loans Obtained	8				
						73. For Other Purposes	14				
						74. TOTAL DISBURSEMENTS (Items 55 through 73)			\$ 971,101	XX	

STATEMENT C—CASH

Cash at Start of Reporting Period		(A)	Cash at End of Reporting Period		(B)
1. Cash on Hand		\$ 150	1. Cash on Hand		\$ 1,470
2. Cash in Banks (Checking Accounts and Other Deposits)		102,321	2. Cash in Banks (Checking Accounts and Other Deposits)		187,889
3. Total of Lines 1 and 2		102,471	<div>↓</div>		
4. Total Receipts from Line 54		1,057,989			
5. Total of Lines 3 and 4		1,160,460			
6. Total Disbursements from Line 74		971,101			
7. Deduct Line 6 from Line 5		\$ 189,359	3. Total of Lines 1 and 2		\$ 189,359

If the amount entered in line 7, column (A) does not equal the amount entered in line 3, column (B), there is a discrepancy in your reporting. Correct the discrepancy or explain it in Item 22.

If more space is needed to list items in any of the schedules below, continue the list on additional sheets, using the same column headings used on the schedule and enter the totals on the line provided for additional listings on the schedule.

SCHEDULE 1—LOANS RECEIVABLE

List below outstanding loans to officers, employees, or members which at any time during the reporting period exceeded \$250 and list all loans, regardless of amount, made to business enterprises. (A)	Loans Outstanding at Start of the Period (B)	Loans Made During the Period (C)	Repayments Received During the Period		Balance at the End of the Period (E)
			Cash (D)(1)	Other Than Cash (D)(2)	
1. (Name) _____ (Purpose) _____ (Security) _____ (Terms of Repayment) _____	\$	\$	\$	\$	\$
2. (Name) _____ (Purpose) _____ (Security) _____ (Terms of Repayment) _____					
3. Totals from Additional Listings, if any.					
4. Totals of Loans not Listed above.					
5. Totals of Lines 1 through 4.	\$	\$	\$	\$	\$

Enter the Totals from Line 5 in Item 25, Column A. Item 65, Item 51, Item 22, Item 25, Column B with Explanation

ENTER AMOUNTS IN DOLLARS ONLY

SCHEDULE 9—LIST OF ALL OFFICERS AND DISBURSEMENTS TO OFFICERS

EXPENSES AND DISBURSEMENTS TO OFFICERS							
Name (Important: List all persons who held office during the period) (A)	Title (B)	Status (C)	Gross Salary (before taxes and other deductions) (D)	Allowances (E)	Other Direct and Indirect Disbursements		Total (H)
					Expenses Including Reimbursed Expenses (F)	Other Disbursements (G)	
		C	\$ 62,259	\$ 2,600	\$ 25,719	\$ -	\$ 90,578
		C	-	-	1,000	-	1,000
		N	-	-	1,000	-	1,000
		C	-	-	360	-	360
		C	-	-	1,000	-	1,000
		C	1,980	-	-	-	1,980
		N	-	-	-	-	NONE
		N	-	-	-	-	NONE
10. Total from Additional Listings, if any.							
11. Totals of Lines 1 through 10.			\$ 64,239	\$ 2,600	\$ 29,079	\$ -	\$ 95,918

Code for Column C, "Status": past officer—P; continuing officer—C; new officer during this reporting period—N.

Enter the Total of Line 11, Column H in Item 59(a)

NOTE: If any officer was not elected at a regular election in accordance with the constitution and bylaws, explain in Item 22.

SCHEDULE 10—DISBURSEMENTS TO EMPLOYEES

List below all employees who received more than \$10,000 in gross salary, allowances, and other direct and indirect disbursements from this labor organization and any affiliate.

1 2 3 4 5 6 7 8	Name (A)	Position (B)	Name of Affiliated Organization (if applicable) (C)	Gross Salary (before taxes and other deductions) (D)	Allowances (E)	Other Direct and Indirect Disbursements		Total (H)
						Expenses Including Reimbursed Expenses (F)	Other Disbursements (G)	
1.			-	\$ 44,560	\$ -	\$ 6,088	\$ -	\$ 50,648
2.	R. Brewer	REP	-	34,133	-	1,527	-	35,660
3.			-	41,754	-	2,989	-	44,743
4.			-	31,835	-	-	-	31,835
5.			-	27,250	-	-	-	27,250
6.	Total from Additional Listings, if any			88,090	-	1,441	-	89,531
7.	Total for all employees who, during the reporting period, received \$10,000 or less gross salary, allowances, and other direct and indirect disbursements.			10,161	-	201	-	10,362
8.	Totals of Lines 1 through 7.			\$ 277,783	\$ -	\$ 12,246	\$ -	\$ 290,029

Enter the Total of Line 8, Column H in Item 60(a)

SCHEDULE 11—BENEFITS

Type of Benefit (A)	To Whom Paid (B)	Amount (C)
1. Hospital. & Medical	MP H&W Fund	\$ 28,052
2. Members' Life Ins.	Occidental	48,173
3. M.P. Pension Fund	MP Ind.	25,081
4.	Pension Fund	
5.		
6.		
7.		
8.		
9.		
10. Total from Additional Listings, if any		
11. Total of Lines 1 through 10.		\$ 101,306

Enter the Total from Line 11 in Item 64

SCHEDULE 12—CONTRIBUTIONS, GIFTS AND GRANTS

Type (A)	Amount (B)
1. Motion Picture & TV Fund	\$ 2,235
2. Burbank Police Officers Assoc	250
3. Center for Imp. Child Care	500
4. VLPEC Picnic	200
5. Local 720	500
6.	
7.	
8.	
9.	
10. Total from Additional Listings, if any	
11. Total of Lines 1 through 10.	\$ 3,685

Enter the Total from Line 11 in Item 66

SCHEDULE 13—OTHER RECEIPTS

Other Sources (A)	Amount (B)
1. Liquidated Damages	\$ 17,459
2.	
3. Payment of Mortgage	
4. Investments Made	2,417
5.	
6.	
7.	
8.	
9.	
10. Total from Additional Listings, if any	
11. Total of Lines 1 through 10.	\$ 19,876

Enter the Total from Line 11 in Item 53

SCHEDULE 14—OTHER DISBURSEMENTS

Other Purposes (A)	Amount (B)
1. Payroll Deductions	\$
2. Pension Deductions	3,800
3. Motion Picture & TV Fund	1,381
4. Employee Insurance	44
5.	
6.	
7.	
8.	
9.	
10. Total from Additional Listings, if any	45,139
11. Total of Lines 1 through 10.	\$ 50,364

Enter the Total from Line 11 in Item 73

SCHEDULE 2—INVESTMENTS OTHER THAN U.S. TREASURY SECURITIES AND MORTGAGE INVESTMENTS

Description (A)	Amount (B)
Marketable Securities:	
1. Total Cost	\$
2. Total Book Value	
3. Itemize each Marketable Security holding which is valued at over \$1000 and which is also greater than 20% of the amount given in Line 2.	
(a)	
(b)	
(c)	
(d)	
Other Investments:	
4. Total Cost	
5. Total Book Value	
6. Itemize each Other Investment holding which is valued at over \$1000 and which is also greater than 20% of the amount given in Line 5. Also itemize each subsidiary for which separate reports are attached.	
(a)	
(b)	
(c)	
(d) Total from Additional Listings, if any	
7. Total of Lines 2 and 5.	\$
Enter the Total from Line 7 in Item 28, Column B	

SCHEDULE 3—OTHER ASSETS

Description (A)	Book Value (B)
1. Securities Investments	\$ 539
2. IATSE Per Capita Stamps	30,000
3. Rent Deposits	3,841
4. Lapel Pins in Stock	1,565
5. Total from Additional Listings, if any	2,350
6. Total of Lines 1 through 5.	\$ 38,295
Enter the Total of Line 6 in Item 30, Column B	
SCHEDULE 4—OTHER LIABILITIES	
Description (A)	Amount at End of Period (B)
1. Unearned Inc. - Init. Fees	\$ 24,297
2. Unearned Inc. - IA Process Fees	4,975
3. Unearned 50th Advertising Fees	9,509
4.	
5.	
6.	
7.	
8. Total from Additional Listings, if any	
9. Total of Lines 1 through 8.	\$ 38,781
Enter the Total from Line 9 in Item 35, Column D	

SCHEDULE 5—FIXED ASSETS

Description (A)	Cost or Other Basis (B)	Total Depreciation (If any) or Amount Expensed (C)	Book Value (D)	Fair Market Value (E)
1. Land (Give Location):	\$		\$	\$
2. Totals from Additional Listings, if any				
3. Buildings (Give Location):		\$		
4. Totals from Additional Listings, if any				
5. Automotive Equipment				
6. Office Furniture and Equipment	91,999	40,135	51,864	51,864
7. Other Fixed Assets (Leasehold Improvements)	27,097	22,279	4,818	4,818
8. Totals of Lines 1 through 7.	\$ 119,096	\$ 62,414	\$ 56,682	\$ 56,682
Enter the Total from Line 8, column D in Item 29, Column B				

SCHEDULE 6—PURCHASE OF INVESTMENTS AND FIXED ASSETS

Description of Assets (If land or buildings, give location) (A)	Cost (B)	Book Value (C)	Cash Paid (D)
1. Computer and Accessories	\$ 20,767	\$ 20,075	\$ 20,767
2. Computer Furniture/Desks	557	548	557
3. Phone Speakers	1,018	831	1,018
4. Check Writer	285	280	285
5. Totals from Additional Listings, if any (Leasehold Improvements)	4,932	4,579	4,932
6. Totals of Lines 1 through 5.	\$ 27,559	\$ 26,313	\$ 27,559
Enter the Total from Line 6, Column D in Item 68			

7. Assets Traded In on Assets Purchased:	Cost (B)	Book Value (C)	Trade-In Allowance (D)
Description of Asset Traded In (A)			
(a)	\$	\$	\$
(b)			

SCHEDULE 7—SALE OF INVESTMENTS AND FIXED ASSETS

Description of Assets Sold (If land or building, give location) (A)	Cost (B)	Book Value (C)	Gross Sales Price (D)	Amount Received (E)
1.	\$	\$	\$	\$
2.				
3.				
4. Total from Additional Listings, if any				
5. Totals of Lines 1 through 4.	\$	\$	\$	\$
Enter the Total from Line 5, Column E in Item 50				

SCHEDULE 8—LOANS PAYABLE

Source of Loans Payable at any Time During the Reporting Period (A)	Terms for Repayment (B)	Balance at Start of Period (C)	Loans Obtained During Period (D)	Repayment of Loans During Period		Balance at End of Period (F)
				Cash (E)(1)	Other Than Cash (E)(2)	
1. IBM		\$ 262	\$ NONE	\$ 262	\$	\$ NONE
2. IBM		856	NONE	856		NONE
3.						
4.						
5. Total from Additional Listings, if any						
6. Totals of Lines 1 through 5.		\$ 1,118	\$	\$ 1,118	\$	\$ NONE
Enter the Totals from Line 6 in Item 33, Column C Item 49 Item 72 Item 22, with Explanation Item 33, Column D						

APR 8 1985

SCHEDULE 6 - ADDITIONAL LISTINGS

<u>Leasehold Improvements</u>	(B)	(C)	(D)
Wall Building & Reconstruction	\$4,610.00	\$4,269.00	\$4,610.00
Alarm Improvement	<u>322.00</u>	<u>310.00</u>	<u>322.00</u>
	<u>\$4,932.00</u>	<u>\$4,579.00</u>	<u>\$4,932.00</u>

SCHEDULE 3 - ADDITIONAL LISTINGS

	(B)
I.A. Processing Fees (Pre-Paid)	<u>\$2,350.00</u>

SCHEDULE 10 - ADDITIONAL LISTING

(A)	(B)	(D)	(E)	(F)
Name		Gross Salary	Allowances	Expenses Incl. Reim.
		\$18,564.00	-	\$1,436.00
		18,983.00	-	5.00
		19,193.00	-	-
		17,873.00	-	-
		13,477.00	-	-
				\$20,000.00
				18,988.00
				19,193.00
				17,873.00
				13,477.00
		\$88,090.00	-	1,441.00
				89,531.00

APR 8 1985

SCHEDULE 14 - ADDITIONAL LISTINGS

Convention Expense	\$ 18,454
Credit Cards	7,569
Negotiation Expense	1,676
Picketing Expense	1,040
Travel Expense	4,040
Awards Expense	6,983
Miscellaneous Expense	5,377

\$ 45,139

BOARD OF DIRECTORS

RESIDENCE

STUDIO

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KCET
Vidtronics
Vidtronics
KTLLA
Editel
Deluxe Lab
Technicolo
M.G.M.
Universal

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Freelance

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BREWER, Roy - Consultant/Rep.

**

9-11-84

b6
b7C

REPORT OF CURRENT STATUS:
LABOR ORGANIZATION INFORMATION SUPPLEMENT
FORM LM-1A

10 APR 1983

Labor-Management Reporting and Disclosure Act of 1959, as amended
and

MAY 5 1983

Title VII of the Civil Service Reform Act

FILE

This report is mandatory under PL. 86-257, as amended. Failure to comply may result in criminal prosecution, fines, and civil penalties as provided by 29 U.S.C., sec. 209.

READ INSTRUCTIONS CAREFULLY BEFORE PREPARING REPORT. SUBMIT THIS REPORT IN DUPLICATE.

1. NAME OF LABOR ORGANIZATION (as shown on charter, constitution, etc.) International Sound Technicians, Cine-technicians and Television Engineers of the Motion Picture and Television Inc.		2. LAST DAY OF REPORT PERIOD Month Day Year 12 31 82		3. FILE NUMBER LM 007 032	
4. AFFILIATION Local 695, I.A.T.S.E. & M.P.M.O. of U.S. and Canada		8. MAILING ADDRESS (for official mail to the organization): (In care of) NAME OF PERSON James A. Osburn			
5. DESIGNATION (local, lodge, etc.) Local		6. DESIGNATION NUMBER 695		NUMBER AND STREET 11331 Ventura Blvd., Suite #201	
7. CITY, COUNTY AND STATE WHERE CHARTERED TO OPERATE: City County State Studio City Los Angeles CA				BLDG. AND ROOM NUMBER, IF ANY	
CITY Studio City		STATE CA		ZIP CODE 91604	

9. TWO COPIES OF YOUR LABOR ORGANIZATION'S CURRENT CONSTITUTION AND BYLAWS MUST BE FILED WITH THIS REPORT. UNDER CERTAIN CIRCUMSTANCES (SEE INSTRUCTIONS FOR THIS ITEM) YOUR PARENT NATIONAL OR INTERNATIONAL MAY FILE COPIES ON YOUR BEHALF.

Is your parent national or international submitting copies on your behalf? ☐ Yes ☒ No

List below each document filed with this report.

Copy of revised Local 695 Constitution and By-laws
Copy of list of Board of Directors

FEDERAL-EMPLOYEE LABOR ORGANIZATIONS SUBJECT SOLELY TO TITLE VII OF THE CIVIL SERVICE REFORM ACT ARE NOT REQUIRED TO COMPLETE ITEM 10.

10. THE FOLLOWING QUESTIONS MUST BE ANSWERED WITH RESPECT TO THE CONSTITUTION AND BYLAWS BEING SUBMITTED FOR THIS REPORT.

DO THE CONSTITUTION AND BYLAWS CONTAIN PROVISIONS EXPLAINING IN DETAIL THE PROCEDURES FOLLOWED OR THE REQUIREMENTS FOR THE FOLLOWING? (If your answer to any of the items below is "No," submit in duplicate a statement that either (1) explains in detail the union custom, practice, or procedure, or (2) states that there is no union custom, practice, or procedure governing such matters. Submit such detailed statements in Item 11 or on separate sheets attached to this form. For each statement, identify the item below to which the statement refers by using one of the letters (A) through (M). For each separate sheet submitted, place your union's name and file number on the upper right corner of the sheet.)

	YES	NO		YES	NO
(A) Qualifications for or restrictions on membership	<input checked="" type="checkbox"/>	<input type="checkbox"/>	(H) Discipline or removal of officers or agents for breaches of their trust	<input checked="" type="checkbox"/>	<input type="checkbox"/>
(B) Levying of assessments	<input checked="" type="checkbox"/>	<input type="checkbox"/>	(I) Imposition of fines, suspensions, and expulsions of members including the grounds for such actions and provisions made for notice, hearings, judgment on the evidence, and appeal procedures	<input checked="" type="checkbox"/>	<input type="checkbox"/>
(C) Participation in insurance or other benefit plans	<input type="checkbox"/>	<input checked="" type="checkbox"/>	(J) Authorization for bargaining demands	<input type="checkbox"/>	<input checked="" type="checkbox"/>
(D) Authorization for disbursement of labor organization funds	<input checked="" type="checkbox"/>	<input type="checkbox"/>	(K) Ratification of contract terms	<input type="checkbox"/>	<input checked="" type="checkbox"/>
(E) Audit of labor organization financial transactions	<input checked="" type="checkbox"/>	<input type="checkbox"/>	(L) Authorization for strikes	<input checked="" type="checkbox"/>	<input type="checkbox"/>
(F) The calling of regular and special meetings	<input checked="" type="checkbox"/>	<input type="checkbox"/>	(M) Issuance of work permits	<input checked="" type="checkbox"/>	<input type="checkbox"/>
(G) (1) The selection of officers and stewards and of any representative to other bodies composed of labor organizations' representatives	<input checked="" type="checkbox"/>	<input type="checkbox"/>			
(2) All procedures which a member must follow to protest a defect in the election of officers (including not only all procedures for initiating an election protest but also all procedures for subsequently appealing an adverse decision; e.g., procedures for appeals to superior or parent bodies, if any)	<input checked="" type="checkbox"/>	<input type="checkbox"/>			

11. ADDITIONAL INFORMATION

ITEM NUMBER	
C	Although not in By-Laws, Life Insurance included - Form 5500 (Formerly File No. WP-121742)
J	Board of Directors approval.
K	Ratification of contract by Board of Directors and members.
** M	Applies only to change of classification. Work permits not required for employment.

(If more space is needed, attach additional sheets with further statements, properly identified.)

Each of the undersigned, duly authorized officers of the above labor organization, declares, under the applicable penalties of law,* that all of the information submitted herewith (including the information contained in any accompanying documents) has been examined by the signatory and is, to the best of the undersigned's knowledge and belief, true, correct, and complete.

12. SIGNED: <u>James A. Osburn</u> PRESIDENT 3/31/ (If other title, cross out and write in correct title above. Explain in Item 11.) at: Studio City, CA on: 83 City State Date 213-985-9204 Telephone No. (include area code)		13. SIGNED: <u>James A. Osburn</u> TREASURER 3/31/ (If other title, cross out and write in correct title above. Explain in Item 11.) at: Studio City, CA on: 83 City State Date Telephone No. (include area code)	
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*See section on "Penalties" in Instructions for Form LM-2 or LM-3.

LABOR ORGANIZATION ANNUAL REPORT
FORM LM-2

Form approved
by Management & Budget
214-0001

MUST BE USED BY LABOR ORGANIZATIONS WITH \$100,000 OR MORE IN RECEIPTS
AND LABOR ORGANIZATIONS WHICH ARE UNDER TRUSTEESHIP

FILE - L

Labor-Management Reporting and Disclosure Act of 1959, as amended
and
Title VII of the Civil Service Reform Act

This report is mandatory under P.L. 86-257, as amended. Failure to comply with this report is a criminal prohibition, felony, or civil penalties as provided by 29 U.S.C. Secs. 436 or 443.

READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT. SUBMIT THIS REPORT IN DUPLICATE.

IMPORTANT

If label is here
please peel off top part
and place in same box on
next copy of form.

If label data are correct,
leave items 4 through
8 blank.

If label data are incorrect,
complete items 4 through 8.

JAMES OSBURN
STAGE & PICTURE OPERATORS AFL-CIO
LU 00635
11331 VENTURA BLVD SUITE 201 120-
STUDIO CITY CA 91604 L2 M

1. FILE NUMBER

LM007032

2. Period covered

From

Thru

MO

DAY

YR

01

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84

12

31

84

3. CITY, COUNTY AND STATE WHERE CHARTERED TO OPERATE:

CITY STUDIO CITY

COUNTY LOS ANGELES

STATE CA

4. NAME OF LABOR ORGANIZATION (as shown on charter, constitution, etc.)

International Sound Technicians, Cine Technicians
& Television Engineers of the Motion Picture &
TV Indust., Local 695 I.A.T.S.E. & M.P.M.O. of

5. AFFILIATION

US & Canada

I.A.T.S.E. & M.P.M.O. of U.S. & Canada

6. DESIGNATION (Local, Lodge, etc.)

LOCAL

7. DESIGNATION NUMBER

695

8. OFFICIAL MAILING ADDRESS (if not mail to the organization):

(in care of) NAME OF PERSON

James A. Osburn

NUMBER AND STREET

11331 Ventura Boulevard

BLDG AND ROOM NUMBER, IF ANY

Suite 201

CITY

Studio City

STATE

CA

ZIP CODE

91604

DURING THE REPORTING PERIOD DID YOUR ORGANIZATION DIRECTLY
OR INDIRECTLY:

YES NO

10. Have any accounts in banks or other financial institutions held
in a name other than that of your organization? ☐ Yes ☒ No

11. Liquidate or reduce any liabilities without disbursement of cash? ☐ Yes ☒ No

12. Create or participate in the administration of any business enter-
prises or other organizations which meet the definition of a "sub-
sidiary organization" as that term is defined in the instructions
on page 2? ☐ Yes ☒ No

13. Acquire any goods or property in any manner other than by pur-
chase or dispose of any goods or property in any manner other
than by sale? ☐ Yes ☒ No

14. Create or participate in the administration of a trust or other
fund or organization, a primary purpose of which is to provide
benefits for members or their beneficiaries, as defined by sec-
tion 3(i) of the Act? ☒ Yes ☐ No

15. Discover any loss or shortage of funds or other property? ☐ Yes ☒ No

(If the answer to any of the above questions is "Yes," provide details in
Item 22. See specific instructions for items answered "Yes.")

16. A. Was the labor organization insured by a fidelity bond

during the reporting period? ☒ Yes ☐ No

B. If "Yes," enter the maximum amount recoverable

for loss caused by any person \$ 100,000

17. Enter the date of your organization's next regular election of officers.

Month February Year 1985

AS OF THE END OF THE REPORTING PERIOD:

18. Were any assets pledged as security or encumbered

in any other way? ☐ Yes ☒ No

19. Did your organization have any contingent liabilities? ☐ Yes ☒ No

(If the answer to item 18 or 19 is "Yes," provide details in Item 22.)

20. Did your organization have any changes in its constitution and bylaws (other
than changed dues amounts) or in provisions described in statements sub-
mitted with form LM-1 or form LM-1A since your organization filed form
LM-1 or most recently updated it by filing a form LM-1A? ☐ Yes ☒ No
If "Yes," attach an updated form LM-1A to this report, with required
documents

21. List fees and dues required. (Complete each line. Enter "None" or "Nil"
Applicable" as appropriate)

(1) Initiation fee or fees required from new members

(A) If one rate applies, enter here

\$ 1043

(B) If more than one rate applies, enter here

Minimum \$ 1043 Maximum \$ 3147

(2) Fees other than dues required from transfer members

\$ 1043

\$ 1043

(3) Are work permits issued? ☐ Yes ☒ No

If "Yes," give fees required

\$ per

\$ per

(4) Regular dues or fees or other periodic payments required to remain
a member of the reporting labor organization (per year, mo., etc.)

\$ 63 per Qtr

\$ 88 per Qtr

22. ADDITIONAL INFORMATION (If this is a terminal report, see Section XII of the instructions)

Item Number

14

Administers life insurance coverage of members through Transamerica
Occidental Life Insurance Co.

(If more space is needed, attach additional sheets with further statement, properly identified.)

Each of the undersigned, duly authorized officers of the above labor organization, declares, under the applicable penalties of law, that all of the information sub-
mitted in this report (including the information contained in any accompanying documents) has been examined by the signatory and is, to the best of the under-
signed's knowledge and belief, true, correct, and complete.

23. SIGNED

Bus. Rep./

Executive Director

24. SIGNED

TREASURER

at Studio City, California

on 3/27/85

at Studio City, California

on 3/27/85

City

State

Date

(818) 985-9204

Telephone Number (Include Area Code)

City

State

Date

(818) 985-9204

Telephone Number (Include Area Code)

*See section on "Penalties" in accompanying instructions.

FILL OUT SCHEDULES 1 THROUGH 14 BEFORE
FILLING OUT STATEMENTS A THROUGH E

ENTER AMOUNTS IN DOLLARS ONLY

STATEMENT A—ASSETS AND LIABILITIES

Item	ASSETS	FROM SCH #	Start of Reporting Period (A)	End of Reporting Period (B)	Item	LIABILITIES	FROM SCH #	Start of Reporting Period (C)	End of Reporting Period (D)
23.	(a) Cash on hand (Statement C, line 1)		\$ 150	\$ 1,470	32.	Accounts Payable		\$ 53,829	\$ 18,980
	(b) Cash in banks (Statement C, line 2)		102,321	187,889	33.	Loans Payable	8	1,118	NONE
24.	Accounts Receivable		160,175	130,352	34.	Mortgages Payable		NONE	NONE
25.	Loans Receivable	1	NONE	NONE	35.	Other Liabilities	4	NONE	38,781
26.	U.S. Treasury Securities		NONE	NONE	36.	TOTAL LIABILITIES		\$ 54,947	\$ 57,761
27.	Mortgage Investments		277,196	274,779		NET ASSETS			
28.	Other Investments	2	NONE	NONE					
29.	Fixed Assets	5	45,892	56,682	37.	Net Assets (Item 31 less Item 36)		\$ 549,166	\$ 631,706
30.	Other Assets	3	18,379	38,295					
31.	TOTAL ASSETS		\$ 604,113	\$ 689,467					

STATEMENT B—RECEIPTS AND DISBURSEMENTS

Item	CASH RECEIPTS	FROM SCH #	(A)	Item	CASH DISBURSEMENTS	FROM SCH #	(B)
38.	Dues		\$ 796,851	55.	Per Capita Tax		\$ 144,138
39.	Per Capita Tax		NONE	56.	Fees, Fines, Assessments, etc.		NONE
40.	Fees		170,531	57.	To Affiliates of Funds Collected on Their Behalf		4,788
41.	Fines		10,540	58.	For Account of Affiliates		NONE
42.	Assessments		180	59.	To Officers:	9	
43.	Work Permits		NONE	(a) Gross		95,918	
44.	On Behalf of Affiliates for Transmittal to Them		NONE	(b) Less Deductions		17,325	78,593
45.	Sale of Supplies		187	60.	To Employees:	10	
46.	Interest		59,792	(a) Gross		290,029	217,652
47.	Dividends		18	(b) Less Deductions		72,377	132,218
48.	Rents		NONE	61.	Office and Administrative Expense		1,100
49.	Loans Obtained	8	NONE	62.	Educational and Publicity Expense		97,015
50.	Sale of Investments and Fixed Assets	7	NONE	63.	Professional Fees		101,306
51.	Repayment of Loans Made	1	NONE	64.	Benefits	11	NONE
52.	From Members for Disbursement on Their Behalf		NONE	65.	Loans Made	1	3,685
53.	From Other Sources	12	19,876	66.	Contributions, Gifts and Grants	12	NONE
54.	TOTAL RECEIPTS (Items 38 through 53)		\$ 1,057,989	67.	Supplies for Recs		27,559
				68.	Purchase of Investments and Fixed Assets	6	27,089
				69.	Charter Taxes		84,476
				70.	Withholding Taxes		NONE
				71.	On Behalf of Individual Members		1,118
				72.	Repayment of Loans Obtained	8	50,364
				73.	For Other Purposes	14	
				74.	TOTAL DISBURSEMENTS (Items 55 through 73)		\$ 971,101

STATEMENT C—CASH

Cash at Start of Reporting Period	(A)	Cash at End of Reporting Period	(B)
1. Cash on Hand	\$ 150	1. Cash on Hand	\$ 1,470
2. Cash in Banks (Checking Accounts and Other Deposits)	102,321	2. Cash in Banks (Checking Accounts and Other Deposits)	187,889
3. Total of Lines 1 and 2	102,471		
4. Total Receipts from Line 54	1,057,989		
5. Total of Lines 3 and 4	1,160,460		
6. Total Disbursements from Line 74	971,101		
7. Deduct Line 6 from Line 5	\$ 189,359	3. Total of Lines 1 and 2	\$ 189,359

If the amount entered in line 7, column (A) does not equal the amount entered in line 3, column (B), there is a discrepancy in your reporting. Correct the discrepancy or explain it in Item 22.

If more space is needed to list items in any of the schedules below, continue the list on additional sheets, using the same column headings used on the schedule and enter the totals on the line provided for additional listings on the schedule.

SCHEDULE 1—LOANS RECEIVABLE

List below outstanding loans to officers, employees, or members which at any time during the reporting period exceeded \$250 and list all loans, regardless of amount, made to business enterprises.	Loans Outstanding at Start of the Period (B)	Loans Made During the Period (C)	Repayments Received During the Period		Balance at the End of the Period (E)
			Cash (D(1))	Other Than Cash (D(2))	
1. (Name) _____ (Purpose) _____ (Security) _____ (Terms of Repayment) _____					
2. (Name) _____ (Purpose) _____ (Security) _____ (Terms of Repayment) _____					
3. Totals from Additional Listings, if any.					
4. Totals of Loans not listed above.					
5. Totals of Lines 1 through 4.					

Enter the Totals from Line 5 in: Nom 25, Column A; Nom 55, Column B; Nom 51, Column C; Nom 22, Column D; Nom 25, Column E.

SCHEDULE 2—INVESTMENTS OTHER THAN U.S. TREASURY SECURITIES AND MORTGAGE INVESTMENTS

Description (A)	Amount (B)
Marketable Securities:	
1. Total Cost	\$
2. Total Book Value	
3. Itemize each Marketable Security holding which is valued at over \$1000 and which is also greater than 20% of the amount given in Line 2.	
(a)	
(b)	
(c)	
(d)	
Other Investments:	
4. Total Cost	
5. Total Book Value	
6. Itemize each Other Investment holding which is valued at over \$1000 and which is also greater than 20% of the amount given in Line 5. Also itemize each subsidiary for which separate reports are attached.	
(a)	
(b)	
(c)	
(d) Total from Additional Listings, if any	
7. Total of Lines 2 and 5.	\$
Enter the Total from Line 7 in	

Item 28, Column B

SCHEDULE 3—OTHER ASSETS

Description (A)	Book Value (B)
1. Securities Investments	\$ 539
2. IATSE Per Capita Stamps	30,000
3. Rent Deposits	3,841
4. Lapel Pins in Stock	1,565
5. Total from Additional Listings, if any	2,350
6. Total of Lines 1 through 5.	\$ 38,295
Enter the Total of Line 6 in	
SCHEDULE 4—OTHER LIABILITIES	
Description (A)	Amount at End of Period (B)
1. Unearned Inc. - Init. Fees	\$ 24,297
2. Unearned Inc. - IA Process Fees	4,975
3. Unearned 50th Advertising Fees	9,509
4.	
5.	
6.	
7.	
8. Total from Additional Listings, if any	
9. Total of Lines 1 through 8	\$ 38,781
Enter the Total from Line 9 in	

Item 30, Column B

Item 35, Column D

SCHEDULE 5—FIXED ASSETS

Description (A)	Cost or Other Basis (B)	Total Depreciation (If any) or Amount Expensed (C)	Book Value (D)	Fair Market Value (E)
1. Land (Give Location):	\$		\$	\$
2. Totals from Additional Listings, if any				
3. Buildings (Give Location):				
4. Totals from Additional Listings, if any				
5. Automotive Equipment				
6. Office Furniture and Equipment	91,999	40,135	51,864	51,864
7. Other Fixed Assets (Leasehold Improvements)	27,097	22,279	4,818	4,818
8. Totals of Lines 1 through 7.	\$ 119,096	\$ 62,414	\$ 56,682	\$ 56,682
Enter the Total from Line 8, column D in				

Item 29, Column B

SCHEDULE 6—PURCHASE OF INVESTMENTS AND FIXED ASSETS

Description of Assets (If land or buildings, give location) (A)	Cost (B)	Book Value (C)	Cash Paid (D)
1. Computer and Accessories	\$ 20,767	\$ 20,075	\$ 20,767
2. Computer Furniture/Desks	557	548	557
3. Phone Speakers	1,018	831	1,018
4. Check Writer	285	280	285
5. Totals from Additional Listings, if any (Leasehold Improvements)	4,932	4,579	4,932
6. Totals of Lines 1 through 5.	\$ 27,559	\$ 26,313	\$ 27,559
Enter the Total from Line 6, Column D in			

Item 48

Description of Asset Traded In (A)	Cost (B)	Book Value (C)	Trade-In Allowance (D)
7. Assets Traded In on Assets Purchased:			
(a)	\$	\$	\$
(b)			

SCHEDULE 7—SALE OF INVESTMENTS AND FIXED ASSETS

Description of Assets Sold (If land or building, give location) (A)	Cost (B)	Book Value (C)	Gross Sales Price (D)	Amount Received (E)
1.	\$	\$	\$	\$
2.				
3.				
4. Total from Additional Listings, if any				
5. Totals of Lines 1 through 4.	\$	\$	\$	\$
Enter the Total from Line 5, Column E in				

Item 30

SCHEDULE 8—LOANS PAYABLE

Source of Loans Payable at any Time During the Reporting Period (A)	Terms for Repayment (B)	Balance at Start of Period (C)	Loans Obtained During Period (D)	Repayment of Loans During Period		Balance at End of Period (F)
				Cash (E)(1)	Other Than Cash (E)(2)	
1. IBM		\$ 262	\$ NONE	\$ 262	\$	\$ NONE
2. IBM		856	NONE	856		NONE
3.						
4.						
5. Total from Additional Listings, if any						
6. Totals of Lines 1 through 5		\$ 1,118	\$	\$ 1,118	\$	\$ NONE
Enter the Totals from Line 6 in						

Item 33, Column C

Item 49

Item 72

Item 27 with Explanation

Item 33, Column D

ENTER AMOUNTS IN DOLLARS ONLY

SCHEDULE 9—LIST OF ALL OFFICERS AND DISBURSEMENTS TO OFFICERS

1. Name (Important: List all persons who held office during the period) (A)	2. Title (B)	3. Status (C)	4. Gross Salary (before taxes and other deductions) (D)	5. Allowances (E)	6. Other Direct and Indirect Disbursements		8. Total (H)
					7. Expenses Including Reimbursed Expenses (F)	9. Other Disbursements (G)	
1.		C	\$ 62,259	\$ 2,600	\$ 25,719	\$ -	\$ 90,578
2.		C	-	-	1,000	-	1,000
3.		N	-	-	1,000	-	1,000
4.		C	-	-	360	-	360
5.		C	-	-	1,000	-	1,000
6.		C	1,980	-	-	-	1,980
7.		N	-	-	-	-	NONE
8.		N	-	-	-	-	NONE
9.							
10.	Total from Additional Listings, if any.						
11.	Totals of Lines 1 through 10.		\$ 64,239	\$ 2,600	\$ 29,079	\$ -	\$ 95,918

Code for Column C, "Status": past officer—P, current officer—C, new officer during this reporting period—N.

Enter the Total of Line 11, Column H in

Item 99(a)

NOTE: If any officer was not elected at a regular election in accordance with the constitution and bylaws, explain in Item 22.

SCHEDULE 10—DISBURSEMENTS TO EMPLOYEES

List below all employees who received more than \$10,000 in gross salary, allowances, and other direct and indirect disbursements from this labor organization and any affiliate.		3. Name of Affiliated Organization (if applicable) (C)	4. Gross Salary (before taxes and other deductions) (D)	5. Allowances (E)	6. Other Direct and Indirect Disbursements		8. Total (H)
(A)	Position (B)				7. Expenses Including Reimbursed Expenses (F)	9. Other Disbursements (G)	
1.		-	\$ 44,560	\$ -	\$ 6,088	\$ -	\$ 50,648
2.	R. Brewer	REP	34,133	-	1,527	-	35,660
3.		-	41,754	-	2,989	-	44,743
4.		-	31,835	-	-	-	31,835
5.		-	27,250	-	-	-	27,250
6.	Total from Additional Listings, if any.		88,191	-	1,441	-	89,531
7.	Total for all employees who, during the reporting period, received \$10,000 or less gross salary, allowances, and other direct and indirect disbursements.		10,161	-	201	-	10,362
8.	Totals of Lines 1 through 7.		\$ 277,783	\$ -	\$ 12,246	\$ -	\$ 290,029

Enter the Total of Line 8, Column H in

Item 60(a)

SCHEDULE 11—BENEFITS

Type of Benefit (A)	To Whom Paid (B)	Amount (C)
1. Hospital, & Medical	MP H&W Fund	\$ 28,052
2. Members' Life Ins.	Occidental	48,173
3. M.P. Pension Fund	MP Ind.	25,081
4.	Pension Fund	
5.		
6.		
7.		
8.		
9.		
10. Total from Additional Listings, if any		
11. Total of Lines 1 through 10.		\$ 101,306

Enter the Total from Line 11 in

Item 64

SCHEDULE 12—CONTRIBUTIONS, GIFTS AND GRANTS

Type (A)	Amount (B)
1. Motion Picture & TV Fund	\$ 2,235
2. Burbank Police Officers Assoc	250
3. Center for Imp. Child Care	500
4. VIPEC Picnic	200
5. Local 720	500
6.	
7.	
8.	
9.	
10. Total from Additional Listings, if any	
11. Total of Lines 1 through 10.	\$ 3,685

Enter the Total from Line 11 in

Item 66

SCHEDULE 13—OTHER RECEIPTS

Other Sources (A)	Amount (B)
1. Liquidated Damages	\$ 17,459
2.	
3. Payment of Mortgage	
4. Investments Made	2,417
5.	
6.	
7.	
8.	
9.	
10. Total from Additional Listings, if any	
11. Total of Lines 1 through 10.	\$ 19,876

Enter the Total from Line 11 in

Item 83

SCHEDULE 14—OTHER DISBURSEMENTS

SCHEDULE F—OTHER DISBURSEMENTS	
Other Purposes (A)	Amount (B)
1. Payroll Deductions	\$
2. Pension Deductions	3,800
3. Motion Picture & TV Fund	1,381
4. Employee Insurance	44
5.	
6.	
7.	
8.	
9.	
10. Total from Additional Listings, if any	45,139
11. Total of Lines 1 through 10.	\$50,264

Enter the Total from Line 11 in

Item 73

SCHEDULE 6 - ADDITIONAL LISTINGS

<u>Leasehold Improvements</u>	(B)	(C)	(D)
Wall Building & Reconstruction	\$4,610.00	\$4,269.00	\$4,610.00
Alarm Improvement	<u>322.00</u>	<u>310.00</u>	<u>322.00</u>
	<u>\$4,932.00</u>	<u>\$4,579.00</u>	<u>\$4,932.00</u>

SCHEDULE 3 - ADDITIONAL LISTINGS

	(B)
I.A. Processing Fees (Pre-Paid)	<u>\$2,350.00</u>

SCHEDULE 10 - ADDITIONAL LISTINGS

(A)	(B)	(D)	(E)	(F)	(H)
Name		Gross Salary	Allowances	Expenses Incl. Reim.	Total
		\$18,564.00	-	\$1,436.00	\$20,000.00
		18,983.00	-	5.00	18,988.00
		19,193.00	-	-	19,193.00
		17,873.00	-	-	17,873.00
		13,477.00	-	-	13,477.00
		\$88,090.00	-	1,441.00	89,531.00

APR 8 1955

SCHEDULE 14 - ADDITIONAL LISTINGS

Convention Expense	\$ 18,454
Credit Cards	7,569
Negotiation Expense	1,676
Picketing Expense	1,040
Travel Expense	4,040
Awards Expense	6,983
Miscellaneous Expense	5,377

\$ 45,139

LABOR ORGANIZATION ANNUAL REPORT
FORM LM-2

MUST BE USED BY LABOR ORGANIZATIONS WITH \$100,000 OR MORE IN RECEIPTS
AND LABOR ORGANIZATIONS WHICH ARE UNDER TRUSTEESHIP

Labor-Management Reporting and Disclosure Act of 1959, as amended
and
Title VII of the Civil Service Reform Act

READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT. SUBMIT THIS REPORT IN DUPLICATE.

APR 11 1984

JAMES OSBURN
STAGE & PICTURE OPERATORS AFL-CIO
LOCAL 695
11331 VENTURA BLVD SUITE 201
STUDIO CITY CA 91604

FILE NUMBER	LM007032
Period Covered	MO DAY YR
From	01 01 83
To	12 31 83

3. CITY, COUNTY AND STATE WHERE CHARTERED TO OPERATE

CITY STUDIO CITY

COUNTY LOS ANGELES

STATE CALIFORNIA

4. NAME OF LABOR ORGANIZATION (If it is a local union, enter "LOCAL" followed by the local number.)
INTERNATIONAL SOUND TECHNICIANS CINE TECHNICIANS
AND TELEVISION ENGINEERS OF THE MOTION PICTURE &
TELEVISION INDUSTRY, LOCAL 695 I.A.T.S.E. & M.P.M.O.
OF U.S. AND CANADA

5. OFFICIAL MAILING ADDRESS (If it is not the same as the address in item 3, enter it here.)

(If correct) NAME OF PERSON

JAMES A OSBURN

NUMBER AND STREET

11331 VENTURA BOULEVARD, SUITE 201

BLDG. AND ROOM NUMBER, IF ANY

6. DESIGNATION (Local, Lodge, etc.)

LOCAL

7. DESIGNATION NUMBER

695

9. Are organization records kept at the official mailing address? ☐ Yes ☒ No
If "No," show address including ZIP Code in item 20.

CITY

STUDIO CITY

STATE

CALIFORNIA

ZIP CODE

91604

DURING THE REPORTING PERIOD DID YOUR ORGANIZATION DIRECTLY OR INDIRECTLY:

10. Have any accounts in banks or other financial institutions held in a name other than that of your organization? ☒ Yes ☐ No
11. Liquidate or reduce any liabilities with or without payment of cash? ☒ Yes ☐ No
12. Create or participate in the administration of any business, enterprise or other organization which met the definition of a "subsidiary organization" as that term is defined in the instructions on page 2? ☒ Yes ☐ No
13. Acquire any goods or property in any manner other than by purchase or disposal of any goods or property in any manner other than by sale? ☒ Yes ☐ No
14. Create or participate in the administration of a trust or other fund or organization, a primary purpose of which is to provide benefits for members or their beneficiaries as defined by section 5 of the Act? ☒ Yes ☐ No
15. Disclose any loss or shortage of funds or other property? ☒ Yes ☐ No

(If the answer to any of the above questions is "Yes," provide details in item 22. See specific instructions for items answered "Yes.")

16. A. Was the labor organization insured by a fidelity bond during the reporting period? ☒ Yes ☐ No
B. If "Yes," enter the maximum amount recoverable for loss caused by any person: \$100,000.

17. Enter the date of your organization's next regular election of officers.

Month FEBRUARY

Year 1984

AS OF THE END OF THE REPORTING PERIOD:

18. Were any assets pledged as security or encumbered in any other way? ☐ Yes ☒ No

19. Did your organization have any contingent liabilities? ☐ Yes ☒ No

(If the answer to item 18 or 19 is "Yes," provide details in item 22.)

20. Did your organization have any changes in its constitution and bylaws (other than changes in dues amounts) or in practices described in statements submitted with Form LM-1 or Form LM-1A since your organization filed Form LM-1 or must recently updated it by filing a Form LM-1A? ☒ Yes ☐ No
If "Yes," attach an updated Form LM-1A to this report with required documents.

21. List fees and dues required. (Complete both (A) and (B) for each fee or dues category.)

(1) Initiation fee or fees required from new members

(A) If one rate applies enter here

(B) If more than one rate applies enter here

(2) Fees other than dues required from transfer members

(3) Are work permits issued? ☐ Yes ☒ No

If "Yes," give fees required

(4) Regular dues or fees or other periodic payments required to remain a member of the reporting labor organization (per year, mo., etc.)

\$
\$
\$
\$

1,043.
1,043.
per
39. per QTR

3,147.
3,147.
per
63. per QTR

22. ADDITIONAL INFORMATION (If this is a terminal report, see Section III of the instructions.)

Item Number

14

FORM 5500 ANNUAL RETURN/REPORT OF EMPLOYEE BENEFIT PLAN

STUDIO CITY, CALIFORNIA

(213) 965-9204

STATEMENT A—ASSETS AND LIABILITIES

Item	ASSETS	FROM SCH #	Start of Reporting Period (A)		cts	End of Reporting Period (B)		cts	Item	LIABILITIES	FROM SCH #	Start of Reporting Period (C)		cts	End of Reporting Period (D)		cts
23	(a) Cash on hand (Statement of Cash Flows 2)		150.	XX		150.	XX		32	Accounts Payable		41,017.	XX		53,829.	XX	
	(b) Cash in banks (Statement of Cash Flows 2)		133,050.	XX		102,321.	XX		33	Loans Payable	8	2,351.	XX		1,118.	XX	
24	Accounts Receivable		116,555.	XX		160,175.	XX		34	Mortgages Payable		NONE	XX		NONE	XX	
25	Loans Receivable	1	NONE	XX		NONE	XX		35	Other Liabilities	4	NONE	XX				
26	U.S. Treasury Securities		NONE	XX		NONE	XX		36	TOTAL LIABILITIES		43,368.	XX		54,947.	XX	
27	Mortgage Investments		279,300.	XX		277,196.	XX			NET ASSETS							
28	Other Investments	2	NONE	XX		NONE	XX										
29	Fixed Assets	5	45,201.	XX		45,892.	XX		37	Net Assets (Item 31 less Item 36)		552,465.	XX		549,166.	XX	
30	Other Assets	3	21,577.	XX		18,379.	XX										
31	TOTAL ASSETS		595,833.	XX		604,113.	XX										

STATEMENT B—RECEIPTS AND DISBURSEMENTS

Item	CASH RECEIPTS	FROM SCH #	(A)		cts	Item	CASH DISBURSEMENTS	FROM SCH #	(B)		cts
38	Dues		507,519.	XX		55	Per Capita Tax		119,200.	XX	
39	Per Capita Tax		NONE	XX		56	Fees, Fines, Assessments, etc.		NONE	XX	
40	Fees		146,647.	XX		57	To Affiliates of Funds Collected on Their Behalf		5,325.	XX	
41	Fines		10,944.	XX		58	For Account of Affiliates		NONE	XX	
42	Assessments		1,755.	XX		59	To Officers:				
43	Work Permits		NONE	XX		(a) Gross	70,917.	9			
44	On Behalf of Affiliates for Transmittal to Them		NONE	XX		(b) Less Deductions	15,030.		55,887.	XX	
45	Sale of Supplies		NONE	XX		60	To Employees:				
46	Interest		42,132.	XX		(a) Gross	203,292.	10			
47	Dividends		21.	XX		(b) Less Deductions	51,009.		152,283.	XX	
48	Rents		NONE	XX		61	Office and Administrative Expense		154,664.	XX	
49	Loans Obtained	8	NONE	XX		62	Educational and Publicity Expense			XX	
50	Sale of Investments and Fixed Assets	7	NONE	XX		63	Professional Fees		68,821.	XX	
51	Repayment of Loans Made	1	2,105.	XX		64	Benefits	11	82,811.	XX	
52	From Members for Disbursement on Their Behalf		6,475.	XX		65	Loans Made	1	NONE	XX	
53	From Other Sources	13	10,781.	XX		66	Contributions, Gifts and Grants	12	875.	XX	
54	TOTAL RECEIPTS (Items 38 through 53)		728,379.	XX		67	Supplies for Resale		1,742.	XX	
						68	Purchase of Investments and Fixed Assets	6	15,654.	XX	
						69	Direct Taxes		18,414.	XX	
						70	Withholding Taxes		61,876.	XX	
						71	On Behalf of Individual Members		NONE	XX	
						72	Repayment of Loans Obtained	8	1,233.	XX	
						73	For Other Purposes	14	20,323.	XX	
						74	TOTAL DISBURSEMENTS (Items 55 through 73)		759,108.	XX	

STATEMENT C—CASH

Cash at Start of Reporting Period		(A)	Cash at End of Reporting Period		(B)
1. Cash on Hand		150.	1. Cash on Hand		150.
2. Cash in Banks (Checking Accounts and Other Deposits)		133,050.	2. Cash in Banks (Checking Accounts and Other Deposits)		102,321.
3. Total of Lines 1 and 2		133,200.			
4. Total Receipts from Line 54		728,379.			
5. Total of Lines 3 and 4		861,579.			
6. Total Disbursements from Line 74		759,108.			
7. Deduct Line 6 from Line 5		102,471.	3. Total of Lines 1 and 2		102,471.

If the amount entered in line 7, column (A) does not equal the amount entered in line 3, column (B), there is a discrepancy in your reporting. Correct the discrepancy or explain it in Item 22.

If more space is needed to list items in any of the schedules below, continue the list on additional sheets, using the same column headings used on the schedule and enter the totals on the line provided for additional listings on the schedule.

SCHEDULE 1—LOANS RECEIVABLE

List below outstanding loans to officers, employees, or members which at any time during the reporting period exceeded \$250 and list all loans, regardless of amount, made to business enterprises (A)	Loans Outstanding at Start of the Period (B)	Loans Made During the Period (C)	Repayments Received During the Period		Balance at the End of the Period (E)
			Cash (D)(1)	Other Than Cash (D)(2)	
1. (Name) [REDACTED] (Purpose) SALE OF BUILDING (LOCAL 789) (Security) TRUST DEED (Terms of Repayment) 220/MO. INC. INT	16,906.		1,166.		15,740.
2. (Name) BAZOIAN TRUST (Purpose) SALE OF BUILDING (Security) TRUST DEED (Terms of Repayment) 604.49/MO INC INT	262,394.		938.		261,456.
3. Totals from Additional Listings of 819					
4. Totals of Loans not listed above					
5. Totals of Lines 1 through 4	279,300.		2,104.		277,196.
Enter the Totals from Line 5 in	Item 25 Column A	Item 65	Item 61	Item 77 with Explanation	Item 25 Column B

ENTER AMOUNTS IN DOLLARS ONLY

SCHEDULE 2—INVESTMENTS OTHER THAN U.S. TREASURY SECURITIES AND MORTGAGE INVESTMENTS

Description (A)	Amount (B)
Marketable Securities	
1 Total Cost	\$
2 Total Book Value	
3 Itemize each Marketable Security holding which is valued at over \$1000 and which is also greater than 20% of the amount given in Line 2	
(a)	
(b)	
(c)	
(d)	
Other Investments	
4 Total Cost	
5 Total Book Value	
6 Itemize each Other Investment holding which is valued at over \$1000 and which is also greater than 20% of the amount given in Line 5. Also itemize each subsidiary for which separate reports are attached	
(a)	
(b)	
(c)	
(d)	
7 Total from Additional Listings, if any	
8 Total of Lines 1 through 5	\$
Enter the Total from Line 8 in Item 28, Column D	

SCHEDULE 3—OTHER ASSETS

Description (A)	Book Value (B)
1 I.A.T.S.E. PER CAPITA STAMPS	\$ 12,000.
2 PREPAID EXPENSES & DEFERRED INT	5,840.
3 SECURITIES INVESTMENTS	539.
4	
5 Total from Additional Listings, if any	
6 Total of Lines 1 through 5	\$ 18,379.
Enter the Total of Line 6 in Item 30, Column B	

SCHEDULE 4—OTHER LIABILITIES

Description (A)	Amount at End of Period (B)
1	\$
2	
3	
4	
5	
6 Total from Additional Listings, if any	
7 Total of Lines 1 through 6	\$
Enter the Total from Line 7 in Item 35, Column D	

SCHEDULE 5—FIXED ASSETS

Description (A)	Cost or Other Basis (B)	Total Depreciation (if any) or Amount Expensed (C)	Book Value (D)	Fair Market Value (E)
1 Land (Give Location)	\$		\$	\$
2 Totals from Additional Listings, if any				
3 Buildings (Give Location)		\$		
4 Totals from Additional Listings, if any				
5 Automotive Equipment				
6 Office Furniture and Equipment	69,222.	31,168.		38,054.
7 Other Fixed Assets LEASEHOLD IMPROVEMENTS	22,165.	14,327.		7,838.
8 Totals of Lines 1 through 7	\$ 91,387.	\$ 45,495.	\$	\$ 45,892.
Enter the Total from Line 8, column D in Item 29, Column B				

SCHEDULE 6—PURCHASE OF INVESTMENTS AND FIXED ASSETS

Description of Assets (if land or buildings, give location) (A)	Cost (B)	Book Value (C)	Cash Paid (D)
1 FLAG - 695 EMBLEM	\$ 693.	\$ 554.	\$ 693.
2 PHONE SYSTEM	12,515.	10,012.	12,515.
3 STENO CHAIRS	581.	465.	581.
4 LEASE HOLD IMPROVEMENTS	1,492.	639.	1,492.
5 Totals from Additional Listings, if any SECURITIES	373.	373.	373.
6 Totals of Lines 1 through 5	\$ 15,654.	\$ 11,670.	\$ 15,654.
Enter the Total from Line 6, Column D in Item 68			

7. Assets Traded In on Assets Purchased.

Description of Asset Traded In (A)	Cost (B)	Book Value (C)	Trade In Allowance (D)
(a)	\$	\$	\$
(b)			

SCHEDULE 7—SALE OF INVESTMENTS AND FIXED ASSETS

Description of Assets Sold (if land or building, give location) (A)	Cost (B)	Book Value (C)	Gross Sales Price (D)	Amount Received (E)
1	\$	\$	\$	\$
2				
3				
4 Total from Additional Listings, if any				
5 Totals of Lines 1 through 4	\$	\$	\$	\$
Enter the Total from Line 5, Column E in Item 51c				

SCHEDULE 8—LOANS PAYABLE

Balance of Loans Payable at any Time During the Reporting Period (A)	Terms for Repayment (B)	Balance at Start of Period (C)	Payments During Period (D)	Payments at End of Period (E)	Other Payments (F)	Total Payments (G)
1 I.B.M.		891.	629.			262.
2 I.B.M.		1,400.	604.			856.
3						
4 Total from Additional Listings, if any						
5 Totals of Lines 1 through 4		\$ 2,291.	\$ 1,233.			\$ 1,118.
Enter the Totals from Line 5 in Item 45, Item 46, Item 47, Item 48, Item 49						

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ENTER AMOUNTS IN DOLLARS ONLY

SCHEDULE 9—LIST OF ALL OFFICERS AND DISBURSEMENTS TO OFFICERS

Name (Important: List all persons who held office during the period) (A)	Title (B)	Status (C)	Gross Salary (before taxes and other deductions) (D)	Allowances (E)	Other Direct and Indirect Disbursements		Total (H)
					Expenses Including Reimbursed Expenses (F)	Other Disbursements (G)	
		C	\$ 66,712.	\$ 2,600.	\$ 1,068.		\$ 70,380.
		C					NONE
		C					NONE
		C			537.		537.
		C					NONE
7.							
8.							
9.							
10	Total from Additional Listings, if any						
11	Totals of Lines 1 through 10		\$ 66,712.	\$ 2,600.	\$ 1,605.		\$ 70,917.

Code for Column C, "Status": past officer—P, continuing officer—C, new officer during this reporting period—N
Enter the Total of Line 11, Column H in Item 59(a)
NOTE: If any officer was not elected at a regular election in accordance with the constitution and bylaws, explain in Item 22.

SCHEDULE 10—DISBURSEMENTS TO EMPLOYEES

List below all employees who received more than \$10,000 in gross salary, allowances, and other direct and indirect disbursements from this labor organization and any affiliate		Name of Affiliated Organization (if applicable) (C)	Gross Salary (before taxes and other deductions) (D)	Allowances (E)	Other Direct and Indirect Disbursements		Total (H)
(A)	Position (B)				Expenses Including Reimbursed Expenses (F)	Other Disbursements (G)	
			\$ 45,385.		\$ 4,852.		\$ 50,237.
2.	ROY BREWER-ASST BR		35,833.		823.		36,656.
			31,849.		NONE		31,849.
			19,537.		NONE		19,537.
			15,467.		NONE		15,467.
			44,013.		NONE		44,013.
7.	Total for all employees who, during the reporting period, received \$10,000 or less gross salary, allowances, and other direct and indirect disbursements.		5,432.		101.		5,533.
8.	Totals of Lines 1 through 7		\$ 197,516.		\$ 5,776.		\$ 203,292.

Enter the Total of Line 8, Column H in Item 60(a)

SCHEDULE 11—BENEFITS

Type of Benefit (A)	To Whom Paid (B)	Amount (C)
1. LIFE INSURANCE	OCCIDENTAL	\$ 46,554.
2. MEDICAL & HOSPITAL	MP HEALTH &	16,622.
3. PENSION	MP IND PENS.	17,635.
4.		
5.		
6.		
7.		
8.		
9.		
10. Total from Additional Listings, if any		
11. Total of Lines 1 through 10		\$ 82,811.

Enter the Total from Line 11 in Item 64

SCHEDULE 12—CONTRIBUTIONS, GIFTS AND GRANTS

Type (A)	Amount (B)
1 MOTION PICTURE & T.V. FUND	\$ 45.
2 REEL TO REEL	255.
3 CHRISTMAS CHECKS (ILL MBRs)	575.
4.	
5.	
6.	
7.	
8.	
9.	
10 Total from Additional Listings, if any	
11. Total of Lines 1 through 10	\$ 875.

Enter the Total from Line 11 in Item 66

SCHEDULE 13—OTHER RECEIPTS

Other Sources (A)	Amount (B)
1. LIQUIDATED DAMAGES	\$ 10,781.
2. (REC'D FROM PRODUCERS FOR CONTRACT VIOLATIONS)	
3.	
4.	
5.	
6.	
7.	
8.	
9.	
10. Total from Additional Listings, if any	
11. Total of Lines 1 through 10	\$ 10,781.

Under New Total from Line 11 on

None

Enter the Total from Line 11 in Item 68

SCHEDULE 14—OTHER DISBURSEMENTS

Other Purposes (A)	Amount (B)
1 CONVENTION EXPENSES	2,080.
2 REAL ESTATE COMMISSIONS RE 1982	9,962.
3 FLOWERS, ETC	250.
4 REFERANDUM EXPENSES	1,868.
5	
6 PAYROLL DEDUCTIONS:	
7 M.P. IND PENSION EMPLOYEE	2,711.
8 M.P.T.F. EMPLOYEE	1,392.
9 INS EMPLOYEE	58.
10	
11 Total of Lines 1 through 10	21,323.
Enter the Total from Line 11 in	
Non. 7a	

Enter the Total from Line 11 in Item 70

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INTERNATIONAL SOUND TECH
STUDIO CITY, CA

STATEMENT 1
FILE NUMBER LM007032

LABOR ORGANIZATION ANNUAL REPORT
FORM LM-2

SCHEDULE 10-DISBURSEMENTS TO EMPLOYEES

ADDITIONAL LISTINGS

(A)	(B)	(D)	(H)
		25,936.	25,936.
		18,077.	18,077.
		-----	-----
		44,013.	44,013.
		-----	-----

COLUMNS WITHOUT ENTRY OMITTED

MM-10100-10

3/1/83

BOARD OF DIRECTORS

Residence

Studio

b6
b7C

* Re-elected for another term.

NEWSREEL

Universal
Disney
Compact Video
Freelance
Freelance
Local 871
Skyline Prods.
M.G.M.
Freelance
Freelance
Warner Hwd.
Freelance
Freelance
Warner Hwd.
Freelance
Paramount
Vidtronics
Vidtronics
KCET
Vidtronics
Vidtronics
Vidtronics
Vidtronics
C.F.I.
Deluxe Lab.
Moviolab
Universal
Disney
Freelance

LM-2

1982

FILE LABOR ORGANIZATION ANNUAL REPORT
FORM LM-2

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b7C

**MUST BE USED BY LABOR ORGANIZATIONS WITH \$100,000 OR MORE IN RECEIPTS
AND LABOR ORGANIZATIONS WHICH ARE UNDER TRUSTEESHIP**

Labor-Management Reporting and Disclosure Act of 1959, as amended

and

Title VII of the Civil Service Reform Act

MAY 5 1983

This report is mandatory under P.L. 86-257, as amended. Failure to comply may result in criminal prosecution, fines, or civil penalties as provided by 29 U.S.C., secs. 439 or 445.

READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT. SUBMIT THIS REPORT IN DUPLICATE.

IMPORTANT

If label is here →
please peel off top part
and place in same box on
next copy of form.

If label data are correct,
leave items 4 through
8 blank.

If label data are incorrect,
complete items 4 through 8.

JAMES OSBURN
STAGE & PICTURE OPERATORS AFL-CIO 6
LU 00695
11331 VENTURA BLVD SUITE 201 1282
STUDIO CITY CA 91604 L2 M

1. FILE NUMBER

LM 007037

2. Period covered

From

Thru

MO	DAY	YR
1	1	82
12	3	82

3. CITY, COUNTY AND STATE WHERE CHARTERED TO OPERATE:

CITY Studio City COUNTY Los Angeles STATE California

4. NAME OF LABOR ORGANIZATION (as shown on charter, constitution, etc.)

International Sound Technicians, Cinetechnicians and Television Engineers of the Motion Picture and Television Industries Local 695

5. AFFILIATION

8. OFFICIAL MAILING ADDRESS (For mail to the organization):

(In care of) NAME OF PERSON

James A. Osburn

NUMBER AND STREET

11331 Ventura Boulevard, Suite #201

BLDG. AND ROOM NUMBER, IF ANY

6. DESIGNATION (Local, Lodge, etc.)

Local

7. DESIGNATION NUMBER

695

9. Are organization records kept at the official mailing address? ☒ Yes ☐ No
If "No," show address including ZIP Code in item 22.

CITY

STATE

ZIP CODE

Studio City, California 91604

DURING THE REPORTING PERIOD DID YOUR ORGANIZATION DIRECTLY OR INDIRECTLY:

YES NO

10. Have any accounts in banks or other financial institutions held in a name other than that of your organization? ☐ Yes ☒ No

11. Liquidate or reduce any liabilities without disbursement of cash? ☐ Yes ☒ No

12. Create or participate in the administration of any business enterprises or other organizations which met the definition of a "subsidiary organization" as that term is defined in the instructions on page 2? ☐ Yes ☒ No

13. Acquire any goods or property in any manner other than by purchase or dispose of any goods or property in any manner other than by sale? ☐ Yes ☒ No

14. Create or participate in the administration of a trust or other fund or organization, a primary purpose of which is to provide benefits for members or their beneficiaries, as defined by section 3(l) of the Act? ☒ Yes ☐ No

15. Discover any loss or shortage of funds or other property? ☐ Yes ☒ No

(If the answer to any of the above questions is "Yes," provide details in item 22. See specific instructions for items answered "Yes.")

16. A. Was the labor organization insured by a fidelity bond during the reporting period? ☒ Yes ☐ No

B. If "Yes," enter the maximum amount recoverable for loss caused by any person \$ 100,000

17. Enter the date of your organization's next regular election of officers.

Month February Year 1984

AS OF THE END OF THE REPORTING PERIOD:

18. Were any assets pledged as security or encumbered in any other way? ☐ Yes ☒ No

19. Did your organization have any contingent liabilities? ☒ Yes ☐ No

(If the answer to item 18 or 19 is "Yes," provide details in item 22)

20. Did your organization have any changes in its constitution and bylaws (other than changed dues amounts) or in practices described in statements submitted with Form LM-1 or Form LM-1A since your organization filed Form LM-1 or most recently updated it by filing a Form LM-1A? ☒ Yes ☐ No
If "Yes," attach an updated Form LM-1A to this report, with required documents.

21. List fees and dues required. (Complete each line. Enter "None" or "Not Applicable" as appropriate.)

(1) Initiation fee or fees required from new members \$

(2) Fees other than dues required from transfer members \$

(3) Are work permits issued? ☐ Yes ☒ No
If "Yes," give fees required \$

(4) Regular dues or fees or other periodic payments required to remain a member of the reporting labor organization (per year, mo., etc.) \$

(A) If one rate applies, enter here

(B) If more than one rate applies, enter here

Minimum \$ 1,043 \$ 3,147

\$ 1,043 \$ 3,147

\$ per \$ per

\$ 39 per qtr \$ 63 per qtr

22. ADDITIONAL INFORMATION (If this is a terminal report, see Section XII of the instructions.)

Item Number

14 Form 5500 Annual Return/Report of Employees Benefit Plan

Schedule 14 - Payroll Deductions - Motion Picture Industry Pension and Motion Picture and Television Fund

19 The Local is a party defendant in case of [redacted] v [redacted] et al, Los Angeles Superior Court case no. NW-C881100. The plaintiff is suing for \$100,000 in damages. Demurrers to plaintiff's amended complaint were suspended without leave to amend on or about June 23, 1981 and the case has been transferred to appeal status.

(If more space is needed, attach additional sheets with further statement, properly identified.)

Each of the undersigned, duly authorized officers of the above labor organization, declares, under the applicable penalties of law, that all of the information submitted in this report (including the information contained in any accompanying documents) has been examined by the signatory and is, to the best of the undersigned's knowledge and belief, true, correct, and complete.

75. SIGNED: Donald R. Wilson PRESIDENT

(If other title, cross out and write in correct title above. Explain in item 22.)

at Studio City, Calif. on 3/31/83

City State Date

(213) 985-9204
Telephone Number (Include Area Code)

76. SIGNED: William A. Brundage TREASURER

(If other title, cross out and write in correct title above. Explain in item 22.)

at Studio City, Calif. on 3/31/83

City State Date

Telephone Number (Include Area Code)

*See section on "Penalties" in accompanying instructions.

Item	ASSETS	FROM SCH #	Start of Reporting Period (A)	End of Reporting Period (B)	Item	LIABILITIES	FROM SCH #	Start of Reporting Period (C)	End of Reporting Period (D)
23.	(a) Cash on hand (Statement C, line 1)		\$ 150	\$ 150	32.	Accounts Payable		\$ 50,961	\$ 41,217
	(b) Cash in banks (Statement C, line 2)		111,461	133,050	33.	Loans Payable	8	1,520	2,251
24.	Accounts Receivable		178,219	116,555	34.	Mortgages Payable		NONE	NONE
25.	Loans Receivable	X	NONE	NONE	35.	Other Liabilities	4	8,612	NONE
25.	U.S. Treasury Securities		NONE	NONE	36.	TOTAL LIABILITIES		\$ 60,000	\$ 43,468
27.	Mortgage Investments		18,057	279,300		NET ASSETS			0.00
28.	Other Investments	2	NONE	NONE	37.	Net Assets (Items 23 through 36)			1,090,147.00
29.	Fixed Assets	5	337,022	45,201					263,000.00
30.	Other Assets	3	11,425	21,577					827,147.00
31.	TOTAL ASSETS		\$ 656,334	\$ 595,833					771,647.00

STATEMENT B—RECEIPTS AND DISBURSEMENTS

Item	CASH RECEIPTS	FROM SCH #	(A)	Item	CASH DISBURSEMENTS	FROM SCH #	(B)
38.	Dues		444,425	55.	Per Capita Tax		114,242
39.	Per Capita Tax		NONE	56.	Fees, Fines, Assessments, etc.		5,288
40.	Fees		174,578	57.	To Affiliates of Funds Collected on Their Behalf		NONE
41.	Fines		10,922	58.	For Account of Affiliates		NONE
42.	Assessments		72,008	59.	To Officers:	9	
43.	Work Permits		NONE	(a) Gross		72,390	
44.	On Behalf of Affiliates for Transmittal to Them		NONE	(b) Less Deductions		12,950	
45.	Sale of Supplies		NONE	60.	To Employees:	10	
46.	Interest		36,629	(a) Gross		314,204	
47.	Dividends		19	(b) Less Deductions		56,793	
48.	Rents		14,970	61.	Office and Administrative Expense		186,430
49.	Loans Obtained	8	1,888	62.	Educational and Publicity Expense		NONE
50.	Sale of Investments and Fixed Assets	7	326,754	63.	Professional Fees		49,115
51.	Repayment of Loans Made	1	1,757	64.	Benefits		72,623
52.	From Members for Disbursement on Their Behalf		NONE	65.	Loans Made	1	263,000
53.	From Other Sources (Supp. D)	13	4,174	66.	Contributions, Gifts and Grants	12	2,199
54.	TOTAL RECEIPTS (Items 38 through 53)		1,111,736	67.	Supplies for Resale		NONE
				68.	Purchase of Investments and Fixed Assets	5	17,731
				69.	Direct Taxes		22,287
				70.	Withholding Taxes		64,389
				71.	On Behalf of Individual Members		NONE
				72.	Repayment of Loans Obtained	8	1,057
				73.	For Other Purposes	14	25,706
				74.	TOTAL DISBURSEMENTS (Items 55 through 73)		1,090,147

STATEMENT C—CASH

Period	(A)	Cash at End of Reporting Period	(B)
1. Cash on Hand	\$ 150	1. Cash on Hand	\$ 150
and Other Deposits	111,461	2. Cash in Banks (Checking Accounts and Other Deposits)	133,050
	111,611		
	1,111,736		
	1,223,347		
	1,090,147		
	\$ 133,200	3. Total of Lines 1 and 2	\$ 133,200

If (A) does not equal the amount entered in line 3, column (B), there is a discrepancy in your reporting. Correct the discrepancy.

If you need to list items in any of the schedules below, continue the list on additional sheets, using the same column headings used on the schedule and enter the totals on the line provided for additional listings on the schedule.

SCHEDULE 1—LOANS RECEIVABLE

List below outstanding loans to officers, employees, or members which at any time during the reporting period exceeded \$250 and list all loans, regardless of amount, made to business enterprises.	Loans Outstanding at Start of the Period (B)	Loans Made During the Period (C)	Repayments Received During the Period		Balance at the End of the Period (E)
			Cash (D)(1)	Other Than Cash (D)(2)	
1. (Name) [Redacted] (Purpose) Sale of building (Local 788) (Security) Trust Deed (Terms of Repayment) \$220/mo. inc. int.	\$ 18,057	\$	\$ 1,151	\$	\$ 16,906
2. (Name) Bazoian Trust (Purpose) Sale of building (Security) Trust Deed (Terms of Repayment) \$2,604.49/mo. inc. int.		263,000	606		262,394
3. Totals from Additional Listings, if any.					
4. Totals of Loans not Listed above.					
5. Totals of Lines 1 through 4.	\$ 18,057	\$ 263,000	\$ 1,757	\$	\$ 279,300

Enter the Totals from Line 5 in Item 25, Column A. Item 65, Column B. Item 51, Column C. Item 22, Column D. Item 25, Column E.

SCHEDULE 2—INVESTMENTS OTHER THAN U.S. MORTGAGE INVESTMENTS

SCHEDULE 3—OTHER ASSETS

Description (A)	Amount (B)
Marketable Securities:	
1. Total Cost	\$
2. Total Book Value	
3. Itemize each Marketable Security holding which is valued at over \$1000 and which is also greater than 20% of the amount given in Line 2.	
(a)	
(b)	
(c)	
(d)	
Other Investments:	
4. Total Cost	
5. Total Book Value	
6. Itemize each Other Investment holding which is valued at over \$1000 and which is also greater than 20% of the amount given in Line 5. Also itemize each subsidiary for which separate reports are attached.	
(a)	
(b)	
(c)	
(d) Total from Additional Listings, if any	
7. Total of Lines 2 and 5.	\$
Enter the Total from Line 7 in Item 26, Column B	

Description (A)	Book Value (B)
1. I.A.T.S.E. per capita stamps	\$ 12,000
2. Prepaid expenses	9,411
3. Securities Investments	166
4.	
5. Total from Additional Listings, if any	
6. Total of Lines 1 through 5.	\$ 21,577
Enter the Total of Line 6 in Item 30, Column B	
SCHEDULE 4—OTHER LIABILITIES	
Description (A)	Amount at End of Period (B)
1.	\$
2.	
3.	
4.	
5.	
6.	
7.	
8. Total from Additional Listings, if any	
9. Total of Lines 1 through 8.	\$
Enter the Total from Line 9 in Item 35, Column D	

SCHEDULE 5—FIXED ASSETS

Description (A)	Cost or Other Basis (B)	Total Depreciation (if any) or Amount Expensed (C)	Book Value (D)	Fair Market Value (E)
1. Land (Give Location):	\$		\$	\$
2. Totals from Additional Listings, if any				
3. Buildings (Give Location):	\$			
4. Totals from Additional Listings, if any				
5. Automotive Equipment				
6. Office Furniture and Equipment	55,433	22,330	33,103	32,000
7. Other Fixed Assets Leasehold Improvements	20,673	8,575	12,098	11,000
8. Totals of Lines 1 through 7.	\$ 76,106	\$ 30,905	\$ 45,201	\$ 43,000
Enter the Total from Line 8, column D in Item 29, Column B				

SCHEDULE 6—PURCHASE OF INVESTMENTS AND FIXED ASSETS

Description of Assets (If land or buildings, give location) (A)	Cost (B)	Book Value (C)	Cash Paid (D)
1. IBM Copier II	\$ 8,354	\$ 7,519	\$ 8,354
2. IBM Selectric II	697	628	697
3. 2 Worktables - Exec. Desk	550	495	550
4. Steno Chair - Files	754	679	754
5. Totals from Additional Listings, if any	7,376	6,028	7,376
6. Totals of Lines 1 through 5.	\$ 17,731	\$ 15,349	\$ 17,731
Enter the Total from Line 6, Column D in Item 68			

Description of Asset Traded In (A)	Cost (B)	Book Value (C)	Trade-In Allowance (D)
7. Assets Traded In on Assets Purchased:			
(a)	\$	\$	\$
(b)			

SCHEDULE 7—SALE OF INVESTMENTS AND FIXED ASSETS

Description of Assets Sold (If land or building, give location) (A)	Cost (B)	Book Value (C)	Gross Sales Price (D)	Amount Received (E)
1. 3779 Cahuenga Blvd. Bldg.	\$ 315,315	\$ 295,450	\$ 338,500	\$ 326,754
2.				
3.				
4. Total from Additional Listings, if any				
5. Totals of Lines 1 through 4.	\$ 315,315	\$ 295,450	\$ 338,500	\$ 326,754
Enter the Total from Line 5, Column E in Item 50				

SCHEDULE 8—LOANS PAYABLE

Source of Loans Payable at any Time During the Reporting Period (A)	Terms for Repayment (B)	Balance at Start of Period (C)	Loans Obtained During Period (D)	Repayment of Loans During Period		Balance at End of Period (F)
				Cash (E)(1)	Other Than Cash (E)(2)	
1. IBM	36 x 69.20 inc. int.	\$ 1,520	\$	\$ 629	\$	\$ 891
2. IBM			1,888	428		1,460
3.						
4.						
5. Total from Additional Listings, if any						
6. Totals of Lines 1 through 5.		\$ 1,520	\$ 1,888	\$ 1,057	\$	\$ 2,351
Enter the Totals from Line 6 in		Item 33, Column C	Item 49	Item 72	Item 22 with Explanation	Item 33, Column D

ENTER AMOUNTS IN DOLLARS ONLY

SCHEDULE 9—LIST OF ALL OFFICERS AND DISBURSEMENTS TO OFFICERS

Name (Important: List all persons who held office during the period)	Title	Status	Gross Salary (before taxes and other deductions)	Allowances	Other Direct and Indirect Disbursements		Total
					Expenses Including Reimbursed Expenses (F)	Other Disbursements (G)	
(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)
			\$ 62,076	\$ 2,600	\$ 4,690	\$	\$ 69,366
					1,024		1,024
					1,000		1,000
					1,000		1,000
		N					NONE
7.							
8.							
9.							
10. Total from Additional Listings, if any.							
11. Totals of Lines 1 through 10.			\$ 62,076	\$ 2,600	\$ 7,714	\$	\$ 72,390

Code for Column C, "Status": past officer—P; continuing officer—C; new officer during this reporting period—N.

Enter the Total of Line 11, Column H in

Item 59(a)

NOTE: If any officer was not elected at a regular election in accordance with the constitution and bylaws, explain in Item 22.

SCHEDULE 10—DISBURSEMENTS TO EMPLOYEES

List below all employees who received more than \$10,000 in gross salary, al- lowances, and other direct and indirect disbursements from this labor organiza- tion and any affiliate.		Name of Affiliated Organization (if applicable)	Gross Salary (before taxes and other deductions)	Allowances	Other Direct and Indirect Disbursements		Total
					Expenses Including Reimbursed Expenses (F)	Other Disbursements (G)	
(A)	Position (B)	(C)	(D)	(E)	(F)	(G)	(H)
			\$ 28,060	\$	\$ 4,352	\$	\$ 32,412
			43,925		6,023		49,948
3. Roy M. Brewer	Rep		35,633		1,786		37,419
			34,386				34,386
			19,424				19,424
			70,179				70,179
7. Total for all employees who, during the reporting period, received \$10,000 or less gross salary, al- lowances, and other direct and indirect disburse- ments.			3,504				3,504
8. Totals of Lines 1 through 7.			\$ 235,111	\$	\$ 12,161	\$	\$ 247,272

Enter the Total of Line 8, Column H in

Item 60(a)

SCHEDULE 11—BENEFITS

Type of Benefit (A)	To Whom Paid (B)	Amount (C)
1. Life Ins. - 31,827	Occidental	\$ 31,827
2. Medical & Hospital	M.P. Health	19,644
3. Pension	M.P. Indust.	20,657
4.	Pension Plan	
5.		
6.		
7.		
8.		
9.		
10. Total from Additional Listings, if any		
11. Total of Lines 1 through 10.		\$ 72,128

Enter the Total from Line 11 in Item 64

SCHEDULE 12—CONTRIBUTIONS, GIFTS AND GRANTS

Type (A)	Amount (B)
1. Motion Picture & TV Fund	\$ 230
2. I.A.P.D. Athletic League	300
3. V.I.P.E.C.	1,000
4.	
5.	
6. Flowers	94
7. Xmas Checks (ILL MBRS)	575
8.	
9.	
10. Total from Additional Listings, if any	
11. Total of Lines 1 through 10.	\$ 2,199

Enter the Total from Line 11 in Item 65

SCHEDULE 13—OTHER RECEIPTS

Other Sources (A)	Amount (B)
1. Liquidated damages	\$ 4,174
2. (Rec'd from producers for contract violations)	
3.	
4.	
5.	
6.	
7.	
8.	
9.	
10. Total from Additional Listings, if any	
11. Total of Lines 1 through 10.	\$ 4,174

Enter the Total from Line 11 in Item 53

SCHEDULE 14—OTHER DISBURSEMENTS

Other Purposes (A)	Amount (B)
1. Convention expenses	\$ 5,462
2. Negotiation expenses	15,490
3.	
4.	
5. Payroll Deductions:	
6. MP Ind. Pension - Employee	3,220
7. MPTF - Employee	1,534
8.	
9.	
10. Total from Additional Listings, if any	
11. Total of Lines 1 through 10.	\$ 25,706

Enter the Total from Line 11 in Item 73

LABOR ORGANIZATION ANNUAL REPORT
FORM LM-2SCHEDULE 6 - PURCHASE OF INVESTMENTS AND FIXED ASSETSADDITIONAL LISTINGS

<u>Description of Assets</u> (A)	<u>Cost</u> (B)	<u>Book Value</u> (C)	<u>Cash Paid</u> (D)
Casio Adding Machine	\$ 181	\$ 163	\$ 181
IBM Electronic Typewriter	2,200	1,980	2,200
Leasehold Improvement	4,995	3,885	4,995
	<u>\$7,376</u>	<u>\$ 6,028</u>	<u>\$ 7,376</u>

SCHEDULE 10 - DISBURSEMENTS TO EMPLOYEESADDITIONAL LISTINGS

(A)	(B)	(D)	(H)
		\$16,157	\$16,157
		11,095	11,095
		25,999	25,999
		16,928	16,928
		<u>\$70,179</u>	<u>\$70,179</u>

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Re-elected another term of 2 years.

BOARD OF DIRECTORS		Residence	Studio
			Universal
			Disney
			Free-Lance
			Com. at
			Free-Lance
			Free-Lance
			Free-Lance
			Free-Lance
			Free-Lance
			Free-Lance
			Mix Magic
			Free-Lance
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			Free-Lance
			N.B.C.
			KCET
			Vidtronic
			Vidtronic
			Vidtronic
			KCET
			C.F.I.
			Deluxe La
			Movielab
			Universal
			Disney
NEWSREEL DIVISION			

LM-2

1981

LABOR ORGANIZATION ANNUAL REPORT FORM LM-2

72 b6 b7C

LMSA, LOS ANGELES

JUN 2 1982

MUST BE USED BY LABOR ORGANIZATIONS WITH \$30,000 OR MORE IN RECEIPTS AND LABOR ORGANIZATIONS WHICH ARE UNDER TRUSTEESHIP

Labor-Management Reporting and Disclosure Act of 1959, as amended and Executive Order 11491, as amended

15 APR 1982

READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT. SUBMIT THIS REPORT IN DUPLICATE.

JAMES OSBURN STAGE & PICTURE OPERATORS AFL-CIO 11331 VENTURA BLVD., SUITE 201 STUDIO CITY, CA 91604		1. FILE NUMBER LM 007032	
		2. Period covered	MO DAY YR
		From	1 1 81
		Thru	12 31 81
3. CITY, COUNTY AND STATE WHERE CHARTERED TO OPERATE: CITY Studio City COUNTY Los Angeles STATE California			
4. NAME OF LABOR ORGANIZATION (as shown on charter, constitution, etc.) International Sound Technicians, Cine-technicians and Television Engineers of the Motion Picture and Television Industries		8. OFFICIAL MAILING ADDRESS (For mail to the organization): (In case of) NAME OF PERSON James A. Osburn	
5. AFFILIATION Local 695 I.A.T.S.E. & M.P.M.C. of U.S. and Canada		NUMBER AND STREET 11331 Ventura Blvd., Suite #201	
6. DESIGNATION (Local, Lodge, etc.) Local		7. DESIGNATION NUMBER 695	
9. Are organization records kept at the official mailing address? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If "No," show address including ZIP Code in Item 22.		CITY Studio City, STATE CA ZIP CODE 91604	
DURING THE REPORTING PERIOD DID YOUR ORGANIZATION DIRECTLY OR INDIRECTLY:		16. A. Was the labor organization insured by a fidelity bond during the reporting period? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No B. If "Yes," enter the maximum amount recoverable for loss caused by any person \$ 100,000	
0. Have any accounts in banks or other financial institutions held in a name other than that of your organization? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		17. Enter the date of your organization's next regular election of officers. Month February Year 1982	
1. Liquidate or reduce any liabilities without disbursement of cash? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		AS OF THE END OF THE REPORTING PERIOD:	
2. Create or participate in the administration of any business enterprises or other organizations which met the definition of a "subsidiary organization" as that term is defined in the instructions on page 2? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		18. Were any assets pledged as security or encumbered in any other way? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
3. Acquire any goods or property in any manner other than by purchase or dispose of any goods or property in any manner other than by sale? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		19. Did your organization have any contingent liabilities? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No (If the answer to Item 18 or 19 is "Yes," provide details in Item 22.)	
4. Create or participate in the administration of a trust or other fund or organization, a primary purpose of which is to provide benefits for members or their beneficiaries, as defined by section 3(f) of the Act? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		20. Did your organization have any changes in its constitution and bylaws (other than changed dues amounts) or in practices described in statements submitted with Form LM-1 or Form LM-1A since your organization filed Form LM-1 or most recently updated it by filing a Form LM-1A? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If "Yes," attach an updated Form LM-1A to this report, with required documents.	
5. Discover any loss or shortage of funds or other property? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (If the answer to any of the above questions is "Yes," provide details in Item 22. See specific instructions for items answered "Yes.")			
1. List fees and dues required. (Complete each line. Enter "None" or "Not Applicable" as appropriate.)		(A) If one rate applies, enter here (B) If more than one rate applies, enter here	
(1) Initiation fee or fees required from new members \$		Minimum \$ 1,043 Maximum \$ 3,147	
(2) Fees other than dues required from transfer members \$		\$ 1,043 \$ 3,147	
(3) Are work permits issued? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," give fees required \$ per \$ per \$ per			
(4) Regular dues or fees or other periodic payments required to remain a member of the reporting labor organization (per year, mo., etc.) \$ per \$ 29 per qtr \$ 53 per qtr			
22. ADDITIONAL INFORMATION (If this is a terminal report, see Section XII of the instructions.)			

Item Number	14	Form 5500 Annual Return/Report of Employees Benefit Plan
Schedule	14	- Note Payable Deductions - Pension and Motion Picture Relief Fund
	19	The local is a party defendant in case of [redacted] v [redacted] et al, Los Angeles Superior Court case no. NW-C881100. The plaintiff is suing for \$100,000 in damages. Demurrers to plaintiff's amended complaint were suspended without leave to amend on or about June 23, 1981 and the case has been transferred to appeal status.
(If more space is needed, attach additional sheets with further statement, properly identified.)		

Each of the undersigned, duly authorized officers of the above labor organization, declares, under the applicable penalties of law, that all of the information submitted in this report (including the information contained in any accompanying documents) has been examined by the signatory and is, to the best of the undersigned's knowledge and belief, true, correct, and complete.

75. SIGNED: [Signature] PRESIDENT (If other title, cross out and write in title above. Explain in Item 22.) at Studio City, California 3/31/82 City State Date 213-985-9204 Telephone Number (Include Area Code)	76. SIGNED: [Signature] Secretary (If other title, cross out and write in title above. Explain in Item 22.) at Studio City, Ca. 3/31/82 City State Date 213-279-2057 Telephone Number (Include Area Code)
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FILL OUT SCHEDULES 1 THROUGH 14 BEFORE
FILLING OUT STATEMENTS A THROUGH C

ENTER AMOUNTS IN DOLLARS ONLY
STATEMENT A—ASSETS AND LIABILITIES

Item	ASSETS	FROM SCH #	Start of Reporting Period (A)		End of Reporting Period (B)		Item	LIABILITIES	FROM SCH #	Start of Reporting Period (C)		End of Reporting Period (D)	
				cts		cts					cts		cts
23.	(a) Cash on hand (Statement C, lines 1)		\$ 150	XX	\$ 150	XX	32.	Accounts Payable		\$ 23,042	XX	\$ 50,961	XX
	(b) Cash in banks (Statement C, lines 2)		160,900	XX	111,461	XX	33.	Loans Payable	8	NONE	XX	1,520	XX
24.	Accounts Receivable ..		174,509	XX	178,219	XX	34.	Mortgages Payable ...		NONE	XX	NONE	XX
25.	Loans Receivable	1	NONE	XX	NONE	XX	35.	Other Liabilities	4	8,613	XX	8,613	XX
26.	U.S. Treasury Securities		NONE	XX	NONE	XX	36.	TOTAL LIABILITIES ..		\$ 31,655	XX	\$ 61,094	XX
27.	Mortgage Investments ..		18,947	XX	18,057	XX		NET ASSETS					
28.	Other Investments	2	NONE	XX	NONE	XX							
29.	Fixed Assets	5	333,869	XX	337,022	XX	37.	Net Assets (Item 31 less Item 36)		\$ 667,270	XX	\$ 595,240	XX
30.	Other Assets	3	10,550	XX	11,425	XX							
31.	TOTAL ASSETS		\$ 698,925	XX	\$ 656,334	XX							

STATEMENT B—RECEIPTS AND DISBURSEMENTS

CASH RECEIPTS		FROM SCH #	(A)	cts	Item	CASH DISBURSEMENTS	FROM SCH #	(B)	cts
38. Dues	402,557		\$ 391,375	XX	55. Per Capita Tax	112,123		\$ 103,565	XX
39. Per Capita Tax			NONE	XX	56. Fees, Fines, Assessments, etc.			4,809	XX
40. Fees	247,675		295,088	XX	57. To Affiliates of Funds Collected on Their Behalf			NONE	XX
41. Fines	7,472		7,492	XX	58. For Account of Affiliates			NONE	XX
42. Assessments			NONE	XX	59. To Officers:		9		
43. Work Permits			NONE	XX	(a) Gross	\$ 72,920		61,990	XX
44. On Behalf of Affiliates for Transmittal to Them			NONE	XX	(b) Less Deductions	10,930			
45. Sale of Supplies			17,815	XX	60. To Employees:	288,550	10		
46. Interest	35,271		10	XX	(a) Gross	\$ 76,259		212,291	XX
47. Dividends	12		36,288	XX	(b) Less Deductions			142,363	XX
48. Rents	36,288		2,200	XX	61. Office and Administrative Expense			NONE	XX
49. Loans Obtained	18,057	8	150	XX	62. Educational and Publicity Expense			58,779	XX
50. Sale of Investments and Fixed Assets	22	7	890	XX	63. Professional Fees	36,185	1	78,247	XX
51. Repayment of Loans Made	8	1	NONE	XX	64. Benefits		11	NONE	XX
52. From Members for Disbursement on Their Behalf			3,119	XX	65. Loans Made		1	3,135	XX
53. From Other Sources	13		\$ 754,427	XX	66. Contributions, Gifts and Grants	15	12	NONE	XX
54. TOTAL RECEIPTS (Items 38 through 53)					67. Supplies for Resale			19,332	XX
					68. Purchase of Investments and Fixed Assets		6	19,267	XX
					69. Direct Taxes			82,237	XX
					70. Withholding Taxes			NONE	XX
					71. On Behalf of Individual Members			680	XX
					72. Repayment of Loans Obtained		8	17,171	XX
					73. For Other Purposes		14	\$ 803,866	XX
					74. TOTAL DISBURSEMENTS (Items 55 through 73)				

STATEMENT C—CASH

Cash at Start of Reporting Period		(A)	Cash at End of Reporting Period		(B)
1.	Cash on Hand	\$ 150	1.	Cash on Hand	\$ 150
2.	Cash in Banks (Checking Accounts and Other Deposits)	160,900	2.	Cash in Banks (Checking Accounts and Other Deposits)	111,461
3.	Total of Lines 1 and 2	161,050			
4.	Total Receipts from Line 54	754,427			
5.	Total of Lines 3 and 4	915,477			
6.	Total Disbursements from Line 74	803,866			
7.	Deduct Line 6 from Line 5	\$ 111,611	3.	Total of Lines 1 and 2	\$ 111,611

If the amount entered in line 7, column (A) does not equal the amount entered in line 3, column (B), there is a discrepancy in your reporting. Correct the discrepancy or explain it in Item 22.

If more space is needed to list items in any of the schedules below, continue the list on additional sheets, using the same column headings used on the schedule and enter the totals on the line provided for additional listings on the schedule.

SCHEDULE 1—LOANS RECEIVABLE

List below outstanding loans to officers, employees, or members which at any time during the reporting period exceeded \$250 and list all loans, regardless of amount, made to business enterprises. (A)	Loans Outstanding at Start of the Period (B)	Loans Made During the Period (C)	Repayments Received During the Period		Balance at the End of the Period (E)
			Cash (D)(1)	Other Than Cash (D)(2)	
1. (Name) _____ (Purpose) <u>Sale of Building (Local 789)</u> (Security) <u>Trust Deed</u> (Terms of Repayment) <u>220/mo inc. int.</u>	\$ 18,947	\$ 890			\$ 18,057
2. (Name) _____ (Purpose) _____ (Security) _____ (Terms of Repayment) _____					
3. Totals from Additional Listings, if any.					
4. Totals of Loans not Listed above.					
Totals of Lines 1 through 4.	\$ 18,947	\$ 890			\$ 18,057

Enter the Totals from Line 5 in Item 25, Item 65, Item 51, Item 22, Item 25,
Column A with Explanation Column B

ENTER AMOUNTS IN DOLLARS ONLY

SCHEDULE 2—INVESTMENTS OTHER THAN U.S. TREASURY SECURITIES AND MORTGAGE INVESTMENTS

Description (A)	Amount (B)
Marketable Securities:	
1. Total Cost	\$
2. Total Book Value	
3. Itemize each Marketable Security holding which is valued at over \$1000 and which is also greater than 20% of the amount given in Line 2.	
(a)	
(b)	
(c)	
(d) NONE	
Other Investments:	
4. Total Cost	
5. Total Book Value	
6. Itemize each Other Investment holding which is valued at over \$1000 and which is also greater than 20% of the amount given in Line 5. Also itemize each subsidiary for which separate reports are attached.	
(a)	
(b)	
(c)	
(d) Total from Additional Listings, if any	
7. Total of Lines 2 and 5.	\$
Enter the Total from Line 7 in Item 28, Column B	

SCHEDULE 3—OTHER ASSETS

Description (A)	
1. I.A.T.S.E. per capita stamps	6,000
2. Prepaid expenses	1,418
3. Securities investments	166
4. Security deposit	3,841
5. Total from Additional Listings, if any	
6. Total of Lines 1 through 5.	\$ 11,425
Enter the Total of Line 6 in Item 30, Column B	

SCHEDULE 4—OTHER LIABILITIES

Description (A)	Amount at End of Period (B)
1. Special legal account	\$ 5,494
2. Security deposit	3,119
3.	
4.	
5.	
6.	
7.	
8. Total from Additional Listings, if any	
9. Total of Lines 1 through 8.	\$ 8,613
Enter the Total from Line 9 in Item 35, Column C	

SCHEDULE 5—FIXED ASSETS

Description (A)	Cost or Other Basis (B)	Total Depreciation (If any) or Amount Expensed (C)	Book Value (D)	Fair Market Value (E)
1. Land (Give Location):	\$ 110,990		\$ 110,990	\$ 150,000
2. Totals from Additional Listings, if any				
3. Buildings (Give Location):	204,325	\$ 16,460	187,865	300,000
4. Totals from Additional Listings, if any				
5. Automotive Equipment				
6. Office Furniture and Equipment	42,698	15,111	27,587	25,000
7. Other Fixed Assets Leasehold improvements	15,678	5,098	10,580	8,000
8. Totals of Lines 1 through 7.	\$ 373,691	\$ 36,669	\$ 337,022	\$ 483,000
Enter the Total from Line 8, column D in Item 29, Column B				

SCHEDULE 6—PURCHASE OF INVESTMENTS AND FIXED ASSETS

Description of Assets (If land or buildings, give location) (A)	Cost (B)	Book Value (C)	Cash Paid (D)
1. Office furniture and equipment	\$ 11,044	\$ 11,044	\$ 9,595
2. Leasehold improvements	9,737	9,737	9,737
3.			
4.			
5. Totals from Additional Listings, if any			
6. Totals of Lines 1 through 5.	\$ 20,781	\$ 20,781	\$ 19,332
Enter the Total from Line 6, Column D in Item 68			

7. Assets Traded In on Assets Purchased:			
Description of Asset Traded In (A)	Cost (B)	Book Value (C)	Trade-In Allowance (D)
(a) Desks	\$ 1,224	\$ 437	\$ 850
(b) Addressograph	1,301	150	600

SCHEDULE 7—SALE OF INVESTMENTS AND FIXED ASSETS

Description of Assets Sold (If land or building, give location) (A)	Cost (B)	Book Value (C)	Gross Sales Price (D)	Amount Received (E)
1. Chairs	\$ 678	\$ 170	\$ 150	\$ 150
2.				
3.				
4. Total from Additional Listings, if any				
5. Totals of Lines 1 through 4.	\$ 678	\$ 170	\$ 150	\$ 150
Enter the Total from Line 5, Column E in Item 50				

SCHEDULE 8—LOANS PAYABLE

Source of Loans Payable at any Time During the Reporting Period (A)	Terms for Repayment (B)	Balance at Start of Period (C)	Loans Obtained During Period (D)	Repayment of Loans During Period		Balance at End of Period (F)
				Cash (E)(1)	Other Than Cash (E)(2)	
1. TBM	36x\$69.20	\$ -0-	\$ 2,200	\$ 680	\$ -0-	\$ 1520
2.	incl. interest					
3.	19%					
4.						
5. Total from Additional Listings, if any						
6. Totals of Lines 1 through 5.		\$ -0-	\$ 2,200	\$ 680	\$ -0-	\$ 1520

Enter the Totals from Line 6 in Item 33, Column C Item 49 Item 72 Item 22, with Explanation Item 33, Column D

ENTER AMOUNTS IN DOLLARS ONLY

SCHEDULE 9—LIST OF ALL OFFICERS AND DISBURSEMENTS TO OFFICERS

Name (Important: List all persons who held office during the period)	Title	Status	Gross Salary (before taxes and other deductions) (D)	Allowances (E)	Other Direct and Indirect Disbursements		Total (H)
					Expenses Including Reimbursed Expenses (F)	Other Disbursements (G)	
		C	\$61,356	\$2,600	\$7,550		\$71,506
		C			209		209
		C			7		7
		C					NONE
		C					NONE
8.							
9.							
10. Total from Additional Listings, if any.					1,198		1,198
11. Totals of Lines 1 through 10.			\$61,356	\$2,600	\$8,964		\$72,920

Code for Column C, "Status": past officer—P; continuing officer—C; new officer during this reporting period—N.

Enter the Total of Line 11, Column H in Item 59(f)

NOTE: If any officer was not elected at a regular election in accordance with the constitution and bylaws, explain in Item 22.

SCHEDULE 10—DISBURSEMENTS TO EMPLOYEES

List below all employees who received more than \$10,000 in gross salary, allowances, and other direct and indirect disbursements from this labor organization and any affiliate.		Name of Affiliated Organization (if applicable) (C)	Gross Salary (before taxes and other deductions) (D)	Allowances (E)	Other Direct and Indirect Disbursements		Total (H)
(A)	Position (B)				Expenses Including Reimbursed Expenses (F)	Other Disbursements (G)	
			\$47,228		\$4,170		\$51,398
			38,346		5,245		43,591
3.	R.M. Brewer	Rep.	38,091		1,171		39,262
4.			36,346				36,346
5.			14,196				14,196
6. Total from Additional Listings, if any			87,517		420		87,937
7. Total for all employees who, during the reporting period, received \$10,000 or less gross salary, allowances, and other direct and indirect disbursements.			15,820				15,820
8. Totals of Lines 1 through 7.			\$277,544		\$11,006		\$288,550

Enter the Total of Line 8, Column H in Item 60(a)

SCHEDULE 11—BENEFITS

Type of Benefit (A)	To Whom Paid (B)	Amount (C)
1. Life Insurance	Occidental	\$35,738
2. Medical & Hosp M P Health & Welfare		20,608
3. Pension M P Industry Pension		21,901
4.		
5.		
6.		
7.		
8.		
9.		
10. Total from Additional Listings, if any		
11. Total of Lines 1 through 10.		\$78,247

Enter the Total from Line 11 in Item 64

SCHEDULE 12—CONTRIBUTIONS, GIFTS AND GRANTS

Type (A)	Amount (B)
1. Israel Histadrut	\$1,000
2. Police Officers Assoc.	500
3. La Police Officers Prod.	
4. League	525
5. VLPEC	500
6.	
7. Flowers	135
8. Xmas checks (111 Members)	475
9.	
10. Total from Additional Listings, if any	
11. Total of Lines 1 through 10.	\$3,135

Enter the Total from Line 11 in Item 66

SCHEDULE 13—OTHER RECEIPTS

Other Sources (A)	Amount (B)
1. Liquidated damages recieved	
2. From producers for contract violations	3,119
3.	
4.	
5.	
6.	
7.	
8.	
9.	
10. Total from Additional Listings, if any	
11. Total of Lines 1 through 10.	\$3,119

Enter the Total from Line 11 in Item 53

SCHEDULE 14—OTHER DISBURSEMENTS

Other Purposes (A)	Amount (B)
1. Convention expense	\$5,121
2. Negotiation expense	7,098
3.	
4. Payroll deductions	
5. Pension Fund - employee	3,694
6. MPTF - employees	1,193
7. Insurance	65
8.	4,712
9.	
10. Total from Additional Listings, if any	
11. Total of Lines 1 through 10.	\$17,171

Enter the Total from Line 11 in Item 73

ENTER AMOUNTS IN DOLLARS ONLY

SCHEDULE 9—LIST OF ALL OFFICERS AND DISBURSEMENTS TO OFFICERS

SCHEDULE 9—LIST OF ALL OFFICERS AND DISBURSEMENTS TO OFFICERS							
Name (Important: List all persons who held office during the period)	Title (B)	Status (C)	Gross Salary (before taxes and other deductions) (D)	Allowances (E)	Other Direct and Indirect Disbursements		Total (H)
					Expenses Including Reimbursed Expenses (F)	Other Disbursements (G)	
DIRECTORS (A)			\$	\$	\$ 178	\$	\$
					5		
					209		
					209		
					597		
7.							
8.							
9.							
10. Total from Additional Listings, if any.							
11. Totals of Lines 1 through 10.			\$	\$	\$ 1,198	\$	\$ 1,198

Code for Column C, "Status": past officer—P; continuing officer—C; new officer during this reporting period—N
Enter the Total of Line 11, Column H in
NOTE: If any officer was not elected at a regular election in accordance with the constitution and bylaws, explain in Item 22.

SCHEDULE 10—DISBURSEMENTS TO EMPLOYEES ADDITIONAL LISTING

List below all employees who received more than \$10,000 in gross salary, allowances, and other direct and indirect disbursements from this labor organization and any affiliate.		Name of Affiliated Organization (if applicable) (C)	Gross Salary (before taxes and other deductions) (D)	Allowances (E)	Other Direct and Indirect Disbursements		Total (H)
(A)	Position (B)				Expenses Including Reimbursed Expenses (F)	Other Disbursements (G)	
			\$ 12,366	\$	\$	\$	\$ 12,366
			15,021		16		15,037
			17,050		318		17,368
			26,494		86		26,580
			16,586				16,586
7. Total for all employees who, during the reporting period, received \$10,000 or less gross salary, allowances, and other direct and indirect disbursements.							
8. Totals of Lines 1 through 7.			\$ 87,517	\$	\$ 420	\$	\$ 87,937

Enter the Total of Line 8, Column H in; Transferred to Schedule 10 (page 4) Item 60(a)

SCHEDULE 11—BENEFITS

Type of Benefit (A)	To Whom Paid (B)	Amount (C)
1.		\$
2.		
3.		
4.		
5.		
6.		
7.		
8.		
9.		
10. Total from Additional Listings, if any		
11. Total of Lines 1 through 10.		\$

Enter the Total from Line 11 in Item 64

SCHEDULE 12—CONTRIBUTIONS, GIFTS AND GRANTS

Type (A)	Amount (B)
1.	\$
2.	
3.	
4.	
5.	
6.	
7.	
8.	
9.	
10. Total from Additional Listings, if any	
11. Total of Lines 1 through 10.	\$

Enter the Total from Line 11 in Item 66

SCHEDULE 13—OTHER RECEIPTS

Other Sources (A)	Amount (B)
1.	\$
2.	
3.	
4.	
5.	
6.	
7.	
8.	
9.	
10. Total from Additional Listings, if any	
11. Total of Lines 1 through 10.	\$

Enter the Total from Line 11 in Item 53

SCHEDULE 14—OTHER DISBURSEMENTS

Other Purposes (A)	Amount (B)
1.	\$
2.	
3.	
4.	
5.	
6.	
7.	
8.	
9.	
10. Total from Additional Listings, if any	
11. Total of Lines 1 through 10.	\$

Enter the Total from Line 11 in Item 73

REPORT OF CURRENT STATUS:
LABOR ORGANIZATION INFORMATION SUPPL NT
FORM LM-1A

Labor-Management Reporting and Disclosure Act of 1959, as amended
and

Title VII of the Civil Service Reform Act

15 APR 1982

This report is mandatory under P.L. 86-257, as amended. Failure to comply may result in criminal prosecution, fines, and civil penalties as provided by 29 USC, sec. 209.

READ INSTRUCTIONS CAREFULLY BEFORE PREPARING REPORT. SUBMIT THIS REPORT IN DUPLICATE.

1. NAME OF LABOR ORGANIZATION (as shown on charter, constitution, etc.) International Sound Technicians, Cine- technicians and Television Engineers of the Motion Picture and Television Inc.		2. LAST DAY OF REPORT PERIOD Month Day Year 12 31 81		3. FILE NUMBER LM 007 032
4. DESIGNATION (local, lodge, etc.) Local		5. DESIGNATION NUMBER 695		6. MAILING ADDRESS (for official mail to the organization): (In care of) NAME OF PERSON James A. Osburn
7. CITY, COUNTY AND STATE WHERE CHARTERED TO OPERATE: City County State Studio City Los Angeles CA		NUMBER AND STREET 11331 Ventura Blvd., Suite #201 BLDG. AND ROOM NUMBER, IF ANY CITY STATE ZIP CODE Studio City CA 91604		

8. TWO COPIES OF YOUR LABOR ORGANIZATION'S CURRENT CONSTITUTION AND BYLAWS MUST BE FILED WITH THIS REPORT. UNDER CERTAIN CIRCUMSTANCES (SEE INSTRUCTIONS FOR THIS ITEM) YOUR PARENT NATIONAL OR INTERNATIONAL MAY FILE COPIES ON YOUR BEHALF.

Is your parent national or international submitting copies on your behalf? ☐ Yes ☒ No

List below each document filed with this report.

Amended By-Laws
By-law changes
Copy of list of Board of Directors

9. FEDERAL-EMPLOYEE LABOR ORGANIZATIONS SUBJECT SOLELY TO TITLE VII OF THE CIVIL SERVICE REFORM ACT ARE NOT REQUIRED TO COMPLETE ITEM 10.

10. THE FOLLOWING QUESTIONS MUST BE ANSWERED WITH RESPECT TO THE CONSTITUTION AND BYLAWS BEING SUBMITTED FOR THIS REPORT.

DO THE CONSTITUTION AND BYLAWS CONTAIN PROVISIONS EXPLAINING IN DETAIL THE PROCEDURES FOLLOWED OR THE REQUIREMENTS FOR THE FOLLOWING? (If your answer to any of the items below is "No," submit in duplicate a statement that either (1) explains in detail the union custom, practice, or procedure, or (2) states that there is no union custom, practice, or procedure governing such matters. Submit such detailed statements in Item 11 or on separate sheets attached to this form. For each statement, identify the item below to which the statement refers by using one of the letters (A) through (M). For each separate sheet submitted, place your union's name and file number on the upper right corner of the sheet.)

	YES	NO		YES	NO
(A) Qualifications for or restrictions on membership	<input checked="" type="checkbox"/>	<input type="checkbox"/>	(H) Discipline or removal of officers or agents for breaches of their trust	<input checked="" type="checkbox"/>	<input type="checkbox"/>
(B) Levying of assessments	<input checked="" type="checkbox"/>	<input type="checkbox"/>	(I) Imposition of fines, suspensions, and expulsions of members including the grounds for such actions and provisions made for notice, hearings, judgment on the evidence, and appeal procedures	<input checked="" type="checkbox"/>	<input type="checkbox"/>
(C) Participation in insurance or other benefit plans	<input type="checkbox"/>	<input checked="" type="checkbox"/>	(J) Authorization for bargaining demands	<input type="checkbox"/>	<input checked="" type="checkbox"/>
(D) Authorization for disbursement of labor organization funds	<input checked="" type="checkbox"/>	<input type="checkbox"/>	(K) Ratification of contract terms	<input type="checkbox"/>	<input checked="" type="checkbox"/>
(E) Audit of labor organization financial transactions	<input checked="" type="checkbox"/>	<input type="checkbox"/>	(L) Authorization for strikes	<input checked="" type="checkbox"/>	<input type="checkbox"/>
(F) The calling of regular and special meetings	<input checked="" type="checkbox"/>	<input type="checkbox"/>	(M) Issuance of work permits	<input checked="" type="checkbox"/>	<input type="checkbox"/>
(G) (1) The selection of officers and stewards and of any representative to other bodies composed of labor organizations' representatives	<input checked="" type="checkbox"/>	<input type="checkbox"/>			
(2) All procedures which a member must follow to protest a defect in the election of officers (including not only all procedures for initiating an election protest but also all procedures for subsequently appealing an adverse decision; e.g., procedures for appeals to superior or parent bodies, if any)	<input checked="" type="checkbox"/>	<input type="checkbox"/>			

11. ADDITIONAL INFORMATION

C	Although not in By-Laws, Life Insurance included - Form 5500 (Formerly File No. WP-121742)
J	Board of Directors approval.
K	Ratification of contract by Board of Directors and members.
** M	Applies only to change of classification. Work permits not required for employment.

LM-1A, LOS ANGELES
JUN 2 1982

(If more space is needed, attach additional sheets with further statements, properly identified.)

Each of the undersigned, duly authorized officers of the above labor organization, declares, under the applicable penalties of law, that all of the information submitted herewith (including the information contained in any accompanying documents) has been examined by the signatory and is, to the best of the undersigned's knowledge and belief, true, correct, and complete.

SIGNED: <u>[Signature]</u> PRESIDENT Studio City, California 3/31/82 City State Date 213-985-9204 Telephone No. (include area code)	SIGNED: <u>[Signature]</u> Secretary Studio City, California 3/31/82 City State Date 213-279-2057 Telephone No. (include area code)
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*See section on "Penalties" in instructions for Form LM-2 or LM-3.

LM-2

1980

LABOR ORGANIZATION ANNUAL REPORT
FORM LM-2

MUST BE USED BY LABOR ORGANIZATIONS WITH \$30,000 OR MORE IN RECEIPTS
AND LABOR ORGANIZATIONS WHICH ARE UNDER TRUSTESHIP

Labor-Management Reporting and Disclosure Act of 1959, as amended
and
Executive Order 11491, as amended

Insurance Act of 1959, as amended

THIS REPORT, SUBMIT

READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT. SUBMIT THIS REPORT IN DUPLICATE.

1. FILE NUMBER LM 007032	
2. Period covered From 1 1 80 Thru 12 31 80	
3. CITY, COUNTY AND STATE WHERE CHARTERED TO OPERATE: City Studio City COUNTY Los Angeles STATE California	
4. NAME OF LABOR ORGANIZATION (as shown on charter, constitution, etc.) INTERNATIONAL SOUND TECHNICIANS, CINETECHNICIANS AND TELEVISION ENGINEERS OF THE MOTION PICTURE AND TELEVISION INDUSTRIES LOCAL 695 I.A.T.S.E. & M.P.M.O. of U.S. & Canada	
8. OFFICIAL MAILING ADDRESS (For mail to the organization): (In care of) NAME OF PERSON James A. Osburn NUMBER AND STREET 11331 Ventura Blvd., Suite # 201 BLDG. AND ROOM NUMBER, IF ANY Studio City	
5. AFFILIATION	6. DESIGNATION (Local, Lodge, etc.) Local
7. DESIGNATION NUMBER 695	9. Are organization records kept at the official mailing address? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If "No," show address including ZIP Code in Item 22.
10. Have any accounts in banks or other financial institutions held in a name other than that of your organization? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
11. Liquidate or reduce any liabilities without disbursement of cash? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
12. Create or participate in the administration of any business enterprises or other organizations which met the definition of a "subsidiary organization" as that term is defined in the instructions on page 2? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
13. Acquire any goods or property in any manner other than by purchase or dispose of any goods or property in any manner other than by sale? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
14. Create or participate in the administration of a trust or other fund or organization, a primary purpose of which is to provide benefits for members or their beneficiaries, as defined by section 3(l) of the Act? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
15. Discover any loss or shortage of funds or other property? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(If the answer to any of the above questions is "Yes," provide details in Item 22. See specific instructions for items answered "Yes.")	
16. A. Was the labor organization insured by a fidelity bond during the reporting period? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No B. If "Yes," enter the maximum amount recoverable for loss caused by any person \$ 100,000	
17. Enter the date of your organization's next regular election of officers Month February Year 1981	
AS OF THE END OF THE REPORTING PERIOD:	
18. Were any assets pledged as security or encumbered in any other way? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
19. Did your organization have any contingent liabilities? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No (If the answer to Item 18 or 19 is "Yes," provide details in Item 22.)	
20. Did your organization have any changes in its constitution and bylaws (other than changed dues amounts) or in practices described in statements submitted with Form LM-1 or Form LM-1A since your organization filed Form LM-1 or most recently updated it by filing a Form LM-1A? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If "Yes," attach an updated Form LM-1A to this report, with required documents.	
21. List fees and dues required. (Complete each line. Enter "None" or "Not Applicable" as appropriate.)	
(A) If one rate applies, enter here	(B) If more than one rate applies, enter here
(1) Initiation fee or fees required from new members \$	Minimum 1.043 Maximum 3.147
(2) Fees other than dues required from transfer members \$	1.043 3.147
(3) Are work permits issued? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," give fees required \$	26 per yr 50 per yr
(4) Regular dues or fees or other periodic payments required to remain a member of the reporting labor organization (per year, mo., etc.) \$	12.1180 - 12/31/80 29 per yr 53 per yr
22. ADDITIONAL INFORMATION (If this is a terminal report, see Section XII of the instructions.)	

Rem Number	
13	I.A.T.S.E. & M.P.M.O. Local 789 was merged into Local 695 commencing on January 1, 1980 with the ratification of the International President of I.A.T.S.E. and Board members of both Locals.
14	Form 5500 Annual Return/Report of Employees Benefit Plan
15	Two typewriters were stolen when the Local office was burglarized on 5/22/80. Police report and insurance claim filed.
SCHEDULE 14	Note Payroll Deductions - Pension and Motion Picture Relief Fund
(If more space is needed, attach additional sheets with further statement, properly identified.)	

Each of the undersigned, duly authorized officers of the above labor organization, declares, under the applicable penalties of law, that all of the information submitted in this report (including the information contained in any accompanying documents) has been examined by the signatory and is, to the best of the undersigned's knowledge and belief, true, correct, and complete.	
75. SIGNED: [Signature] at Studio City, Ca. on 3/31/80 City State Date 213-985-7204 Telephone Number (Include Area Code)	76. SIGNED: [Signature] James A. Osburn Business Representative at Studio City, Ca. on 3/31/80 City State Date 213-985-7204 Telephone Number (Include Area Code)

LABOR ORGANIZATION ANNUAL REPORT
FORM LM-2

b6
b7C

MUST BE USED BY LABOR ORGANIZATIONS WITH \$30,000 OR MORE IN RECEIPTS
AND LABOR ORGANIZATIONS WHICH ARE UNDER TRUSTEESHIP

Labor-Management Reporting and Disclosure Act of 1959, as amended
and
Executive Order 11491, as amended

READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT. SUBMIT THIS REPORT IN DUPLICATE.

<div>LMSA, LOS ANGELES JUL 13 1981</div>		1. FILE NUMBER			
3. CITY, COUNTY AND STATE WHERE CHARTERED TO OPERATE: CITY _____ COUNTY _____ STATE _____		2. Period covered	MO	DAY	YR
		From			
		Thru			
4. NAME OF LABOR ORGANIZATION (as shown on charter, constitution, etc.)		8. OFFICIAL MAILING ADDRESS (For mail to the organization): (In care of) NAME OF PERSON _____			
5. AFFILIATION		NUMBER AND STREET _____			
6. DESIGNATION (Local, Lodge, etc.)	7. DESIGNATION NUMBER	BLDG. AND ROOM NUMBER, IF ANY _____			
9. Are organization records kept at the official mailing address? <input type="checkbox"/> Yes <input type="checkbox"/> No If "No," show address including ZIP Code in Item 22.		CITY _____		STATE _____	ZIP CODE _____
DURING THE REPORTING PERIOD DID YOUR ORGANIZATION DIRECTLY OR INDIRECTLY:		16. A. Was the labor organization insured by a fidelity bond during the reporting period? <input type="checkbox"/> Yes <input type="checkbox"/> No B. If "Yes," enter the maximum amount recoverable for loss caused by any person \$ _____			
10. Have any accounts in banks or other financial institutions held in a name other than that of your organization? <input type="checkbox"/> Yes <input type="checkbox"/> No		17. Enter the date of your organization's next regular election of officers. Month _____ Year _____			
11. Liquidate or reduce any liabilities without disbursement of cash? <input type="checkbox"/> Yes <input type="checkbox"/> No		AS OF THE END OF THE REPORTING PERIOD:			
12. Create or participate in the administration of any business enterprises or other organizations which met the definition of a "subsidiary organization" as that term is defined in the instructions on page 2? <input type="checkbox"/> Yes <input type="checkbox"/> No		18. Were any assets pledged as security or encumbered in any other way? <input type="checkbox"/> Yes <input type="checkbox"/> No			
13. Acquire any goods or property in any manner other than by purchase or dispose of any goods or property in any manner other than by sale? <input type="checkbox"/> Yes <input type="checkbox"/> No		19. Did your organization have any contingent liabilities? <input type="checkbox"/> Yes <input type="checkbox"/> No (If the answer to Item 18 or 19 is "Yes," provide details in Item 22.)			
14. Create or participate in the administration of a trust or other fund or organization, a primary purpose of which is to provide benefits for members or their beneficiaries, as defined by section 3(l) of the Act? <input type="checkbox"/> Yes <input type="checkbox"/> No		20. Did your organization have any changes in its constitution and bylaws (not then changed dues amounts) or in practices described in statements submitted with Form LM-1 or Form LM-1A since your organization filed Form LM-1 or most recently updated it by filing a Form LM-1A? <input type="checkbox"/> Yes <input type="checkbox"/> No If "Yes," attach an updated Form LM-1A to this report, with required documents.			
15. Discover any loss or shortage of funds or other property? <input type="checkbox"/> Yes <input type="checkbox"/> No (If the answer to any of the above questions is "Yes," provide details in Item 22. See specific instructions for items answered "Yes.")					
21. List fees and dues required. (Complete each line. Enter "None" or "Not Applicable" as appropriate.)		(A) If one rate applies, enter here (B) If more than one rate applies, enter here			
(1) Initiation fee or fees required from new members _____		Minimum		Maximum	
(2) Fees other than dues required from transfer members _____		\$ _____		\$ _____	
(3) Are work permits issued? <input type="checkbox"/> Yes <input type="checkbox"/> No If "Yes," give fees required _____		\$ _____ per _____		\$ _____ per _____	
(4) Regular dues or fees or other periodic payments required to remain a member of the reporting labor organization (per year, mo., etc.) \$ _____		\$ _____ per _____		\$ _____ per _____	
22. ADDITIONAL INFORMATION (If this is a terminal report, see Section XII of the instructions.)					

Item Number	19	Local 695 is party defendant in case of [] vs. [] et al, L.A. Superior Court No C 151579, Plaintiff suing for \$1,000,000 damages. Final disposition rests with court. Trial date set early April 1981.
-------------	----	---

(If more space is needed, attach additional sheets with further statement, properly identified.)

Each of the undersigned, duly authorized officers of the above labor organization, declares, under the applicable penalties of law,* that all of the information submitted in this report (including the information contained in any accompanying documents) has been examined by the signatory and is, to the best of the signatory's knowledge and belief, true, correct, and complete.

75. SIGNED _____	PRESIDENT (If other title, cross out and write in correct title above. Explain in Item 22.)	76. SIGNED _____	TREASURER (If other title, cross out and write in correct title above. Explain in Item 22.)
at _____	City _____ State _____ Date _____	at _____	City _____ State _____ Date _____

Telephone Number (Include Area Code) _____

Telephone Number (Include Area Code) _____

*See section on "Penalties" in accompanying instructions.

FILL OUT SCHEDULES 1 THROUGH 14 BEFORE FILLING OUT STATEMENTS A THROUGH C

ENTER AMOUNTS IN DOLLARS ONLY
STATEMENT A—ASSETS AND LIABILITIES

ASSETS		FROM SCH #	Start of Reporting Period (A)	cts	End of Reporting Period (B)	cts	LIABILITIES		FROM SCH #	Start of Reporting Period (C)	cts	End of Reporting Period (D)	cts
Item							Item						
23. (a) Cash on hand (Statement C, lines 1)			\$ 150	XX	\$ 150	XX	32. Accounts Payable			\$ 23,042	XX	\$ 23,042	XX
(b) Cash in banks (Statement C, lines 2)			253,400	XX	160,900	XX	33. Loans Payable			None	XX	None	XX
24. Accounts Receivable			220,810	XX	174,509	XX	34. Mortgages Payable			None	XX	None	XX
25. Loans Receivable	1		None	XX	None	XX	35. Other Liabilities			5,494	XX	8,613	XX
26. U.S. Treasury Securities			None	XX	None	XX	36. TOTAL LIABILITIES			\$ 44,225	XX	\$ 31,655	XX
27. Mortgage Investments			None	XX	12,947	XX	NET ASSETS						
28. Other Investments	2		None	XX	None	XX	37. Net Assets (Item 31 less Item 36)			\$ 721,513	XX	\$ 667,270	XX
29. Fixed Assets	5		332,810	XX	333,869	XX							
30. Other Assets	3		24,708	XX	10,550	XX							
31. TOTAL ASSETS			\$ 831,578	XX	\$ 698,925	XX							

STATEMENT B—RECEIPTS AND DISBURSEMENTS

CASH RECEIPTS		FROM SCH #	(A)	cts	CASH DISBURSEMENTS		FROM SCH #	(B)	cts
Item					Item				
38. Dues			\$ 387,733	XX	55. Per Capita Tax			\$ 74,085	XX
39. Per Capita Tax			None	XX	56. Fees, Fines, Assessments, etc.			2,588	XX
40. Fees			272,335	XX	57. To Affiliates of Funds Collected on Their Behalf			None	XX
41. Fines			8,553	XX	58. For Account of Affiliates			None	XX
42. Assessments			None	XX	59. To Officers:				
43. Work Permits			None	XX	(a) Gross		99	71,322	
44. On Behalf of Affiliates for Transmittal to Them			None	XX	(b) Less Deductions			11,679	
45. Sale of Supplies			None	XX	60. To Employees:				
46. Interest			28,829	XX	(a) Gross		100	284,294	
47. Dividends			46	XX	(b) Less Deductions			79,246	
48. Rents			22,463	XX	61. Office and Administrative Expense			154,364	XX
49. Loans Obtained	8		None	XX	62. Educational and Publicity Expense			None	XX
50. Sale of Investments and Fixed Assets	7		None	XX	63. Professional Fees			96,610	XX
51. Repayment of Loans Made	1		820	XX	64. Benefits		111	82,192	XX
52. From Members for Disbursement on Their Behalf			None	XX	65. Loans Made		1	None	XX
53. From Other Sources	13		53,074	XX	66. Contributions, Gifts and Grants		112	1,970	XX
54. TOTAL RECEIPTS (Items 38 through 53)			\$ 773,873	XX	67. Supplies for Resale			None	XX
					68. Purchase of Investments and Fixed Assets		16	14,030	XX
					69. Direct Taxes			19,920	XX
					70. Withholding Taxes			86,484	XX
					71. On Behalf of Individual Members			None	XX
					72. Repayment of Loans Obtained		18	36,000	XX
					73. For Other Purposes		114	33,439	XX
					74. TOTAL DISBURSEMENTS (Items 55 through 73)			\$ 666,373	XX

STATEMENT C—CASH

Cash at Start of Reporting Period		(A)	Cash at End of Reporting Period		(B)
1. Cash on Hand		\$ 150	1. Cash on Hand		\$ 150
2. Cash in Banks (Checking Accounts and Other Deposits)		253,400	2. Cash in Banks (Checking Accounts and Other Deposits)		160,900
3. Total of Lines 1 and 2		253,550			
4. Total Receipts from Line 54		773,873			
5. Total of Lines 3 and 4		1,027,423			
6. Total Disbursements from Line 74		866,373			
7. Deduct Line 6 from Line 5		\$ 161,050	3. Total of Lines 1 and 2		\$ 161,050

If the amount entered in line 7, column (A) does not equal the amount entered in line 3, column (B), there is a discrepancy in your reporting. Correct the discrepancy or explain it in Item 22.

If more space is needed to list items in any of the schedules below, continue the list on additional sheets, using the same column headings used on the schedule and enter the totals on the line provided for additional listings on the schedule.

SCHEDULE 1—LOANS RECEIVABLE

List below outstanding loans to officers, employees, or members which at any time during the reporting period exceeded \$250 and list all loans, regardless of amount, made to business enterprises.		Loans Outstanding at Start of the Period (B)	Loans Made During the Period (C)	Repayments Received During the Period		Balance at the End of the Period (E)
				Cash (D)(1)	Other Than Cash (D)(2)	
1. (Name)						
(Purpose)						
(Security)						
(Terms of Repayment)						
2. (Name)						
(Purpose)						
(Security)						
(Terms of Repayment)						
3. Totals from Additional Listings, if any.						
4. Totals of Loans not Listed above.						
5. Totals of Lines 1 through 4.		\$ 19,767	\$ 0	\$ 820	\$ 0	\$ 18,947

Enter the Totals from Line 5 in Item 25, Column A. Item 65. Item 58. Item 22 with Explanation. Item 25, Column B.

ENTER AMOUNTS IN DOLLARS ONLY

SCHEDULE 2—INVESTMENTS OTHER THAN U.S. TREASURY SECURITIES AND MORTGAGE INVESTMENTS

Description (A)	Amount (B)
Marketable Securities:	
1. Total Cost	\$
2. Total Book Value	
3. Itemize each Marketable Security holding which is valued at over \$1000 and which is also greater than 20% of the amount given in Line 2.	
(a)	
(b)	
(c)	N/A
(d)	
Other Investments:	
4. Total Cost	
5. Total Book Value	
6. Itemize each Other Investment holding which is valued at over \$1000 and which is also greater than 20% of the amount given in Line 5. Also itemize each subsidiary for which separate reports are attached.	
(a)	
(b)	
(c)	
(d) Total from Additional Listings, if any	
7. Total of Lines 2 and 5.	\$
Enter the Total from Line 7 in Item 28, Column B	

SCHEDULE 3—OTHER ASSETS

Description (A)	Book Value (B)
1. I.A.T.S.E. per capita stamps	\$ 6,000
2. Prepaid expenses	543
3. Securities	166
4. Security deposits	3,841
5. Total from Additional Listings, if any	
6. Total of Lines 1 through 5.	\$ 10,550
Enter the Total of Line 6 in Item 30, Column B	

SCHEDULE 4—OTHER LIABILITIES

Description (A)	Amount at End of Period (B)
1. Special legal account	\$ 5,194
2. Security deposits	3,119
3.	
4.	
5.	
6.	
7.	
8. Total from Additional Listings, if any	
9. Total of Lines 1 through 8.	\$ 8,613
Enter the Total from Line 9 in Item 35, Column B	

SCHEDULE 5—FIXED ASSETS

Description (A)	Cost or Other Basis (B)	Total Depreciation (If any) or Amount Expensed (C)	Book Value (D)	Fair Market Value (E)
1. Land (Give Location):	\$ 110,990		\$ 110,990	\$ 150,000
2. Totals from Additional Listings, if any				
3. Buildings (Give Location):	204,325	\$ 9,649	194,676	300,000
4. Totals from Additional Listings, if any				
5. Automotive Equipment				
6. Office Furniture and Equipment	34,851	12,210	22,641	20,000
7. Other Fixed Assets Leasehold Improvements	5,941	385	5,556	3,000
8. Totals of Lines 1 through 7.	\$ 356,113	\$ 22,244	\$ 333,869	\$ 473,000
Enter the Total from Line 8, column D in Item 29, Column B				

SCHEDULE 6—PURCHASE OF INVESTMENTS AND FIXED ASSETS

Description of Assets (If land or buildings, give location) (A)	Cost (B)	Book Value (C)	Cash Paid (D)
1. Office Furniture and Equipment (Typewriter)	\$	\$	\$
2. \$ 4,065; Calculators \$ 619; Furniture \$ 3,407	8,089	7,569	8,089
3.			
4. Leasehold Improvements (Paneling & Electrical Wiring)	5,941	5,556	5,941
5. Totals from Additional Listings, if any			
6. Totals of Lines 1 through 5.	\$ 14,030	\$ 13,125	\$ 14,030
Enter the Total from Line 6, Column D in Item 68			

Description of Asset Traded In (A)	Cost (B)	Book Value (C)	Trade-In Allowance (D)
(a)	\$	\$	\$
(b)			

SCHEDULE 7—SALE OF INVESTMENTS AND FIXED ASSETS

Description of Assets Sold (If land or building, give location) (A)	Cost (B)	Book Value (C)	Gross Sales Price (D)	Amount Received (E)
1.	\$	\$	\$	\$
2.	N/A			
3.				
4. Total from Additional Listings, if any				
5. Totals of Lines 1 through 4.	\$	\$	\$	\$
Enter the Total from Line 5, Column E in Item 50				

SCHEDULE 8—LOANS PAYABLE

Source of Loans Payable at any Time During the Reporting Period (A)	Terms for Repayment (B)	Balance at Start of Period (C)	Loans Obtained During Period (D)	Repayment of Loans During Period		Balance at End of Period (F)
				Cash (E)(1)	Other Than Cash (E)(2)	
1. S & L Savings Assoc	Monthly	\$	\$	\$	\$	\$
2.	6%	36,000	None	36,000		0
3.						
4.						
5. Total from Additional Listings, if any						
6. Totals of Lines 1 through 5.		\$ 36,000	\$ None	\$ 36,000	\$	\$ 0
Enter the Totals from Line 6 in Item 33, Column C Item 49 Item 72 Item 22 with Explanation Item 33, Column D						

ENTER AMOUNTS IN DOLLARS ONLY

SCHEDULE 9—LIST OF ALL OFFICERS AND DISBURSEMENTS TO OFFICERS

Name (Important: List all persons who held office during the period) (A)	Title (B)	Status (C)	Gross Salary (before taxes and other deductions) (D)	Allowances (E)	Other Direct and Indirect Disbursements		Total (H)
					Expenses Including Reimbursed Expenses (F)	Other Disbursements (G)	
		C	\$ 57,443	\$ 2,650	\$ 3,685		\$ 65,778
		N			2,337		2,337
		N			1,000		1,000
		N			2,207		2,207
		N					
7.							
8.							
9.							
10. Total from Additional Listings, if any.							
11. Totals of Lines 1 through 10.			\$ 57,443	\$ 2,650	\$ 9,229		\$ 71,322

Code for Column C, "Status": past officer—P; continuing officer—C; new officer during this reporting period—N.

Enter the Total of Line 11, Column H in Item 59(a)

NOTE: If any officer was not elected at a regular election in accordance with the constitution and bylaws, explain in Item 22.

SCHEDULE 10—DISBURSEMENTS TO EMPLOYEES

List below all employees who received more than \$10,000 in gross salary, allowances, and other direct and indirect disbursements from this labor organization and any affiliate.		Name of Affiliated Organization (if applicable) (C)	Gross Salary (before taxes and other deductions) (D)	Allowances (E)	Other Direct and Indirect Disbursements		Total (H)
(A)	Position (B)				Expenses Including Reimbursed Expenses (F)	Other Disbursements (G)	
			\$ 45,078	\$	\$ 4,925	\$	\$ 50,002
			18,019	102,122	1,231		19,250
Ray Brewer			40,836		2,689		43,525
			31,225		0		31,225
			21,997		30		22,027
			92,624		1,370		93,994
7. Total for all employees who, during the reporting period, received \$10,000 or less gross salary, allowances, and other direct and indirect disbursements.			22,469		1,801		24,270
8. Totals of Lines 1 through 7.			\$ 272,248	\$	\$ 12,046	\$	\$ 284,294

Enter the Total of Line 8, Column H in Item 60(a)

SCHEDULE 11—BENEFITS

Type of Benefit (A)	To Whom Paid (B)	Amount (C)
1. Life insurance	Accidental	\$ 44,140
2. Medical - hospital	MP Medical	18,431
3. Pension	MP Pension	19,621
4.		
5.		
6.		
7.		
8.		
9.		
10. Total from Additional Listings, if any		
11. Total of Lines 1 through 10.		\$ 82,192

Enter the Total from Line 11 in Item 64

SCHEDULE 12—CONTRIBUTIONS, GIFTS AND GRANTS

Type (A)	Amount (B)
1. MP - TV Fund	\$ 570
2. Richard F. Walsh Foundation	500
3. Muscular Dystrophy	100
4. MP Tobacco Retirees Fund	200
5. Xmas checks (all members)	600
6.	
7.	
8.	
9.	
10. Total from Additional Listings, if any	
11. Total of Lines 1 through 10.	\$ 1,970

Enter the Total from Line 11 in Item 66

SCHEDULE 13—OTHER RECEIPTS

Other Sources (A)	Amount (B)
1. Liquidated damages received	\$
2. Union products for contracts	
3. violations	14,974
4.	
5. Merger with Local 729	33,100
6.	
7.	
8.	
9.	
10. Total from Additional Listings, if any	
11. Total of Lines 1 through 10.	\$ 53,074

Enter the Total from Line 11 in Item 53

SCHEDULE 14—OTHER DISBURSEMENTS

Other Purposes (A)	Amount (B)
1. Convention expense	\$ 19,995
2. Negotiation expense	9,000
3. Payroll deductions	
4. deducted from employees	
5. Pension fund - employee	3,490
6. MP - TV fund - employee	751
7.	
8.	
9.	
10. Total from Additional Listings, if any	
11. Total of Lines 1 through 10.	\$ 33,439

Enter the Total from Line 11 in Item 73

ENTER AMOUNTS IN DOLLARS ONLY

SCHEDULE 9—LIST OF ALL OFFICERS AND DISBURSEMENTS TO OFFICERS							
Name (Important: List all persons who held office during the period) (A)	Title (B)	Status (C)	Gross Salary (before taxes and other deductions) (D)	Allowances (E)	Other Direct and Indirect Disbursements		Total (H)
					Expenses Including Reimbursed Expenses (F)	Other Disbursements (G)	
1.			\$	\$	\$	\$	\$
2.							
3.							
4.							
5.							
6.							
7.							
8.							
9.							
10. Total from Additional Listings, if any.							
11. Totals of Lines 1 through 10.			\$	\$	\$	\$	\$

Code for Column C, "Status": past officer—P; continuing officer—C; new officer during this reporting period—N.
Enter the Total of Line 11, Column H in Item 59(a)
NOTE: If any officer was not elected at a regular election in accordance with the constitution and bylaws, explain in Item 22.

SCHEDULE 10—DISBURSEMENTS TO EMPLOYEES							
List below all employees who received more than \$10,000 in gross salary, allowances, and other direct and indirect disbursements from this labor organization and any affiliate. (A)	Position (B)	Name of Affiliated Organization (if applicable) (C)	Gross Salary (before taxes and other deductions) (D)	CONT'D Allowances (E)	Other Direct and Indirect Disbursements		Total (H)
					Expenses Including Reimbursed Expenses (F)	Other Disbursements (G)	
			\$ 13,152	\$	\$ 30	\$	\$ 13,182
			27,668		256		27,924
			18,200		316		18,516
			20,175		768		20,943
			13,429		0		13,429
7. Total for all employees who, during the reporting period, received \$10,000 or less gross salary, allowances, and other direct and indirect disbursements.							
8. Totals of Lines 1 through 7.			\$ 92,624	\$	\$ 1,370	\$	\$ 93,994

Enter the Total of Line 8, Column H in Item 60(a)

SCHEDULE 11—BENEFITS		
Type of Benefit (A)	To Whom Paid (B)	Amount (C)
1.		\$
2.		
3.		
4.		
5.		
6.		
7.		
8.		
9.		
10. Total from Additional Listings, if any		
11. Total of Lines 1 through 10.		\$

Enter the Total from Line 11 in Item 64

SCHEDULE 12— CONTRIBUTIONS, GIFTS AND GRANTS	
Type (A)	Amount (B)
1.	\$
2.	
3.	
4.	
5.	
6.	
7.	
8.	
9.	
10. Total from Additional Listings, if any	
11. Total of Lines 1 through 10.	\$

Enter the Total from Line 11 in Item 66

SCHEDULE 13—OTHER RECEIPTS	
Other Sources (A)	Amount (B)
1.	\$
2.	
3.	
4.	
5.	
6.	
7.	
8.	
9.	
10. Total from Additional Listings, if any	
11. Total of Lines 1 through 10.	\$

Enter the Total from Line 11 in Item 53

SCHEDULE 14—OTHER DISBURSEMENTS	
Other Purposes (A)	Amount (B)
1.	\$
2.	
3.	
4.	
5.	
6.	
7.	
8.	
9.	
10. Total from Additional Listings, if any	
11. Total of Lines 1 through 10.	\$

Enter the Total from Line 11 in Item 73

BOARD OF DIRECTORS

3/1/80

International Sound Technicians, Cinetechnicians and Television Engineers, Local 695

	Residence	Studio
N		Universal
N		Free Lance
N		Free Lance
N		Free Lance
N		Universal
C		Goldwyn
C		Free Lance
C		Free Lance
N		Universal
N		Free Lance
N		Disney
N		Free Lance
		Glenn
C		Bob Stivers
C		Free Lance
N		Viditronics
C		KCEP
N		KTHA
N		C.F.I.
N		Disney
1 Yr.		HEI - LAB
1 Yr.		Technicolor
C		S.G.

INTERNATIONAL SOUND TECHNICIANS, LOCAL 695 LM2 Report 1980

SCHEDULE 3 - OTHER ASSETS:

SECURITIES

1 Share each of the following Stock:

Columbia Pictures	\$3.70
Walt Disney Prod.	54.08
Gulf & Western	31.16
M.C.A. Inc.	28.29
Metro Goldwyn Mayer	15.06
20th Century Fox	9.74
United Artists	8.01
Warner Communications	<u>15.92</u>
	<u>165.96</u>

LM-2

1979

LABOR ORGANIZATION ANNUAL REPORT
FORM LM-2

b6
b7C

MUST BE USED BY LABOR ORGANIZATIONS WITH \$30,000 OR MORE IN R
AND LABOR ORGANIZATIONS WHICH ARE UNDER TRUSTEESHIP

Labor-Management Reporting and Disclosure Act of 1959, as amended
and
Executive Order 11491, as amended

EARL W
17719 CORP
ENCINO, CA

READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT. SUBMIT THIS REPORT IN DU

LMSA, LOS ANGELES MAY 5 1980	JAMES OSHURN STAGE & PICTURE OPERATORS AFL-CIO LU 00695 1540 VENTURA BLVD SUITE 313 ENCINO CA 91436	907-032 722 6 1279 L2M	1. FILE NUMBER LM 0070
2. Period covered			MO
From			1
Thru			12 31

3. CITY, COUNTY AND STATE WHERE CHARTERED TO OPERATE:

CITY Studio City COUNTY Los Angeles STATE California

4. NAME OF LABOR ORGANIZATION (as shown on charter, constitution, etc.)
INTERNATIONAL SOUND TECHNICIANS OF THE
NOTION PICTURE BROADCAST & AMUSEMENT IND.
LOCAL 695, IATSE & MPNO of US & Canada

8. OFFICIAL MAILING ADDRESS (For mail to the organization):

(In care of) NAME OF PERSON

James A. Osburn

NUMBER AND STREET

6. DESIGNATION (Local, Lodge, etc.)
Local

7. DESIGNATION NUMBER
695

BLDG. AND ROOM NUMBER, IF ANY
11321 Ventura Blvd., Suite 201

9. Are organization records kept at the official mailing address? ☐ Yes ☒ No
If "No," show address including ZIP Code in Item 22.

CITY STATE ZIP CODE

Studio City, CA 91604

DURING THE REPORTING PERIOD DID YOUR ORGANIZATION DIRECTLY
OR INDIRECTLY:

10. Have any accounts in banks or other financial institutions held in a name other than that of your organization? ☐ YES ☒ NO
11. Liquidate or reduce any liabilities without disbursement of cash? ☐ YES ☒ NO
12. Create or participate in the administration of any business enterprises or other organizations which met the definition of a "subsidiary organization" as that term is defined in the instructions on page 2? ☐ YES ☒ NO
13. Acquire any goods or property in any manner other than by purchase or dispose of any goods or property in any manner other than by sale? ☐ YES ☒ NO
14. Create or participate in the administration of a trust or other fund or organization, a primary purpose of which is to provide benefits for members or their beneficiaries, as defined by section 3(l) of the Act? ☐ YES ☒ NO
15. Discover any loss or shortage of funds or other property? ☐ YES ☒ NO

(If the answer to any of the above questions is "Yes," provide details in Item 22. See specific instructions for items answered "Yes.")

16. A. Was the labor organization insured by a fidelity bond during the reporting period? ☐ Yes ☒ No
B. If "Yes," enter the maximum amount recoverable for loss caused by any person \$ 100,000

X 17. Enter the date of your organization's next regular election of officers.
X Month February Year 1980

AS OF THE END OF THE REPORTING PERIOD:
X 18. Were any assets pledged as security or encumbered in any other way? ☐ Yes ☒ No
19. Did your organization have any contingent liabilities? ☐ Yes ☒ No
(If the answer to Item 18 or 19 is "Yes," provide details in Item 22.)

X 20. Did your organization have any changes in its constitution and bylaws (other than changed dues amounts) or in practices described in statements submitted with Form LM-1 or Form LM-1A since your organization filed Form LM-1 or most recently updated it by filing a Form LM-1A? ☐ Yes ☒ No
If "Yes," attach an updated Form LM-1A to this report, with required documents.

21. List fees and dues required. (Complete each line. Enter "None" or "Not Applicable" as appropriate.)

	(A) If one rate applies, enter here	(B) If more than one rate applies, enter here
	Minimum	Maximum
(1) Initiation fee or fees required from new members	\$ 1,602	\$ 3,142
(2) Fees other than dues required from transfer members	\$ 1,602	\$ 3,147
(3) Are work permits issued? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," give fees required	\$ per	\$ per
(4) Regular dues or fees or other periodic payments required to remain a member of the reporting labor organization (per year, mo., etc.)	\$ 20	\$ 50

22. ADDITIONAL INFORMATION (If this is a terminal report, see Section XII of the instructions.)

Item Number 14 Form 5500 Annual Return/Report of Employees Benefit Plan

15 Certificates of Deposits, etc. used as guarantee until maturity for loan used to purchase building.

19 Local 695 is party defendant in case of [redacted] v [redacted] et al, L.A. Superior Ct. No C 157579, Plaintiff suing for \$1,000,00 damages. No estimate of possible liability can be made.

U.S. Ct. of Appeal 9th Circuit - Contempt of Ct. Order 73-2776. Clair BR Osburn is in Contempt for refusing to sign a certain CBA. Counsel for Union advises that our possible liability may be between \$10,000 and \$15,000.

SCHEDULE 14 - NOTE Payroll Deductions- Pension and Motion Picture Relief Fund.
(If more space is needed, attach additional sheets with further statement, properly identified.)

Each of the undersigned, duly authorized officers of the above labor organization, declares, under the applicable penalties of law, that all of the information submitted in this report (including the information contained in any accompanying documents) has been examined by the undersigned and is, to the best of the undersigned's knowledge and belief, true, correct, and complete.

75. SIGNED: [Signature] Vice - PRESIDENT

76. SIGNED: [Signature] Business Representative

at: 112 = So. Buena Vista on: 3/31/80

at: Studio City, Ca. on: 3/31/80

City State Date
at: 112 = So. Buena Vista on: 3/31/80
City State Date
at: Studio City, Ca. on: 3/31/80

Telephone Number (Include Area Code) 213-842-8574 Telephone Number (Include Area Code) 950-0364

*See section on "Penalties" in accompanying instructions.

Item	ASSETS	FROM SCH #	Start of Reporting Period (A)	End of Reporting Period (B)	Item	LIABILITIES	FROM SCH #	Start of Reporting Period (C)	End of Reporting Period (D)
23.	(a) Cash on hand (Statement C, lines 1)		\$ 150	\$ 150	32.	Accounts Payable		\$	\$ 2,791
	(b) Cash in banks (Statement C, lines 2)		489,073	253,400	33.	Loans Payable	8	NONE	36,000
24.	Accounts Receivable		243,677	220,810	34.	Mortgages Payable		NONE	NONE
25.	Loans Receivable	1	NONE	NONE	35.	Other Liabilities	4	5,494	5,494
26.	U.S. Treasury Securities		NONE	NONE	36.	TOTAL LIABILITIES		\$ 33,393	\$ 44,285
27.	Mortgage Investments		NONE	NONE		NET ASSETS			
28.	Other Investments	2	NONE	NONE				733,447	787,593
29.	Fixed Assets	5	18,176	332,810	37.	Net Assets (Item 31 less Item 36)		\$	\$
30.	Other Assets	3	15,704	24,700					
31.	TOTAL ASSETS		\$ 766,720	\$ 831,870					

STATEMENT B—RECEIPTS AND DISBURSEMENTS

Item	CASH RECEIPTS	FROM SCH #	(A)	Item	CASH DISBURSEMENTS	FROM SCH #	(B)
38.	Dues		\$ 241,144	55.	Per Capita Tax		\$ 64,939
39.	Per Capita Tax		NONE	56.	Fees, Fines, Assessments, etc.		2,472
40.	Fees		312,258	57.	To Affiliates of Funds Collected on Their Behalf		NONE
41.	Fines		1,618	58.	For Account of Affiliates		NONE
42.	Assessments		NONE	59.	To Officers:	9	
43.	Work Permits		NONE	(a) Gross	64,346		
44.	On Behalf of Affiliates for Transmittal to Them		NONE	(b) Less Deductions	8,381		55,965
45.	Sale of Supplies		NONE	60.	To Employees:	10	
46.	Interest		35,594	(a) Gross	170,130		
47.	Dividends		NONE	(b) Less Deductions	42,055		128,175
48.	Rents		NONE	61.	Office and Administrative Expense		87,762
49.	Loans Obtained	8	181,226	62.	Educational and Publicity Expense		NONE
50.	Sale of Investments and Fixed Assets	7	NONE	63.	Professional Fees		108,000
51.	Repayment of Loans Made	1	NONE	64.	Benefits	11	43,713
52.	From Members for Disbursement on Their Behalf		NONE	65.	Loans Made	1	NONE
53.	From Other Sources	13	17,774	66.	Contributions, Gifts and Grants	12	750
54.	TOTAL RECEIPTS (Items 38 through 53)		\$ 789,619	67.	Supplies for Resale		NONE
				68.	Purchase of Investments and Fixed Assets	6	320,900
				69.	Direct Taxes		11,260
				70.	Withholding Taxes		48,150
				71.	On Behalf of Individual Members		NONE
				72.	Repayment of Loans Obtained	8	145,228
				73.	For Other Purposes	14	7,900
				74.	TOTAL DISBURSEMENTS (Items 55 through 73)		\$ 1,025,283

STATEMENT C—CASH

Cash at Start of Reporting Period	(A)	Cash at End of Reporting Period	(B)
1. Cash on Hand	\$ 150	1. Cash on Hand	\$ 150
2. Cash in Banks (Checking Accounts and Other Deposits)	489,073	2. Cash in Banks (Checking Accounts and Other Deposits)	253,400
3. Total of Lines 1 and 2	489,223		
4. Total Receipts from Line 54	789,610		
5. Total of Lines 3 and 4	1,278,833		
6. Total Disbursements from Line 74	1,025,283		
7. Deduct Line 6 from Line 5	\$ 253,550	3. Total of Lines 1 and 2	\$ 253,550

If the amount entered in line 7, column (A) does not equal the amount entered in line 3, column (B), there is a discrepancy in your reporting. Correct the discrepancy or explain it in Item 22.

If more space is needed to list items in any of the schedules below, continue the list on additional sheets, using the same column headings used on the schedule and enter the totals on the line provided for additional listings on the schedule.

SCHEDULE 1—LOANS RECEIVABLE

List below outstanding loans to officers, employees, or members which at any time during the reporting period exceeded \$250 and list all loans, regardless of amount, made to business enterprises. (A)	Loans Outstanding at Start of the Period (B)	Loans Made During the Period (C)	Repayments Received During the Period		Balance at the End of the Period (E)
			Cash (D)(1)	Other Than Cash (D)(2)	
1. (Name) _____ (Purpose) _____ (Security) _____ (Terms of Repayment) _____					
2. (Name) _____ (Purpose) _____ (Security) _____ (Terms of Repayment) _____					
3. Totals from Additional Listings, if any.					
4. Totals of Loans not Listed above.					
5. Totals of Lines 1 through 4.					

Enter the Totals from Line 5 in Item 25, Column A. Item 65. Item 51. Item 22, with Explanation. Item 25, Column B.

SCHEDULE 2—INVESTMENTS OTHER THAN U.S. TREASURY SECURITIES AND MORTGAGE INVESTMENTS

Description (A)	Amount (B)
Marketable Securities:	
1. Total Cost	\$
2. Total Book Value	
3. Itemize each Marketable Security holding which is valued at over \$1000 and which is also greater than 20% of the amount given in Line 2.	
(a)	
(b)	
(c)	
(d)	
Other Investments:	
4. Total Cost	
5. Total Book Value	
6. Itemize each Other Investment holding which is valued at over \$1000 and which is also greater than 20% of the amount given in Line 5. Also itemize each subsidiary for which separate reports are attached.	
(a)	
(b)	
(c)	
(d) Total from Additional Listings, if any	
7. Total of Lines 2 and 5.	\$
Enter the Total from Line 7 in Item 28, Column B	

SCHEDULE 3—OTHER ASSETS

Description (A)	Book Value (B)
1.	\$
2. Deferred Charges	1,502
3. Prepaid Per Capita	18,900
4. Securities	100
5. Total from Additional Listings, if any	3,141
6. Total of Lines 1 through 5.	\$ 999
Enter the Total of Line 6 in Item 30, Column B	

SCHEDULE 4—OTHER LIABILITIES

Description (A)	Amount at End of Period (B)
1.	\$
2. Special Legal Account	3,494
3.	
4.	
5.	
6.	
7.	
8. Total from Additional Listings, if any	
9. Total of Lines 1 through 8.	\$ 3,494
Enter the Total from Line 9 in Item 35, Column D	

SCHEDULE 5—FIXED ASSETS

Description (A)	Cost or Other Basis (B)	Total Depreciation (If any) or Amount Expensed (C)	Book Value (D)	Fair Market Value (E)
1. Land (Give Location):	\$		\$	\$
2. Totals from Additional Listings, if any				
3. Buildings (Give Location):				
3779 Cahuenga Blvd.	315,315	2,838	312,477	400,000
4. Totals from Additional Listings, if any				
5. Automotive Equipment				
6. Office Furniture and Equipment				
7. Other Fixed Assets	29,088	8,755	20,333	15,000
8. Totals of Lines 1 through 7.	\$ 344,957	\$ 12,147	\$ 332,810	\$ 415,000
Enter the Total from Line 8, column D in Item 29, Column B				

SCHEDULE 6—PURCHASE OF INVESTMENTS AND FIXED ASSETS

Description of Assets (If land or buildings, give location) (A)	Cost (B)	Book Value (C)	Cash Paid (D)
1.	\$	\$	\$
2. 3779 Cahuenga - Commercial Bldg.	315,315	312,477	315,315
3. Cabinets for Mailing Machines	1,856	1,633	1,856
4. Answering Machine \$899 - Refrigerator \$309	1,208	1,095	1,208
5. Desks, Files, Cabinets, Etc.	2,523	2,485	2,523
6. Totals of Lines 1 through 5.	\$ 320,902	\$ 317,690	\$ 320,902
Enter the Total from Line 6, Column D in Item 68			

Description of Asset Traded In (A)	Cost (B)	Book Value (C)	Trade-In Allowance (D)
(a)	\$	\$	\$
(b)			
NONE			

SCHEDULE 7—SALE OF INVESTMENTS AND FIXED ASSETS

Description of Assets Sold (If land or building, give location) (A)	Cost (B)	Book Value (C)	Gross Sales Price (D)	Amount Received (E)
1.	\$	\$	\$	\$
2.				
3.				
4. Total from Additional Listings, if any				
5. Totals of Lines 1 through 4.	\$	\$	\$	\$
Enter the Total from Line 5, Column E in Item 50				

SCHEDULE 8—LOANS PAYABLE

Source of Loans Payable at any Time During the Reporting Period (A)	Terms for Repayment (B)	Balance at Start of Period (C)	Loans Obtained During Period (D)	Repayment of Loans During Period		Balance at End of Period (F)
				Cash (E)(1)	Other Than Cash (E)(2)	
1. S & L Company Accts		\$	\$	\$	\$	\$
2. Maturity of CD		NONE	181,228	145,228		36,000
3.						
4.						
5. Total from Additional Listings, if any						
6. Totals of Lines 1 through 5.		\$ NONE	\$ 181,228	\$ 145,228	\$	\$ 36,000
Enter the Totals from Line 6 in		Item 33, Column C	Item 49	Item 72	Item 22 with Explanation	Item 33, Column D

ENTER AMOUNTS IN DOLLARS ONLY

SCHEDULE 9—LIST OF ALL OFFICERS AND DISBURSEMENTS TO OFFICERS

Name (Important: List all persons who held office during the period)	Title	Status	Gross Salary (before taxes and other deductions) (D)	Allowances (E)	Other Direct and Indirect Disbursements		Total (H)
					Expenses Including Reimbursed Expenses (F)	Other Disbursements (G)	
		C	\$ 54,617	\$ 2,600	\$ 2,546		\$ 59,763
							None
					4,000		4,000
					574		574
							None
10. Total from Additional Listings, if any.							
11. Totals of Lines 1 through 10.			\$ 54,617	\$ 2,600	\$ 7,129		\$ 64,346

Code for Column C, "Status": past officer—P; continuing officer—C; new officer during this reporting period—N.
Enter the Total of Line 11, Column H in Item 59(a)
NOTE: If any officer was not elected at a regular election in accordance with the constitution and bylaws, explain in Item 22.

SCHEDULE 10—DISBURSEMENTS TO EMPLOYEES

List below all employees who received more than \$10,000 in gross salary, allowances, and other direct and indirect disbursements from this labor organization and any affiliate.	Name of Affiliated Organization (B)	Gross Salary (before taxes and other deductions) (D)	Allowances (E)	Other Direct and Indirect Disbursements		Total
				Expenses Including Reimbursed Expenses (F)	Other Disbursements (G)	
1.		40,901		7,481		48,382
2.	Roy H. Brewer Consultant	34,723		1,762		36,485
3.		27,602				27,602
4.		12,819		39		12,858
5.		18,399		55		18,454
6.		16,993		181		17,174
7. Total for all employees who, during the reporting period, received \$10,000 or less gross salary, allowances, and other direct and indirect disbursements.		5,958		191		6,149
8. Totals of Lines 1 through 7.		\$ 160,431		\$ 9,749		\$ 170,180

Enter the Total of Line 8, Column H in Item 60(a)

SCHEDULE 11—BENEFITS

Type of Benefit (A)	To Whom Paid (B)	Amount (C)
1. Medical & Hospital Insurance	MP & TV Fund	8,814
2. Pension	MP Pens. Fund	9,702
3. Death Benefit (Members)	Occidental	25,882
4.		
5.		
6.		
7.		
8.		
9.		
10. Total from Additional Listings, if any.		
Total of Lines 1 through 10.		\$ 43,798

Enter the Total from Line 11 in Item 64

SCHEDULE 12—CONTRIBUTIONS, GIFTS AND GRANTS

Type (A)	Amount (B)
1. MP & TV Fund	
2. Flowers	101
3. Xmas Checks (All Members)	400
4.	
5.	
6.	
7.	
8.	
9.	
10. Total from Additional Listings, if any.	
11. Total of Lines 1 through 10.	\$ 701

Enter the Total from Line 11 in Item 66

SCHEDULE 13—OTHER RECEIPTS

Other Sources (A)	Amount (B)
1. Liquidated Damages received from Producers for Contract Violations	12,679
2. Income from 3775 Calhoun	5,093
3.	
4.	
5.	
6.	
7.	
8.	
9.	
10. Total from Additional Listings, if any.	
Total of Lines 1 through 10.	\$ 17,772

Enter the Total from Line 11 in Item 53

SCHEDULE 14—OTHER DISBURSEMENTS

Other Purposes (A)	Amount (B)
1. Negotiation	6,620
2.	
3. PAYROLL DEDUCTIONS	
4. Pension (Employees Cont.)	2,100
5. MP&TV Fund (Employees Cont.)	177
6.	
7.	
8.	
9.	
10. Total from Additional Listings, if any.	
11. Total of Lines 1 through 10.	\$ 7,906

Enter the Total from Line 11 in Item 73

	Residence	Studio
C		Todd-AO
C		Universal
C		Free Lance
E		Pac. Video
C		Universal
N		Goldwyn
N		Q.M. Prod.
N		Free Lance
C		Glenn Sound
C		TBS
C		MGM
N		Free Lance
N		Universal
C		Vidtronics
N		
N		
N		Free Lance
N		KCET
C		Free Lance
N		NBC
		Union
		Union

SCHEDULE 3 - OTHER ASSETS:

SECURITIES

1 Share each of the following Stock:

Columbia Pictures	\$3.70
Walt Disney Prod.	54.08
Gulf & Western	31.16
M.C.A. Inc.	28.29
Metro Goldwyn Mayer	15.06
20th Century Fox	9.74
United Artists	8.01
Warner Communications	15.92
	<u>165.96</u>

LM-2

1978

LABOR ORGANIZATION ANNUAL REPORT
FORM LM-2

b6
b7C

MUST BE USED BY LABOR ORGANIZATIONS WITH \$30,000 OR MORE IN RECEIPTS
AND LABOR ORGANIZATIONS WHICH ARE UNDER TRUSTEESHIP

FILE

Labor-Management Reporting and Disclosure Act of 1959, as amended
and
Executive Order 11491, as amended

READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT. SUBMIT THIS REPORT IN DUPLICATE.

<div>U.S. LA. LOS ANGELES JAN 7 1980</div>		JAMES A. OSBURN STAGE & PICTURE OPERATORS AFL-CIO LU 00695 15840 Ventura Blvd. Suite 303 12L2 Encino, CA 91436 S		007-032 72 2 6	1. FILE NUMBER LM 007032				
					2. Period covered		MO	DAY	YR
					From		1	1	78
					Thru		12	31	78
3. CITY, COUNTY AND STATE WHERE CHARTERED TO OPERATE: CITY <u>Encino</u> COUNTY <u>Los Angeles</u> STATE <u>California</u>									
4. NAME OF LABOR ORGANIZATION (as shown on charter, constitution, etc.) INTERNATIONAL SOUND TECHNICIANS OF THE MOTION PICTURE BROADCAST & AMUSEMENT IND. LOCAL 695 of the IATSE & MPMO of US & C					8. OFFICIAL MAILING ADDRESS (For mail to the organization): (In care of) NAME OF PERSON James A. Osburn NUMBER AND STREET 15840 Ventura Blvd., Suite 303 BLDG. AND ROOM NUMBER, IF ANY				
5. AFFILIATION IATSE & MPMO of US & C									
6. DESIGNATION (Local, Lodge, etc.) Local			7. DESIGNATION NUMBER 695						
9. Are organization records kept at the official mailing address? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "No," show address including ZIP Code in Item 22.					CITY Encino		STATE California		ZIP CODE 91436
DURING THE REPORTING PERIOD DID YOUR ORGANIZATION DIRECTLY OR INDIRECTLY: 10. Have any accounts in banks or other financial institutions held in a name other than that of your organization? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO 11. Liquidate or reduce any liabilities without disbursement of cash? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO 12. Create or participate in the administration of any business enterprises or other organizations which met the definition of a "subsidiary organization" as that term is defined in the instructions on page 2? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO 13. Acquire any goods or property in any manner other than by purchase or dispose of any goods or property in any manner other than by sale? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO 14. Create or participate in the administration of a trust or other fund or organization, a primary purpose of which is to provide benefits for members or their beneficiaries, as defined by section 3(b) of the Act? <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO 15. Discover any loss or shortage of funds or other property? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO (If the answer to any of the above questions is "Yes," provide details in Item 22. See specific instructions for items answered "Yes.")					16. A. Was the labor organization insured by a fidelity bond during the reporting period? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No B. If "Yes," enter the maximum amount recoverable for loss caused by any person \$ <u>100,000.</u> 17. Enter the date of your organization's next regular election of officers. Month <u>February</u> Year <u>1979</u> AS OF THE END OF THE REPORTING PERIOD: 18. Were any assets pledged as security or encumbered in any other way? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No 19. Did your organization have any contingent liabilities? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No (If the answer to Item 18 or 19 is "Yes," provide details in Item 22.) 20. Did your organization have any changes in its constitution and bylaws (other than changed dues amounts) or in practices described in statements submitted with Form LM-1 or Form LM-1A since your organization filed Form LM-1 or most recently updated it by filing a Form LM-1A? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," attach an updated Form LM-1A to this report, with required documents.				
21. List fees and dues required. (Complete each line. Enter "None" or "Not Applicable" as appropriate.) (1) Initiation fee or fees required from new members \$ _____ (2) Fees other than dues required from transfer members \$ _____ (3) Are work permits issued? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," give fees required \$ _____ per _____ (4) Regular dues or fees or other periodic payments required to remain a member of the reporting labor organization (per year, mo., etc.) \$ _____ per _____					(A) If one rate applies, enter here		(B) If more than one rate applies, enter here Minimum Maximum \$ <u>1,602</u> \$ <u>3,147</u> \$ <u>1,602</u> \$ <u>3,147</u> \$ _____ per _____ \$ _____ per _____ \$ <u>26</u> per <u>Qtr</u> \$ <u>50</u> per <u>Qtr</u>		
22. ADDITIONAL INFORMATION (If this is a terminal report, see Section XII of the instructions.) Item Number 14 Form 5500 Annual Return/Report of Employees Benefit Plan 19 Local 695 is party defendant in case of [redacted] v [redacted] et al, L.A. Superior Ct No. C 157579. Plaintiff suing for \$1,000,000 damages. No estimate of possible liability can be made. Complaint against Local 695 filed with Dept of Labor by M. Madery charging Local with underpayment of salary on basis of discrimination. This case settled Jan. 8, 1979 Local 695 paying \$19,000 to plaintiff. U.S. Ct. of Appeal 9th Circuit - Contempt of Ct Order 78-2776. Claim is in Contempt for refusing to sign a certain CBA. Counsel for Union advises that our possible liability may be between \$10,000 & \$30,000.									
SCHEDULE 14 - NOTE Payroll Deductions - Pension and Motion Picture Relief Fund. (If more space is needed, attach additional sheets with further statement, properly identified.)									
Each of the undersigned, duly authorized officers of the above labor organization, declares, under the applicable penalties of law, that all of the information submitted in this report (including the information contained in any accompanying documents) has been examined by the signatory and is, to the best of the undersigned's knowledge and belief, true, correct, and complete.									
75. SIGNED: <u>James A. Osburn</u> PRESIDENT (If other title, cross out and write in correct title above. Explain in Item 22.) at: <u>Encino, CA</u> on: <u>7/27/79</u> City State Date <u>213-244-5222</u> Telephone Number (Include Area Code)					76. SIGNED: <u>Randolph D. Blum</u> TREASURER (If other title, cross out and write in correct title above. Explain in Item 22.) at: <u>Encino, CA</u> on: <u>7/27/79</u> City State Date <u>213-164-8813</u> Telephone Number (Include Area Code)				
*See section on "Penalties" in accompanying instructions.									

STATEMENT A—ASSETS AND LIABILITIES

STATEMENT A—ASSETS AND LIABILITIES													
ASSETS		FROM SCH #	Start of Re- Period (A)	ng cts	End of Reporting Period (B)	cts	LIABILITIES		FROM SCH #	Start of Reporting Period (C)	cts	End of Reporting Period (D)	cts
Item							Item						
23. (a) Cash on hand (Statement C, lines 1)			\$ 150	XX	\$ 150	XX	32. Accounts Payable			\$ 10,691	XX	\$ 27,839	XX
(b) Cash in banks (Statement C, lines 2)			397,200	XX	489,073	XX	33. Loans Payable	8		None	XX	None	XX
24. Accounts Receivable			154,409	XX	243,677	XX	34. Mortgages Payable			None	XX	None	XX
25. Loans Receivable	1		None	XX	None	XX	35. Other Liabilities	4		368	XX	5,494	XX
26. U.S. Treasury Securities			None	XX	None	XX	36. TOTAL LIABILITIES			\$ 11,059	XX	\$ 33,333	XX
27. Mortgage Investments			None	XX	None	XX	NET ASSETS						
28. Other Investments	2		None	XX	None	XX	37. Net Assets (Item 31 less Item 36)						
29. Fixed Assets	5		3,583	XX	18,176	XX							
30. Other Assets	3		15,489	XX	15,704	XX							
31. TOTAL ASSETS			\$ 570,831	XX	\$ 766,780	XX				\$ 559,772	XX	\$ 733,447	XX

STATEMENT B—RECEIPTS AND DISBURSEMENTS

Item	CASH RECEIPTS	FROM SCH #	(A)	Item	CASH DISBURSEMENTS	FROM SCH #	(B)
38. Dues			\$ 232,667	55. Per Capita Tax			\$ 53,075
39. Per Capita Tax			None	56. Fees, Fines, Assessments, etc.			2,050
40. Fees			275,672	57. To Affiliates of Funds Collected on Their Behalf			None
41. Fines			1,980	58. For Account of Affiliates			None
42. Assessments			None	59. To Officers:			
43. Work Permits			None	(a) Gross	9	\$ 56,282	
44. On Behalf of Affiliates for Transmittal to Them			None	(b) Less Deductions		3,948	52,334
45. Sale of Supplies			None	60. To Employees:			
46. Interest			29,570	(a) Gross	10	146,422	
47. Dividends			None	(b) Less Deductions		35,923	110,499
48. Rents			None	61. Office and Administrative Expense			52,006
49. Loans Obtained	8		None	62. Educational and Publicity Expense			None
50. Sale of Investments and Fixed Assets	7		352	63. Professional Fees			81,601
51. Repayment of Loans Made	1		None	64. Benefits	11		41,817
52. From Members for Disbursement on Their Behalf			None	65. Loans Made	1		None
53. From Other Sources	13		15,757	66. Contributions, Gifts and Grants	12		850
54. TOTAL RECEIPTS (Items 38 through 53)			\$ 555,998	67. Supplies for Resale			None
				68. Purchase of Investments and Fixed Assets	6		17,402
				69. Direct Taxes			11,724
				70. Withholding Taxes			37,846
				71. On Behalf of Individual Members			None
				72. Repayment of Loans Obtained	8		None
				73. For Other Purposes	14		2,921
				74. TOTAL DISBURSEMENTS (Items 55 through 73)			\$ 464,125

STATEMENT C—CASH

Cash at Start of Reporting Period		Cash at End of Reporting Period	
	(A)		(B)
1. Cash on Hand	\$ 150	1. Cash on Hand	\$ 150
2. Cash in Banks (Checking Accounts and Other Deposits)	397,200	2. Cash in Banks (Checking Accounts and Other Deposits)	489,073
3. Total of Lines 1 and 2	397,350		
4. Total Receipts from Line 54	555,998		
5. Total of Lines 3 and 4	953,348		
6. Total Disbursements from Line 74	464,125		
7. Deduct Line 6 from Line 5	\$ 489,223	3. Total of Lines 1 and 2	\$ 489,223

If the amount entered in line 7, column (A) does not equal the amount entered in line 3, column (B), there is a discrepancy in your reporting. Correct the discrepancy or explain it in Item 22.

If more space is needed to list items in any of the schedules below, continue the list on additional sheets, using the same column headings used on the schedule and enter the totals on the line provided for additional listings on the schedule.

SCHEDULE 1—LOANS RECEIVABLE

List below outstanding loans to officers, employees, or members which at any time during the reporting period exceeded \$250 and list all loans, regardless of amount, made to business enterprises. (A)	Loans Outstanding at Start of the Period (B)	Loans Made During the Period (C)	Repayments Received During the Period		Balance at the End of the Period (E)
			Cash (D)(1)	Other Than Cash (D)(2)	
1. (Name) _____ (Purpose) _____ (Security) _____ (Terms of Repayment) _____	N O N E				
2. (Name) _____ (Purpose) _____ (Security) _____ (Terms of Repayment) _____					
3. Totals from Additional Listings, if any.					
4. Totals of Loans not Listed above.					
5. Totals of Lines 1 through 4					

Enter the Totals from Line 5 in _____ Item 25, Column A. _____ Item 65, _____ Item 51, _____ Item 22, _____ Item 25, Column B.

SCHEDULE 2—INVESTMENTS OTHER THAN U.S. TREASURY SECURITIES AND MORTGAGE INVESTMENTS

Description (A)	Amount (B)
Marketable Securities:	
1. Total Cost	\$
2. Total Book Value	
3. Itemize each Marketable Security holding which is valued at over \$1000 and which is also greater than 20% of the amount given in Line 2.	
(a)	
(b)	
(c) N O N E	
(d)	
Other Investments:	
4. Total Cost	
5. Total Book Value	
6. Itemize each Other Investment holding which is valued at over \$1000 and which is also greater than 20% of the amount given in Line 5. Also itemize each subsidiary for which separate reports are attached.	
(a)	
(b)	
(c)	
(d) Total from Additional Listings, if any	
7. Total of Lines 2 and 5.	\$
Enter the Total from Line 7 in Item 28, Column B	

SCHEDULE 3—OTHER ASSETS

Description	Book Value (B)
1. Deferred Charges	\$ 157
2. Prepaid Expenses	1,075
3. Prepaid I.A. Per Capita	13,600
4. Security Deposits	706
5. Securities (See attached)	166
5. Total from Additional Listings, if any	
6. Total of Lines 1 through 5.	\$ 15,704
Enter the Total of Line 6 in Item 30, Column B	
SCHEDULE 4—OTHER LIABILITIES	
Description (A)	Amount at End of Period (B)
1. Special Legal Account	\$ 5,494
2.	
3.	
4.	
5.	
6.	
7.	
8. Total from Additional Listings, if any	
9. Total of Lines 1 through 8.	\$ 5,494
Enter the Total from Line 9 in Item 35, Column D	

SCHEDULE 5—FIXED ASSETS

Description (A)	Cost or Other Basis (B)	Total Depreciation (If any) or Amount Expensed (C)	Book Value (D)	Fair Market Value (E)
1. Land (Give Location):	\$		\$	\$
2. Totals from Additional Listings, if any				
3. Buildings (Give Location):		\$		
4. Totals from Additional Listings, if any				
5. Automotive Equipment				
6. Office Furniture and Equipment	30,865	12,980	17,885	18,000
7. Other Fixed Assets Leasehold Improvements	554	263	291	300
8. Totals of Lines 1 through 7.	\$ 31,419	\$ 13,243	\$ 18,176	\$ 18,300
Enter the Total from Line 8, column D in Item 29, Column B				

SCHEDULE 6—PURCHASE OF INVESTMENTS AND FIXED ASSETS

Description of Assets (If land or buildings, give location)	Cost (B)	Book Value (C)	Cash Paid (D)
Desk & Ch. \$530; Checkwriter \$252; Scale \$451	1,233	1,662	1,233
1. IBM Typewriter	\$ 912	\$ 844	\$ 912
2. Mailing Machine	2,221	2,187	2,221
3. Folding Machine	1,479	1,457	1,479
4. Xerox 3000	2,645	2,605	2,645
5. Leasehold Improvements	8,358	7,230	8,358
5. Totals from Additional Listings, if any	554	291	554
6. Totals of Lines 1 through 5.	\$ 17,402	\$ 15,776	\$ 17,402
Enter the Total from Line 6, Column D in Item 63			

Description of Asset Traded In (A)	Cost (B)	Book Value (C)	Trade-In Allowance (D)
7. Assets Traded In on Assets Purchased:			
(a) N O N E	\$	\$	\$
(b)			

SCHEDULE 7—SALE OF INVESTMENTS AND FIXED ASSETS

Description of Assets Sold (If land or building, give location) (A)	Cost (B)	Book Value (C)	Gross Sales Price (D)	Amount Received (E)
1. S. C. Copier	\$ 1,266.70	\$ 258	\$ 352	\$ 352
2.				
3.				
4. Total from Additional Listings, if any				
5. Totals of Lines 1 through 4.	\$ 1,266.70	\$ 258	\$ 352	\$ 352
Enter the Total from Line 5, Column E in Item 50				

SCHEDULE 8—LOANS PAYABLE

Source of Loans Payable at any Time During the Reporting Period (A)	Terms for Repayment (B)	Balance at Start of Period (C)	Loans Obtained During Period (D)	Repayment of Loans During Period		Balance at End of Period (F)
				Cash (E)(1)	Other Than Cash (E)(2)	
1.		\$	\$	\$	\$	\$
2.						
3.		N O N E				
4.						
5. Total from Additional Listings, if any						
6. Totals of Lines 1 through 5.		\$	\$	\$	\$	\$
Enter the Totals from Line 6 in Item 33, Column C Item 49 Item 72 Item 22 with Explanation Item 33, Column D						

ENTER AMOUNTS IN DOLLARS ONLY

SCHEDULE 9—LIST OF ALL OFFICERS AND DISBURSEMENTS TO OFFICERS

Name (Important: List all persons who held office during the period) (A)	Title (B)	Status (C)	Gross Salary (Before taxes and other deductions) (D)	Allowances (E)	Other Direct and Indirect Disbursements		Total (H)
					Expenses Including Reimbursed Expenses (F)	Other Disbursements (G)	
		N	\$ 45,411.	\$ 2,250	\$ 530	\$	\$ 48,191
		N			1,312		1,312
		N			895		895
		N	579		905		1,484
		N			880		880
		C			880		880
		N			880		880
		C			880		880
		C			880		880
		C			880		880
		C			880		880
11. Totals of Lines 1 through 10.			\$ 45,990	\$ 2,250	\$ 8,042	\$	\$ 56,282

Code for Column C, "Status": past officer—P; continuing officer—C; new officer during this reporting period—N.
Enter the Total of Line 11, Column H in

NOTE: If any officer was not elected at a regular election in accordance with the constitution and bylaws, explain in Item 22. Item 59(a)

SCHEDULE 10—DISBURSEMENTS TO EMPLOYEES

List below all employees who received more than \$10,000 in gross salary, allowances, and other direct and indirect disbursements from this labor organization and any affiliate.

(A)	Position (B)	Name of Affiliated Organization (if applicable) (C)	Gross Salary (before taxes and other deductions) (D)	Allowances (E)	Other Direct and Indirect Disbursements		Total (H)
					Expenses Including Reimbursed Expenses (F)	Other Disbursements (G)	
1			\$ 34,331		\$ 5,221		\$ 39,552
2			22,427		1,366		23,793
3			29,153				29,153
4			12,756				12,756
5							
6. Total from Additional Listings, if any							
7. Total for all employees who, during the reporting period, received \$10,000 or less gross salary, allowances, and other direct and indirect disbursements.			38,452		2,716		41,168
8. Totals of Lines 1 through 7.			\$ 137,119	\$	\$ 9,303	\$	\$ 146,422

Enter the Total of Line 8, Column H in

Item 60(a)

SCHEDULE 11—BENEFITS

Type of Benefit (A)	To Whom Paid (B)	Amount (C)
1. Hosp. & Med.	MP Health & Welfare	\$ 6,517
2.		
3. Pension	Ind. Pension Fund	6,980
4. Mbrs Life Ins.	Occidental	28,320
5.		
6.		
7.		
8.		
9.		
10. Total from Additional Listings, if any		
11. Total of Lines 1 through 10.		\$ 41,817

Enter the Total from Line 11 in

Item 64

SCHEDULE 12—CONTRIBUTIONS, GIFTS AND GRANTS

Type (A)	Amount (B)
1. Labor Defense Fund	\$ 200
2. MP & TV Fund	175
3. Xmas checks (Ill Mbrs)	475
4.	
5.	
6.	
7.	
8.	
9.	
10. Total from Additional Listings, if any	
11. Total of Lines 1 through 10.	\$ 850

Enter the Total from Line 11 in

Item 66

SCHEDULE 13—OTHER RECEIPTS

Other Sources (A)	Amount (B)
1. Special Legal Account	\$ 5,494
2. Liquidated Damages rec'd	
3. from Producers for	
4. contract violations	10,263
5.	
6.	
7.	
8.	
9.	
10. Total from Additional Listings, if any	
11. Total of Lines 1 through 10	\$

Enter the Total from Line 11 in

Item 53

SCHEDULE 14—OTHER DISBURSEMENTS

Other Purposes (A)	Amount (B)
1. Negotiation Expense	\$ 43
2. Convention Expense	853
3. PAYROLL DEDUCTIONS	
4. Contributions deducted from employee	
5. Pension Fund - Employee	1,849
6. MP & TV Fund - Employee	176
7.	
8.	
9.	
10. Total from Additional Listings, if any	

Enter the Total from Line 11 in

Item 73

P&L

1988

INTERNATIONAL SOUND TECHNICIANS, CINETECHNICIANS,
TELEVISION ENGINEERS, STUDIO PROJECTIONISTS AND VIDEO
PROJECTION TECHNICIANS OF THE MOTION PICTURE AND TELEVISION
INDUSTRIES LOCAL 695, I.A.T.S.E. AND M.P.M.O.
(A CALIFORNIA NONPROFIT CORPORATION)

1987-1988

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SPICER & OPPENHEIM

A MEMBER OF SPICER & OPPENHEIM INTERNATIONAL

ACCOUNTANTS' REVIEW REPORT

To the Board of Directors
International Sound Technicians,
Cinetechnicians, Television
Engineers, Studio Projectionists and
Video Projection Technicians of the
Motion Picture and Television Industries
Local 695, I.A.T.S.E. and M.P.M.O.
(A California Nonprofit Corporation)

We have reviewed the accompanying statements of assets, liabilities, and fund balances arising primarily from cash transactions of International Sound Technicians, Cinetechnicians, Television Engineers, Studio Projectionists and Video Projection Technicians of the Motion Picture and Television Industries Local 695, I.A.T.S.E. & M.P.M.O. (a California Nonprofit Corporation) as of December 31, 1988 and 1987, and the related statements of revenue collected, expenses paid and changes in fund balances for the years then ended, in accordance with standards established by the American Institute of Certified Public Accountants. All information included in these financial statements is the representation of the management of International Sound Technicians, Cinetechnicians, Television Engineers, Studio Projectionists and Video Projection Technicians of the Motion Picture and Television Industries Local 695, I.A.T.S.E. & M.P.M.O.

A review consists principally of inquiries of local personnel and analytical procedures applied to financial data. It is substantially less in scope than an examination in accordance with generally accepted auditing standards, the objective of which is the expression of an opinion regarding the financial statements taken as a whole. Accordingly, we do not express such an opinion.

As described in Note 1 to the financial statements, the Local's policy is to prepare its financial statements on the modified cash basis; consequently, certain revenue and the related assets are recognized when received rather than when earned, and certain expenses are recognized when paid rather than when the obligation is incurred. Accordingly, the accompanying financial statements are not intended to present financial position and results of activities in conformity with generally accepted accounting principles.

Based on our reviews, we are not aware of any material modifications that should be made to the accompanying financial statements in order for them to be in conformity with the basis of accounting as described in Note 1 to the financial statements. The other data accompanying the financial statements are presented only for supplementary analysis purposes and have not been subjected to the inquiry and analytical procedures applied in the reviews of the basic financial statements, but were compiled from information that is the representation of management, without audit or review, and we do not express an opinion or any other form of assurance on such data.

As discussed in Note 8, certain conditions indicate that the Local's ability to continue in existence is dependent upon its ability to obtain additional membership dues or other revenue or financing to satisfy its liabilities as they become due.

Spicer & Oppenheim

Los Angeles, California
March 22, 1989

INTERNATIONAL SOUND TECHNICIANS, CINETECHNICIANS,
TELEVISION ENGINEERS, STUDIO PROJECTIONISTS AND VIDEO
PROJECTION TECHNICIANS OF THE MOTION PICTURE AND TELEVISION
INDUSTRIES LOCAL 695, I.A.T.S.E. AND M.P.M.O.
(A CALIFORNIA NONPROFIT CORPORATION)

STATEMENTS OF ASSETS, LIABILITIES AND GENERAL FUND BALANCE
ARISING PRIMARILY FROM CASH TRANSACTIONS

DECEMBER 31, 1988 AND 1987

ASSETS			
		<u>1988</u>	<u>1987</u>
CURRENT ASSETS			
Cash (Note 2)		\$ 24,956	\$218,524
Current portion of receivable secured by trust deed (Note 3)		<u>2,320</u>	<u>2,050</u>
TOTAL CURRENT ASSETS		<u>27,276</u>	<u>220,574</u>
PROPERTY AND EQUIPMENT, at cost (net of accumulated depreciation and amortization) (Notes 1 and 5)		<u>64,389</u>	<u>52,922</u>
INVESTMENTS (Note 7)			
Corporate bonds		61,794	109,794
Mutual fund, government securities		55,000	55,000
Equity Security investments		<u>617</u>	<u>617</u>
TOTAL INVESTMENTS		<u>117,411</u>	<u>165,411</u>
OTHER ASSETS			
Long-term portion of receivable secured by trust deed (Note 3)		250,319	252,945
Deposits and other assets		<u>3,841</u>	<u>10,307</u>
TOTAL OTHER ASSETS		<u>254,160</u>	<u>263,252</u>
TOTAL ASSETS		<u>\$463,236</u>	<u>\$702,159</u>

LIABILITIES AND GENERAL FUND BALANCE

CURRENT LIABILITIES			
Loan payable (Note 4)		\$100,000	\$ -
Bank overdraft		10,759	-
Due to death benefit fund		9,879	537
Other payables		<u>3,431</u>	<u>4,304</u>
TOTAL LIABILITIES		124,069	4,841
COMMITMENTS (Note 6)			
GENERAL FUND BALANCE		<u>339,167</u>	<u>697,318</u>
TOTAL LIABILITIES AND GENERAL FUND BALANCE		<u>\$463,236</u>	<u>\$702,159</u>

The accompanying notes are an integral part of these statements
See Accountants' Review Report

INTERNATIONAL SOUND TECHNICIANS, CINETECHNICIANS,
TELEVISION ENGINEERS, STUDIO PROJECTIONISTS AND VIDEO
PROJECTION TECHNICIANS OF THE MOTION PICTURE AND TELEVISION
INDUSTRIES LOCAL 695, I.A.T.S.E. AND M.P.M.O.
(A CALIFORNIA NONPROFIT CORPORATION)

STATEMENTS OF REVENUE COLLECTED, EXPENSES
PAID AND CHANGES IN GENERAL FUND BALANCE

YEARS ENDED DECEMBER 31, 1988 AND 1987

	<u>1988</u>	<u>1987</u>
REVENUE COLLECTED		
Membership dues	\$ 887,693	\$ 949,593
Initiation and reclassification fees	171,396	157,580
Interest	47,793	53,073
Fines and service charges	14,567	19,952
Dividends	12,541	17,473
Liquidated damages	-	9,412
Reinstatement fees	1,350	1,750
Withdrawal fees	975	1,170
Miscellaneous income	82	4,860
	<u>1,136,397</u>	<u>1,214,863</u>
TOTAL REVENUE COLLECTED		
EXPENSES PAID		
Salaries, business representative and assistants	367,166	404,469
I.A.T.S.E. per capita stamps	199,296	177,777
Salaries, office employees	197,894	159,647
Legal and arbitration fees	49,668	52,567
Rent	70,500	79,861
Members life insurance premiums	61,263	41,866
Members training	46,510	-
Election expense	10,465	9,573
Convention expense	29,446	12,927
Negotiation expense	9,763	2,564
Other operating expenses	338,352	338,136
	<u>1,380,323</u>	<u>1,279,387</u>
TOTAL EXPENSES PAID		
EXCESS OF EXPENSES PAID OVER REVENUE COLLECTED	(243,926)	(64,524)
DISTRIBUTION TO MEMBER FROM GENERAL FUND (Note 2)	(114,225)	-
GENERAL FUND BALANCE, BEGINNING OF YEAR	<u>697,318</u>	<u>761,842</u>
GENERAL FUND BALANCE, END OF YEAR	<u>\$ 339,167</u>	<u>\$ 697,318</u>

The accompanying notes are an integral part of these statements
See Accountants' Review Report

INTERNATIONAL SOUND TECHNICIANS, CINETECHNICIANS,
TELEVISION ENGINEERS, STUDIO PROJECTIONISTS AND VIDEO
PROJECTION TECHNICIANS OF THE MOTION PICTURE AND TELEVISION
INDUSTRIES LOCAL 695, I.A.T.S.E. AND M.P.M.O.
(A CALIFORNIA NONPROFIT CORPORATION)

STATEMENTS OF ASSETS, LIABILITIES AND DEATH BENEFIT FUND
BALANCE ARISING PRIMARILY FROM CASH TRANSACTIONS

	<u>December 31, 1988</u>	<u>December 31, 1987</u>
ASSETS		
CASH		
Checking accounts	\$ 4,674	\$ 9,007
Money market accounts	<u>42,851</u>	<u>35,036</u>
TOTAL CASH	<u>47,525</u>	<u>44,043</u>
INVESTMENTS		
Money market certificates	-	20,000
Corporate bonds	<u>-</u>	<u>2,000</u>
TOTAL INVESTMENTS	<u>-</u>	<u>22,000</u>
OTHER ASSETS		
Due from general fund	<u>9,879</u>	<u>537</u>
TOTAL ASSETS	<u>\$57,404</u>	<u>\$66,580</u>
LIABILITIES AND DEATH BENEFIT FUND BALANCE		
LIABILITIES	\$ -	\$ -
TOTAL LIABILITIES	-	-
DEATH BENEFIT FUND BALANCE	<u>57,404</u>	<u>66,580</u>
TOTAL LIABILITIES AND DEATH BENEFIT FUND BALANCE	<u>\$57,404</u>	<u>\$66,580</u>

The accompanying notes are an integral part of these statements
See Accountants' Review Report

INTERNATIONAL SOUND TECHNICIANS, CINETECHNICIANS,
TELEVISION ENGINEERS, STUDIO PROJECTIONISTS AND VIDEO
PROJECTION TECHNICIANS OF THE MOTION PICTURE AND TELEVISION
INDUSTRIES LOCAL 695, I.A.T.S.E. AND M.P.M.O.
(A CALIFORNIA NONPROFIT CORPORATION)

STATEMENTS OF REVENUE COLLECTED, EXPENSES PAID
AND CHANGES IN DEATH BENEFIT FUND BALANCE

	<u>Year Ended December 31, 1988</u>	<u>Year Ended December 31, 1987</u>
REVENUE		
Assessments - members	\$14,671	\$12,680
Interest income	<u>2,910</u>	<u>2,985</u>
TOTAL REVENUE	<u>17,581</u>	<u>15,665</u>
EXPENSES		
Death benefits paid	26,147	17,500
Benefit consulting fee	300	300
Bank charges and check printing	112	109
Insurance expense	<u>198</u>	<u>-</u>
TOTAL EXPENSES	<u>26,757</u>	<u>17,909</u>
EXCESS OF EXPENSES OVER REVENUE	(9,176)	(2,244)
DEATH BENEFIT FUND BALANCE, BEGINNING OF YEAR	<u>66,580</u>	<u>68,824</u>
DEATH BENEFIT FUND BALANCE, END OF YEAR	<u><u>\$57,404</u></u>	<u><u>\$66,580</u></u>

The accompanying notes are an integral part of these statements
See Accountants' Review Report

INTERNATIONAL SOUND TECHNICIANS, CINETECHNICIANS,
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PROJECTION TECHNICIANS OF THE MOTION PICTURE AND TELEVISION
INDUSTRIES LOCAL 695, I.A.T.S.E. AND M.P.M.O.
(A CALIFORNIA NONPROFIT CORPORATION)

NOTES TO FINANCIAL STATEMENTS

YEARS ENDED DECEMBER 31, 1988 AND 1987

1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Organization

International Sound Technicians, Cinetechnicians, Television Engineers, Studio Projectionists and Video Projection Technicians of the Motion Picture and Television Industries Local 695, I.A.T.S.E. and M.P.M.O. (the "Local") is a California nonprofit corporation.

Merger

In January 1988, the Company merged with Motion Picture Studio Projectionists and Video Projection Technicians ("Local 165"). The merger was accounted for in a manner similar to a pooling of interests and, accordingly, the financial statements for fiscal 1987 have been restated to include the accounts of Local 165.

Following is a reconciliation of the amounts of General Fund revenue collected and excess of expenses paid over revenue collected previously reported by the Local for 1987 to restated amounts:

Revenue Collected	
Previously reported, General Fund	\$1,067,225
Local 165 General Fund	<u>140,261</u>
TOTAL GENERAL FUND	1,207,486
Increase in Local 165 Other Funds	<u>7,377</u>
AS RESTATED	<u><u>\$1,214,863</u></u>
Excess of Expenses Paid Over Revenue Collected	
Previously reported, General Fund	\$ 1,959
Local 165 General Fund	<u>69,774</u>
TOTAL GENERAL FUND	71,733
Net increase in Local 165 Other Funds	<u>(7,209)</u>
AS RESTATED	<u><u>\$ 64,524</u></u>

See Accountants' Review Report

INTERNATIONAL SOUND TECHNICIANS, CINETECHNICIANS,
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PROJECTION TECHNICIANS OF THE MOTION PICTURE AND TELEVISION
INDUSTRIES LOCAL 695, I.A.T.S.E. AND M.P.M.O.
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NOTES TO FINANCIAL STATEMENTS

YEARS ENDED DECEMBER 31, 1988 AND 1987

1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

Modified Cash Basis of Accounting

The Local has prepared its financial statements on the modified cash basis; consequently, certain revenue and the related assets are recognized when received rather than when earned, and certain expenses are recognized when paid rather than when the obligation is incurred. For income tax purposes, the accrual method of accounting is being used.

Income Taxes

The Local has been granted exemption from Federal and California taxes on income as a nonprofit organization.

Property and Equipment

Provision for depreciation on property and equipment is computed on the straight-line method over the estimated useful lives of the assets between 5 and 10 years.

Leasehold improvements are amortized over the period of the lease.

Pension Plans

The Local participates with other organizations in a defined benefit pension plan and supplemental defined contribution pension plan, both administered by the Motion Picture Industry Pension Plan. Aggregate pension expense related to the two plans, which cover substantially all of the Local's employees, was \$35,533 and \$33,867 for the years ended December 31, 1988 and 1987, respectively.

INTERNATIONAL SOUND TECHNICIANS, CINETECHNICIANS,
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PROJECTION TECHNICIANS OF THE MOTION PICTURE AND TELEVISION
INDUSTRIES LOCAL 695, I.A.T.S.E. AND M.P.M.O.
(A CALIFORNIA NONPROFIT CORPORATION)

NOTES TO FINANCIAL STATEMENTS

YEARS ENDED DECEMBER 31, 1988 AND 1987

2. CASH AND CASH RESTRICTIONS

General Fund cash consists of the following:

	<u>1988</u>	<u>1987</u>
Great Western Savings & Loan - checking account	\$(10,759)	\$ 80,869
Great Western Savings & Loan - 50th Anniversary account	-	1,962
Independence Bank - checking	6,962	6,735
Wells Fargo - checking	16,474	14,368
Wells Fargo - money market	-	3,254
Wells Fargo - savings	-	3,231
Dreyfus Liquid Assets, Inc. (Trust Account)	-	106,585
Petty cash	<u>1,520</u>	<u>1,520</u>
	<u>\$ 14,197</u>	<u>\$218,524</u>

Cash Restrictions

The Dreyfus liquid asset account was restricted from use in normal operations, as such funds represented retirement benefits for Mr. Roy Brewer, which were paid to him on October 20, 1988 upon his retirement.

3. SALE OF BUILDING

On June 25, 1982, the property located at 3779 Cahuenga Boulevard, Los Angeles, California was sold for \$338,500. As part of the consideration, the Local has received a note secured by a deed of trust in the amount of \$263,000. This note bears interest at the rate of 11-1/2% per annum, and provides for payments of \$2,604 per month (principal and interest) beginning January 25, 1983 and continuing until June 25, 1992, at which time the then remaining principal and accrued interest shall be due and payable.

4. NOTES PAYABLE

As of December 31, 1988, the Local has a short term loan payable of \$100,000 due on June 16, 1989. This loan is secured by a deed of trust (Note 3) and has a 9 1/2% interest rate payable on a monthly basis.

See Accountants' Review Report

INTERNATIONAL SOUND TECHNICIANS, CINETECHNICIANS,
TELEVISION ENGINEERS, STUDIO PROJECTIONISTS AND VIDEO
PROJECTION TECHNICIANS OF THE MOTION PICTURE AND TELEVISION
INDUSTRIES LOCAL 695, I.A.T.S.E. AND M.P.M.O.
(A CALIFORNIA NONPROFIT CORPORATION)

NOTES TO FINANCIAL STATEMENTS

YEARS ENDED DECEMBER 31, 1988 AND 1987

5. PROPERTY AND EQUIPMENT

Property and equipment consist of the following:

	<u>1988</u>	<u>1987</u>
Furniture and equipment	\$155,772	\$140,928
Leasehold improvements	<u>50,676</u>	<u>31,462</u>
	206,448	172,390
Less: Accumulated depreciation and amortization	<u>142,059</u>	<u>119,468</u>
	<u>\$ 64,389</u>	<u>\$ 52,922</u>

Depreciation and amortization expense for the years ended December 31, 1988 and 1987 amounted to \$22,591 and \$16,809, respectively.

6. COMMITMENTS

Lease Commitments

In October of 1984, the Local entered into a seven year lease agreement for office space. The monthly rental fee per this agreement is \$5,611 per month through September 30, 1987 and \$5,852 per month thereafter. Future minimum lease payments under this agreement are as listed below:

	<u>Minimum Lease Payments</u>
1989	\$ 70,225
1990	70,225
1991	<u>52,669</u>
Total Future Minimum Lease Payments	<u>\$193,119</u>

7. INVESTMENTS IN SECURITIES

Investments in corporate bonds are valued at cost, which does not differ materially from amortized cost. The investment in the government security mutual fund is carried at cost. Equity security investments are valued at the lower of cost or market.

INTERNATIONAL SOUND TECHNICIANS, CINETECHNICIANS,
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PROJECTION TECHNICIANS OF THE MOTION PICTURE AND TELEVISION
INDUSTRIES LOCAL 695, I.A.T.S.E. AND M.P.M.O.
(A CALIFORNIA NONPROFIT CORPORATION)

NOTES TO FINANCIAL STATEMENTS

YEARS ENDED DECEMBER 31, 1988 AND 1987

7. INVESTMENTS IN SECURITIES (Continued)

The following summarizes the relationship between carrying and market values of investments in securities held at December 31, 1988:

	<u>Carrying Value</u>	<u>Market Value</u>	<u>Unrealized (Depreciation)</u>
Corporate bonds	\$ 61,794	\$53,763	\$ (8,031)
Mutual fund, government securities	55,000	42,504	(12,496)
Equity security investment	<u>617</u>	<u>617</u>	<u>-</u>
	<u>\$117,411</u>	<u>\$96,884</u>	<u>\$(20,527)</u>

8. GOING CONCERN

The accompanying financial statements have been prepared on a going concern basis, which contemplates the realization of assets and satisfaction of liabilities in the normal course of business. As shown in the accompanying financial statements, the Local incurred a net excess of expenses paid over revenue collected of \$243,926 during the year ended December 31, 1988; and, as of the date, the Local's current liabilities exceeded its current assets by approximately \$96,000. These factors create an uncertainty as to the Local's ability to continue as a going concern. Continuation of the Local as a going concern is dependent upon its ability to obtain additional membership dues or other revenue or financing to satisfy all of its liabilities as they become due. The financial statements do not include any adjustments relating to the recoverability and classification of recorded asset amounts or the amount and classification of liabilities that might be necessary should the Local be unable to continue as a going concern.

INTERNATIONAL SOUND TECHNICIANS, CINETECHNICIANS,
TELEVISION ENGINEERS, STUDIO PROJECTIONISTS AND VIDEO
PROJECTION TECHNICIANS OF THE MOTION PICTURE AND TELEVISION
INDUSTRIES LOCAL 695, I.A.T.S.E. AND M.P.M.O.
(A CALIFORNIA NONPROFIT CORPORATION)

SCHEDULES OF OTHER OPERATING EXPENSES
YEARS ENDED DECEMBER 31, 1988 AND 1987

	<u>1988</u>	<u>1987</u>
Accounting fees	\$ 13,500	\$ 8,995
Advertising	1,250	1,275
Alarm service	3,021	2,342
Automobile expense and allowance	4,270	4,525
Awards expense	355	10,258
Bad debt expense	-	3,552
Business meetings	3,542	2,811
Business representatives expenses	6,275	7,489
Computer expense	1,227	4,715
Copy machine expense	2,963	5,432
Depreciation and amortization	22,591	16,809
Donations	4,500	2,645
Dues and subscriptions	4,674	4,778
Dues paid other organizations	18,258	22,447
General insurance	19,534	27,481
Health and welfare insurance	42,119	41,951
Interest	4,750	-
Loss on sale of bonds	7,016	-
Membership meetings	2,282	1,991
Office supplies	3,830	10,313
Pension fund expense	35,533	33,867
Picketing expense	219	170
Postage	16,038	15,444
Printing and stationery	6,404	5,089
Recordkeeping and office help	3,135	987
Repairs and maintenance	6,022	5,746
Taxes, payroll and property	44,872	40,349
Telephone and utilities	16,793	12,616
Travel expense	17,125	12,336
Vacation expense	5,208	6,986
Miscellaneous expenses	21,046	24,737
TOTAL OTHER OPERATING EXPENSES	<u><u>\$338,352</u></u>	<u><u>\$338,136</u></u>

INTERNATIONAL SOUND TECHNICIANS, CINETECHNICIANS,
TELEVISION ENGINEERS, STUDIO PROJECTIONISTS AND VIDEO
PROJECTION TECHNICIANS OF THE MOTION PICTURE AND TELEVISION
INDUSTRIES LOCAL 695, I.A.T.S.E. AND M.P.M.O.
(A CALIFORNIA NONPROFIT CORPORATION)

MEMBERSHIP

YEARS ENDED DECEMBER 31, 1988 AND 1987

	<u>1988</u>	<u>1987</u>
Number of members at beginning of year	2,621	2,692
Adjustments:		
Suspended	<u>(595)</u>	<u>(457)</u>
ADJUSTED NUMBER OF MEMBERS AT BEGINNING OF YEAR	<u>2,026</u>	<u>2,235</u>
Add:		
New members	221	169
Reinstatement from Honorable Withdrawal	25	25
Reinstatement from Suspension	345	336
Reinstatement from Expulsion	<u>14</u>	<u>17</u>
TOTAL ADDITIONS	<u>606</u>	<u>547</u>
Deduct:		
Honorable Withdrawal	(143)	(153)
Deceased	(16)	(7)
Transferred to other locals	<u>-</u>	<u>(1)</u>
TOTAL DEDUCTIONS	<u>(159)</u>	<u>(161)</u>
NUMBER OF MEMBERS AS OF DECEMBER 31	<u><u>2,473</u></u>	<u><u>2,621</u></u>

See Accountants' Review Report

P 8 L

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Oppenheim, Appel, Dixon & Co.

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Certified Public Accountants

2022 CENTURY PARK EAST SUITE 1300
LOS ANGELES CALIFORNIA 90067
CITY 213-000-1111 FAX 213-000-1111

March 26, 1986

[Redacted]
International Sound Technicians,
Cinetechnicians and Television
Engineers, Local 695
I.A.T.S.E. & M.P.M.O.
11331 Ventura Boulevard, Suite 201
Studio City, California 91604

Dear [Redacted]

Enclosed are thirty-one copies of our report of International Sound Technicians, Cinetechnicians and Television Engineers, Local 695 I.A.T.S.E. & M.P.M.O. at December 31, 1985.

If you intend to reprint this report or any portion thereof with which our name is to be associated, we request that proofs be submitted to us for approval before distribution.

Very truly yours,

Marvin D. Snyder

Marvin D. Snyder
MDS/mlf

Enclosures - As stated

INTERNATIONAL SOUND
TECHNICIANS, CINETECHNICIANS
AND TELEVISION ENGINEERS OF
THE MOTION PICTURE AND
TELEVISION INDUSTRIES LOCAL
695, I.A.T.S.E. AND M.P.M.O.
(A CALIFORNIA NONPROFIT
CORPORATION)

REPORT

YEARS ENDED DECEMBER 31,
1985 AND 1984

INTERNATIONAL SOUND TECHNICIANS, CINETECHNICIANS AND
TELEVISION ENGINEERS OF THE MOTION PICTURE AND
TELEVISION INDUSTRIES LOCAL 695, I.A.T.S.E. AND M.P.M.O.
(A CALIFORNIA NONPROFIT CORPORATION)

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Oppenheim, Appel, Dixon & Co.

Certified Public Accountants

2020 CENTURY PARK EAST SUITE 1300
LOS ANGELES, CALIFORNIA 90067
CITY 213 690-1115 / CABLE OARL A USA

ACCOUNTANTS' REVIEW REPORT

To the Board of Directors
International Sound Technicians,
Cinetechnicians and Television
Engineers of the Motion Picture
and Television Industries Local 695,
I.A.T.S.E. and M.P.M.O.
(A California Nonprofit Corporation)

We have reviewed the accompanying statements of assets, liabilities, and fund balance arising primarily from cash transactions of International Sound Technicians, Cinetechnicians and Television Engineers of the Motion Picture and Television Industries, Local 695, I.A.T.S.E. & M.P.M.O. (a California Nonprofit Corporation) as of December 31, 1985 and 1984, and the related statements of revenue collected, expenses paid and changes in fund balance for the years then ended, in accordance with standards established by the American Institute of Certified Public Accountants. All information included in these financial statements is the representation of the management of International Sound Technicians, Cinetechnicians and Television Engineers, Local 695, I.A.T.S.E. & M.P.M.O.

A review consists principally of inquiries of Local personnel and analytical procedures applied to financial data. It is substantially less in scope than an examination in accordance with generally accepted auditing standards, the objective of which is the expression of an opinion regarding the financial statements taken as a whole. Accordingly, we do not express such an opinion.

As described in Note 1 to the financial statements, the Local's policy is to prepare its financial statements on the modified cash basis; consequently, certain revenue and the related assets are recognized when received rather than when earned, and certain expenses are recognized when paid rather than when the obligation is incurred. Accordingly, the accompanying financial statements are not intended to present financial position and results of activities in conformity with generally accepted accounting principles.

Based on our reviews, we are not aware of any material modifications that should be made to the accompanying financial statements in order for them to be in conformity with the basis of accounting as described in Note 1 to the financial statements. The other data accompanying the financial statements are presented only for supplementary analysis purposes and have not been subjected to the inquiry and analytical procedures applied in the review of the basic financial statements, but were compiled from information that is the representation of management, without audit or review, and we do not express an opinion or any other form of assurance on such data.

Oppenheim, Appel, Dixon & Co.

Los Angeles, California
March 10, 1986

INTERNATIONAL SOUND TECHNICIANS, CINETECHNICIANS AND
TELEVISION ENGINEERS OF THE MOTION PICTURE AND
TELEVISION INDUSTRIES LOCAL 695, I.A.T.S.E. AND M.P.M.O.
(A CALIFORNIA NONPROFIT CORPORATION)

STATEMENTS OF ASSETS, LIABILITIES AND FUND BALANCE
ARISING PRIMARILY FROM CASH TRANSACTIONS

DECEMBER 31, 1985 AND 1984

ASSETS		1985	1984
CURRENT ASSETS			
Cash (Note 2)		\$106,016	\$113,658
Certificate of deposit (Note 3)		84,787	75,702
Current portion of receivable secured by trust deed (Note 4)		1,500	1,260
Current portion of mortgage receivable (Note 5)		1,440	1,320
TOTAL CURRENT ASSETS		<u>193,743</u>	<u>191,940</u>
PROPERTY AND EQUIPMENT (Notes 1 and 7)		<u>51,612</u>	<u>56,532</u>
OTHER ASSETS			
Long-term portion of receivable secured by trust deed (Note 4)		257,179	258,834
Long-term portion of mortgage receivable (Note 5)		11,632	13,365
Deposits		3,841	3,841
Security investments (Note 6)		556	539
TOTAL OTHER ASSETS		<u>273,208</u>	<u>276,579</u>
TOTAL ASSETS		<u>\$518,563</u>	<u>\$525,051</u>
LIABILITIES AND FUND BALANCE			
CURRENT LIABILITIES			
I.A. deposits payable		\$ 675	\$ 900
COMMITMENTS (Note 8)			
FUND BALANCE		<u>517,888</u>	<u>524,151</u>
TOTAL LIABILITIES AND FUND BALANCE		<u>\$518,563</u>	<u>\$525,051</u>

The accompanying notes are an integral part of the statements
See Accountants' Review Report

INTERNATIONAL SOUND TECHNICIANS, CINETECHNICIANS AND
TELEVISION ENGINEERS OF THE MOTION PICTURE AND
TELEVISION INDUSTRIES LOCAL 695, I.A.T.S.E. AND M.P.M.O.
(A CALIFORNIA NONPROFIT CORPORATION)

STATEMENTS OF REVENUE COLLECTED, EXPENSES
PAID AND CHANGES IN FUND BALANCE

YEARS ENDED DECEMBER 31, 1985 AND 1984

	<u>1985</u>	<u>1984</u>
REVENUE COLLECTED		
Membership dues	\$ 814,903	\$ 796,856
Initiation and reclassification fees	145,549	168,326
Fines	12,648	10,544
Liquidated damages	14,398	17,459
Assessments	150	180
Reinstatement fees	1,725	1,225
Withdrawal fees	970	980
Miscellaneous income	59,052	60,004
	<u>1,049,395</u>	<u>1,055,574</u>
TOTAL REVENUE COLLECTED		
EXPENSES PAID		
Salaries, business representative and assistants	259,581	179,904
I.A.T.S.E. per capita stamps	118,440	144,138
Salaries, office employees	146,903	155,596
Legal and arbitration fees	149,259	92,015
Rent	65,105	52,890
Members life insurance premiums	33,056	48,174
Election and/or referendum expense	14,643	8,707
Convention expense	-	28,614
Negotiation expense	5,433	1,676
Other operating expenses	263,238	247,380
	<u>1,055,658</u>	<u>959,094</u>
TOTAL EXPENSES PAID		
(EXCESS OF EXPENSES PAID OVER REVENUE COLLECTED) AND EXCESS REVENUE COLLECTED OVER EXPENSES PAID	(6,263)	96,480
FUND BALANCE, BEGINNING OF YEAR	<u>524,151</u>	<u>427,671</u>
FUND BALANCE, END OF YEAR	<u>\$ 517,888</u>	<u>\$ 524,151</u>

The accompanying notes are an integral part of these statements
See Accountants' Review Report

INTERNATIONAL SOUND TECHNICIANS, CINETECHNICIANS AND
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NOTES TO FINANCIAL STATEMENTS

YEARS ENDED DECEMBER 31, 1985 AND 1984

1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Modified Cash Basis of Accounting

The Local has prepared its financial statements on the modified cash basis; consequently, certain revenue and the related assets are recognized when received rather than when earned, and certain expenses are recognized when paid rather than when the obligation is incurred. For income tax purposes, the accrual method has been continued.

Income Taxes

The Local has been granted exemption from Federal and California taxes on income as a nonprofit organization.

Property and Equipment (Note 4)

Provision for depreciation on property and equipment is computed on the straight-line method over the estimated useful lives of the assets between 5 and 10 years.

Leasehold improvements are amortized over the period of the lease.

2. CASH AND CASH RESTRICTIONS

Cash consists of the following:

	<u>1985</u>	<u>1984</u>
Great Western Savings & Loan - checking account	\$ 16,319	\$ 43,723
Great Western Savings & Loan - 50th Anniversary account	20,819	19,392
Independence Bank - checking account	6,081	5,682
Dreyfus Liquid Assets, Inc. (Trust Account)	61,327	43,391
Petty cash	<u>1,470</u>	<u>1,470</u>
	<u>\$106,016</u>	<u>\$113,658</u>

INTERNATIONAL SOUND TECHNICIANS, CINETECHNICIANS AND
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TELEVISION INDUSTRIES LOCAL 695, I.A.T.S.E. AND M.P.M.O.
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NOTES TO FINANCIAL STATEMENTS

YEARS ENDED DECEMBER 31, 1985 AND 1984

2. CASH AND CASH RESTRICTIONS (Continued)

Cash Restrictions

The total balance in the Dreyfus liquid asset account, \$61,327, is restricted from use in normal operations, as such funds represent retirement benefits for Mr. Roy Brewer, to be paid to him upon his retirement.

Of the total balance of \$20,819 in the Great Western Savings & Loan - 50th anniversary account, \$14,250 is restricted from use in normal operations as such funds represent deposits held to be used for the designated purpose of the creation of the 50th anniversary brochure per an informal agreement.

3. CERTIFICATE OF DEPOSIT

On November 7, 1984, the Company purchased a certificate of deposit for the face value of \$75,000. This instrument has a maturity of 36 months (due November 7, 1987) and earns interest at the rate of 11.335%, compounded daily.

4. SALE OF BUILDING

On June 25, 1982, the property located at 3779 Cahuenga Boulevard, Los Angeles, California was sold for \$338,500. As part of the consideration, the Local has received a note secured by a deed of trust in the amount of \$263,000. This note bears interest at the rate of 11-1/2% per annum, and provides for payments of \$2,604 per month (principal and interest) beginning January 25, 1983 and continuing until June 25, 1992, at which time the then remaining principal and accrued interest shall be due and payable.

5. MORTGAGE RECEIVABLE

Mortgage receivable represents a second trust deed assigned to Local 695 through the merger with Local 789 on January 1, 1980.

The mortgage note bears interest at a rate of 9% per annum. Monthly payments of \$220 are to be made until total principal and interest have been paid.

INTERNATIONAL SOUND TECHNICIANS, CINETECHNICIANS AND
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TELEVISION INDUSTRIES LOCAL 695, I.A.T.S.E. AND M.P.M.O.
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NOTES TO FINANCIAL STATEMENTS

YEARS ENDED DECEMBER 31, 1985 AND 1984

6. SECURITY INVESTMENTS

The Local owns shares of common stock of a number of major film companies. These investments are stated at cost.

7. PROPERTY AND EQUIPMENT

Property and equipment consist of the following:

	<u>1985</u>	<u>1984</u>
Furniture and equipment	\$ 96,825	\$ 91,849
Leasehold improvements	<u>30,262</u>	<u>27,097</u>
	127,087	118,946
Less: Accumulated depreciation and amortization	<u>75,475</u>	<u>62,414</u>
	<u>\$ 51,612</u>	<u>\$ 56,532</u>

Depreciation and amortization expense for the years ended December 31, 1985 and 1984 amounted to \$14,145 and \$16,919, respectively.

8. COMMITMENTS

Lease Commitments

In October of 1984, the Local entered into a seven year lease agreement for office space. The monthly rental fee per this agreement is \$5,369 per month through September 30, 1986 and \$5,611 thereafter. Future minimum lease payments under this agreement are as listed below:

	<u>Minimum Lease Payments</u>
1986	\$ 65,152
1987	67,326
1988	67,326
1989	67,326
1990	67,326
Thereafter	<u>50,495</u>
Total Future Minimum Lease Payments	<u>\$384,951</u>

INTERNATIONAL SOUND TECHNICIANS, CINETECHNICIANS AND
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TELEVISION INDUSTRIES LOCAL 695, I.A.T.S.E. AND M.P.M.O.
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SCHEDULES OF OTHER OPERATING EXPENSES
YEARS ENDED DECEMBER 31, 1985 AND 1984

	1985	1984
Accounting fees	\$ 5,475	\$ 5,000
Advertising	500	1,100
Alarm service	1,653	1,319
Automobile expense and allowance	5,853	9,157
Awards expense	10,885	7,184
Business meetings	7,098	21,807
Business representatives expenses	2,600	2,600
By-laws expense	7,843	-
Computer expense	4,371	-
Copy machine expense	4,147	3,963
Defense fund expense	15,115	-
Depreciation and amortization	14,145	16,919
Donations	1,155	3,685
Dues and subscriptions	4,631	3,877
Dues paid other organizations	4,812	4,788
General insurance	13,892	12,412
Health and welfare insurance	33,176	28,052
Interest expense	-	183
Membership meetings	2,437	2,319
Office supplies	3,159	3,901
Pension fund expense	27,989	25,081
Picketing expense	1,198	1,040
Postage	14,050	15,675
Printing and stationery	7,522	14,243
Recordkeeping and office help	1,790	899
Repairs and maintenance	3,443	3,137
Taxes, payroll and property	31,080	27,089
Telephone and utilities	7,653	7,710
Travel expense	9,977	11,609
Vacation expense	8,140	6,522
Miscellaneous expenses	7,449	6,109
TOTAL OTHER OPERATING EXPENSES	<u>\$263,238</u>	<u>\$247,380</u>

INTERNATIONAL SOUND TECHNICIANS, CINETECHNICIANS AND
TELEVISION ENGINEERS OF THE MOTION PICTURE AND
TELEVISION INDUSTRIES LOCAL 695, I.A.T.S.E. AND M.P.M.O.
(A CALIFORNIA NONPROFIT CORPORATION)

MEMBERSHIP

YEARS ENDED DECEMBER 31, 1985 AND 1984

	<u>1985</u>	<u>1984</u>
Number of members at beginning of year	2,417	2,424
Adjustments:		
Suspended,	<u>385</u>	<u>361</u>
	<u>2,032</u>	<u>2,063</u>
Add:		
New members	174	211
Reinstatement from Honorable Withdrawal	14	16
Reinstatement from Suspension	307	258
Reinstatement from Expulsion	19	12
Transferred from other locals	<u>1</u>	<u>3</u>
TOTAL ADDITIONS	<u>515</u>	<u>500</u>
Deduct:		
Honorable Withdrawal	(115)	(133)
Deceased	(9)	(13)
Transferred to other locals	<u>-</u>	<u>-</u>
TOTAL DEDUCTIONS	<u>(124)</u>	<u>(146)</u>
NUMBER OF MEMBERS AS OF DECEMBER 31,	<u>2,423</u>	<u>2,417</u>

P & L

1985

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1982

INTERNATIONAL SOUND TECHNICIANS, CINETECHNICIANS AND
TELEVISION ENGINEERS OF THE MOTION PICTURE AND
TELEVISION INDUSTRIES LOCAL 695, I.A.T.S.E. AND M.P.M.O.
(A CALIFORNIA NONPROFIT CORPORATION)

BALANCE SHEET

JUNE 30, 1982

ASSETS

CURRENT ASSETS

Cash (Note 2)	\$127,194
Current portion of notes receivable, members (Note 3)	89,750-A
Accounts receivable	7,863-B
I.A.T.S.E. per capita stamps (Note 4) - 1000 stamps	12,000
I.A. processing fees receivable - 117 applicants	2,925
Prepaid expenses	4,471
Current portion of trust deed receivable (Note 11)	517

TOTAL CURRENT ASSETS

244,720

PROPERTY AND EQUIPMENT (Note 5)

50,373

OTHER ASSETS

Long-term portion of trust deed receivable (Note 11)	262,483
Long-term portion of notes receivable, members (Note 3)	26,699-A
Mortgage receivable (Note 6)	17,452
Deposits	3,841
Security investments (Note 7)	166

TOTAL OTHER ASSETS

310,641

TOTAL ASSETS

\$605,734

LIABILITIES AND FUND BALANCE

CURRENT LIABILITIES

Note payable (Note 12) - Kibbaltan	\$ 54,329
Current portion of long-term debt (Note 8) - IBM	1,182
Accrued expenses - 610 Payable	19,577
Deferred income (Note 9)	64,532
I.A. processing fees payable - 223	5,575

TOTAL CURRENT LIABILITIES

145,195

LONG-TERM DEBT (Note 8) - IBM

1,749

TOTAL LIABILITIES

146,944

COMMITMENTS AND CONTINGENCIES (Note 10)

FUND BALANCE

458,790

TOTAL LIABILITIES AND FUND BALANCE

\$605,734

The accompanying notes are an integral part of this statement.
See accountants' Review Report

INTERNATIONAL SOUND TECHNICIANS, CINETECHNICIANS AND
TELEVISION ENGINEERS OF THE MOTION PICTURE AND
TELEVISION INDUSTRIES LOCAL 695, I.A.T.S.E. AND M.P.M.O.
(A CALIFORNIA NONPROFIT CORPORATION)

STATEMENT OF REVENUE, EXPENSE AND CHANGES IN FUND BALANCE

SIX MONTHS ENDED JUNE 30, 1982

REVENUE

Membership dues	\$199,071
Initiation fees	44,359
Gain on sale of building (Note 11)	31,304
Reclassification fees	6,778
Interest income	4,072
Rental income	18,088
Fines	6,537
Liquidated damages	3,192
Reinstatement fees	450
Withdrawal fees	230
Miscellaneous income	9,624
TOTAL REVENUE	<u>323,705</u>

EXPENSE

Salaries, business representative and assistants	87,935
Salaries, office employees	63,679
I.A.T.S.E. per capita stamps	58,843
Legal and arbitration fees	15,140
Members life insurance premiums	32,041
Convention expense	1,364
Negotiation expense	6,564
Rent	21,224
Election expense	8,954
Other operating expenses	137,948
TOTAL EXPENSE	<u>433,692</u>

EXCESS OF EXPENSE OVER REVENUE (109,987)

FUND BALANCE, BEGINNING OF YEAR 568,777

FUND BALANCE, JUNE 30, 1982 \$458,790

INTERNATIONAL SOUND TECHNICIANS, CINETECHNICIANS AND
TELEVISION ENGINEERS OF THE MOTION PICTURE AND
TELEVISION INDUSTRIES LOCAL 695, I.A.T.S.E. AND M.P.M.O.
(A CALIFORNIA NONPROFIT CORPORATION)

STATEMENT OF CHANGES IN FINANCIAL POSITION

SIX MONTHS ENDED JUNE 30, 1982

WORKING CAPITAL WAS APPLIED TO	
Excess of expense over revenue	\$(109,987)
Add (deduct) items not requiring outlay of working capital	
Depreciation and amortization	8,748
Gain on sale of building	(31,304)
Additions to property and equipment	(17,549)
Trust deed receivable	(262,483)
Increase in notes receivable, members	<u>(26,699)</u>
TOTAL WORKING CAPITAL APPLIED	<u>(439,274)</u>
WORKING CAPITAL WAS PROVIDED BY	
Proceeds from sale of building	326,754
Increase in long-term debt	858
Decrease in mortgage receivable	<u>605</u>
TOTAL WORKING CAPITAL PROVIDED	<u>328,217</u>
DECREASE IN WORKING CAPITAL	<u><u>\$(111,057)</u></u>

The accompanying notes are an integral part of this statement
See Accountants' Review Report

INTERNATIONAL SOUND TECHNICIANS, CINETECHNICIANS AND
TELEVISION ENGINEERS OF THE MOTION PICTURE AND
TELEVISION INDUSTRIES LOCAL 695, I.A.T.S.E. AND M.P.M.O.
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STATEMENT OF CHANGES IN FINANCIAL POSITION

SIX MONTHS ENDED JUNE 30, 1982

CHANGES IN WORKING CAPITAL COMPONENTS

INCREASE (DECREASE) IN CURRENT ASSETS

Cash	\$ 15,583
Notes receivable	(73,988)
Accounts receivable	(4,444)
I.A.T.S.E. per capita stamps	6,000
I.A. processing fees receivable	750
Prepaid expenses	3,053
Current portion of trust deed receivable	<u>517</u>

NET (DECREASE) IN CURRENT ASSETS

(52,529)

(INCREASE) DECREASE IN CURRENT LIABILITIES

Note payable	(54,329)
Current portion of long-term debt	(553)
Accrued expenses	31,384
Deferred income	(34,799)
I.A. processing fees payable	(3,350)
Security deposit	<u>3,119</u>

NET (INCREASE) DECREASE
IN CURRENT LIABILITIES

(58,528)

DECREASE IN WORKING CAPITAL

\$(111,057)

The accompanying notes are an integral part of this statement
See accountants' Review Report

INTERNATIONAL SOUND TECHNICIANS, CINETECHNICIANS AND
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TELEVISION INDUSTRIES LOCAL 695, I.A.T.S.E. AND M.P.M.O.
(A CALIFORNIA NONPROFIT CORPORATION)

NOTES TO FINANCIAL STATEMENTS

SIX MONTHS ENDED JUNE 30, 1982

1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Income Taxes

The Local has been granted exemption from Federal and State of California taxes on income as a nonprofit organization.

Property and Equipment

Provision for depreciation on property and equipment is computed on the straight-line method over the estimated useful lives of the assets between 5 and 10 years

Leasehold improvements are amortized over the period of the lease.

2. CASH

Cash consists of the following:

Independence Bank - checking account	\$ 48,708
Independence Bank - 50th Anniversary account	6,776
Gibraltar Savings & Loan Association	62,029
<hr/>	
Dreyfus Liquid Assets, Inc. (Trust Account)	9,531
Petty cash	150
	<hr/>
	<u>\$127,194</u>

INTERNATIONAL SOUND TECHNICIANS, CINETECHNICIANS AND
TELEVISION ENGINEERS OF THE MOTION PICTURE AND
TELEVISION INDUSTRIES LOCAL 695, I.A.T.S.E. AND M.P.M.O.
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NOTES TO FINANCIAL STATEMENTS

SIX MONTHS ENDED JUNE 30, 1982

3. NOTES RECEIVABLE, MEMBERS

To help alleviate the initial financial burden of membership, the Local has accepted notes receivable from its new members for the payment of their membership fees. The notes are due within one or two years and bear interest at 5% per annum. An allowance for doubtful accounts of \$12,563 was provided for notes receivable that were past due.

Notes receivable	\$129,012
Allowance for doubtful accounts	<u>12,563</u>
	<u>\$116,449</u>

4. I.A.T.S.E. PER CAPITA STAMPS

The I.A.T.S.E. per capita stamps are purchased quarterly from the international affiliate for each member of the Local. The balance in the account represents unused stamps at June 30, 1982.

5. PROPERTY AND EQUIPMENT

The property and equipment consist of the following:

Furniture and equipment	\$55,252
Leasehold improvements	<u>20,673</u>
	75,925
Less: Accumulated depreciation and amortization	<u>25,552</u>
	<u>\$50,373</u>

INTERNATIONAL SOUND TECHNICIANS, CINETECHNICIANS AND
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TELEVISION INDUSTRIES LOCAL 695, I.A.T.S.E. AND M.P.M.O.
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NOTES TO FINANCIAL STATEMENTS

SIX MONTHS ENDED JUNE 30, 1982

6. MORTGAGE RECEIVABLE

Mortgage receivable represents a second trust deed assigned to Local 695 through the merger with Local 789 on January 1, 1980. The mortgage note bears interest at a rate of 9% per annum.

7. SECURITY INVESTMENTS

The Local owns either one and two shares of common stock of the major studio companies. These investments are stated at cost.

8. LONG-TERM DEBT

Two notes payable to IBM Corporation, payable in monthly installments of \$69 and \$68, including interest at 19% and 18%, are due May 1, 1984 and February 1, 1985, respectively

\$2,931

Less current portion

1,182

Net Long-Term Debt

\$1,749

Principal payments are due as follows:

Year Ending December 31,

1982	\$ 579
1983	1,233
1984	985
1985	134

9. DEFERRED INCOME

Deferred income represents dues collected in advance, initiation fees paid by applicants in advance of their being accepted as members of the union, advances on advertising in the 50th Anniversary publication and prepaid interest from trust deed on property located at 3779 Cahuenga Boulevard, Los Angeles, California for the period July 1, 1982 to December 25, 1982.

See Accountants' Review Report

INTERNATIONAL SOUND TECHNICIANS, CINETECHNICIANS AND
TELEVISION ENGINEERS OF THE MOTION PICTURE AND
TELEVISION INDUSTRIES LOCAL 695, I.A.T.S.E. AND M.P.M.O.
(A CALIFORNIA NONPROFIT CORPORATION)

NOTES TO FINANCIAL STATEMENTS

SIX MONTHS ENDED JUNE 30, 1982

10. COMMITMENTS AND CONTINGENCIES

Lease Commitments

In 1979, the Local entered into two five year lease agreements for office space. The monthly rentals are \$2,332 and \$1,125 for the first three years. The monthly rentals increase to \$2,591 and \$1,250, respectively, for the remaining period of the lease. At June 30, 1982, the aggregate minimum annual rentals under noncancellable leases are as follows:

Year Ending December 31,

1982	\$ 21,286
1983	46,092
1984	<u>34,569</u>
	<u>\$101,947</u>

Contingencies

The Local is a defendant in an unfair labor practice charge filed by Vidtronics, Inc. In the opinion of legal counsel, the Local has no financial exposure on this matter.

Local 727, IATSE, has brought an action for declaratory relief and damages against the International (IATSE). Local 695, together with all other craft locals in the Hollywood area, were named parties defendant. In the opinion of legal counsel, inasmuch as the International (IATSE) is the exclusive collective bargaining representative for the employees involved and the various locals are merely agents of the International, the overwhelming likelihood is that any liability which may one day be found to exist will be directed solely and exclusively against the International (IATSE) and not against Local 695.

INTERNATIONAL SOUND TECHNICIANS, CINETECHNICIANS AND
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NOTES TO FINANCIAL STATEMENTS

SIX MONTHS ENDED JUNE 30, 1982

11. SALE OF BUILDING

On June 25, 1982, the property located at 3779 Cahuenga Boulevard, Los Angeles, California was sold for \$338,500. As part of the consideration, the Local has received a note secured by a deed of trust in the amount of \$263,000. This note bears interest at the rate of 11-1/2% per annum, and provides for payments of \$2,604.49 per month (principal and interest) beginning January 25, 1983 and continuing until June 25, 1992, at which time the then remaining principal and accrued interest shall be due and payable.

12. NOTE PAYABLE

Note payable to Gibraltar Savings and Loan Association in the amount of \$54,329 bearing 15.75% interest, payable quarterly. Principal is due in one installment on November 24, 1982.

INTERNATIONAL SOUND TECHNICIANS, CINETECHNICIANS AND
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TELEVISION INDUSTRIES LOCAL 695, I.A.T.S.E. AND M.P.M.O.
(A CALIFORNIA NONPROFIT CORPORATION)

SCHEDULE OF OTHER OPERATING EXPENSES

SIX MONTHS ENDED JUNE 30, 1982

Accounting fees	\$ 4,450
Advertising	1,920
Alarm service	456
Automobile expense and allowance	5,542
Business meetings	2,252
Business representatives expenses	1,250
Copy machine expense	1,989
Depreciation and amortization	8,748
Donations	375
Dues and subscriptions	3,065
Dues paid other organizations	3,988
General insurance <i>6 m e s</i>	4,588
Health and welfare insurance	10,370
Interest expense	1,332
Membership meetings	659
Office supplies	1,644
Pension fund expense	10,845
Picketing expense	150
Postage	5,978
Printing and stationery	12,468
Recordkeeping and office help	8,785
Rental property expenses	2,466
Repairs and maintenance	4,115
Taxes, payroll and property	13,140
Telephone and utilities	7,063
Travel expense	8,984
Newsletter	1,332
Uncollectible membership dues	2,536
Vacation expense	5,561
Miscellaneous expenses	1,897
TOTAL OTHER OPERATING EXPENSES	<u>\$137,948</u>

INTERNATIONAL SOUND TECHNICIANS, CINETECHNICIANS AND
TELEVISION ENGINEERS OF THE MOTION PICTURE AND
TELEVISION INDUSTRIES LOCAL 695, I.A.T.S.E. AND M.P.M.O.
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MEMBERSHIP

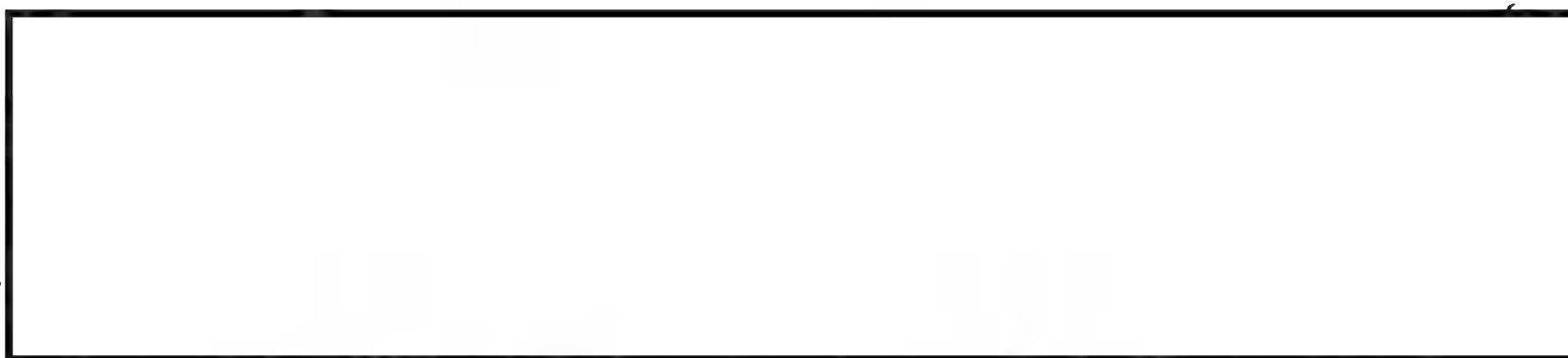
SIX MONTHS ENDED JUNE 30, 1982

Number of members as of December 31, 1981		2,293
Adjustments:		
Suspended		<u>107</u>
		2,186
Add:		
New members	43	
Reinstatement from Honorable Withdrawal	3	
Reinstatement from Suspension	163	
Reinstatement from Expulsion	<u>3</u>	
TOTAL ADDITIONS		212
Deduct:		
Honorable Withdrawal	36	
Deceased	<u>2</u>	
TOTAL DEDUCTIONS		<u>38</u>
NUMBER OF MEMBERS AS OF JUNE 30, 1982		<u><u>2,360</u></u>

P & L

1981

1981



INTERNATIONAL SOUND TECHNICIANS,
CINETECHNICIANS AND TELEVISION ENGINEERS
OF THE MOTION PICTURE AND TELEVISION
INDUSTRIES LOCAL 695,
I.A.T.S.E. AND M.P.M.O.
(A CALIFORNIA NONPROFIT CORPORATION)

REPORT

YEARS ENDED DECEMBER 31, 1981
AND 1980.

PAGE

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Oppenheim, Appel, Dixon & Co.

Certified Public Accountants

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ACCOUNTANTS' REVIEW REPORT

To the Board of Directors
International Sound Technicians,
Cinetechnicians and Television
Engineers of the Motion Picture
and Television Industries Local 695,
I.A.T.S.E. and M.P.M.O.
(A California Nonprofit Corporation)

We have reviewed the balance sheets of International Sound Technicians, Cinetechnicians and Television Engineers of the Motion Picture and Television Industries Local 695, I.A.T.S.E. and M.P.M.O. (a California Nonprofit Corporation) as of December 31, 1981 and 1980, and the related statements of revenue, expenses, fund balance, and changes in financial position for the years then ended, in accordance with standards established by the American Institute of Certified Public Accountants. All information included in these financial statements is the representation of the management of International Sound Technicians, Cinetechnicians and Television Engineers of the Motion Picture and Television Industries Local 695, I.A.T.S.E. and M.P.M.O.

A review consists principally of inquiries of Local personnel and analytical procedures applied to financial data. It is substantially less in scope than an examination in accordance with generally accepted auditing standards, the objective of which is the expression of an opinion regarding the financial statements taken as a whole. Accordingly, we do not express such an opinion.

Based on our review, we are not aware of any material modifications that should be made to the accompanying financial statements in order for them to be in conformity with generally accepted accounting principles.

Oppenheim, Appel, Dixon & Co.

OPPENHEIM, APPEL, DIXON & CO.
(Including the practice of
Robinson, Sain, Snyder & Reiss,
Certified Public Accountants, formerly
Phillips, Robinson, Sain, Snyder & Rosen
who reviewed the financial statements for
the year ended December 31, 1980)

Los Angeles, California
March 26, 1982

**INTERNATIONAL SOUND TECHNICIANS, CINETECHNICIANS AND
TELEVISION ENGINEERS OF THE MOTION PICTURE AND
TELEVISION INDUSTRIES LOCAL 695, I.A.T.S.E. AND M.P.M.O.
(A CALIFORNIA NONPROFIT CORPORATION)**

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INTERNATIONAL SOUND TECHNICIANS, CINETECHNICIANS AND
TELEVISION ENGINEERS OF THE MOTION PICTURE AND
TELEVISION INDUSTRIES LOCAL 695, I.A.T.S.E. AND M.P.M.G.
(A CALIFORNIA NONPROFIT CORPORATION)

BALANCE SHEETS

DECEMBER 31, 1981 AND 1980

ASSETS

	1981	1980
CURRENT ASSETS		
Cash (Note 2)	\$111,611	\$161,050
Notes receivable, members (Note 3)	163,738	168,296
Accounts receivable	12,307	5,291
I.A.T.S.E. per capita stamps (Note 4)	6,000	6,000
I.A. processing fees receivable	2,175	700
Prepaid expenses	1,416	765
TOTAL CURRENT ASSETS	<u>297,249</u>	<u>342,102</u>
BUILDING, PROPERTY AND EQUIPMENT (Note 5)	<u>337,022</u>	<u>333,869</u>
OTHER ASSETS		
Mortgage receivable (Note 6)	18,057	18,947
Deposits	3,841	3,841
Security investments (Note 7)	166	166
TOTAL OTHER ASSETS	<u>22,064</u>	<u>22,954</u>
TOTAL ASSETS	<u><u>\$656,335</u></u>	<u><u>\$698,925</u></u>

LIABILITIES AND FUND BALANCE

CURRENT LIABILITIES		
Current portion of long-term debt (Note 8)	\$ 629	\$ -
Accrued expenses	50,961	61,086
Deferred income (Note 9)	29,733	11,782
I.A. processing fees payable	2,225	875
Security deposit	3,119	3,119
TOTAL CURRENT LIABILITIES	<u>86,667</u>	<u>76,862</u>
LONG-TERM DEBT (Note 8)	<u>891</u>	<u>-</u>
TOTAL LIABILITIES	<u>87,558</u>	<u>76,862</u>
COMMITMENTS AND CONTINGENCIES (Note 10)	-	-
FUND BALANCE	<u>568,777</u>	<u>622,063</u>
TOTAL LIABILITIES AND FUND BALANCE	<u><u>\$656,335</u></u>	<u><u>\$698,925</u></u>

The accompanying notes are an integral part of these statements

INTERNATIONAL SOUND TECHNICIANS, CINETECHNICIANS AND
TELEVISION ENGINEERS OF THE MOTION PICTURE AND
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STATEMENTS OF REVENUE, EXPENSE AND CHANGES IN FUND BALANCE

YEARS ENDED DECEMBER 31, 1981 AND 1980

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		1981	1980
REVENUE			
Membership dues	+ 10,682.	\$402,057	\$ 344,109
Initiation fees	- 47,393.	247,695	252,339
Reclassification fees	- 29,264.	29,264	19,796
Interest income	- 17,482.	35,297	27,837
Rental income	- 0 -	36,288	21,263
Fines	- 0 -	7,492	8,553
Dividend income	- 0 -	10	46
* Gain on sale of furniture	- 524.	844	-
Reinstatement fees	- 10.	524	-
Withdrawal fees	+ 3,119 - (Revenue)	10	-
Miscellaneous income		-	1,282
TOTAL REVENUE	(95054. over 1982)	759,481	675,225
EXPENSE			
Salaries, business representative and assistants		178,705	200,717
Salaries, office employees		153,880	121,306
I.A.T.S.E. per capita stamps		112,623	87,370
Legal and arbitration fees		34,016	94,224
Members life insurance premiums		33,815	46,063
Convention expense		3,372	26,595
Negotiation expense		7,273	9,003
Rent		42,388	41,483
Election expense		11,205	5,672
Legal settlement (Note 11)		-	10,000
Other operating expenses		235,490	219,342
TOTAL EXPENSE		812,767	861,775
EXCESS OF EXPENSE OVER REVENUE		(53,286)	(186,550)
FUND BALANCE, BEGINNING OF YEAR		622,063	749,845
ADDITION TO FUND DUE TO MERGER WITH LOCAL 789 ON JANUARY 1, 1980 (Note 12)		-	58,768
FUND BALANCE, END OF YEAR		\$568,777	\$ 622,063

The accompanying notes are an integral part of these statements
See Accountants' Review Report

INTERNATIONAL SOUND TECHNICIANS, CINETECHNICIANS AND
TELEVISION ENGINEERS OF THE MOTION PICTURE AND
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STATEMENTS OF CHANGES IN FINANCIAL POSITION

YEARS ENDED DECEMBER 31, 1981 AND 1980

	<u>1981</u>	<u>1980</u>
WORKING CAPITAL WAS APPLIED TO		
Excess of expense over revenue	\$(53,286)	\$(186,550)
Add (deduct) items not requiring outlay of working capital		
Depreciation and amortization	16,872	12,165
Gain on sale of furniture	(844)	-
Additions to property and equipment	(20,781)	(13,225)
Assignment of mortgage trust deed from Local 789	-	(18,947)
TOTAL WORKING CAPITAL APPLIED	<u>(58,039)</u>	<u>(206,557)</u>
WORKING CAPITAL WAS PROVIDED BY		
Proceeds from sale of furniture	1,600	-
Increase in long-term debt	891	-
Increase in fund balance due to merger with Local 789	-	58,768
Decrease in mortgage receivable	890	-
TOTAL WORKING CAPITAL PROVIDED	<u>3,381</u>	<u>58,768</u>
DECREASE IN WORKING CAPITAL	<u><u>\$(54,658)</u></u>	<u><u>\$(147,789)</u></u>

INTERNATIONAL SOUND TECHNICIANS, CINTECHNICIANS AND
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TELEVISION INDUSTRIES LOCAL 695, I.A.T.S.E. AND M.P.M.U.
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STATEMENTS OF CHANGES IN FINANCIAL POSITION

YEARS ENDED DECEMBER 31, 1981 AND 1980

CHANGES IN WORKING CAPITAL COMPONENTS

	<u>1981</u>	<u>1980</u>
INCREASE (DECREASE) IN CURRENT ASSETS		
Cash	\$(49,439)	\$ (92,499)
Notes receivable	(4,558)	(37,011)
Accounts receivable	7,016	(966)
I.A.T.S.E. per capita stamps	-	(12,900)
I.A. processing fees receivable	1,475	700
Prepaid expenses	653	(1,958)
Interest receivable	-	(3,396)
	<u> </u>	<u> </u>
NET (DECREASE) IN CURRENT ASSETS	<u>(44,853)</u>	<u>(148,030)</u>
(INCREASE) DECREASE IN CURRENT LIABILITIES		
Current portion of long-term debt	(629)	36,000
Accrued expenses	10,125	(26,924)
Deferred income	(17,951)	(4,841)
I.A. processing fees payable	(1,350)	(875)
Security deposit	-	(3,119)
	<u> </u>	<u> </u>
NET (INCREASE) DECREASE IN CURRENT LIABILITIES	<u>(9,805)</u>	<u> 241</u>
DECREASE IN WORKING CAPITAL	<u><u>\$(54,658)</u></u>	<u><u>\$(147,789)</u></u>

The accompanying notes are an integral part of these statements
and Accountants' Review Report

INTERNATIONAL SOUND TECHNICIANS, CINETECHNICIANS AND
TELEVISION ENGINEERS OF THE MOTION PICTURE AND
TELEVISION INDUSTRIES LOCAL 695, I.A.T.S.E. AND M.P.M.U.
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NOTES TO FINANCIAL STATEMENTS

YEARS ENDED DECEMBER 31, 1981 AND 1980

1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Income Taxes

The Local has been granted exemption from Federal and State of California taxes on income as a nonprofit organization.

Building, Property and Equipment

Provision for depreciation on building, property and equipment is computed on the straight-line method over the estimated useful lives of the assets as follows:

Building	30 years
Furniture and equipment	5 to 10 years

Leasehold improvements are amortized over the period of the lease.

2. CASH

Cash consists of the following:

	1981	1980
Independence Bank - checking account	\$ 515	\$ 17,901
Independence Bank - savings account	1,750	1,683
Independence Bank - 50th Anniversary account	6,776	-
Gibraltar Savings & Loan Association	56,976	60,880
Pacific Federal Savings & Loan Association	40,080	40,080
Dreyfus Liquid Assets, Inc. (Trust Account)	5,364	-
Glendale Federal Savings & Loan Association	-	40,356
Petty cash	150	150
	<u>\$111,611</u>	<u>\$161,050</u>

INTERNATIONAL SOUND TECHNICIANS, CINETECHNICIANS AND
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NOTES TO FINANCIAL STATEMENTS

YEARS ENDED DECEMBER 31, 1981 AND 1980

3. NOTES RECEIVABLE, MEMBERS

To help alleviate the initial financial burden of membership, the Local has accepted notes receivable from its new members for the payment of their membership fees. The notes are due within one year and bear interest at 4% per annum. An allowance for doubtful accounts of \$23,497 (1980, \$9,247) was provided for notes receivable that were past due.

	<u>1981</u>	<u>1980</u>
Notes receivable	\$187,235	\$177,543
Allowance for doubtful accounts	<u>23,497</u>	<u>9,247</u>
	<u>\$163,738</u>	<u>\$168,296</u>

4. I.A.T.S.E. PER CAPITA STAMPS

The I.A.T.S.E. per capita stamps are purchased quarterly from the international affiliate for each member of the Local. The balance in the account represents unused stamps at the year-end.

5. BUILDING, PROPERTY AND EQUIPMENT

The building, property and equipment consist of the following:

	<u>1981</u>	<u>1980</u>
Land	\$110,990	\$110,990
Building	204,325	204,325
Furniture and equipment	42,698	34,857
Leasehold improvements	15,677	5,941
	<u>373,690</u>	<u>356,113</u>
Less: Accumulated depreciation and amortization	<u>36,668</u>	<u>22,244</u>
	<u>\$337,022</u>	<u>\$333,869</u>

INTERNATIONAL SOUND TECHNICIANS, CINETECHNICIANS AND
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TELEVISION INDUSTRIES LOCAL 695, I.A.T.S.E. AND M.P.M.O.
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NOTES TO FINANCIAL STATEMENTS

YEARS ENDED DECEMBER 31, 1981 AND 1980

6. MORTGAGE RECEIVABLE

Mortgage receivable represents a second trust deed assigned to Local 695 through the merger with Local 789 on January 1, 1980. The mortgage note bears interest at a rate of 9% per annum.

7. SECURITY INVESTMENTS

The Local owns between one and two shares of common stock of the major studio companies. These investments are stated at cost.

8. LONG-TERM DEBT

Long-term debt at December 31, 1981 consists of the following:

Note payable to I.B.M. Corporation, payable in monthly installments of \$69 including interest at 19% through May 1984	\$1,520
Less: Current portion	<u>629</u>
Net Long-Term debt	<u>\$ 891</u>

Principal payments due are as follows:

1982	\$629
1983	629
1984	262

9. DEFERRED INCOME

Deferred income represents dues collected in advance, initiation fees paid by applicants in advance of their being accepted as members of the union and advances on advertising in the 50th Anniversary publication.

INTERNATIONAL SOUND TECHNICIANS, CINELTECHNICIANS AND
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NOTES TO FINANCIAL STATEMENTS

YEARS ENDED DECEMBER 31, 1981 AND 1980

10. COMMITMENTS AND CONTINGENCIES

Lease Commitments

In 1979, the Local entered into two five year lease agreements for office space. The monthly rentals are \$2,332 and \$1,125 for the first three years. The monthly rentals increase to \$2,591 and \$1,250, respectively, for the remaining period of the lease. At December 31, 1981, the aggregate minimum annual rentals under noncancellable leases are as follows:

<u>Year Ending</u>	
1982	\$ 42,510
1983	46,092
1984	<u>34,569</u>
	<u>\$123,171</u>

Contingencies

The Local is a defendant in a suit for slander filed by [redacted] a member of Local 695. The suit was brought against [redacted] business representative and the Local itself. Damages are claimed in the amount of \$100,000. Legal counsel has stated that although there is a possibility that Local 695 will be exposed to liability, the present state of the record tends to indicate that an exposure is remote and it is impossible to evaluate its economic perimeters at this stage.

The Local is presently engaged in defending various other litigations. The outcome of these litigations are presently unknown and legal counsel is of the opinion that financial liabilities are remote.

11. LEGAL SETTLEMENT

The Local has settled a suit for slander filed by [redacted] against former business representative, [redacted] and the Local itself. The case was settled for a total of \$45,000 of which \$10,000 was contributed by the Local.

INTERNATIONAL SOUND TECHNICIANS, CINETECHNICIANS AND
TELEVISION ENGINEERS OF THE MOTION PICTURE AND
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(A CALIFORNIA NONPROFIT CORPORATION)

NOTES TO FINANCIAL STATEMENTS

YEARS ENDED DECEMBER 31, 1981 AND 1980

12. ADDITION TO FUND

Addition to fund represents the fund of Local 789 of the I.A.T.S.E. and M.P.M.O. which has merged with Local 695 as of January 1, 1980. The merger was granted on June 28, 1979 by the by-laws of both locals, affirmed by the respective Boards of Directors and members and effected in a manner similar to that of a pooling of interests.

INTERNATIONAL SOUND TECHNICIANS, CINEMA TECHNICIANS AND
TELEVISION ENGINEERS OF THE MOTION PICTURE AND
TELEVISION INDUSTRIES LOCAL 695, I.A.T.S.E. AND M.P.M.O.
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SCHEDULES OF OTHER OPERATING EXPENSES
YEARS ENDED DECEMBER 31, 1981 AND 1980

		1981	1980
Accounting fees	X	\$ 3,698	\$ 4,425
Advertising	X	2,645	2,044
Alarm service		676	305
Automobile expense and allowance		11,022	4,270
Business meetings		2,453	3,088
Business representatives expenses		2,600	2,650
Computer billing	X	-	271
Copy machine expense		3,126	3,556
Depreciation and amortization		16,872	12,165
Donations - 1,000 - 500 -	X	2,525	500
Dues and subscriptions		5,167	6,126
Dues paid other organizations		5,059	2,648
Equipment rental	X	623	374
Fiftieth anniversary expenses		-	13,957
General insurance	X	14,095	7,507
Health and welfare insurance	X	15,691	18,471
Interest expense	X	175	4,671
Membership meetings	X	2,049	2,055
Office supplies	X	7,118	6,306
Obligated members expense		-	2,169
Pension fund expense		22,340	19,621
Picketing expense	X	25	-
Postage	X	20,085	12,673
Printing and stationery	X	17,505	6,674
Recordkeeping and office help	X	4,021	16,897
Rental property expenses	X	4,735	9,661
Repairs and maintenance	X	2,210	2,774
Taxes, payroll and property	X	21,546	19,920
Telephone and utilities	X	10,114	8,986
Travel expense	X	12,494	9,853
Trophies and picture frames	X	119	1,865
Uncollectible membership dues		14,250	-
Vacation expense	X	6,315	9,406
Miscellaneous expenses	X	4,137	3,454
TOTAL OTHER OPERATING EXPENSES		\$235,490	\$219,342

1,000.00
500.00
525.00
500.00

Police officers
I.A.P.E.
M.P.M.O.

INTERNATIONAL SOUND TECHNICIANS, CINETECHNICIANS AND
TELEVISION ENGINEERS OF THE MOTION PICTURE AND
TELEVISION INDUSTRIES LOCAL 695, I.A.T.S.E. AND M.P.M.O.
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MEMBERSHIP

YEAR ENDED DECEMBER 31, 1981

Number of members as of January 1, 1981		2,277
Adjustments:		
Suspended	27	
Merged with Local 789	<u>8</u>	
		<u>35</u>
		2,242
• Add:		
New members	277	
Reinstatement from Honorable Withdrawal	8	
Reinstatement from Suspension	163	
Reinstatement from Expulsion	10	
Member admitted by transfer	<u>1</u>	
TOTAL ADDITIONS		<u>459</u>
Deduct:		2,701
Honorable Withdrawal	51	
Suspended	349	
Deceased	<u>8</u>	
TOTAL DEDUCTIONS		<u>408</u>
NUMBER OF MEMBERS AS OF DECEMBER 31, 1981		<u><u>2,293</u></u>

P&L

1980

INTERNATIONAL SOUND TECHNICIANS, CINETECHNICIANS
AND TELEVISION ENGINEERS OF THE
MOTION PICTURE AND TELEVISION INDUSTRIES
LOCAL 695, I.A.T.S.E. AND M.P.M.O.
(A CALIFORNIA NON-PROFIT CORPORATION)
STATEMENT OF INCOME AND CAPITAL
YEARS ENDED DECEMBER 31, 1980 AND 1979

	<u>1980</u>	<u>1979</u>
OPERATING REVENUE		
Membership dues	\$ 344,109	\$ 251,294
Initiation fees	252,339	258,004
Reclassification fees	19,796	32,636
Reinstatement fees	-	400
Withdrawal fees	-	90
Fines	<u>8,553</u>	<u>4,115</u>
Total operating revenue	<u>624,797</u>	<u>546,539</u>
NON-OPERATING REVENUE		
Interest income	27,837	39,509
Rental income	21,263	2,258
Dividend income	46	23
Income due to changes in estimates of Reserve Accounts (Note 1)	-	105,669
Miscellaneous income	<u>1,282</u>	<u>388</u>
Total other revenue	<u>50,428</u>	<u>147,847</u>
Total revenue	<u>675,225</u>	<u>694,386</u>
OPERATING EXPENSE		
Salaries, business representative and assistants (Note 7)	200,717	130,248
Salaries, office employees	121,306	78,882
I.A.T.S.E. per capita stamps	87,370	58,914
Legal and arbitration fees	94,224	89,400
Members life insurance premiums	46,063	25,882
Convention expense	26,595	3,600
Negotiation expense	9,003	7,077
Rent	41,483	16,232
Election expense	5,672	3,684
Other operating expenses	<u>219,342</u>	<u>129,221</u>
Total operating expenses	<u>851,775</u>	<u>543,140</u>
Income (loss) before extraordinary item	<u>(176,550)</u>	<u>151,246</u>

See accountant's review report

INTERNATIONAL SOUND TECHNICIANS, CINETECHNICIANS
AND TELEVISION ENGINEERS OF THE
MOTION PICTURE AND TELEVISION INDUSTRIES
LOCAL 695, I.A.T.S.E. AND M.P.M.O.
(A CALIFORNIA NON-PROFIT CORPORATION)
STATEMENT OF CHANGES IN FINANCIAL POSITION
YEARS ENDED DECEMBER 31, 1980 AND 1979

	<u>1980</u>	<u>1979</u>
FUNDS PROVIDED		
Operations		
Net income		\$ 141,246
Add: Items not requiring outlay of working capital		
Depreciation	<u> </u>	<u>5,713</u>
Total funds provided from operations		146,959
Decrease in deferred charges		157
Increase in reserve for welfare fund	\$ 9,548	-
Increase in capital surplus due to merger with Local 789	<u>58,768</u>	<u> </u>
Total funds provided	<u>68,316</u>	<u>147,116</u>
FUNDS APPLIED		
Operations		
Net loss	186,550	
Less: Items not requiring outlay of working capital		
Depreciation	<u>(12,165)</u>	<u> </u>
Total funds applied to operations	174,385	19,371
Increase in restricted funds	9,548	-
Purchase of furniture and equipment	13,225	5,031
Purchase of land and building	-	315,315
Assignment of mortgage trust deed from Local 789	18,947	-
Decrease in reserve for convention, welfare and legal funds	-	86,308
Increase in deposits	<u>-</u>	<u>3,135</u>
Total funds applied	<u>216,105</u>	<u>429,160</u>
Decrease in working capital	<u><u>\$(147,789)</u></u>	<u><u>\$(282,044)</u></u>

See accountant's review report

INTERNATIONAL SOUND TECHNICIANS, CINE-TECHNICIANS
AND TELEVISION ENGINEERS OF THE
MOTION PICTURE AND TELEVISION INDUSTRIES
LOCAL 695, I.A.T.S.E. AND M.P.M.O.
(A CALIFORNIA NON-PROFIT CORPORATION)
BALANCE SHEET
DECEMBER 31, 1980 AND 1979

	<u>ASSETS</u>	<u>1980</u>	<u>1979</u>
CURRENT ASSETS			
Cash, operating		\$ 18,051	\$ 12,331
Cash, savings (Note 12)	132,131	114,080	221,847
Accounts receivable		5,291	6,257
Notes receivable, members (Note 2)		168,296	205,307
I.A.T.S.E. per capita stamps (Note 3)		6,000	18,900
I.A. processing fees receivable		700	-
Prepaid expenses		543	1,502
Interest receivable		-	3,396
Exchange		222	1,221
Total current assets		<u>313,183</u>	<u>470,761</u>
BUILDING, PROPERTY AND EQUIPMENT, Net (Note 1)		<u>333,869</u>	<u>332,809</u>
OTHER ASSETS			
Cash held for restricted funds (Note 1)		28,919	19,371
Mortgage receivable (Note 4)		18,947	-
Securities investments (Note 4)		166	166
Deposits		<u>3,841</u>	<u>3,841</u>
Total other assets		<u>51,873</u>	<u>23,378</u>
Total assets		<u>\$ 698,925</u>	<u>\$ 826,948</u>

LIABILITIES AND CAPITAL

CURRENT LIABILITIES		
Accrued expenses	\$ 32,167	\$ 14,791
Loan payable	-	36,000
I.A. processing fees payable	875	-
Deferred income	11,782	6,941
Security deposits (Note 6)	<u>3,119</u>	<u>-</u>
Total liabilities	<u>47,943</u>	<u>57,732</u>
RESERVE FOR CONVENTION EXPENSES (Note 1)	3,600	3,600
RESERVE FOR LEGAL EXPENSES (Note 1)	5,494	5,494
RESERVE FOR WELFARE FUND (Note 1)	19,825	10,277
COMMITMENTS AND CONTINGENCIES (Note 10)		
CAPITAL SURPLUS	<u>622,063</u>	<u>749,845</u>
Total liabilities and capital	<u>\$ 698,925</u>	<u>\$ 826,948</u>

See accountant's review report and notes to financial statements

PHILLIPS, ROSEN, SAIN, SNYDER & ROSEN - CERTIFIED PUBLIC ACCOUNTANTS

	<u>1980</u>	<u>1979</u>
EXTRAORDINARY ITEMS (Note 8)		
Legal settlement	\$ (10,000)	
U.S. District Court fine	<u>-</u>	<u>\$ (10,000)</u>
Net income (loss)	(186,550)	141,246
Capital surplus, beginning of year	749,845	608,599
Addition to capital surplus due to merger with Local 789 on January 1, 1980 (Note 9)	<u>58,768</u>	<u>-</u>
Capital surplus, end of year	<u>\$ 622,063</u>	<u>\$ 749,845</u>

and notes to financial statements

INTERNATIONAL SOUND TECHNICIANS, CINETECHNICIANS
AND TELEVISION ENGINEERS OF THE
MOTION PICTURE AND TELEVISION INDUSTRIES
LOCAL 695, I.A.T.S.E. AND M.P.M.O.
(A CALIFORNIA NON-PROFIT CORPORATION)
SCHEDULE OF OTHER OPERATING EXPENSES
YEARS ENDED DECEMBER 31, 1980 AND 1979

	<u>1980</u>	<u>1979</u>
Advertising	\$ 2,044- ²	\$ 729
Accounting fees	4,425	1,350
Alarm service	305	-
Automobile expense and allowance	4,270	3,303
Business meetings	3,088- ²	2,199
Business representatives expenses	2,650	2,600
Computer billing	271	5,133
Copy machine expense	3,556	2,313
Depreciation and amortization	12,165	3,431
Donations	500 ¹	-
Dues, and subscriptions	6,126- ¹	1,053
Dues paid other organizations	2,648	2,392
Equipment rental	374	-
Fiftieth anniversary expenses	13,957- ²	-
General insurance	7,507	7,232
Health and welfare insurance	18,471	8,214
Interest expense	4,671	4,679
Membership meetings	2,055	2,074
Office supplies	6,306	3,541
Obligated members expense	2,169	3,603
Pension fund expense	19,621	9,702
Picketing expense	-	1,716
Postage	12,673	10,653
Printing and stationery	6,674 ²	6,801
Recordkeeping and office help	16,897- ²	6,844
Rental property expenses - Brea	9,661	-
Repairs and maintenance - Brea	2,774	1,026
Taxes, payroll and property - Brea	19,920	11,866
Telephone and utilities	8,986	8,067
Travel expense	9,853 ²	5,964
Trophies and picture frames	1,865- ²	-
Uncollectible membership dues	-	9,247
Vacation expense	9,406- ²	2,458
Miscellaneous expenses	3,454	1,031
Total other operating expenses	<u>\$ 219,342</u>	<u>\$ 129,221</u>

See accountant's review report and notes to financial statements

	<u>1980</u>	<u>1979</u>
CHANGES IN COMPONENTS OF WORKING CAPITAL		
CURRENT ASSETS, Increase (decrease)		
Cash, operating	\$ 5,720	\$ (49,851)
Cash, savings	(107,767)	(205,194)
Accounts receivable	(966)	154
Notes receivable, members	(37,011)	(32,267)
I.A.T.S.E. per capita stamps	(12,900)	5,300
I.A. processing fees receivable	700	-
Prepaid expenses	(959)	427
Interest receivable	(3,396)	3,396
Exchange	(999)	1,221
Decrease in current assets	<u>(157,578)</u>	<u>(276,814)</u>
CURRENT LIABILITIES, Increase (decrease)		
Accrued expenses	17,376	(18,531)
Loan payable	(36,000)	36,000
I.A. processing fees payable	875	-
Deferred income	4,841	(12,239)
Security deposits	<u>3,119</u>	<u>-</u>
Increase (decrease) in current liabilities	<u>(9,789)</u>	<u>5,230</u>
Decrease in working capital	<u><u>\$(147,789)</u></u>	<u><u>\$(282,044)</u></u>

and notes to financial statements

INTERNATIONAL SOUND TECHNICIANS, CINETECHNICIANS
AND TELEVISION ENGINEERS OF THE
MOTION PICTURE AND TELEVISION INDUSTRIES
LOCAL 695, I.A.T.S.E. AND M.P.M.O.
(A CALIFORNIA NON-PROFIT CORPORATION)
NOTES TO FINANCIAL STATEMENTS
YEARS ENDED DECEMBER 31, 1980 AND 1979

NOTE 1. Significant accounting policies

a. Income taxes

The Local has been granted exemption from Federal and State of California taxes on income as a non-profit organization. Therefore, no provision for income taxes has been made in the financial statements.

b. Building, property and equipment

During 1979, the Local purchased commercial property in North Hollywood, California. The building is being depreciated on a straight-line basis over a 30 years life. Furniture and equipment are depreciated on a straight-line basis using a 5 to 10 years life.

Building, property and equipment consists of the following:

	<u>1980</u>	<u>1979</u>
Land	\$ 110,990	\$ 110,990
Building	204,325	204,325
Furniture and equipment	34,857	29,087
Leasehold improvements	<u>5,941</u>	<u>-</u>
	\$ 356,113	\$ 344,402
Less: Accumulated depreciation	<u>22,244</u>	<u>11,593</u>
Building, property and equipment	<u>\$ 333,869</u>	<u>\$ 332,809</u>

c. Reserve for convention expenses, legal expenses and welfare fund

The local follows the practice of considering all liquidated damages collected and paid as charges to the appropriated funds. Therefore, the transactions have not been reflected in the statement of income.

See accountant's review report

INTERNATIONAL SOUND TECHNICIANS, CINETECHNICIANS
AND TELEVISION ENGINEERS OF THE
MOTION PICTURE AND TELEVISION INDUSTRIES
LOCAL 695, I.A.T.S.E. AND M.P.M.O.
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YEARS ENDED DECEMBER 31, 1980 AND 1979

As of the end of 1979, the Board of Directors resolved that the balances in the reserve funds be adjusted to reflect more reasonable estimates. APB 20 of the American Institute of Certified Public Accountants requires that such a change be reflected as an income item. As a result, non-operating income for the year ending December 31, 1979 was increased by \$105,669.

Certain cash accounts are earmarked as restricted only for the purposes of such reserve funds.

NOTE 2. Notes receivable, members

To help alleviate the initial financial burden of membership, the Local has accepted notes receivable from its new members for the payment of their membership fees. The notes are due within one year and bear interest at 4% per annum. An allowance for doubtful accounts of \$9,247 was provided for notes receivable that were past due.

NOTE 3. I.A.T.S.E. per capital stamps

The I.A.T.S.E. per capita tax stamps are purchased quarterly from the international affiliate for each member of the Local. The balance in the account represents unused stamps at year end. *1,000.00*

NOTE 4. Mortgage receivable

Mortgage receivable represents a second trust deed assigned to Local 695 through the merger with Local 789 on January 1, 1980. The mortgage note bears interest at a rate of 9% per annum. *7.*

See accountant's review report

INTERNATIONAL SOUND TECHNICIANS, CINETECHNICIANS
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MOTION PICTURE AND TELEVISION INDUSTRIES
LOCAL 695, I.A.T.S.E. AND M.P.M.O.
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NOTES TO FINANCIAL STATEMENTS
YEARS ENDED DECEMBER 31, 1980 AND 1979

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NOTE 5. Securities investments

The Local owns between one to two shares of common stock of the major studio companies. The investments are stated at cost.

NOTE 6. Deferred income

Deferred income represents initiation fees paid by applicants in advance of their being accepted as members by the union.

NOTE 7. Salaries, business representative and assistants

In 1980, the Local had one business representative and three assistant business representatives.

NOTE 8. Extraordinary items

a. Legal settlement

The Local has settled a suit for slander filed by [redacted] against former business representative, [redacted] and the Local itself. The case was settled for a total of \$45,000 of which \$10,000 was contributed by the Local.

b. U.S. District Court fine

As a result of a contempt action brought by the AMPTP against the Local and its business representative, [redacted] for refusing to comply with a court order that a certain 1976 collective bargaining agreement be signed, the Local was ordered to pay a fine of \$10,000. This has been affirmed by the Court of Appeals of the Ninth Circuit.

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INTERNATIONAL SOUND TECHNICIANS, CINETECHNICIANS
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NOTES TO FINANCIAL STATEMENTS
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NOTE 9. Addition to capital surplus

Addition to capital surplus represents the capital surplus of Local 789 of the I.A.T.S.E. and M.P.M.O. which has merged with Local 695 as of January 1, 1980. The merger was granted on June 28, 1979 by the by-laws of both Locals and affirmed by the respective Boards of Directors and members of Local 789.

NOTE 10. Commitments and contingencies

a. Lease commitments

In 1979, the Local entered into two 5-year lease agreements for office space. The monthly rentals are \$2,332 and \$1,125 respectively. The minimum rental commitment for the terms of the leases are as follows:

1981	--	\$ 20,998	(9 months)
1981	--	\$ 11,250	(10 months)

The security deposit for the office space totalled \$3,841.

b. Contingencies

The Local is a defendant in a suit for libel and intentional infliction of emotional distress filed by [REDACTED] a member of Local 695. The suit was brought against [REDACTED] business representative and the Local itself.

Legal counsel has stated that it was unable to predict the likelihood of the outcome at the date of this report.

NOTE 11. Pronouncements of the Board of Directors

Local 695 currently represents over 2,200 members of the I.A.T.S.E. and M.P.M.O. In 1979, the Local has collected a total of \$24,420 for settlement of grievances on behalf of its members. For the year 1980, the Local has collected a total of \$189,527 for settlement of grievances of which \$174,921 has been paid directly to members involved in the particular cases.

See accountant's review report

INTERNATIONAL SOUND TECHNICIANS, CINETECHNICIANS
AND TELEVISION ENGINEERS OF THE
MOTION PICTURE AND TELEVISION INDUSTRIES
LOCAL 695, I.A.T.S.E. AND M.P.M.O.
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NOTES TO FINANCIAL STATEMENTS
YEARS ENDED DECEMBER 31, 1980 AND 1979

NOTE 12. Cash, savings

	<u>December 31,</u> <u>1980</u>	<u>1979</u>
Allstate Savings and Loan Association	\$ -	\$ 3,238
American Savings and Loan Association	-	8,912
California Federal Savings & Loan Association	-	40,000
City National Bank	-	301
Downey Savings and Loan Association	-	5,459
Gibraltar Savings and Loan Association	60,880	44,270
Glendale Federal Savings and Loan Association	40,356	40,356
Great Western Savings & Loan Association	-	4,171
Lincoln Savings and Loan Association	-	40,554
Pacific Federal Savings & Loan Association	<u>40,080</u>	<u>40,080</u>
Total cash, savings	141,316	227,341
Less: Restricted for reserve funds	<u>(27,236)</u>	<u>(5,494)</u>
Total	<u>\$ 114,080</u>	<u>\$ 221,847</u>

See accountant's report on supplementary data

INTERNATIONAL SOUND TECHNICIANS, CINETECHNICIANS
AND TELEVISION ENGINEERS OF THE
MOTION PICTURE AND TELEVISION INDUSTRIES
LOCAL 695, I.A.T.S.E. AND M.P.M.O.
(A CALIFORNIA NON-PROFIT CORPORATION)
MEMBERSHIP
YEAR ENDED DECEMBER 31, 1980

Number of members as of January 1, 1980		1,664
Merger with local 789		<u>412</u>
Total		2,076
Add:		
New members	277	
Reinstatement from Honorable Withdrawal	<u>5</u>	
Reinstatement from Suspension	0	
Reinstatement from Expulsion	20	
Members admitted by transfer	<u>8</u>	
Total additions		<u>310</u>
		2,386
Deduct:		
Honorable Withdrawals	39	
Deceased	13	
Expelled	<u>57</u>	
Total deductions		<u>109</u>
Number of members as of December 31, 1980		<u><u>2,277</u></u>

See accountant's report on supplementary data

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INTERNATIONAL SOUND TECHNICIANS
LOCAL 695, I.A.T.S.E. AND M.P.M.O.
(A CALIFORNIA NON-PROFIT CORPORATION)

BALANCE SHEET
DECEMBER 31, 1979 AND 1978

ASSETS

	<u>1979</u>	<u>1978</u>
<u>CURRENT ASSETS</u>		
Cash - Operating	\$ 12,331	\$ 62,182
Cash - Savings	227,341	427,041
Cash - Restricted (Note 1)	13,877	-
Accounts Receivable	6,257	6,103
Notes Receivable - Members (Note 2)	205,307	237,574
I.A.T.S.E. Per Capita Stamps (Note 3)	18,900	13,600
Interest Receivable	3,396	-
Prepaid Expense	1,502	1,075
Exchange	1,221	-
<u>TOTAL CURRENT ASSETS</u>	<u>\$ 490,132</u>	<u>\$ 747,575</u>
<u>BUILDING, FURNITURE AND EQUIPMENT</u>		
Furniture and Equipment (Net of Allowance for Depreciation and Amortization of \$8,755 and \$5,880 for 1979 and 1978 respectively) (Note 4)	20,332	18,176
Land (Note 5)	110,990	-
Building (Net of Allowance for Depreciation of \$2,838 for 1979) (Note 5)	201,487	-
<u>TOTAL BUILDING, FURNITURE AND EQUIPMENT</u>	<u>\$ 332,809</u>	<u>\$ 18,176</u>
<u>OTHER ASSETS</u>		
Deferred Charges	-	157
Securities Investments (Note 6)	166	166
Deposits (Note 7)	3,841	706
<u>TOTAL OTHER ASSETS</u>	<u>4,007</u>	<u>1,029</u>
<u>TOTAL ASSETS</u>	<u>\$ 826,948</u>	<u>\$ 766,780</u>

(See Accountant's Review Report and

LIABILITIES AND RETAINED EARNINGS

	<u>1979</u>	<u>1978</u>
<u>CURRENT LIABILITIES</u>		
Accrued Expenses (Note 10)	- \$ 14,791	\$ 33,322
Loan Payable	36,000	-
Deferred Income (Note 8)	<u>6,941</u>	<u>19,180</u>
<u>TOTAL CURRENT LIABILITIES</u>	57,732	52,502
<u>RESERVE FOR CONVENTION EXPENSES</u> (Note 1)	3,600	13,387
<u>RESERVE FOR LEGAL EXPENSES</u> (Note 1)	5,494	-
<u>RESERVE FOR WELFARE FUND</u> (Note 1)	10,277	92,292
<u>CONTINGENCY</u> (Note 12)		
<u>RETAINED EARNINGS</u> (Exhibit B)	<u>749,845</u>	<u>608,599</u>
<u>TOTAL LIABILITIES AND RETAINED EARNINGS</u>	\$ <u>826,948</u>	\$ <u>766,780</u>

Notes to Financial Statements)

INTERNATIONAL SOUND TECHNICIANS
LOCAL 695, I.A.T.S.E. AND M.P.M.O.
(A CALIFORNIA NON-PROFIT CORPORATION)
STATEMENT OF INCOME AND RETAINED EARNINGS
YEARS ENDED DECEMBER 31, 1979 AND 1978

	<u>1979</u>	<u>1978</u>
<u>OPERATING REVENUES</u>		
Membership Dues	\$ 251,294	\$ 225,619
Initiation Fees	258,004	338,716
Reclassification Fees	32,636	26,072
Reinstatement Fees	400	125
Withdrawal Fees	90	80
Fines	<u>4,115</u>	<u>3,125</u>
<u>TOTAL OPERATING REVENUES</u>	<u>\$ 546,539</u>	<u>\$ 593,737</u>
<u>OPERATING EXPENSES</u>		
Legal Fees	89,400	80,753
Life Insurance Premiums	25,882	28,320
Negotiation Expense	7,077	10,000
Per Capita Tax Stamps	58,914	49,547
Salaries - Business Representatives	130,248	100,688
Salaries - Office	78,882	75,622
Other Operating Expenses (Schedule B)	<u>152,737</u>	<u>106,797</u>
<u>TOTAL OPERATING EXPENSES</u>	<u>\$ 543,140</u>	<u>\$ 451,727</u>
<u>INCOME FROM OPERATIONS</u>	<u>\$ 3,399</u>	<u>\$ 142,010</u>
<u>OTHER INCOME</u>		
Dividend Income	\$ 23	\$ 43
Interest Income	39,509	28,681
Income from Building Owned (Note 5)	2,258	-
Income Due to Changes in Estimates of Reserve Accounts (Note 1)	105,669	-
Gain on Sale of Equipment	-	94
Miscellaneous Income	<u>388</u>	<u>-</u>
<u>TOTAL NON-OPERATING INCOME</u>	<u>\$ 147,847</u>	<u>\$ 28,818</u>
<u>NET INCOME BEFORE EXTRAORDINARY ITEM</u>	151,246	170,828
Extraordinary Expense - Legal Judgement (Note 9)	-	(19,000)
- U.S. District Court Fine (Note 10)	<u>(10,000)</u>	<u>-</u>
<u>NET INCOME</u>	<u>\$ 141,246</u>	<u>151,828</u>
<u>RETAINED EARNINGS</u> - Beginning of Year	<u>608,599</u>	<u>456,771</u>
<u>RETAINED EARNINGS</u> - End of Year	<u>\$ 749,845</u>	<u>\$ 608,599</u>

(See Accountant's Review Report and Notes to Financial Statements)

INTERNATIONAL SOUND TECHNICIANS
LOCAL 695, I.A.T.S.E. AND M.P.M.O.
(A CALIFORNIA NON-PROFIT CORPORATION)
STATEMENT OF CHANGES IN FINANCIAL POSITION
YEARS ENDED DECEMBER 31, 1979 AND 1978

	<u>1979</u>	<u>1978</u>
<u>FUNDS PROVIDED</u>		
Operations		
Net Income	\$ 141,246	\$ 151,828
Items Not Affecting Working Capital		
Depreciation	5,713	2,549
Gain on Sale of Equipment	-	(94)
Total Funds from Operations	\$ 146,959	\$ 154,283
Increase in Reserve for Convention, Welfare and Negotiation Funds	-	15,408
Sale of Office Furniture	-	352
Decrease in Deferred Charges	157	383
<u>TOTAL FUNDS PROVIDED</u>	<u>\$ 147,116</u>	<u>\$ 170,426</u>
<u>FUNDS APPLIED</u>		
Decrease in Reserve for Convention, Welfare and Legal Expenses	\$ 86,308	\$ -
Increase in Deposits	3,135	-
Purchase of Furniture and Equipment	5,031	17,400
Purchase of Land and Building	315,315	-
<u>TOTAL FUNDS APPLIED</u>	<u>\$ 409,789</u>	<u>\$ 17,400</u>
<u>INCREASE (DECREASE) IN WORKING CAPITAL</u>	<u>\$ (262,673)</u>	<u>\$ 153,026</u>
<u>SUMMARY OF CHANGES IN COMPONENTS OF WORKING CAPITAL</u>		
<u>CURRENT ASSETS - Increase (Decrease)</u>		
Cash	\$(235,674)	\$ 91,873
Accounts Receivable	154	3,226
Notes Receivable - Members	(32,267)	86,042
I.A.T.S.E. Per Capita Stamps	5,300	1,258
Interest Receivable	3,396	(757)
Prepaid Expenses	427	97
Other Current Assets	1,221	-
<u>INCREASE (DECREASE) IN CURRENT ASSETS</u>	<u>\$ (257,443)</u>	<u>\$ 181,739</u>
<u>CURRENT LIABILITIES - Increase (Decrease)</u>		
Accrued Expenses	\$ (18,531)	\$ 22,631
Payroll Taxes	-	(368)
Deferred Income	(12,239)	6,450
Loan Payable	36,000	-
<u>INCREASE IN CURRENT LIABILITIES</u>	<u>\$ 5,230</u>	<u>\$ 28,713</u>
<u>INCREASE (DECREASE) IN WORKING CAPITAL</u>	<u>\$ (262,673)</u>	<u>\$ 153,026</u>

(See Accountant's Review Report and Notes to Financial Statements)

INTERNATIONAL SOUND TECHNICIANS
LOCAL 695, I.A.T.S.E. AND M.P.M.O.
(A CALIFORNIA NON-PROFIT CORPORATION)
OTHER OPERATING EXPENSES
YEARS ENDED DECEMBER 31, 1979 AND 1978

	<u>1979</u>	<u>1978</u>
Advertising	\$ 729	\$ 900
Accounting Fees	1,350	2,700
Automobile Expense	3,303	2,816
Business Meetings	2,199	1,790
Business Representative's Expenses	2,600	2,250
Computer Billing	5,133	3,769
Convention Expense Provision	3,600	8,300
Copy Machine Expense	2,313	2,573
Depreciation and Amortization	3,431	2,549
- Donations	-	200
Dues Paid Other Organizations	2,392	2,117
Election Expenses	3,684	4,945
Food - Office	718	555
General Insurance	7,232	5,604
Health & Welfare Insurance	8,214	6,517
Interest Expense	- 4,679	-
Membership Meetings	2,074	1,546
Miscellaneous Expense	1,031	903
Office Supplies	2,823	4,514
Obligating Member Expense	3,603	-
Pension Fund Expense	9,702	6,980
Picketing Expenses	- 1,716	-
Postage	10,653	4,858
Printing and Stationery	6,801	3,723
Rent	16,232	9,315
Repairs and Maintenance	1,026	1,112
Secretarial Service Expense	6,844	-
Subscriptions	1,053	339
Taxes - Payroll and Personal	11,866	10,765
Telephone and Utilities	8,067	5,729
Travel Expense	5,964	3,310
Uncollectible Membership Dues	9,247	-
Vacation Expense	2,458	6,117
 <u>TOTAL OTHER OPERATING EXPENSES</u>	 <u>\$152,737</u>	 <u>\$106,797</u>

(See Accountant's Review Report and Notes to Financial Statements)

INTERNATIONAL SOUND TECHNIC
LOCAL 695, I.A.T.S.E. AND M.P.H.O.
(A CALIFORNIA NON-PROFIT CORPORATION)
CASH IN SAVINGS ACCOUNTS

	<u>December 31,</u>	
	<u>1979</u>	<u>1978</u>
Allstate Savings and Loan Association	\$ 3,238	\$ 40,0
American Savings and Loan Association	8,912	40,0
California Federal Savings & Loan Association	40,000	40,0
City National Bank	301	24,0
Downey Savings and Loan Association	5,459	40,9
Gibraltar Savings and Loan Association	44,270	40,5
Glendale Federal Savings & Loan Association	40,356	40,0
Great Western Savings & Loan Association	4,171	
Home Savings and Loan Association	-	40,
Lincoln Savings and Loan Association	40,554	40,
Pacific Federal Savings & Loan Association	40,080	40,
State Mutual Savings and Loan Association	-	40,
	<u> </u>	<u> </u>
<u>TOTAL CASH IN SAVINGS ACCOUNTS</u>	\$ <u>227,341</u>	\$ <u>427,0</u>

(See Accountant's Review Report)

PHILLIPS, ROBINSON, SAIN, SNYDER & ROSEN - CERTIFIED PUBLIC ACCOUNTANTS

INTERNATIONAL SOUND TECHNICIANS
LOCAL 695, I.A.T.S.E. AND M.P.M.O.
(A CALIFORNIA NON-PROFIT CORPORATION)
NOTES TO FINANCIAL STATEMENTS
YEARS ENDED DECEMBER 31, 1979 AND 1978

NOTE 1. Significant Accounting Policies

a. Income Taxes

The Local has been granted exemption from Federal and State of California taxes on income as a non-profit organization. Therefore, no provision for income taxes has been made in the financial statements.

b. Building, Furniture and Equipment

Building, furniture and equipment are stated at cost.

c. Reserve for Convention Expense, Legal Expenses, Negotiation Expense and Welfare Funds

The Local follows the practice of considering all liquidated damages collected and paid as charges to the Appropriated Funds. Therefore, the transactions have not been included in the Statements of Income and Expenses.

As of 1979 year end, the Board of Directors resolved that the balances in the Reserve Funds be adjusted to reflect more reasonable estimates. Consequently, the amounts of the Reserve Funds were reduced by a total of \$86,308. The Board of Directors further resolved that a certain cash account totalling \$13,877 be earmarked as restricted only for the purpose of such Reserve Funds.

APB 20 of the American Institute of Certified Public Accountants requires that such a change be reflected in the current period. As a result, Non-Operating Income was increased by \$105,669.

NOTE 2. Notes Receivable - Members

To help alleviate the initial financial burden of membership, the Union has accepted notes receivable from its new members for the payment of their membership fees. The notes are due within one year and bear interest at 4% per annum. As of December 31, 1979, the total Notes Receivable from the members was \$214,554. An allowance for Doubtful Accounts of \$9,247 was provided for receivables that were past due.

(See Accountant's Review Report)

INTERNATIONAL SOUND TECHNICIANS
LOCAL 695, I.A.T.S.E. AND M.P.M.O.
(A CALIFORNIA NON-PROFIT CORPORATION)
NOTES TO FINANCIAL STATEMENTS
YEARS ENDED DECEMBER 31, 1979 AND 1978
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NOTE 3. Per Capita Stamps

The I.A.T.S.E. per capita tax stamps are purchased from the International Affiliate for each member quarterly. The balance represents the cost of the stamps purchased for the year of 1979.

NOTE 4. Furniture, Equipment and Depreciation

Furniture and equipment are depreciated on a straight-line basis ranging over 5 to 10 years life. Depreciation expenses for fiscal 1979 and 1978 were \$3,431 and \$2,549 respectively.

NOTE 5. During 1979, the Local purchased commercial property in North Hollywood, California. The building is being depreciated on a straight-line basis over a 30 years life. Income derived from the property in 1979 was \$2,258 net of allowance for depreciation of \$2,838.

NOTE 6. Securities Investments

The Local has acquired from one to two shares of common stock of the Major Studio Companies. These shares are valued at cost.

NOTE 7. Lease Commitments and Security Deposits

In 1979, the Local entered into two 5-year lease agreements for office space. The monthly rentals are \$2,332 and \$1,125 respectively. The minimum rental commitment for the term of the leases are as follows:

1980 - \$ 20,998 (9 months)
1980 - \$ 11,250 (10 months)

The security deposits for the office space totalled \$3,841.

(See Accountant's Review Report)

INTERNATIONAL SOUND TECHNICIANS
LOCAL 695, I.A.T.S.E. AND M.P.M.O.
(A CALIFORNIA NON-PROFIT CORPORATION)
NOTES TO FINANCIAL STATEMENTS
YEARS ENDED DECEMBER 31, 1979 AND 1978
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NOTE 8. Deferred Income

The balance of \$6,941 represents initiation fees being held for prospective new members.

NOTE 9. Legal Judgement - Maureen Madery

On January 8, 1979 a settlement was reached between Local 695 and former Associate Business Representative, Maureen Madery. The latter had filed a lawsuit with the Department of Labor charging the Local with underpayment of salary on the basis of sex discrimination. Under the terms of the settlement, the litigation was dismissed with prejudice and Local 695 paid the sum of \$19,000 to the plaintiff.

NOTE 10. U. S. District Court Fine

As a result of a contempt action brought by the AMPTF against Local 695 and its Business Representative, [REDACTED] for refusing to comply with a court order that a certain 1976 Collective Bargaining Agreement be signed, Local 695 was ordered to pay a fine totalling \$10,000. This has been affirmed by the Court of Appeals for the Ninth Circuit.

NOTE 11. Pronouncements of the Board of Directors

- a. Local 695 currently represents over 1,600 members of the I.A.T.S.E. and M.P.M.O. In 1979, the Local has collected a total of \$24,420 for settlement of grievances on behalf of its members.
- b. On June 28, 1979, by virtue of the authority granted by the by-laws of the Local, the Board of Directors has approved a merger with Local 789 of the I.A.T.S.E. and M.P.M.O. to commence on January 1, 1980.

(See Accountant's Review Report)

INTERNATIONAL SOUND TECHNICIANS
LOCAL 695, I.A.T.S.E. AND M.P.M.O.
(A CALIFORNIA NON-PROFIT CORPORATION)
NOTES TO FINANCIAL STATEMENTS
YEARS ENDED DECEMBER 31, 1979 AND 1978
(PAGE FOUR)

b6
b7C

NOTE 12. Contingencies

Local 695 is a party defendant in the case of [REDACTED] vs. [REDACTED] et al., Los Angeles Superior Court No. C 157579, in which the plaintiff is suing for damages in the amount of \$1,000,000. Insurance coverage in the amount of \$300,000 is in effect which may offset all, or a portion of the liability, should this action result in an unfavorable decision. Legal counsel handling this matter advises that it is not possible to evaluate the outcome of this matter.

(See Accountant's Review Report)

Hollywood

Labor

Dispute

ETRI-EDDUN-THAYER-EST



A STUDY IN IMMORALITY

by

FATHER GEORGE H. DUNNE

*Hollywood
Labor Dispute*



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About the Author

Father George H. Dunne was born in St. Louis, Missouri, but moved to Los Angeles when he was about five years old. He entered the Jesuit Order in 1926. After his preliminary studies in classics, science, and philosophy he went to China where he spent some years in study and teaching.

Returning to the United States, he took a doctorate in political science at the University of Chicago. Later he taught in the Institute of Social Sciences at St. Louis University, and at Loyola University, Los Angeles. At present he is stationed at St. Francis Xavier Church, Phoenix, Arizona.

In 1946 while Father Dunne was at Loyola University he was requested by The Commonwealth, an official organ of the Catholic Church, to make an investigation and write an article on the labor troubles in Hollywood. He became so engrossed in the subject that he went far beyond the requirements of the one article.

"Hollywood Labor Dispute" is his report of the situation after nearly four years of study and investigation.

Authorities quoted:

"Nitto tax hearing": Stenographic minutes before the tax court of the United States at Chicago, Ill., Sept. 27 to Oct. 4, 1948, in the matter of Estate of Joseph Nitto, a minor, Annette Carvaretta Nitto, guardian vs. Commissioner of Internal Revenue. (Dockets nos. 8840, 8841, 8842.)

"Judge Kern's findings and opinion": the subsequent findings of fact and opinion of the U. S. Judge in 13 Tax Court no. 111, promulgated Nov. 30, 1949 of the Nitto petitions (Dockets nos. 8840, 8841, 8842.)

"Meacham findings for NLRB": Findings of Stewart Meacham, regional director 21st region, National Labor Relations Board, (case no. 21-RE-20 et al)

"Congressional investigation": Hearings before a subcommittee of the Hc Committee on Education and Labor, appointed to investigate jurisdictional disputes in the motion picture industry (80th congress)

Who Wanted War in Hollywood?

More than three years have passed since, in September, 1946, between nine and ten thousand men and women employed in the motion picture studios in Hollywood were forced off their jobs by the concerted action of the ten major producing companies. This labor dispute has never been settled. For many months after its inception it kept the motion picture capital of the world in a state of constant excitement. The exciting and sometimes tragic events of those days were front page news in newspapers across the country. They are now history: the weekly strike meetings in the American Legion stadium in Hollywood, the mass trials of hundreds of American working men and women, the charges and counter charges.

Repeated efforts were made by interested parties to bring about a just settlement of the dispute. In every instance these efforts failed. A Congressional investigation led to nothing except the publication of three volumes of testimony much of it irrelevant to the issues involved.

It would be impossible accurately to assay the toll in human suffering caused by this dispute. As Congressman Kearns stated in his Interim Report: "Many of the ten thousand employees of the motion picture industry can never recuperate from the financial losses they have sustained. Even worse is the irreparable injury to the morale of many efficient and innocent workers, who are the victims of this unfortunate jurisdictional dispute." (80th Congress, 2nd session, House of Representatives, Committee Report No. 13.)

Neither truth nor justice has ever been vindicated in this affair. They can be vindicated only by placing responsibility where it truly lies. In the testimony before the Congressional Committee which investigated the Hollywood labor strike, as in all other investigations conducted by neutral parties, a deliberate and successful effort was made to bury the real issue beneath a mass of irrelevancies, complex details, and technicalities. It is possible, however, by keeping one's eyes steadily fixed upon the main issue to cut through this glacial mass of verbiage and arrive at the solid truth.

The main responsibility for the Hollywood labor tragedy rests squarely upon the shoulders of the motion picture producers and of the leaders of the International Alliance of Theatrical Stage Employees who worked in close co-operation to exploit a situation which they knew would inevitably lead to the strike and/or lock-out

of September 1946, and who frustrated every effort later made to bring about a just settlement at the dispute.

Note: The situation in Hollywood is properly described as a lock-out rather than a strike. However, it makes little difference what one calls it. Facts rather than words are important. In order to avoid opening the door to an opportunity to divert attention from the main issue involved by throwing up a semantic smoke screen, the word strike instead of lock-out will here be employed.

If one is honestly seeking an answer to the question of which of two contending parties is responsible for a war, one logically proceeds by asking which of the parties desired to bring about a state of war and which of the parties, a state of war having been achieved, deliberately frustrates every effort to re-establish peace. It is generally recognized that the answer to the latter two questions provides the answer to the first question. This explains the zealous efforts made by the belligerent governments in World War I to establish their own innocence and the guilt of their adversary by seeking to prove through the publication of not always honestly compiled statements that he and not they had desired to bring about a state of war and had frustrated every attempt to re-establish peace. The same principle applies in the Hollywood labor dispute.

The evidence clearly shows on the one hand that the leadership of the Conference of Studio Unions did not anticipate the strike of September 1946 nor desire it. The evidence also shows that the same leadership was sympathetic to and ready to co-operate in every subsequent attempt to bring about a settlement even when such co-operation demanded major concessions. On the other hand, the evidence shows that the leadership of the International Alliance of Theatrical Stage Employees anticipated the conflict, desired the conflict and, aided and abetted by the producers, so manipulated the situation as to make the conflict inevitable. The evidence also establishes that every attempt that followed to bring about a just settlement by impartial and neutral arbitration was frustrated by the sometimes negative and sometimes fiercely hostile attitude of the same people.

Gangsterism makes partners of producers and IATSE bosses

It is impossible to understand the nature of the relationship between the major producers and the top leadership of the International Alliance of Theatrical Stage Employees without a brief excursion into history.

(Hereinafter the International Alliance of Theatrical Stage Employees will be referred to as IATSE.)

The story of this intimate relationship between the years 1934 and 1941 constitutes one of the most sordid chapters in the history of corrupt management-labor relations. It was during these years that the IATSE was directly controlled by some of the most repulsive vermin who have ever crawled out of the underworld. These were the leaders of the notorious crime syndicate who had inherited Al Capone's unspeakable kingdom when the federal government shipped him off to Alcatraz. These were the men who made secret deal after secret deal with the motion picture producers by which the working men and women whose skill, energy and devotion contributed so largely to the industry's success were repeatedly sold down the river by their employers and their union leaders.

Both the present leaders of the IATSE and the motion picture producers become very resentful when mention of this, the notorious Browne-Bioff era, is introduced into a discussion of the present Hollywood labor dispute. They pretend that this era is only past history and has nothing to do with the present. On the contrary, it has a great deal to do with the present.

1. The partnership between the producers and the leadership of the IATSE had its genesis in those years. The historical continuity of that partnership has continued down to the present day. It would be naive to suppose that the continuity was broken by the accidental fact that in 1941 the federal government caught up with Browne and Bioff and sent them together with some of the other gangsters to the penitentiary. That in fact it was not broken is confirmed by a simple review, hereinafter to follow, of the events in later years which show the same close co-operation which characterizes the dealings of 1941. Labor-management co-operation for worthy purposes is indeed a thing to be desired. But the co-operation of leaders in management with corrupt leaders of labor for the purpose of betraying the interests of the rank and file working man and woman is one of the most vicious practices conceivable.

2. The same men who were guilty of this vicious practice from 1934 to 1941, the same men who made the sordid deals with the crime syndicate during these years, still occupy key positions in the top executive branches of the motion picture industry and still determine its policies. It is naive to assume that the degeneracy which marked their dealings with the crime syndicate during those earlier years was swept away by a complete moral transformation occasioned again by the accident of Browne and Bioff going to jail. Sinners don't so easily become saints nor leopards so easily change their spots.

3. The men who hold the top positions in the IATSE today are for the most part men who held top positions in that same union when it was controlled by the crime syndicate. Most of those who sit on the general executive board today were members of the executive board when Browne and Bioff were running the IATSE. Richard Walsh, the present president of the union, was during the Browne-Bioff era a vice-president and a member of the executive board. This is the same man who at national conventions of the IATSE defended to the last the innocence, purity, and nobility of Willie Bioff, the convicted defender for houses of prostitution, with no less eloquence than he now defends his organization and leadership against every charge lodged against them. Those who are familiar with the record may be excused for demanding more substantial evidence than the affirmations or denials of Mr. Walsh.

It is difficult to believe that men of ordinary intelligence could sit on the executive board of the union during these years when its policies were being dictated by Frank Nitto, successor to Al Capone as head of the Chicago crime syndicate, and not have any idea of what was going on. Only two conclusions are possible. Either they were aware of what was going on under their noses, in which case they are tarred with the same brush of moral degeneracy; or they were unaware of what was going on under their noses, in which case their lack of even ordinary perspicacity proves them totally unfit to direct the affairs of a union upon which the security and livelihood of tens of thousands of working people depend.

2

The Government Charges . . .

What was the origin and purpose of the sordid relationship between the moguls of the motion picture industry and the unspeakably corrupt leaders of the IATSE during the Browne-Bioff era? Mr. William Schwerdtfeger, representing the government in the matter of the Estate of Joseph Nitto and Annette Caravetta Nitto vs. the Commissioner of Internal Revenue, in hearings held in Chicago in the fall of 1948, described this relationship succinctly and clearly.

Background of this case: Frank Nitto, deceased leader of the Chicago underworld, had never paid income taxes upon his share of the payments made by the motion picture producers to the notorious crime syndicate in return for services rendered. This suit and trial arose out of the government's efforts to collect those taxes from Nitto's estate. The decision rendered by Federal Judge John W. Kern was a complete victory for the government.

In his opening statement to the court, Mr. Schwerdtfeger thus described the origin and purpose of the partnership between the motion picture producers and the underworld:

" . . . beginning in about the end of 1932, an individual by the name of George E. Browne was the business manager of local no. 2 of this International Alliance, which probably for brevity's sake, I will call the IA or the IATSE.

"He became associated in his activities as business agent, and also in certain other activities which he was engaged in at the time, with a man by the name of William Bioff.

"Bioff and Browne became partners. They shared what income they received. They gradually began a plan of increasing their power and obtaining money from the motion picture industry.

Balaban & Katz hand \$20,000

to a couple of Chicago boys

"In the beginning, the amount of money which they received in this fashion was very limited, and, as a matter of fact, their first real success was in 1934, the early part of that year, in which they obtained \$20,000 from the Balaban & Katz corporation.

"That made them prosperous, and rather foolishly, in the light of later developments, they went to a night club here in Chicago, and they had quite an evening for themselves. The money they spent on that occasion—I understand they were buying expensive wines during prohibition—attracted the attention of the owner of this night club.

"The owner of the night club, it later developed, was a member of a crime syndicate here in Chicago. This crime syndicate, the government will establish, was headed by the decedent, Frank Nitto."

(Note: The crime syndicate here referred to is the infamous Al Capone organization. Frank Nitto, who later, in 1941, committed suicide rather than stand trial, had succeeded Capone as head of the syndicate. The night-club owner mentioned by Mr. Schwerdtfeger was the notorious Nick Circella, one of Nitto's "boys." To continue with Mr. Schwerdtfeger's statement to the U.S. tax court:

"Frank Nitto became very interested in the activities of Browne and Bioff and he undertook, along with several of his associates, to bring Browne and Bioff into this organization and form what the government contends was a partnership.

"The partnership profits, according to their understandings, in the beginning of 1934 and 1935 was to be on a 50-50 basis. By that I mean 50 per cent of the monies which were obtained by Browne and Bioff were to go to the syndicate, the group of individuals head-

ed by the decedent, Frank Nitto. The other 50 per cent was to be retained by Browne and Bioff.

"As time went on Frank Nitto decided that his share should be larger and he, after calling Browne and Bioff and certain of his associates into conference, decided that the percentage of distribution should be two-thirds to himself and his immediate associates, and one-third to Browne and Bioff . . .

"The money which Browne and Bioff received in the first instance was comparatively small. Browne, as I previously stated, was at that time nothing more than the head of a comparatively small local union here in Chicago.

"With the help and co-operation of Frank Nitto and several of his associates, plans were developed in a house at Riverside, Illinois, whereby with the assistance of other individuals in various cities throughout the United States were to bring compulsion upon the delegates to the 1934 convention of the International Alliance of Theatrical Stage Employees.

"By virtue of the compulsion and effort and work of these individuals—Buchalter was one in New York; "Lucky" Luciano was one in New York; and a man by the name of "Longies" Zwillman in Newark, New Jersey; and various other persons throughout the United States were urged to use their influence to see to it that the delegates at the 1934 convention would vote for George E. Browne as president of the International Alliance.

The boys are made IATSE bosses —and then how the money rolled in!

"The efforts of Frank Nitto and his associates were successful, and George Browne was elected at that convention without opposition.

"William Bioff was selected immediately by George Browne as his personal representative, as the personal representative of the International Alliance.

"It was then, your Honor, that the large amount of money began to come in. A systematic system was developed whereby Browne and Bioff were to contact the moving picture executives throughout the country.

"I will not attempt at this time to go into each particular transaction, but the heads of the major motion picture producing companies throughout the United States were contacted, and their co-operation and aid was elicited in a plan to obtain money in return

for which Browne and Bioff were to see to it that the wages of the various locals were to be kept at a comparatively low level and the whole industry was to be kept on a stable and reasonable plan of operation that would be profitable and advantageous to the moving picture executives and the heads of the various major corporations in the moving picture industry.*

"The amounts of money that were gathered ran well over a million dollars, and were all, as the evidence will disclose, divided between Browne and Bioff and between Frank Nitto and his immediate associates, first in a proportion of 50-50, as I explained, and after 1935 in the proportion of two-thirds to Nitto and his associates and one-third to Browne and Bioff . . ."

The foregoing is reported pages 15 to 20 in the stenographic minutes of the Nitto Tax Hearing. The words which I have boldfaced above define accurately the nature and purpose of the sordid association between the heads of the motion picture industry and as choice a collection of "assorted murderers, extortionists, bootleggers, dope smugglers, and pimps" as has ever been spawned by the American underworld. (The quoted description is from Newsweek of Nov. 21, 1949, page 20).

Judge finds employers bought the union

Ever since the light of public exposure revealed the scandalous nature of this association, the motion picture producers have attempted to exculpate themselves by posing as innocent and unwilling victims of an extortion racket. Federal Judge Kern authoritatively disposed of this conceit in his decision in the above tax case promulgated on November 30, 1949 (recorded in 13 Tax Court N 111):

"Moreover, we discern from the records that the payers knowingly and willingly paid over the funds sought to be here taxed, and in a sense lent encouragement, and participated with full knowledge of the facts in the activities of Browne and the Nitto group."

Judge Kern thus refuted the argument of lawyers for the Nitto estate that the funds paid by the producers to the Nitto gang represented the fruits of extortion rather than bribery and as such were not taxable under an established rule of law. The judge found that the funds paid were, as Mr. Schwerdtfeger, fully supported by the evidence, had argued "for services rendered." The judge accurately defined the nature of those "services rendered." In return for

(*Boldface added.)

substantial sums of money paid to the crime syndicate the producers "sought to insure that the number of employees would not be increased, that wages paid employees would not be increased, and that strikes of the employees would not be called." (Judge Kern's findings and opinion).

In other words, the "services rendered" consisted in betraying the interests of tens of thousands of honest working men and women employed by the motion picture producers.

3

What the T-Men Discovered

The very first important deal made by Browne and Bioff after they formed their iniquitous partnership was with Barney Balaban. Balaban was at that time head of the Balaban & Katz theater circuit in Chicago. **Today Balaban is also the head of Paramount Pictures, Inc. and the big boss of the Paramount Studios in Hollywood.**

The facts are established by the testimony of both Browne and Bioff. (Nitto Tax Hearings page 42 and following, page 222 and following).

The time was early in 1934. Some time previously the stagehands in Balaban's theaters had temporarily accepted a 25 per cent reduction in wages upon condition that the former wage scale would be re-established at a specific date. The date came and went. Balaban failed to restore the former wage scale. Browne called his attention to this fact.

Balaban offered to pay Browne \$150 a week if he would forget about the agreement to restore the former scale of wages. Mention was made of Tommy Malloy, business agent of Local 110, the theater projectionists' union, by Balaban. "He said," Browne testified, "that he was taking care of him in a like manner and he didn't know why I couldn't go along the same way."

Browne reported Balaban's offer to Willie Bioff, the convicted panderer who was his partner in crime. Bioff, appraising more realistically the value of the services which Balaban wanted to buy, replied: "I don't think that is anywhere near enough money for what he wants. Let me talk to him." (Nitto Tax Hearings page 223).

Bioff demanded of Balaban that he raise his bribe from \$150 a week to a flat \$50,000 in return for selling the stagehands down the

river, or, as Bioff on the witness stand ungrammatically and euphemistically put it, "in return for leaving the conditions of his business the way it was." (Nitto Tax Hearings page 45) This was a stiff price from Balaban's point of view. Actually it was a bargaining price.

A few days later Browne and Bioff met with Leo Spitz, Balaban's attorney, and after a bit of haggling, a mutually satisfactory price of \$20,000 was agreed upon. (Nitto Tax Hearings page 224). Shortly thereafter Bioff and Browne received their \$20,000.

It was to celebrate their prosperous deal with Balaban that the two hoodlums made the indiscreet visit, mentioned above, to Nick Circella's night club where their display of unaccustomed affluence duly reported by Circella to his boss, Frank Nitto, aroused the interest of the head of the crime syndicate with the consequences noted by Mr. Schwerdtfeger.

This was the first substantial deal made by Bioff and Browne and it was the small scale model for all the subsequent deals with the major producers which enriched the Nitto (ex-Capone) mob at the expense of the rank and file members of the IATSE. It is therefore important to examine it closely.

When the light of exposure finally fell in 1941 upon their notorious dealings with the Capone-Nitto mob, the producers wrapped themselves in a mantle of injured innocence by pretending that they were the victims of extortion and that the weapon which forced them to "pay up" was the threat of a motion picture projectionists' strike which would have closed all the theaters in the country. Only two comments are necessary:

1. In the first deal which set Bioff and Browne up in business and which set the pattern for their later and more enlarged operations the first proposition, according to Browne, was made by Balaban. He offered to "help to carry the soup kitchen" maintained for jobless IATSE stagehands, Bioff testified.

2. In this same deal no mention was made of a projectionists' strike. Browne could not have made such a threat had it even occurred to him. He had not yet been elected president of the IATSE. At the time he was only the business manager of Local 2, the stagehands local. He had no control and no authority over Local 110 which was the projectionists' local, then headed by Tommy Malloy with whom Balaban was already "insured" for \$150 a week.

The crime ring's caucus to elect an IATSE president

Frank Nitto, head of the Capone mob, informed by his henchman Nick Circella, alias Nick Dean, of the sudden prosperity of Bioff and Browne, was not slow to perceive the lucrative possibilities of their racket. Within a few days some of his "boys," Charlie Gioe, Ralph Pierce, and Frank Rio, had a talk with the two partners. They informed them that the mob wanted 50 per cent of their take. Neither Browne nor Bioff had to be told that in the event of refusal they would both turn up cold dead. So the mob was in. Henceforth Nitto directed the operations. A short time later he informed his two stooges that he was increasing the syndicate's cut of all future deals to 66 $\frac{2}{3}$ per cent, leaving 33 $\frac{1}{3}$ per cent for them to divide between themselves. This arrangement continued until 1941.

In order to set the stage for enlarged operations it was necessary to make Browne, front man for the organization, president of the international union. Plans were formulated at a series of meetings presided over by Frank Nitto. The names of those who figured in these meetings read like a roster of the most malodorous characters in the annals of the underworld: Frank Nitto, Frank Rio, Paul De Lucca, Nick Circella, Louis Compagna, Harry Hochstein, Louis "Lepke" Buchalter, Louis Romano, Frank Diamond, Joe Batters, Bob McCullough, "Longies" Zwillman, "Lucky" Luciano. These are the characters who arranged to have Browne "elected" president of the IATSE and who directed the affairs of that union from 1934 until 1941.

How was the election controlled? Rather simply. If the Chicago, New York and New Jersey delegations to the international convention scheduled to be held in Louisville in June, 1934, could be delivered to Browne his "election" was assured. Chicago was in the bag. As for the other two, says Willie Bioff: "**'Lucky' Luciano in New York would handle the New York delegation for us. 'Longies' Zwillman would handle New Jersey.**" (Nitto Tax Hearing, page 58).

There can be few people in America who are unfamiliar with the name of the unspeakable "Lucky" Luciano, king of the white-slavers, ruler of the slimiest rackets of the New York underworld.

"Longie" Zwillman was the New Jersey racket czar.

It was Louis "Lepke" Buchalter who reported to Nitto at one of these meetings that the New Jersey and New York delegations had been taken care of. He told Nitto that it would not be necessary for him to call "Lucky" Luciano. "When you are talking to me it is the

same as talking to 'Lucky' and that will be all that is necessary." (Nitto Tax Hearing, Page 240). It is scarcely necessary to remind the readers that Louis "Lepke" Buchalter was the boss of Brooklyn's loathsome "Murder, Inc." and that he has since ended his days in the electric chair at Sing Sing.

So things were arranged. Nitto himself and Buchalter attended the Louisville convention together with some of their "boys," including Louis Romano, Frank Diamond, Bob McCullough, Nick Circella, Joe Batters to see that the vote was delivered to Browne as ordered. It was.

An interesting detail in Bioff's testimony reveals how little Nitto ever left to chance. In 1936 the IATSE held its convention in Kansas City. Nitto, of course, was there to see that everything went the way he desired. He checked with Bioff once the convention got under way. "He wanted to know," says Bioff, "how things were going, if there was anything we needed there in the way of help, such as having some of the boys of Kansas City around handy . . . and something about the friendly police they could arrange with . . . (through) a fellow by the name of Al Paliese, I think, who was associated with the mob in Kansas City." (Nitto tax hearing, page 122) And today's president of the IATSE, then a vice-president, would have the public believe that neither he nor his colleagues on the top Executive Board had any notion that Nitto and his hoodlum pals had any connection with the IATSE!

Browne's first act as president of the IATSE was to appoint Willie Bioff as his "personal representative." This now was the set-up: Browne was the front man for the Nitto ex-Capone mob. (According to Bioff's testimony, Nitto and his gang in one of the discussions prior to Browne's "election" had remarked that "they were very happy to be associated with Browne, because of his background being very clean, that he made a good front for them." (Nitto Tax Hearing, page 59). Obviously cleanliness is a relative term.

Bioff, though ostensibly Browne's personal representative, was the dominant partner. Both of them took orders from Nitto, head of the crime syndicate, and through Nick Circella dutifully turned over to the syndicate 66 $\frac{2}{3}$ per cent of their filthy loot.

Bioff and Browne sweep out for Nitto

The next move was to bring Local 110, the Chicago projectionists' local, under the direct control of Browne. In February 1935,

Tommy Malloy, business agent of Local 110, was cut down by machine-gun bullets in front of his home. Immediately thereafter Nick Circella, Nitto's transmission agent, gave orders to Bioff and Browne "to take over the local." (Nitto Tax Hearing, page 76 and following).

It requires something less than the ingenuity of a Sherlock Holmes to find the answer to this "mysterious" murder, the solution of which, nevertheless, proved too much for the combined intelligence of the Chicago police force.

With Malloy out of the way, Browne installed his own man, a vice-president of the International, as head of the local and removed all the other officers. Browne's abject testimony on this point reveals in shocking detail how completely the mob had taken over control of the union:

BROWNE:

"Circella said to me, 'My people feel that you should remove the officers and just leave the vice-president in charge by himself. They don't want these officers there.'

"I said, 'Well, I know these people all my life,' I was reluctant to do it. I said, 'I know these fellows all my life and I don't see any point to just going down and removing them from office with no cause whatever.'

"He said, 'Well, that is what my people want.'

"I hesitated about it. I didn't do it and he spoke to me again a day or so later and he said, 'When are you going to do that.'

"I tried to talk my way out of it and he was adamant but I still didn't do it and he came back a third time. Bioff was present in the office and he said, 'Look, go over and take those fellows out of office, and Willie, you go with him, and see that he does it.'

"I said, 'Well if that is it, that it is.'

Q. "Did you obtain the resignations of the officers?"

A. "Yes." (Nitto Tax Hearing, page 250).

I do not intend to describe the many deals nor the detailed history of the close association which characterized the relationship between the major motion picture producers and the vicious hoodlums who controlled the IATSE in the years that followed. It is enough to describe a few of the deals. They are typical of all the others and they fully establish the accuracy of Mr. Schwerdtfeger's definition of the nature and purpose of that sordid association.

This time Balaban & Katz
pay more . . .

1. The stage was now set for the first major deal. Formerly the projection booths in Chicago theaters had been manned by two men. Malloy, the former business agent of Local 110, had agreed ("for a consideration," according to Browne) to reduce the manpower in each booth to one man. Bioff now informed the heads of the leading theater circuits in Chicago that they would have to restore the former status of two men in each booth. This would have greatly increased job opportunities for the members of the union. (Nitto Tax Hearings, page 83). It would also have cost the exhibitors a lot of money.

Bioff held several meetings with John Balaban, a brother of Barney Balaban, and with James Costan, manager of Warner Brothers' Chicago theaters. A deal was made.

"The arrangements were," testifies Bioff, "that they were to pay us \$100,000 if we left things as they were . . . Balaban & Katz paid \$60,000; Warner Brothers paid \$30,000, I think; and S & S Circuit, or Essanay Circuit paid \$10,000 . . . I got my 25 per cent; George got his; and we give Circella the balance to deliver to the outfit, so to speak." (Nitto Tax Hearings, page 84, etc.; Browne's confirmation, page 259, etc.)

The "outfit" was, of course, the Nitto mob. It was immediately after this deal that Nitto, in a meeting at the Bismarck hotel in Chicago, notified Bioff and Browne that in the future the mob was to get two-thirds of any money received, leaving one-third to Bioff and Browne. (Nitto Tax Hearing, page 88).

Nick Schenck takes out strike
insurance on underpaid projectionists

2. The next major deal involved the theater circuits in New York. In order to understand how the motion picture moguls were able to betray their employees by conspiring with the underworld it is necessary first to understand the constitutional arrangements of the IATSE. A local IATSE union cannot call a strike without the authorization of the International president of the union. Let Browne himself explain it:

"Well the mechanics of the thing were this; that a local union would first take a vote, find out themselves if they wanted to go on strike. They had to get, I think, 75 per cent of the membership to vote in favor of the strike. Then they had to appeal to the president" (i.e., the president of the International, not the local) "for permission to strike. The president could issue permission or could refuse, as he saw fit." (Nitto tax hearing page 265)

All the employers had to do in order to handcuff their employees was to bribe Browne to withhold strike authorization.

In the fall of 1935 a wage dispute arose in New York between IATSE Local 306, the projectionists' union, and the theater exhibitors. In the worst days of the depression the projectionists had accepted a reduction in wages. They felt that with the healthier state of the economy the position of the industry had improved to a point where it was no longer justified in maintaining the sub-standard wage level which had been accepted as an emergency measure. In the words of Browne: "They were demanding their former wages back, and of course, if they didn't get them, they were threatening to strike."

Bioff and Browne went to New York. According to Browne, he talked with a Maj. Leslie Thompson and Mr. Nicholas Schenck. Nick Schenck was, and is, the president of Loew's and is generally admitted to be the most powerful man in the motion picture industry. Says Browne:

" . . . it was agreed between us that there was to be some money paid, and I would refuse this local union the right to strike. I had that power as president."

Browne reported to Bioff, who then worked out the financial details with one Charley Moscovitz, the directing manager of Loew's in New York. An agreement was reached. RKO and Loew's paid Bioff and Browne \$150,000 "in return for denying these fellows the right to strike should they demand it, so that their wages would remain the same, that they couldn't obtain their former wages that they had." (Nitto Tax Hearing, page 264, etc.; Browne's testimony; Bioff's version giving other details, page 106, etc.)

One-sixth of the \$150,000 went into Bioff's pocket, one-sixth into Browne's. The other two-thirds went into the collars of Nitto's crime syndicate. The projectionists in Mr. Schenck's employ continued to work for sub-standard wages.

Film companies buy up studio workers' bargaining rights for \$200,000 a year

3. In April 1936, the major producers met in New York with the heads of the international unions which were a party to the so-called basic agreement of 1926 which was supposed to regulate labor relations in the industry. The purpose of the meeting was to discuss an adjustment of wages and working conditions as provided in the agreement.

Had this been a meeting between honest business men and

honest labor leaders, what would have resulted would have been a session of collective bargaining resulting in substantial wage increases for tens of thousands of working men and women employed in the motion picture studios. Let the record reveal the sordid story of how the interests of these working people, most of whom were employed in the studios in Hollywood, were betrayed by as shameful a conspiracy as any recorded in the annals of American business.

Representing the IATSE at the meeting were George Browne, Willie Bioff, and Nick Circella alias Nick Dean. As an opening move, Bioff informed Nick Schenck that he would demand \$2,000,000 of the industry. Schenck of course balked. Bioff told him he had to get together with the other producers.

Leo Spitz then approached Bioff. Spitz was the attorney who had handled the financial details of the initial \$20,000 deal made by Barney Balaban with Bioff and Browne two years before. According to Bioff he had graduated to the presidency of RKO. (Today he is president of Universal-International.)

Spitz and Bioff had several discussions, Spitz acting as liaison man between Bioff and Schenck. He then brought Schenck and Bioff together for further discussion. A deal was made. Bioff has revealed its terms:

- Q. What arrangements were made between you and these motion picture executives whom you have mentioned?
- A. Well, we were to receive \$50,000 a year from each major studio, and \$25,000 a year from the smaller studios.
- Q. By the "major studios" what companies do you mean, Mr. Bioff?
- A. Well, such companies as 20th Century-Fox; Metro-Goldwyn-Mayer; Paramount company; and Warner Brothers.
- Q. And who were the minor companies?
- A. Columbia and RKO.

A few more shameful details from the record (Nitto Tax Hearings, page 115, etc.) complete the picture:

- Q. . . . Now, did you convey this understanding and agreement to the other heads?
- A. No, sir, I did not do that. It was done by Mr. Schenck.
- Q. All right. Now, the day following the conclusion—or directing your attention to the day following the conclusion of the basic agreement meeting, did you receive a visit from Nicholas Schenck?
- A. Yes, sir.
- Q. Do you recall where that was and what happened, Mr. Bioff?
- A. I think it was at the Warwick Hotel in New York.
- Q. What happened?
- A. Well, he brought \$50,000 with him.

- Q. Nicholas Schenck visited you at the Warwick Hotel and brought \$50,000, is that correct?
- A. That is right.
- Q. Was there any one who came along with Nicholas Schenck at that time?
- A. Well, Sidney Kent.
- Q. What did Sidney Kent bring with him, if anything, Mr. Bioff?
- A. I think it was \$25,000, if I am not mistaken.
- Q. Did they give that money to you?
- A. Well, it could have been to me or Browne. We were both there. I just don't recall.
- Q. You and Browne were in the same room, is that correct?
- A. That is right.
- Q. And these two gentlemen left \$75,000 in the room, is that correct?
- A. That is correct.
- Q. Was that in cash?
- A. Yes, sir.
- Q. And what did you do with this cash?
- A. Well, Browne and I split \$25,000 between us, and we gave \$50,000 to Circella.
- Q. Now what about the \$50,000 that was to come from Paramount? Do you recall how that was to be paid, or how it was paid?
- A. It was in smaller amounts. I think the first payment I received there was from Mr. Austin Keough, at the Warwick Hotel, he delivered \$10,000 or \$20,000. Now, I just forget which it was. It could have been \$20,000.
- Q. All right. Were there any other payments made, if you know?
- A. Well, I don't recall whether right there at that time we collected from Warner Brothers but we eventually got all of the money.
- Q. The entire \$200,000 from the four major companies that you mentioned was paid, is that correct?
- A. That is right.
- Q. What was done with that money, as far as you know, Mr. Bioff?
- A. Well, Browne and I got one-third and Circella got the balance with the exception of some money that I delivered to Paul and Compagno at different times.

According to Bioff, the amounts due from the smaller companies were not paid. It is not recorded that they suffered reprisals for their failure to come through.

There is the wretched story. In return for an annual fee of \$200,000 paid by the heads of the major producing companies in the motion picture industry to a foul collection of "murderers, extortionists, bootleggers, dope smugglers, and pimps" the heads of the major producing companies in the motion picture industry bought themselves immunity from bothersome wage demands by the honest working men and women whose toil, energy, and skill were largely responsible for the undeserved prosperity the industry enjoyed.

Schenck's labor expert kept a member of the mob on his staff

Did Nick Schenck and his cohorts know that in buying immunity from Bioff and Browne they were dealing with Nitto's Capone

mob? There is no doubt about it. Nitto did not trust Bioff or Browne out of sight. He had made it clear to both men that whenever deals were made or money passed between themselves and the movie moguls Nick Circella was to be present "to protect the interests of the outfit," as Bioff puts it.

Browne and Bioff made the mistake once of talking to Pat Casey, Nick Schenck's personal agent in charge of labor relations for the entire motion picture industry, in the absence of Circella. Since, as Bioff explains it, "the meeting we were having with Pat Casey was not going to touch on money or anything . . . we thought it would be O.K. to go by ourselves."

They were quickly disillusioned. When Circella learned of their meeting (Browne and Bioff had left him asleep in the hotel room shared by the three men), "he raised a lot of Cain about it," says Bioff, "and pointed a finger at me and said: 'I will show you. I will find out what the discussion was at that meeting.'" According to Bioff, Circella then introduced him to one John Rosselli and told him "that he was their man and was working for Pat Casey." (Nitto Tax Hearings, page 73).

Thereafter Bioff and Browne were more careful about obeying Nitto's orders "to see to it that Circella was with us on every occasion when we talked to any employer in the industry."

Jersey mob gets its cut

4. In the latter part of 1936 the projectionists' union in Jersey City, an IATSE local, was making certain wage demands on Warner Brothers. Louis Kaufman, business agent of the local, asked Bioff for authorization from IATSE President Browne to strike enforce their demands. Bioff contacted one Frank Phelps, whom he describes as Warner Brothers' labor contract man. He then saw Kaufman again who he knew "well enough through his contact with Buchalter." (Nitto Tax Hearings, page 128).

BIOFF: I said, "Now, if I can straighten you out and get you a little money here, will that be O.K.?" Well, he had a big smile on his face and he said that was O.K.

- Q. All right. Now, following this conversation with Phelps and with Kaufman, did you have some conversation with Frank Nitto relative to Kaufman?
- A. Yes, sir.
- Q. Was that in New York or was that back here in Chicago?
- A. That was in Chicago here.
- Q. What was the nature of the conversation between you and Nitto?
- A. Well, I discovered that Kaufman was going to get \$50,000 out of that, and I told Mr. Phelps to hold off on that for a minute until I let him know

whether I would take it or whether he would turn it over to Kaufman. I took it up with Nitto and Nitto mentioned to me that we had better pass that up, that Zwillman* was connected with Kaufman, and that was theirs . . . He instructed me to leave that alone and leave Kaufman handle that, because Zwillman was in on it and for us not to take any part of that \$50,000.

Once again rank and file workers were betrayed by a deal between their employers—this time Warner Brothers—and the cheap hoodlums who had seized control of the union. It is ironical to observe that the Warner Brothers during these same years were carefully cultivating a reputation for producing "socially conscious" films.

Producers had no complaint about paying thugs to save wages

The four "incidents" described above are sufficient to fix the nature of the relationship between the crime syndicate and the major producers. From 1934 until 1941, when the long arm of the federal authorities caught up with them, they worked smoothly together. It was strictly a *quid pro quo* relationship. The producers got value received for the huge sums of money they paid the mob.

The producers pretend that they were unwilling partners in the nefarious scheme. No doubt they did not like paying hundreds of thousands of dollars to their criminal partners. But the point is they preferred to pay these thugs rather than pay their employees higher wages. It was cheaper.

There was never a moment during these years when the producers, had they desired, could not have put an end to the racket and helped purge the IATSE of the criminal element that had fastened itself upon a once honest union. Federal Judge John W. Kern, in his decision in the Nitto estate tax case, takes judicial cognizance of this obvious fact:

"The monies were exacted from the various payees with full knowledge on their part as to Browne's and Bioff's activities and assurances; and no effort was made to secure the assistance of law enforcement authorities in seeking recovery thereof or in a termination of the demands."

With the numerous meetings and the frequent passing of money in huge quantities it would have been the simplest matter in the world to trap Bioff, Browne and Circella and through them the whole criminal mob in Chicago, New Jersey and New York. Federal agents would have been more than happy to set the trap. The producers

*"Longies" Zwillman, New Jersey racket czar.

did not tip off law enforcement authorities to what was going on because patently they preferred to co-operate with gangsters rather than face the necessity of engaging in sincere collective bargaining with honest democratic trade unions.

In the entire annals of the history of American business it would be difficult to find a more shamefully callous and immoral betrayal of working people by their employers. It is easy to understand why those familiar with the record were cynically amused, when they were not fiercely angry, at the spectacle of a group of these same producers calling upon the District Attorney of Los Angeles County in 1946 to register their civic concern over what they had the audacity to term the threat to public "morals" represented by the pickets which had been thrown up outside the studios.

It is also easy to understand why those who know the character of these men, as revealed by their behavior, are deeply concerned to observe the flattering respect with which they are treated by and the influence which they are permitted to exert upon community leaders.

4

'Let There Be No Light,' Says Congress

One of the more sickening aspects of the so-called Congressional investigation of the Hollywood labor dispute was the tender regard displayed by Congressman Kearns, chairman of the investigating committee, for the sensibilities of the motion picture producers. He was visibly pained by any remark, however indirect, that called into question their sincerity, integrity, and good faith. He seemed to regard these men who for years connived with the filthiest elements of the underworld as paragons of virtue.

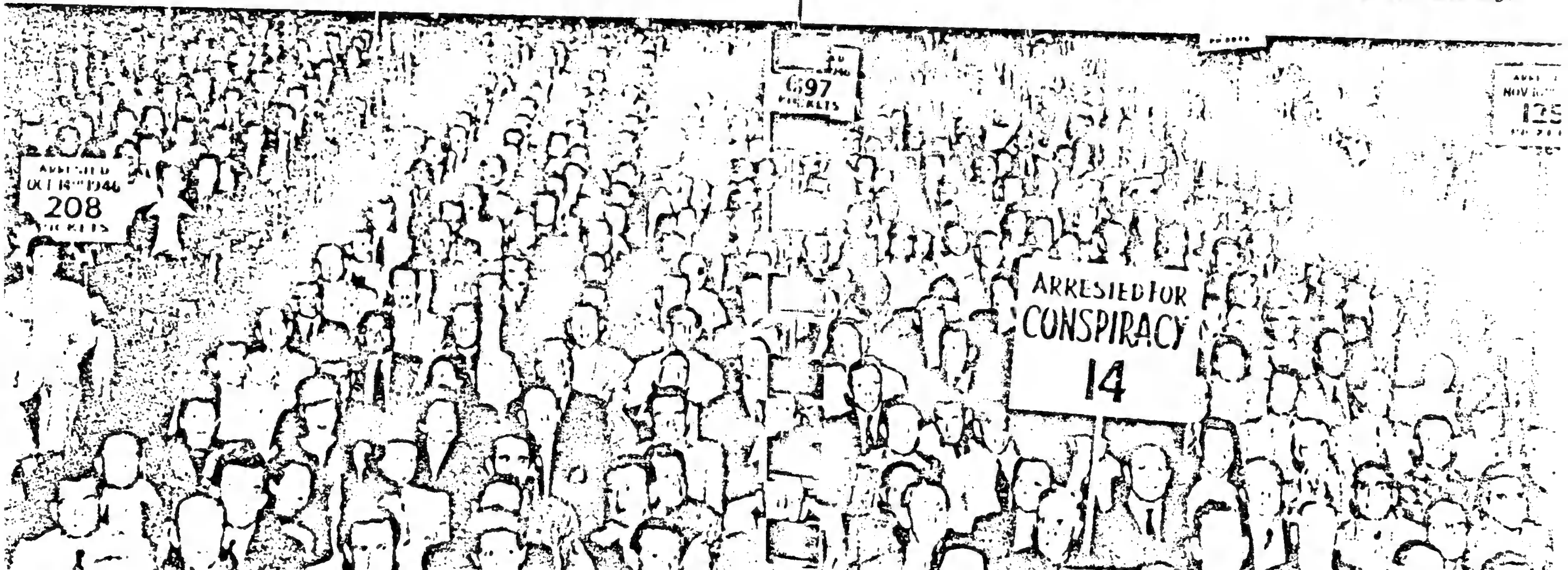
Particularly notable was his treatment of Nick Schenck. It is common knowledge that Schenck is the king-pin of the motion picture industry. Where policy is concerned it is Schenck who calls the tune. It was Schenck who made the deal with Bioff in behalf of all the major companies that set the pattern for producer relationship with the crime syndicate. It was Pat Casey who, though on Schenck's payroll, directed the labor relations for all the major producing companies for 25 years.

Although on other matters there was scarcely any limit to the amount of hearsay and opinion that was admitted into the written



Locked-out veterans parade before MGM studios (above)

(Below) Pickets waiting mass trials hold meeting with attorneys



ADMITTED
OCT 14 1946
208
TICKETS

697
PICKETS

ARRESTED FOR
CONSPIRACY
14

ADMITTED
NOV 14 1946
125
TICKETS

record, Kearns evidenced a curious delicacy about admitting any statements about Schenck's role in the industry.

Anyone who really desired to get to the bottom of the dispute in the industry would have subpoenaed Schenck and subjected him to an intensive grilling. He was the key witness. Kearns knew this. He had been told by those in a position to know. He summoned what seemed at times an almost interminable list of lesser fry to the stand. Many of them were forced to travel all the way across the country and to sacrifice weeks of their time. Schenck never appeared at the hearings either in Los Angeles or in Washington. He never took the stand. No subpoena was issued for him.

"No comment" says Congressman who recorded 2400 pages of immorality

After listening to months of testimony, which fills more than 2400 printed pages, the most that Congressman Kearns could bring himself to say of the demonstrably despicable behavior of the film producers was that "the industry over the years may have dealt well or poorly with its employees. That is a matter of record." (80th Congress, 2d Session, Committee Report No. 13, p. 17).

A mere fraction of that record has been summarized above. It reveals one of the most reprehensible episodes in the history of American business. It is a story of an utterly corrupt, immoral, callous, cynical betrayal of employees by their employers allied over the years with the foul overlords of the underworld.

5

What Led to the 1945 Strike in Hollywood

It was against this background of corruption and chicanery that a new figure rose prominently into view in Hollywood in 1937. In that year the AFL painters union, not an affiliate of the IATSE, went on strike. Against the combined opposition of Willie Bioff and the producers, the painters won their strike. The qualities of leadership displayed by Herb Sorrell, a picket captain during the strike, resulted in his election as head of the Hollywood local of the AFL brotherhood of painters. He was destined soon to become a force to be reckoned with.

Sorrell was a rare phenomenon in the moral miasma of Holly-

wood management-labor relations: a man of entire honesty and unimpeachable integrity. He is not a saint, least of all a plaster saint. He has given, as well as taken, many a blow in the battles in which he has engaged. He likes to describe himself as "just a dumb painter." It is a poor description. There is nothing dumb about him. His powerful and rough-hewn exterior and his direct and homely manner of speech, actually a rare kind of eloquence, cannot conceal a first-rate and sometimes powerful intelligence. To watch Sorrell thinking something through is a fascinating spectacle. It is like watching the powerful gears of a bulldozer slowly meshing, laboriously gathering strength, painfully struggling with the obstacles, and relentlessly thrusting forward to the goal.

A "dumb painter" defies the IATSE-producer combine

It was not so much Sorrell's intelligence, however, as his moral qualities which made him a beacon of hope to thousands of working people in Hollywood: his reckless physical and moral courage, his complete devotion to the interests of his people, his personal integrity, his forthrightness, his loyalty, his loathing for the kind of moral corruption and racketeering symbolized by the racket-ridden IATSE.

Members of the small, unorganized and therefore highly exploited groups in the industry such as, for example, the cartoonists, turned to Sorrell for help. The IATSE had not been interested in them because they were not numerous enough to be important to the Nitto-Capone mob. Their efforts to organize independently had been smashed by the brutality of the producers. A cartoonist who talked union organization soon found himself out of a job.

Sorrell threw the powerful weight of his painters' local behind these people and helped them organize.

AFL studio unions set up a conference to defend bargaining rights

Soon there emerged in Hollywood a new group of affiliated trade unions known as the Conference of Studio Unions. It was composed of the painters' local and other non-IATSE unions which sought greater strength in solidarity. Each union maintained its own autonomy and identity but united in an overall organization to defend and promote the common interests of all. Sorrell, while continuing to serve as business agent of the painters local, was elected president of the Conference. Each member union delegated representatives to serve on the Conference executive committee.

The Conference became a symbol in Hollywood of democratic and honest trade unionism. By 1945 some nine unions, including the powerful carpenters local and representing nine or ten thousand workers in the industry, had joined the Conference.

It is unlikely that, in the first days of his rise to prominence, the corrupt IATSE leadership was much concerned about Sorrell. It did not immediately see in him the threat he was to become to its cozy partnership with the producers. In fact once, in those earlier days Willie Bioff, in the interests of his friends, the producers, risked getting a punch in the nose by trying to make a deal with him for the producers. He suggested to Sorrell that, if he would forget about the wage increases he was demanding, he could make himself a nice piece of change.

New labor-management plan— destroy unions that cannot be bought

As more unions joined the Conference, however, the IATSE bosses became worried. Richard Walsh, who succeeded Browne as president, and Roy Brewer, who now held Willie Bioff's job in Hollywood, determined to destroy the Conference. Their attitude was clearly revealed by Brewer who, in 1946, said to the writer: "The IATSE and the Conference cannot exist together in Hollywood. It is war to the finish."

In the first period of its history, the collaboration between the producers and the men who controlled the IATSE had been directed against the interests of the rank and file members of the IATSE unions.

It now entered a new phase and broadened the scope of its activities. Producers and IATSE leaders now co-operated in a series of moves aimed at frustrating and eventually destroying the Conference of Studio Unions. A summary review of the record proves conclusively that this phase of their relationship has been characterized by the same smooth co-operation that distinguished their partnership from 1934 to 1941.

Set decorators ride merry-go-round for 15 months, then strike

On October 26, 1943, the members of the Society of Motion Picture Interior Decorators voted to affiliate with Local 1421, a member of the Conference of Studio Unions. The producers, who had a contract with the decorators, were duly notified of the change in affilia-

tion. On November 6, 1943, Local 1421 notified the producers that the agreement between them (for groups other than interior decorators) would expire on January 1, 1944, and that it desired to proceed with negotiations for a new contract, to include interior decorators.

The producers acknowledged this letter and raised no objection to the inclusion of the interior decorators in negotiations. Instead they resorted to a series of delaying tactics that can only be described as deliberate stalling, with the consequence that more than seven months after the expiration of their contract with Local 1421 the producers had successfully evaded every effort on the part of the union to negotiate a new contract.

It was only after seven months of artful dodging that the producers, for the first time, raised an objection to recognition of Local 1421 as bargaining agent for the interior decorators.

Finally on August 16, 1944, when the producers were running out of "dodges," Local 44, an IATSE union, suddenly and for the first time intervened, claiming jurisdiction over the decorators, and thus conveniently supplying the producers with an entirely new avenue of hitherto unused devices for defeating the clearly expressed desire of a group of their employees to affiliate with a Conference union.

The resultant maneuvers consumed another five months, during which the workers had no contract. Finally the filing of a strike notice by Local 1421 brought the War Labor Board into the picture. Hearings were held by Thomas H. Tongue, a War Labor Board arbitrator. His award, handed down on February 17, 1945, was a vindication of the position of Local 1421 and the interior decorators.

The producers now refused to abide by the decision of the arbitrator and resorted to another series of delaying tactics which brought the case before the National Labor Relations Board when Local 1421, after 15 months of frustration, went out on strike, supported by the Conference of Studio Unions.

(All the above facts are matters of record. They may be verified in the official findings of Stewart Meacham, Regional Director, 21st Region, National Labor Relations Board; Case No. 21-RE-20 et al.)

The decision of the regional director of the NLRB who conducted subsequent hearings was a complete condemnation of the producers' conduct and an entire vindication of Local 1421 and the Conference.

A few excerpts from that decision are worth quoting:

" . . . there is no showing that the employees failed scrupulously to observe all the terms of their contract so far as affiliation with another labor organization was concerned. It was the producers, and not the employees, who failed to observe these provisions. The failure persisted over a long period of time and in the face of repeated importunities by the employees. It even persisted beyond and in spite of the recommendation of the War Labor Board arbitrator . . . The set decorators did not strike because the producers refused to change provisions of contract. They struck because the producers refused to act in conformity with a clear provision of the contract . . . The set decorators did not strike to force the employer to violate any laws, but on the contrary, went out on strike only after the producers refused to abide by the award of the W.L.B. arbitrator." (Meacham findings for NLRB, page 8, etc.)

The matter involved in this dispute was in itself of minor importance to the producers. A few dozen interior decorators chose to affiliate with a Conference union as they clearly had a right to do. By their failure for months to object the producers showed that they themselves were fully aware that their own interests were not vitally affected one way or the other. Yet they employed highly irritating tactics of evasion for months, eventually paving the way for intervention by the IATSE with a ridiculous claim that the interior decorators fell under the jurisdiction of the property men's local, made a War Labor Board hearing necessary, refused to abide by the decision of the W.L.B. arbitrator, and finally forced a protracted and costly nine months' long strike upon the industry. Their behavior admits of no plausible explanation except in terms of a working agreement with the IATSE to make things difficult for the Conference of Studio Unions.

6

How to Turn A Strike Settlement Into A Mass Lockout

The Conference of Studio Unions was not destroyed by this strike, but it was weakened. Its treasury was seriously depleted. Its members were without pay checks for nine months. The obvious strategy for those out to destroy the Conference was as soon as possible to force it into a position where it would have to strike again or weakly admit its inability to defend the interests of its members, which is the equivalent of a death sentence for a trade union.

It was not long after the settlement of the 1945 strike that Stewart Meacham, able and conscientious regional director of the N.L.R.B.,

expressed to the writer his serious concern about the Hollywood labor situation. He said that, in his opinion, if the producers and the IATSE wanted peace in Hollywood they could have it following the settlement of the 1945 strike. From what he saw of the way things were developing, however, he was afraid they did not want peace. It appeared to him that they were determined to destroy the Conference of Studio Unions and he foresaw in this the seeds of great strife and difficulty in Hollywood. Meacham regretted that it was so, because he thought it entirely unnecessary. Events soon proved how well grounded were his fears.

The AFL attempts to settle work jurisdiction in studios

At the conclusion of the 1945 strike an effort, chiefly notable for its ineptness, was made by the American Federation of Labor executive council to define jurisdictional lines in Hollywood with a view to eliminating this fruitful source of conflict between the many craft unions in the industry. The ineptness of the effort may be understood by describing briefly what was done.

First of all, it should be understood that the very nature of the motion picture business gives rise constantly to problems of union jurisdiction. Many of these problems are complex and thorny. They are not easy of solution.

William Green appointed a three-man committee to visit Hollywood and to define jurisdictional lines. The producers and the union heads agreed to accept their decisions. The committee consisted of three A.F.L. international vice-presidents: William C. Doherty, Felix H. Knight, and William C. Birthright. None of these men had the slightest personal knowledge of the problems involved. None of them knew anything about the industry. They were presidents respectively of a postal workers' union, a barbers' union, and a trainmen's union.

The committee was allowed only 30 days within which to complete its work. It came to Hollywood, held a number of hearings. It only visited one studio—Paramount. That single and sole visit lasted only three or four hours. (Testimony of William C. Doherty, Congressional investigation, page 299).

Given the conditions under which they had to work, viz., their own lack of experience in the kind of work involved, and the severe limitation of time to which they were subjected, it may be granted that they did a surprisingly good job. There is considerable merit in

Mr. Doherty's contention that had there been good will and a sincere desire to achieve stable and peaceful relations on the part of all parties concerned the decisions reached by the committee need never have led to strife.

On the other hand, in the hypothesis that some of the parties involved were not sincerely seeking agreement but were rather searching for excuses to foment trouble, it was almost inevitable that decisions reached in such a fashion would provide them with the opportunity they wanted. Events proved that such was the case.

A semantic quibble ousts thousands of workers and destroys their unions

The heads of the IATSE and the producers soon found the pretext they were looking for. It was found in the part of the decision, issued December 26, 1945, which attempted to define the respective jurisdictions of the Carpenters' Union and the IATSE. The two relevant sections awarded "all trim and miliwork on sets and stages" to the carpenters and the "erection of sets on stages, except as provided in Section 1" to the IATSE. (Congressional investigation, page 273, etc.)

Obviously there was ambiguity in the phrase "erection of sets." The word "erection" has two possible and equally valid meanings. They are both given in Webster's dictionary. "To erect" may mean to assemble or build, or it may mean to set erect something that has already been built. Out of this innocent and in itself minor semantic difficulty the IATSE, with the close collaboration of the producers, managed to stir up a furious tempest that deprived thousands of workers of jobs they had held for years in the industry, led to months of violent strife, and virtually destroyed democratic trade unionism in the industry.

All construction work of sets on stages had always been done by the carpenters. Now Walsh, Browne's successor as head of the IATSE, demanded that this work be turned over to the IATSE. The ridiculousness of the demand is evidenced, among other things, by the fact that there did not even exist an IATSE union to handle such work. The assembling of sets, constructed by carpenters, had always been done by the grips' local. Hurriedly Walsh evoked out of nothingness a new and hitherto entirely unheard of union—a union of "set erectors"—and issued a charter. "Set erectors" were unknown in Hollywood. The carpenters had always built the scenery. The

grips had always assembled it, if it was the kind that required assembling, and moved it to and from storage.

The producers immediately acquiesced to Walsh's demand, despite the fact that they knew they were depriving the carpenters of work that had historically always been theirs, and that this would inevitably cause a major battle.

(Note: All these facts are in the record. They are admitted by the representatives of the producers themselves. See the testimony of Pat Casey, labor relations chief for the producers, and of Eddie Mannix, Nick Schenck's right hand man and general manager of MGM, in Congressional investigation, page 144, 639.)

The AFL clarifies its decision

Naturally the carpenters objected. They continued to work, but under protest, while demanding that the meaning of the phrase employed in the three-man directive be clarified. The dispute was carried to the top echelon of the AFL, which finally ordered the three-man committee to explain precisely what it had in mind when it wrote the disputed section of its decision. On August 16, 1946, the committee issued a clarification of the original decision. It established conclusively that the interpretation given to the phrase "erection of sets" by Walsh and the producers was without justification. In the following words the committee explained precisely what the phrase meant:

"The word 'erection' is construed to mean assemblage of such sets on stages or locations. It is to be clearly understood that the committee recognizes the jurisdiction over construction work on such sets as coming within the purview of the United Brotherhood of Carpenters and Joiners' jurisdiction." (The full text may be found in Congressional investigation, page 277.)

The position of the carpenters had been completely vindicated. On August 27, 1946, William Green sent Walsh, head of the IATSE, a copy of the clarification, thus leaving no doubt . . . It represented the official decision of the AFL. (Eight months later, in a letter of April 28, 1947, Green again wrote to Walsh ordering him, in the name of the AFL executive council, to abide by the clarification.)

Walsh and the producers ignored the clarification of August 16. The producers continued to assign construction work to the IATSE. The full hypocrisy of the producers thus stands clearly revealed. They had defended their previous assignation of this work to the IATSE upon the grounds that they were simply complying with the directive of the AFL committee and thus had no other choice. Now when that same committee, supported by the AFL executive council, made it altogether clear that, in fact, far from complying with the

directive they were directly violating it, the producers turned a deaf ear.

Afterwards in an effort to lead investigators off the main path of inquiry and into a labyrinth of irrelevant by-paths, the producers, aided by their lawyers, spun a wearying web of sophistical rationalization. Hours were consumed in the later Congressional hearings arguing the finely legalistic and altogether immaterial question of whether or not the authority of the three-man committee terminated with the original directive. The question had nothing to do with the real issue involved which was quite simply this:

In accepting Walsh's interpretation of "set erection" as "set construction" instead of "the assembling of sets constructed by carpenters," were the producers obeying the original directive or violating it?

Manifestly the answer to this question depended entirely upon the sense in which the three-man committee had employed the phrase "set erection." Obviously not Walsh, but the committee alone could authoritatively say what it meant by "set erection." The committee explained itself in the August clarification, thus making it clear that the interpretation placed upon the phrase by Walsh and the producers was erroneous and that their action based upon that interpretation was, therefore, a direct violation of the directive.

All the double-talk by the producers and their lawyers about whether or not the committee had the power "to change" the original directive is entirely beside the point. The question was not whether they could change that directive, but simply whether the producers had obeyed or violated that directive. Mr. Doherty, who had been chairman of the three-man committee that wrote the directive, answered this question emphatically, testifying under oath at the Congressional hearings held in Los Angeles in August, 1947:

"Now, I think, in fairness to the committee, Mr. Chairman and counsel, it should be said here, since this seems to be the crux of the whole situation that under no circumstances—under no stretch of the imagination—did this committee intend, by having handed down this decision, that work then being done by the IATSE on such sets and stages or locations should be turned over to the carpenters, or that such work then being done by the carpenters should be turned over to the IATSE." (Congressional investigation, page 275, etc.)

If there was ambiguity in the wording of the original directive, there is no ambiguity here. The producers had, at the behest of Richard Walsh, turned over to the IATSE work that was "then being done by the carpenters." There is no dispute about this point. It is admitted by all parties to the dispute. In doing so, therefore, they had manifestly acted in violation of the intent of the directive.

Mr. McCann, counsel for the investigating committee, put the following question to Doherty:

"It was not your thought, then, at the time that you wrote this section 8" (this is the section of the original directive that awarded 'set erection' to the IATSE) "to set aside the traditional work of the carpenters or the traditional work of the IATSE on stages?"

Doherty's answer was: "That is a true statement, Mr. Chairman and Mr. Counsel."

In setting aside the "traditional work of the carpenters" and turning it over to the IATSE, the producers had clearly violated the intent of the directive.

Again Counsel McCann asked Doherty:

"Now may I ask if the clarification which you wrote was or was not an effort on your part to make clear to the carpenters and to the IATSE that it was the intent of your committee to continue the historical activities of the carpenters and the IATSE insofar as the erection of sets on stages was concerned?"

Doherty's reply was: "I think, Mr. Chairman, that has been very well stated, and the answer is 'Definitely yes.' That was our intention."

And finally, making the matter somewhat clearer than light itself are the emphatic and unambiguous replies of all three members of the committee to another direct question by Mr. McCann:

"Mr. Casey has testified, as I understand it—and he sits here on my right—that as he read your decision of December the 26th it was his opinion that you were taking from the carpenters the jobs which they had done historically for many years in the studios—the erection of sets on stages—and that he gave those tasks to the IATSE. I want to ask you if, when he did that, he was carrying out the thought that you had in mind when you wrote this original decision."

To which Mr. Doherty replied:

"The answer is definitely that he was not carrying out the thought that we had in mind, and I might add here, Mr. Chairman and counsel, that oftentimes people who are, shall we call them industrial relations experts, find it convenient to have one group of employees in an industry or a plant disagree with each other—it is always better for management . . ."

The same question was then put to Mr. Knight, who replied:

"He was not carrying out my thought, Mr. Counsel."

Mr. McCann then asked the third member of the committee: "Mr. Birthright, was he carrying out yours?"

Mr. Birthright replied: "Absolutely not." (Congressional investigation, page 280)

**Someone was looking for trouble
—and made sure it happened**

Nothing could be clearer. Here is the answer to the only real issue involved. The producers had obligated themselves in advance

to obey whatever decisions were handed down by the three-man committee. (As per testimony of B. B. Kahane, vice-president of Columbia Pictures Corporation in Congressional investigation, page 13). In transferring the traditional work of the carpenters to the IATSE the producers were not obeying the decision of the committee.

Even had they simply made a mistake in good faith, the responsibility for the tragic consequences which followed would still be theirs. It is impossible, however, to credit them with good faith;

1) The meaning and intent of the decision, insofar as it related to the question of set erection, was admittedly uncertain and ambiguous. The producers knew it was ambiguous. "Personally," testified Pat Casey, "I could not interpret the directive if you gave me a million dollars." (Congressional investigation page 91.)

2) It is scarcely necessary to point out that the course of action dictated by good faith would have been, in view of the admitted ambiguity of the directive, to leave jurisdictional lines as they were until the ambiguity was cleared up.

3) Instead of doing this, the producers, on the basis of a unilateral interpretation of a directive which their own labor-relations chief could not interpret for "a million dollars," upset jurisdictional lines which had been established since the beginning of the industry.

This certainly was to go out of the way to find trouble. The only possible interpretation is that they were looking for trouble or, at least, were co-operating closely with the IATSE which was looking for trouble.

The testimony of Doherty, Knight and Birthright settled definitely the question of who was right and who was wrong. All the rest is irrelevant: What Bill Hutcheson or Birthright or anyone else said or did not say to Ronald Reagan, whose Rover Boy activities helped mightily to confuse the issues, or to bumbling Eddie Arnold; what Hutcheson's philosophy of labor is, or Congressman Kearns', or Counsel McCann's; what Eddie Mannix, who has come a long way since he is reputed to have been a bouncer in Nick and Joe Schenck's night club on Paradise Island, thinks of the Taft-Hartley bill; what Pat Casey thinks of the role of government in business; what Roy Brewer's views of Communism are—and all the rest of the irrelevancies that clutter up the printed record.

When the producers refused to abide by the terms of the August clarification which had cleared up all doubt about the original intent of the December directive, the Carpenters were left with no choice but to refuse any longer to work on sets where IATSE men were performing construction work that belonged to the carpenters' jurisdiction. The IATSE, aided by the producers, had now maneuvered the Conference of Studio Unions into a position where a strike was inevitable. The sequence of events leaves no doubt that this was the ultimate goal of their entire policy.

Producers' minutes disclose secret plans for lockout

Between August 16 (the AFL's clarification) and September 24 the producers and their agents held a number of very hush-hush meetings in Hollywood. Roy Brewer, successor to Bioff in Hollywood, attended some of these meetings at which the strategy was laid down. His views were repeatedly consulted, even at the meetings which he did not attend personally, in formulating the overall strategic plan.

(We know what took place at those meetings thanks to the fact that Victor Clarke, one of the participants, had a happy habit of taking notes. The existence of these notes—and of the meetings for that matter—was revealed only in the last days of the Congressional hearings held in Los Angeles throughout the month of August and into the first days of September, 1947. Apparently Pat Casey told Congressman Kearns of their existence and, at his direction, produced them from the files in his office. What prompted him to do so must be a matter of speculation. During his lengthy testimony in the early days of the hearing he had scarcely mentioned these meetings or what went on in them. Mr. Casey had recently been retired on pension after years in the service of the producers in charge of their labor relations. There is no doubt that he knows more than he has ever chosen to reveal about the relations between the producers and the IATSE. For reasons known only to himself he evidently decided towards the end of the hearings to lift a corner of the veil and to throw a bombshell into the ranks of the producers and their battery of lawyers. Those who were present the day Clarke's minutes were introduced will not have forgotten the signs of consternation and the flurry of activity nor will they have forgotten the squirming of Roy Brewer when recalled to the stand and confronted with the record of the part he had played in formulating the producer strategy, a record which flatly contradicted his previous testimony. These minutes may be found in Congressional investigation, pages 907, etc.)

Brewer, in these meetings assured the producers that he would supply IATSE men to take the jobs of Conference of Studio Unions workers. He promised that should any IATSE men develop scruples about crossing picket lines he would "use the full power of the IATSE to force them to." He suggested that the producers "put IATSE men on sets so carpenters and painters will quit . . ." and that they

"keep procedure quiet so CSU can't gang up at one spot." (Congressional investigation page 910, etc.)

Buttressed by these assurances the producers devised a strategy to force Conference of Studio Unions members out of the studios. The carpenters and painters who numerically constituted the backbone of the Conference were to be forced out first. The smaller Conference unions would then be forced either to follow them or withdraw from the Conference. Specific details of this strategy, including a time schedule, were worked out.

September 23 was designated as D-day. At a meeting on Friday, September 20, attended by representatives of Columbia, Goldwyn, MGM, Paramount, RKO, Republic, Fox, Universal, Warners, Roach, and Technicolor, a deadline was established: "By 9 a.m. Monday clear out all carpenters first and then clear out all painters, following which proceed to take on IA men to do the work." (Producers minutes read in Congressional investigation, page 913.)

How were the carpenters and painters to be cleared out? By a simple device. They would be ordered to work on the "hot" sets. They could only refuse. Whereupon they would be paid off.

This is the strategy that was followed.

How carpenters and painters were "cleared out" of the studios

Everything went off on D-day with a smoothness that testified to the care with which preparations had been made. When the men reported for work at MGM on Monday morning, September 23, their paychecks had already been made out—although pay day was Thursday, not Monday. (Testimony of Andrew Mackay, Congressional investigation, page 571, etc.)

Men were taken off their customary jobs and ordered to work on "hot" sets. Construction superintendents, foremen, maintenance men, etc., who had in some cases not done any regular carpenter work for years, were ordered to report for carpenter work on "hot" sets. (Testimony of Harry Beal, John L. Kierstead, Thomas W. Hill, Thomas A. Morrissey. Congressional investigation pages 575 to 592.)

We learn from Victor Clarke's notes that Alfred Wright, attorney for Twentieth Century-Fox, warned the producers at a meeting on September 23 that "the studios cannot morally or legally assign maintenance men who never have worked as journeymen on sets to set work." (Congressional investigation, page 913.) Characteristically, the producers ignored Wright's moral scruples.

Thus the carpenters and painters were "cleared out" of the studios. Inevitably the picket lines then went up. Inevitably the

other Conference union members refused to cross the picket lines. The well-laid plans of the smoothly working team of producers and IATSE leaders had borne fruit. They now had their strike. Actually it was a lockout, but by a subtle bit of alchemy they had succeeded in transmuting it into a strike. In any event, called by any name the results were the same; the Conference of Studio Unions, financially weakened by the 1945 strike so lately ended, had been forced to assume the crushing burden of another major strike. They had no choice. They had either to accept the challenge or meekly to surrender and thus acknowledge their inability to defend the right of their members. By accepting the challenge they risked being destroyed through the slow attrition of a long drawn out struggle against immeasurably more powerful and wealthier opponents. But not to accept it would itself have meant total surrender and thus total and immediate destruction.

What followed is history:

The history of thousands of men and women, many of whom had invested as much as a quarter of a century of their lives in their studio jobs, thrown out of employment; of these same men and women fighting to save their investment in their jobs, to save their homes, their security, their future; of their picket lines, the only means they had to defend themselves, being forcibly broken; of hundreds of them being rounded up by the police and thrown into jail and then obliged to sit weary day after weary day in courtrooms where they were tried like cattle in batches of 20 to 40. To those who sat in the courtrooms and witnessed the proceedings, some of these trials were shocking travesties of justice. In one of them the behavior of the judge was so flagrantly biased that the jury rebelled and acquitted all of the defenders.

The details of this history of violence, knavery, ruthlessness, deceit, corruption are omitted here because they do not bear directly upon the main issue: the fixing of responsibility for the strike.

The minor details are sometimes as revealing as the major incidents and are regrettably omitted. There is, for example, the knavery revealed in the minutes of the producers' meeting of September 20, when Pat Somerset, an employe of the Screen Actors' Guild, who served the producers well, gave the latter the benefit of his sly counsel:

"Somerset thinks it advisable to not have stars see the picket line broken." (Congressional investigation, page 913.)

He suggested that the stars be held somewhere else and introduced into the studios at such times and places as would spare them the shocking spectacle of picket lines being smashed.

There is the ruthlessness displayed towards old employees in the meeting of September 16, when the producers agreed to urge the administrators of the State department of employment to deny unemployment compensation to CSU members who had been forced out of the studios. (Congressional investigation, page 911.)

Rewards still being paid to co-operative union officials

There is one fascinating detail which cannot be omitted, because it throws a penetrating light upon the method of operation of the producers and indicates how well they had laid the groundwork for their campaign.

One of the major factors in keeping the studios running and destroying the effectiveness of the picket lines was the teamsters' union which transported busloads of strike-breakers through the picket lines. The head of the teamsters' local in Hollywood was one Joe Tuohy. When the question of whether or not they would cross the picket lines confronted the rank and file members of the teamsters' local they put themselves on record as being opposed to it. It is reported that they voted unanimously to observe the picket lines. When later interrogated under oath, Tuohy evaded answering a question as to whether the vote was unanimous. He admitted, however, that "the opinion (of the members) probably was in the majority to not haul those people through the picket lines."

Tuohy developed a lapse of memory when asked if it were true that, following the vote, he had told the membership that they would either carry the strike-breakers through the picket lines or he would bring in teamsters from outside "and see that they were carried through." (Congressional investigation, page 1285.)

Tuohy, with the approval of his brotherhood officers Dan Tobin and Dave Beck, simply ignored the democratically expressed views of the membership, and ordered them against their will to break the picket lines. It is reported that Tuohy even imported teamster business agents from outside locals to man the busses when the rank and file refused to cross the picket lines.

The fascinating part of this story is this: In March, 1946, six months before the plans of the producers reached their maturity, Joseph Schenck, brother of Nick Schenck, and a man who, as a result of the disclosures in the Browne-Bioff trials, had been sent to the

penitentiary for a year on an income-tax violation charge, offered Joe Tuohy a job. Tuohy met with Schenck and with Charles Skouras in the former's office. After his release from jail, Joe Schenck had resumed his place in the Hollywood executive pantheon and was with Twentieth Century-Fox. It was agreed that Tuohy would not immediately go to work for Schenck. The time was not propitious.

In the following May, however, Daily Variety, the trade newspaper, carried a story reporting that Joe Tuohy, erstwhile teamsters head, had accepted a job as "industrial-relations director for National Theaters" (of which Joe Schenck was an executive officer). Tuohy himself admits that he went on the payroll of the producer on January 1, 1947.

Tuohy's salary as business agent for the union was \$175 a week. He went to work for Joe Schenck and his associates, on January 1, 1947, for a salary of \$400 per week the first two years, plus an expense account of \$100 each week; \$450 per week for the next two years; \$500 per week for the following three years. (Tuohy's testimony in which he admits all these facts is in Congressional investigation, page 1283, etc.)

Joe Tuohy's future is well provided for. In view of the services he rendered the producers in whipping his reluctant teamsters into line at the expense of union democracy, it cannot be said that his rich reward is excessive. It can be said that those who naively believed that the ethical conceptions and standards of behavior of the producers have undergone a radical transformation since the days when they were making deals with Willie Bioff can study this little episode with profit.

7

'Let There Be No Peace,' Say Producers

Now that the Conference of Studio Unions had been forced out of the studios the only problem that remained for the IATSE and the producers was to keep them out until the cumulative misery of months of unemployment took its toll. The close co-operation which had marked the history of their intimate relationship up to this point continued during the years that followed. Again the strategy was rather simple: frustrate every honest attempt to settle the disputed issues through arbitration.

During the months that followed repeated attempts were made by disinterested parties, concerned with the suffering of thousands of workers who had been ousted from their jobs, to bring the opposing sides together to arbitrate their differences. Every proposal of arbitration made encountered an almost invariable pattern of response.

Invariably, Herb Sorrell and the Conference of Studio Unions accepted without qualification the suggestion of arbitration and agreed to accept the decisions of an arbitrator. Almost invariably the IATSE simply ignored the proposal. Invariably the producers despatched a piously phrased expression of their solicitude, of their acceptance of the idea of arbitration as offering the only feasible solution of the imbroglio, but regretfully concluding that they could not "interfere" (heaven forbid!) in a dispute which was not a matter between employer and employee but solely between unions.

This, for example, was the response to the message of December 30, 1946, sent to the producers and the unions involved by an Inter-Faith committee composed of eight leading representatives of the Jewish, Protestant, and Catholic clergy.

"Cursed be the peace-maker!"

Later on the producers introduced a slight variant into their responses to proposals of arbitration. The slight variant almost proved a major blunder inasmuch as it led to the unmasking of their hypocrisy. When their pretense that they were not parties to the dispute began to wear a little thin, they tried a new angle. To the proposals that the issues be arbitrated they replied that such a solution, while theoretically ideal, was practically useless because of the dictatorial powers of "Big Bill" Hutcheson, international president of the carpenters union. If the decision of the arbitrator displeased "Hutch" back in Indianapolis, he would order the carpenters local to refuse to abide by it and nothing would be solved, said the producers.

On March 9, 1947, the writer publicly proposed an arbitration scheme for settling the dispute which, while it demanded sweeping concessions from the Conference of Studio Unions, cut the ground out from under the objection raised by the producers. It challenged the Conference to agree in advance to accept all the decisions of the arbitrator and to agree that, in the event Bill Hutcheson refused to abide by such decisions, the other CSU unions would not support the carpenters in any action they might take.

This was the test. If the producers were sincere in their profes-

sions of a desire to settle the strike and get the people back to work they would have jumped at the chance. If, on the other hand, their objective was to keep the CSU people out of the studios they would do everything possible to stop this proposal in its tracks.

What were the reactions? Walsh and Brewer of the IATSE characteristically ignored the proposals. The Conference of Studio Unions immediately accepted them without qualification.

The producers? Their reaction was immediate and violent. Within a few hours after the telegram announcing the proposals and the fact of CSU acceptance had reached them they attempted to bring pressure to bear to have the writer prevented from pursuing his demarche any further.

Even the Archbishop's peace moves are unwelcome to producers

Less than two weeks later, on March 21, 1947, The Tidings, the official organ of the Catholic Archdiocese of Los Angeles, published a report prepared pursuant to the orders of the late Archbishop John J. Cantwell by Rev. John Devlin, the Archbishop's film industry representative, and Rev. Thomas Cogan, Ph.D., professor of labor relations. The report, which reviewed the history of the dispute, stated among other things:

- "1. That the strike can be settled if all parties involved get together in a determined effort to end the struggle.
- "2. That the producers have taken a most negative attitude by doing little to settle the dispute.
- "3. That the strike issues cannot be beclouded with cries of Communism and radicalism if a settlement is to be accomplished."

The report then made concrete proposals for setting up arbitration machinery to settle the issues, including the challenge to the CSU to agree "to refuse to support the carpenters if Mr. Hutcheson refuses to abide by any further jurisdictional decisions."

Immediately the Conference of Studio Unions again accepted the proposals without qualification. The IATSE ignored them. The producers were otherwise engaged.

A later effort by Archbishop Cantwell to effect a settlement of the strike met with similar rebuff. As the Congressional hearings in Los Angeles were drawing to a close the Archbishop invited the three parties to the dispute to meet with his representative, Monsignor Thomas O'Dwyer, on Labor Day, 1947. Herb Sorrell and other Conference leaders attended the meeting. Roy Brewer of the IATSE also appeared. The producers were conspicuous by their absence.

Pat Casey attended but did not officially represent the producers.

There are some who believe that it was his anger at the cavalier way in which the producers ignored the Archbishop's invitation which prompted Casey to drop the bombshell of Clarke's notes into their midst when the hearings resumed the next day.

8

And So Crime . . . Marches On

The record is clear. From 1934 to 1941 the producers worked hand in glove with the gangster elements who had seized control of the IATSE union to betray the interests of its rank and file members. In the years that followed they co-operated no less effectively to destroy the Conference of Studio Unions, which represented a kind of democratic and honest trade unionism which was a foreign, and therefore irritating, substance in the midst of the miasmatic immorality which characterized the producers' conception of labor relations.

The producers and the IATSE leadership have always reacted very sensitively when the Browne-Bioff era has been introduced into the discussion of Hollywood's labor dispute. They pretend it has nothing to do with the present. They would like the public to think that there has been a complete change since those days. Those who have examined the record closely are inclined to reply in the words of the French proverb: *Plus ça change, plus c'est la même chose*. Only in this case, not only does it appear to be the same thing, but actually little has changed.

The men who made the deals with Browne and Bioff, and through them with the notorious Chicago gang, still run the industry. The same men who sat in council with Browne and Bioff as heads of the IATSE still run the union.

Browne's and Bioff's buddies still boss the IATSE

In 1940 the executive board of the IATSE numbered seven vice-presidents and a secretary-treasurer. All seven of the men who held positions with Browne and Bioff in 1940 still sit on the executive board. Richard Walsh, then the third vice-president, now holds Browne's job as international president of the union and in that capacity heads the executive board. Two additional vice-presidents

have been added since the Browne regime. There is a new secretary-treasurer and now an assistant secretary-treasurer. Those are the only changes that have been made.

All the old faces are there. Harold Holmden, for example, first vice-president under Browne, is first vice-president under Walsh. This is the same man whom Browne, ordered by Frank Nitto to take over the important Chicago Local 110 after Tommy Malloy had been conveniently killed, installed at the head of the Chicago local, removing at the same time all the duly elected local officers. (Nitto tax hearing, page 252.)

The top seven officers of the IATSE today, including the present president, are the same men who in June of 1940 signed their names to a letter of fulsome praise addressed to the cheap hoodlum, Willie Bioff, in which they said among other things:

"Your work for this organization . . . has been outstanding . . . in the quiet, business-like and efficient manner in which you have gone about your work and the high integrity and honesty you have displayed in all your leadership." (Proceedings of the convention of the IATSE held in Louisville, Kentucky, June 3-6, 1940, page 61, etc.)

'The high integrity and honesty' of Willie Bioff, wretched panderer, cheap hoodlum, corrupt gangster who had made a fortune for himself and his gang associates by betraying for a price the interests of the rank and file workers in the motion picture industry!

The legal defenders of convicted bosses still defend their successors

The same men who defended Bioff and Browne are the men who today defend their successors. The legal firm of Breslin and Luddy still represents the IATSE in Hollywood. These two lawyers defended Browne and Bioff in their New York trial and received legal fees in excess of \$40,000 for doing so. (Proceedings of the IATSE 36th convention, held in Columbus, Ohio, June 1-5, 1942, Page 101.)

These fees were paid out of the union treasury. Here is grim irony indeed: the rank and file members of the union obliged to pay out of their own pockets for the defence of the men who had betrayed them.

It is Michael Luddy who, during the Congressional hearing in Los Angeles in August, 1947, at which he represented the IATSE, had the brazen audacity to contact the writer's religious superior and endeavor to bring pressure to bear upon him to forbid the writer to continue his efforts to bring about a just settlement of the Hollywood dispute.

It was George Breslin who appeared before the 1940 convention of the IATSE, after his efforts to thwart the extradition of Bioff to Chicago to serve sentence for pandering had failed, and blamed the attack upon Bioff to "subversive elements on the west coast." In the same speech he praised Bioff for his "great success so far as the membership of the studio locals are concerned" and stated: "I have never experienced one iota of evidence that I was representing an organization having the slightest resemblance to gangsterism . . ." (Proceedings of the IATSE convention of 1940, Page 146.)

The chief counsel for the IATSE today is Judge Matthew Levy of New York. Because of his personal integrity his word alone was once cited to the writer by Victor Riesel, journalistic apologist for the IATSE, as sufficient guaranty of the purity of the present union leadership. If the record does not impugn his personal integrity, it certainly impugns his credibility as a charter witness.

Prior to being elevated to the post of chief counsel of the IATSE, Levy was lawyer for Local 306 in New York. This is the local mentioned by Willie Bioff in his testimony in the tax proceedings of the government against the estate of Frank Nitto. The scene was a meeting in a house in Riverside, Illinois. Nitto, surrounded by his hoodlum henchmen, was discussing with Bioff and Browne and with Louie "Lepke" Buchalter, head of Murder, Inc., the steps to be taken to elect Browne international president of the IATSE. Says Bioff: "Nitto instructed Buchalter to contact Luciano" (the notorious 'Lucky' Luciano) "when he got to New York, and convey his message to him, for Luciano to see to it that Local 306 of New York was carried for Browne." (Nitto tax hearing, page 60.)

Judge Levy, like Breslin, appeared before the delegates at the 1940 convention of the IATSE to add his meed of praise to the tributes to George Browne: "I appreciate with you, the modesty, earnestness, effectiveness, and devotion to the cause of the Alliance which characterize your International President George E. Browne. The growth of this Alliance is a living monument to . . . the leadership of modest, earnest, effective, devout George E. Browne." (Proceedings of the IATSE convention of 1940, page 171, etc.)

It is unfortunate for Levy's reputation as a man whose judgment can be relied upon that Browne's subsequent confession revealed the full facts about the unspeakably corrupt "leadership of modest, earnest, effective, devoted George E. Browne."

His successors say Browne was just a helpless tool, but . . .

Even after those facts were established by incontrovertible evidence, the IATSE people continued to defend him. In a heart-rending speech at a national convention of the IATSE, Richard Walsh, Browne's successor as president of the union, defended the culprit as just an honest man who had been forced to walk down the noisome paths of evil by wicked Chicago gangsters who would otherwise have killed him. As late as August, 1946, Ray Brewer, in a conversation with the writer, defended Browne in the same terms.

The fact is that Browne is revealed by his own confession have been a cheap, unprincipled racketeer before the Chicago gang ever "muscle in" on his racket.

As business agent of Local No. 2 in Chicago he had made a cozy little deal with one Nathaniel Barger, operator of a burlesque house known as the Star and Garter. This was in 1932 or 1933. Let Browne himself describe the deal:

"Well, there were two theaters, the Haymarket theater on Madison Street that had previously had burlesque in it, and there was a theater around the corner, the Academy, that was vacant. In short, Mr. Barger was afraid that someone might come in and open up in opposition to his—it happened to be what we called a burlesque neighborhood—and he was afraid that someone would come in and open up in opposition and he wanted to insure himself against that possibility.

"We had several conversations about it and finally arrived at the conclusion that he should pay me \$150. a week and I should guarantee him that even though they did open burlesque they would not be able to 'wangle' a better deal out of the union than he had." (Nitto tax hearing, page 22.)

Browne and Bioff blamed "Reds" and so do those in their shoes today

As the personalities involved are, with few exceptions, the same today, so too are the tactics they employ the same. The major tactic employed by the IATSE leadership throughout the present dispute in Hollywood has been to divert attention from the real issues involved by an intensive and wordy smear campaign against their opponents. The tune has not changed. Yesterday they employed the same technique in defending the Browne-Bioff regime.

At the 1940 convention of the IATSE the president's report branded the attack upon himself and Bioff as "a vast tissue of lies and innuendoes . . . serving . . . the purposes of the radical elements and the CIO . . ." The same report commiserated with poor Bioff because of "the tremendous strain he had been forced to work under and the

brutal, slanderous attacks being continuously heaped upon him." (Proceedings of the IATSE 35th convention, Louisville, page 60, etc.)

As noted previously Breslin, at the same convention, attributed the attack upon Bioff to "subversive elements." Levy's speech was a long tirade against Communism.

Plus ça change, plus c'est la même chose.

x x x

Browne and Bioff, thanks to the government, have gone. The other people are the same. Their methods are the same.

The record is clear. It is a shameful record of collaboration between the producers and the leadership of the IATSE, first to betray the interests of the IATSE members themselves and, in the later period, to destroy the opposition of democratic trade unionism represented by the Conference of Studio Unions.

The victims of this conspiracy have been thousands of honest American working men and women. Justice demands that those who victimized them be brought to heel and that restitution be made.

1981 COMPARISON - LM-2 & 1981 CPA Report

	<u>LM-2</u>		<u>CPA-FINANCIAL REPORT</u>	
<u>ASSETS:</u>				
<u>Cash on hand:</u>	150.		150.	
	<u>111,461.</u>	<u>111,611.</u>	<u>111,461.</u>	<u>111,611</u>
ACCOUNTS RECEIVABLE:	<u>178,219.</u>		A.R. 12,307. Notes 163,738. Proc Fees <u>2,175.</u>	<u>178,220.</u>
MORTGAGE INVESTMENT (sale of 789's bldg)	<u>18,057</u>		<u>18,057</u>	
FIXED ASSETS:	<u>337,022.</u>			<u>337,022.</u>
OTHER ASSETS:	<u>11,425.</u>		Per Cap 6,000. Ppd Exp 1,418. " rent 3,841. Sec'ties <u>166.</u>	<u>11,425.</u>

(Assets seem to check out with the financial report to members)

LIABILITIES:	(Total: 61,094.)	(Total: 87,558.)
A/cs Payable	50,961.	Acc'd Exp. 50,961.
Loans Payable	1,520.	IBM 1,520.
<u>OTHER LIAB:</u>		
Spec. Legal	5,494.	NOT SHOWN ON CPA REPT
Sec. Dep.	3,119.	S. D. 3,119.
NOT SHOWN ON LM-2	IA Proc. Fees 2,225.
NOT SHOWN ON LM-2	Ppd. 29,733. Dues
	<u>61,095.</u>	<u>87,668.</u>

NOTE: There is a discrepancy of 26,464. between these two reports.
This discrepancy shows up in the FUND BALANCE ALSO.

COMPARISON:LM-2CPA FINANCIAL REPORT

FUND BALANCE: 595,240.

568,777.

DISCREPANCY: 26,463.

Note: The discrepancy of 26,463. comes up again. I could not find where they have included the prepaid dues for January, 1982, quarter (29,733) This is a liability for the year 1981 and should have been shown as such.

INCOME: TOTALS: (754,427.00) (difference: 5,054) (759,481.00)

Dues	391,375.		402,057.
Fees	295,088.	Init.	247,695.
		Reclass	29,264.
		Reinstate	524.
(difference of 17,595.)		Withdraw	10.
			277,495.
Fines	7,492.		7,492.
**Interest	17,815.		35,297.
	(difference of 17,482.)		
Dividends	10.		10.
Rents	36,288.		36,288.
Loans Obtained	2,200.		none
(This item puzzles me. This represents a purchase from IBM and could not have been income)			
Sale of Assets	150.		844.
	(difference of 694.)	I feel that the 844 is only a book figure.	
Loans Repaid	890.		none
(This is the money received on the Local 789 bldg which was sold--Parodi)			
Other Sources	3,119.		none
(This is shown as grievance money received on the LM-2. I cant understand why nothing was shown on the CPA report.			

** I can't believe this. The comparisons have been somewhat difficult to make. I really needed more information which of course is not available.

COMPARISONS: (total: 803,866.)

(total: 812,767.)

LM-2

CPA REPORT

PER CAPITA: 103,565 112,623. (+ 5,059.)

(this must be the prepayment of PC stamps on the CPA report. It should not be expenses until '82)

FEEs, FINES, ETC: 4,089. 5,059. (+ 250.)

SALARIES:

Officers:	72,920.	Bus.Reps	178,705.
Other Employees	288,550.	Office:	153,880.
total:	361,470.		332,585 (- 28,885)

BREAKDOWN ON SALARIES: (from LM-2)

Osburn 61,356.
Adam 47,228.
Aredas 38,346.
Brewer 38,091.
Total. . . . 185,021.

RECAP: from LM-2

Bus. Reps. . . . 185,021.
Office 138,059.

Caiati 36,346.
Garlick. . . . 14,196.
Gibbons 12,366.
Moloski. . . . 15,021.
Otsuki 17,050.
Smith 26,494.
Welsh 16,586.

Total 323,090.

CPA Rept. . . . 332,585.

DIFFERENCE . . 9,495.
BETWEEN REPTS.

Total 138,059.

(This is beyond figuring out. In addition to salaries listed on the CPA expense report, there is listed Recordkpg & Office Help in the amt of \$4,021 and Vacation Expense for 6,315.)

EXPENSES FOR EMPLOYEES:

(Bus. Reps,	8,964.	Auto Allow	11,022.
reimbursed	<u>11,006.</u>	Bus. Rep.	2,600.
exp. etc.)	19,970.	Business Mtg	2,453.
		Travel Exp.	<u>12,494.</u>
			28,569. (+8,599.)

PROFESSIONAL:

58,779.	Acctg.	3,698.
	Legal	34,016.
	Election	<u>11,205.</u>
	Total	48,919. (-9,860)

BENEFITS:

Pension	21,901.	Pension	22,340.
H & Wel	20,608.	H & W	15,691.
Occidental	<u>35,738.</u>	Life Ins.	<u>33,815.</u>
Total	78,247.	Total	71,846. (-6,401.)

EXPENSES: CONTD	LM-2	CPA REPORT
CONTRIBUTIONS:	3,135.	Donations: 2,525.
	(Difference: 610.)	
PURCHASES:	19,332.	20,781. (+1,449.)
DIRECT TAXES:	19,267.	Payroll & 21,546. (+2,279.)
		Property
WITHHOLDING TAXES:		
#59 & 60	87,189.	none shown
REPAYMENT OF LOANS:	680.	-0-
OTHER PURPOSES:		
Convent	5,121.	Convention
Negotiation	7,098.	Negotiation
	12,219.	3,372.
		7,273.
Also shown:		10,645 (-1,574)
Deduct (Emp Pen.	3,694)	
from (MPTF-Emp.	1,193.)	
Emp. (Insurance	65.)	
	17,171.	

OFFICE & ADMINISTRATIVE EXPENSE:

142,363.

Other Operating Exp. 235,490.

The nearest I could come to the LM-2 figure was 147,328. A difference of 4,965. It is very difficult to reconcile the expenses without more detailed information.

The LM-2 is mainly interested in actual cash received and paid out.

Depn & amortization of 16,872. is not listed. And if the uncollectible membership dues is taken into consideration I would not know. It comes to 14,250. Also, I don't know if this was actual or not. If this is the amount charged off, I would think it would be deducted from the dues income received.

BALANCE SHEETCASH:

50TH ANNIVERSARY A/C - \$6,776.00

(DOES THIS REPRESENT WHAT WAS LEFT OF THE \$30,000 ALLOTTED BY THE BOARD?

OR IS THIS THE MONEY PAID IN BY THE OTHER LOCALS AND ADVERTISERS FOR THE ANNIVERSARY BOOK WHICH HAS NEVER BEEN PRINTED?

I THINK THE BUDGET AND FINANCE COMMITTEE SHOULD HAVE A FINANCIAL STATEMENT ON THE INCOME AND EXPENSE INVOLVED WITH THE ANNIVERSARY PARTY.)

PACIFIC S & L: END OF YEAR SHOWED 40,080. NOT LISTED ON 6-30-82.

WAS THIS ACCOUNT CLOSED OUT? WHY DOES 30,000.00 SHOW AS INCOME ON BKKPR'S SHEET? ALSO, I PRESUME THE 1,773.00 IN INDEPENDENCE BANK WAS CLOSED OUT TO SPEND? WHERE DID THE 10,080.00 GO FROM PACIFIC???

+ + + + +

DREYFUS FUND: \$9,531.00 -- WHAT INTEREST RECEIVED ON THIS AND WHY AND WHERE WAS IT OPENED?

+ + + + +

<u>NOTES RECEIVABLE;</u>	CURRENT PORTION . . .	89,750.00
	LONG TERM NOTES . . .	<u>26,699.00</u>
		116,449.00

(ARE NOTES RECEIVABLE FOR THE BALANCE DUE ON INITIATION FEES AND RECLASSIFICATION FEES? WHY ARE THERE LONG TERM NOTES?
WHAT MEMBERS ARE ENTITLED TO THIS?
HAS ANY CASH BEEN LOANED TO MEMBERS?

ON NOTE 3 AS OF 6-30-82 THERE IS AN ALLOWANCE FOR DOUBTFUL NOTES REC. IN THE AMOUNT OF \$12,563.00.

IS THIS AMOUNT DETERMINED ON A % BASIS OR IS IT ACTUAL?

I ASSUME MEMBERS' NOTE BALANCES WHICH ARE WRITTEN OFF BELONG TO THOSE MEMBERS WHO HAVE BEEN EXPELLED, RIGHT?

I FEEL THE B & F COMMITTEE SHOULD BE GIVEN A LIST OF THOSE MEMBERS WHOSE NOTES HAVE BEEN WRITTEN OFF FOR THE YEARS

'82, '81, & '80.

ASSETS: CONTINUED

ACCOUNTS RECEIVABLE: (DUES) \$7,863.00

IS THIS AMOUNT DUE ON THE CURRENT APRIL, '82 QUARTER? OR DOES IT GO BACK SEVERAL QUARTERS. WE SHOULD BE GIVEN A LIST ON WHO OWES WHAT ON MEMBERSHIP DUES AND FOR HOW LONG.

PREPAID EXPENSES: \$4,471.00

I ASSUME THE BULK OF THIS IS PREPAID INSURANCE, CORRECT?

THESE AMOUNTS SHOULD BE IDENTIFIED.

OTHER ASSETS:

LONG TERM TRUST (SALE OF BLDG) - \$262,483.00

SELLING PRICE . . . 338,500.
TRUST DEED . . . 262,483.

76,017.

BOOKKEEPERS RECAP SHOWS 63,807. AS MONEY RECD.

WHERE DID THIS GO \$ 12,209.

WHAT WAS THE COST OF THE SALE OF THE BUILDING? SUCH AS COMMISSIONS AND ESCROW CHARGES. (WHO SOLD BLDG AND GOT COMMISSION)

BLDG SOLD FOR - 338,500.00
PURCHASED FOR - 315,315.00
23,185.00 GAIN ON SALE. WHERE DO THEY GET 31,304.00???

PROPERTY & EQUIPMENT;FURN. & EQUIPMENT:

1-1-82 SHOWED \$42,698. 6-30-82 SHOWS 55,252. A PLUS OF 12,554.

WHAT WAS PURCHASED IN '82?

LEASEHOLD IMPROVEMENTS:

1-1-82 SHOWED 15,677. 6-30-82 SHOWS 20,673. A PLUS OF 4,996.

WHAT WAS IMPROVED?

LIABILITIES;NOTES PAYABLE;GIBRALTOR - \$54,329.00 AT 15.75%

(YOU SHOW 62,029 AS THE BALANCE AT GIBRALTOR UNDER ASSETS.
WAS THIS 54,329 BORROWED AGAINST OUR SAVINGS ACCOUNT WITH
GIBRALTOR? WHAT RATE OF INTEREST IS OUR SAVINGS ACCOUNT
EARNING FOR US?)

ACCRUED EXPENSES; \$19,577.00

I FEEL THIS IS HIGH. CAN'T WE HAVE A LIST ON WHAT MAKES UP
OUR ACCOUNTS PAYABLE.?)

DEFERRED INCOME; \$64,532.00

HOW ABOUT A BREAKDOWN: INITIATION FEES _____

ANNIVERSARY ADVTG: _____

PREPAID INTEREST _____

I.A. PROCESSING FEES PAYABLE; \$5,575.00

IS THIS AN AMOUNT OF MONEY WE OWE THE IA FOR PROCESSING
APPLICATIONS? AT 25.00 PER APPLICATION THIS LOOKS LIKE
223 APPLICATIONS.

REVENUE:

MEMBERSHIP DUES: THERE IS A DIFFERENCE OF \$19,329 BETWEEN THE ACCOUNTANTS'S PAPERS AND BKKPR'S FIGURES. WHY?

ASSESSMENTS OF \$1,001.00 WAS DUE 7/1/82. DOES THIS SHOW IN THE ACCOUNTANT'S BALANCE SHEET AS A LIABILITY?

NOTES RECEIVABLE: \$91,078.00

WHY DOES THIS SHOW AS INCOME ON BKKPRS SHEET. DID THE LOCAL RECEIVE THIS MUCH IN CASH TO APPLY AGAINST NOTES RECEIVALBE?

SHOWING THIS AS INCOME IS VERY CONFUSING. THE WAY I UNDERSTAND IT, THE MONEY REPRESENTED IN NOTES RECEIVABLE WOULD HAVE BEEN RECEIVED AND ENTERED AS INCOME AT A PREVIOUS TIME. ANY MONEY RECEIVED AFTER A NOTE IS SIGNED SHOULD REDUCE THE NOTES REC. FIGURE.

INITIATION FEE DEPOSITS: \$21,425.00

IS THIS INCLUDED IN THE ACCOUNTANT'S BALANCE SHEET AS A LIABILITY? (THIS IS WHY MORE DETAIL SHOULD BE GIVEN TO ANYTHING LUMPED)

PROCESSING FEE DEPOSITS: \$4,475.00 ON BKKPR SHEET.

ACCOUNTANTS BALANCE SHEET SHOWS \$5,575. WHY THE DIFFERENCE?

INVESTED FUNDS: \$11,495 ON BKKPRS SHEET.

WHY ARE INVESTED FUNDS SHOWN AS INCOME? MONEY RECD IS REVENUE AT THE TIME IT IS RECEIVED AND NOT AFTER INVESTED. EXPLAIN.

LOANS PAYABLE: BKKPRS SHEET.

PACIFIC FED. S-L - - -	30,000.00
GIBRALTOR S-L - - -	54,329.00
	<u>84,329.00</u>

WHY IS THIS LISTED AS INCOME ON THE BKKPRS SHEET?

EXCHANGE: \$63,807.15 - EXPLAIN WHAT THIS MEANS.

WE NEED TO KNOW THE BREAKDOWN ON SALE OF BLDG.

COMMENT; BKKPRS SHEET SHOWS TOTAL INCOME FOR SIX MONTHS TO BE 537,036. ACCOUNTANT SHOWS 323,705. WE NEED DETAIL SHOWING WHAT MAKES UP THE DIFFERENCE.

EXPENSES;b6
b7C

STORAGE - - 372.00 - WHERE AND WHY DO THEY NEED IT? (PLENTY OF
EMPTY SPACE IN THE OFFICE)

ADVERTISING - 1,919.00 - FOR WHAT PURPOSE? (DIDN'T KNOW 695
HAD TO ADVERTISE)

BUSINESS MEETINGS - [REDACTED] 1,231.00 - WHY SO HIGH?
619.00 -
(WHY NO EXPENSE FOR [REDACTED] AND BREWER? DONT THEY ATTEND)

COPY MACHINE: 2,670.00 - INCLUDES WHAT?

ACCOUNTANT SHOWS 1,989.00 - HOW COME? ADDTL 1,100.00 FOR JULY QT.

RENT - BKKPR SHOWS 23,624 - ACCOUNTANT SHOWS 21,224.00

A DIFFERENCE OF 2,400.00 - WHY?

(RENT WENT UP 400.00 IN APRIL, WHY?)

TRAVEL EXPENSE - \$9,474.00 - WHO WENT & WHERE?

PER CAPITA: BKKPR SHEET SHOWS - 74,865.00
LESS JULY PURCHASE 12,000.00
62,865.00
ACCOUNTANT SHOWS 58,843.00
4,022.00-- EXPLAIN.

BUSINESS REP. SALARIES:) 87,935.00
)
OFFICE SALARIES:) 63,662.00
CORRESPONDS WITH ACCOUNTANTS
PAPERS.

CASUAL HELP: BKKPRS SHEET - 8,984.00 - WHY & HOW MANY?
(THIS IS THE EQUIVALENT OF ANOTHER PERSON)(3,581.00
VACATION EXPENSE: BKKPR SHEET - 5,561.00 - FOR JULY QT.)

NEED AN EXPLANATION ON THIS. FOR THE JULY QUARTER
BKKPR SHOWS 5,543.00 - SO THIS MAKES OVER 11,000.00
FOR THIS YEAR. ASK IF THIS IS FOR REPLACEMENT ON
PEOPLE WHO GO ON VACATION AND IS IT PAYROLL THAT
WE PAY PAYROLL TAXES ON AND H & W & PENSION?

3779 CAHUENGA BLVD: 2,536.00 - FOR WHAT?

BREWER'S DEFERRED ACCOUNT: 3,811.00 - WHAT IS THIS?
A DEFERRMENT OF WHAT????

LEASEHOLD IMPROVE: 5,466.00 IN JUNE. WHAT WAS DONE?

IA PROCESSING FEE: 1,800.00 - WHY IS THIS AN EXPENSE? DON'T
THE NEW MEMBERS PAY FOR PROCESSING OF THEIR APPLICATION????

PRINTING & STATIONERY: 12,468.00 - ACCT ET. (11,641.00 - BKKPR.)
DIFFERENCE OF 800 - SEEMS VERY HIGH FOR 6 MONTHS. ADDTL 1880.00
FOR JULY QT. W. DOES THIS INCLUDE????

BALANCE SHEET

CASH:

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'82, '81, & '80.

INTERNATIONAL SOUND TECHNICIANS, CINETECHNICIANS
AND TELEVISION ENGINEERS

OF THE

MOTION PICTURE AND TELEVISION INDUSTRIES

LOCAL 695

b6
b7C

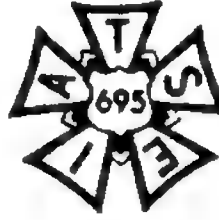
Affiliated with
American Federation of Labor
California State Federation of Labor
Los Angeles Central Labor Council
Second District, I.A.T.S.E.

of the International Alliance of Theatrical Stage Employees
and Moving Picture Machine Operators of the
United States and California

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Business Representative

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P.O. Box 1518
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January 21, 1981

Dear Members:

Greetings and Happy New Year.

During my report to the membership last Saturday, January 17th, the body present recommended that I send to the membership my report on the financial condition of our Union.

The Union currently has \$127,497.00 in liquid assets.

The building we own has a market value of \$490,000.00.

The expenses incurred during the last six months (see enclosed breakdown) has totaled \$451,397.15.

We currently have 2,277 members. This is an increase of 775 new members since the beginning of 1979.

We have experienced a membership growth from the beginning of 1974 of 975 members to the current number of 2,277. This growth will help explain the increased overhead cost.

The Union will save not less than \$13,166.55 by sending only 5 delegates to the District #2 mid-year I.A. Convention coming up in May.

Using a new method of collecting delinquent monies from members who owe on their notes netted \$34,000.00 in the last month. Those members who are still delinquent shall only have a few more weeks before they face removal from their employment.

Major settlements have been \$57,216.72 paid by Mobile Video into the Pension and Health & Welfare Funds.

Settlements made to [redacted] and [redacted] totaled \$36,938.94. Settlements of \$7,000.00 for [redacted] a \$30,897.50 Workman's Compensation settlement for [redacted] \$8,000.00 to [redacted] \$7,000.00 to [redacted] \$4,240.00 to [redacted] \$4,000.00 to [redacted] round out the major settlements paid during the last few months. Please note that these monies went to the respective members. A total of \$196,383.61 was diverted through the grievance settlements to the respective members for the year 1979 to 1980.

Total legal expenses during the last six months have been \$24,462.20. This makes the total legal expenses for 1980 around \$92,871.79.

Because of the accounting office being tied up for the Election and the unavailability of the Auditor during the month of February, the official audit shall take place in March.

I wish to close by thanking you for your time; however this is your money I have been discussing.

Because I am employed as a production mixer and it is next to impossible to reach me by phone during the day, I would request that any questions or ideas you may have, you put in the form of a letter and send to me addressed to the Union office.

Here's hoping for a good year.

Fraternally,

Gene Cantamessa
Treasurer

GC/c

Encs.

May 22, 1982

b6
b7C

Dear Members of Local 695:

The following is a recollection of a last week's discussion with a member of Local 695 relevant to the proposed dues increase. I showed him the 1977 Balance Sheet mailed all members of Local 695 during the first quarter of 1978, pointing out there was approximately \$400,000 in the Union treasury when [redacted] became Business Representative.

[redacted] favored buying a building and renting a much larger office space. [redacted] retorted to my question of whether he had been paying attention to [redacted] spending policy.

"I think [redacted] expanded the office secretarial staff to about nine persons," he continued. [redacted] also wanted a full-time consultant in addition to two Assistant Business Representatives. That kind of operation requires a lot of money."

"Are you aware of what the building cost?" I asked.

He said he heard around \$315,000. He also said he heard Local 695 received a good rental for it. "The building purchase was a very good investment plan of [redacted] for Local 695," he added.

I informed him the building proved to be unrentable for numerous months. Of how the building rental DOES NOT PAY the overhead when considered against what Local 695 lost in interest if the \$315,000 had instead been left invested in C.D.'s.

"Local 695 gained prestige by owning our own building," he countered. "I bet the IA was really impressed."

"The \$315,000 could have instead been invested for the past 3 yrs in a jumbo C.D. paying 20% interest. That compounded interest would now be more than \$200,000. We would now have the \$315,000 plus \$200,000 in interest," I answered.

Hearing Local 695 lost the opportunity to earn more than \$200,000 in interest during the past 3 years sufficiently perked his interest to ask the annual rent for the offices now rented by Local 695.

\$42,000. a year."

"What was the annual rent for the Encino office Local 695 rented in 1977 before [redacted] became our Business Representative?" he asked.

"\$9,315." I answered.

"Why did the Local not move into the building we purchased for \$315,000?"

"Good question." I replied.

"Well, I still bet the other IA Business Representatives are impressed," he remarked defensively. "I bet we even have a much bigger office than the IA Office in New York."

I pointed out that office space is rented by the square foot. "Have you noticed how much unused office space there is where [redacted] is now located?" I asked. He agreed the long and wide inner room appeared to contain much unused square footage Local 695 was now needlessly paying for.

We discussed the foyer where only 2 office secretaries now work in a room big enough to comfortably seat 6 secretaries at their desks. A coffee room with snack refrigerator that in itself contains more square footage than the small office used by the Business Representative when Local 695 was located in the Encino building. The large room between the coffee room

and Board Room that contains only an exercise bicycle and an old Simplex projection machine. The fact is, there is now a separate large office for [] the two Assistant Business Representatives, the consultant, and even several office secretaries.

I asked if he recalled where either Brothers [] and [] had their office when they were Assistant Business Representatives at a time when Local 695 was more cost conscious.

"In the Board Room," he responded, "That was not very impressive."

"They had a desk, a telephone, a filing cabinet and room to comfortably seat a member or group of members. We pay rental on a Board Room by the square foot. Why use it just a few nights a month for Board & Advisory Council meetings or to hold an occasional arbitration. Why not have an Assistant Business Representative use it during most of the unused time as his office?"

"You are forgetting we now employ two Assistant Business Representatives and a consultant."

"We should put one of them in the Board Room," I argued, "I believe an actual measurement of total unused square footage there would approximate at least 40% of the \$42,000 annual rent."

"You say Local 695 had approximately \$400,000 when [] became Business Representative? That money plus the initiation fees and dues we received from all the new members should mean we are in good financial condition doesn't it?" he asked.

"Do not forget the Cinetechnicians were not bankrupt. Local 695 took over their treasury, office equipment and office supplies. That was also plus moneys for Local 695."

"How many new members has Local 695 taken into membership since Jim became Business Representative--not counting Cinetechnicians who paid no initiation fee?" he inquired.

"Perhaps as many as 800."

"That would be \$800,000 in initiation fees if each of them paid say a \$1,000 initiation fee, wouldn't it?"

If they each did pay at least \$1,000," I responded.

He changed the subject to ask if I, as a Board Member, knew why an ANNUAL FINANCIAL STATEMENT was no longer mailed during the first quarter as had always been the practice of Local 695 before Osburn became Business Representative.

It's MAY already and I have not seen any financial statement. WHY?

"The Treasurer and Finance Committee of Local 695 have not advised the Board as yet either."

"What do you mean?" he asked.

"The By-Laws of Local 695 state the Budget & Finance Committee shall meet during the first quarter to evaluate the financial condition of Local 695 and report their financial findings to the Board during the first quarter of the year. I am not the only Board member upset by the reason Local 695 no longer complies with its own By-Laws in light of the present proposed dues increase."

I brought up the subject of the money Local 695 did have invested in CD's when [] became Business Representative. I told him Board Member [] during the May 4th. 1982 Board Meeting, demand to know what

amount of money Local 695 now has invested in CD's,"

"How much did [] say?" he asked.

[] said all the CD's were gone. The look on Board Member [] face indicated to me his amazement at hearing this."

His mentioning of his opinions that Board Members should continually be provided exact and current information on the financial condition of Local 695 caused me to explain what happened during the May 4th Board Meeting after [] presented to the Board two separate financial documents.

One document showed an amount of \$634,609 that was purported by [] to be the 1982 operating budget for Local 695. The other document showed an amount of \$758,481 as the 1981 revenue for Local 695.

I interpreted, on a financial basis, the 1982 budget of \$634,609 and the 1981 revenue to be a plus money factor of \$124,872. I did not understand why any dues increase was being proposed if Local 695 took in \$124,872 more money in 1981 than the operating budget of 1982.

I believe this should be immediately pointed out to the other Board members before we further discuss a dues increase. Board [] said he would not allow me to at that time mention this point. I challenged his ruling. A majority of the Board Members agreed with []. My challenge was defeated on what I consider my point of then utmost importance to a dues increase determination by the Board of Local 695.

This Board Meeting of such importance as a dues increase was not well attended. I have since spoken with Board Member [] Brother [] told me he was not even informed by the Union Office of the May 4th, 1982 Board Meeting to discuss a dues increase. WHY?

I now wonder if any other Board Member was not informed by the Union Office of the dues increase meeting. Perhaps that question will be answered during today's Membership Meeting by an open polling of the Board Members present by President Wilson to determine if any Board Members other than Brother Hodges did not receive Board Meeting notification from the Union.

I also believe the following issues must be allowed by [] to be fully discussed during the Membership Meeting:

FIRST: DOES THE MEMBERSHIP WISH TO CONTINUE THE PRESENT HIGH LEVEL OF UNION EXPENSE INCURRED BY SUCH ITEMS AS CONTINUING TO RENT SUCH OVERLY LARGE AND LUXURIOUS UNION OFFICE SPACE; HIGH EXPENSE SUCH AS SENDING [] ON UNION PAID TRIPS TO LAS VEGAS AND HAWAII; EXPENSES SUCH AS CONTINUING TO HAVE THE TYPE OF CONSULTANT NO OTHER HOLLYWOOD IA LOCAL HAS; EXPENSES SUCH AS TO AGAIN PAY A UNION SALARY TO PRESIDENT WILSON IF HE IS POSSIBLY AGAIN LAID OFF AT UNIVERSAL BECAUSE OF ANOTHER SAG STRIKE, OR FOR ANY OTHER REASON; EXPENSES SUCH AS SENDING TO AN IA CONVENTION AT LOCAL 695 EXPENSE A LOCAL 695 SECRETARY; EXPENSE SUCH AS A BANQUET THAT COST LOCAL 695 THOUSANDS OF DOLLARS, ETC.

IF THE MEMBERSHIP DOES DECIDE TO CONTINUE TO RUN WHAT I CONSIDER A VERY OVERLY AND UNNECESSARY EXPENSIVE OPERATION, I PROPOSE A DISCUSSION OF THE FOLLOWING ISSUES BY THE MEMBERSHIP:

1. WHAT WILL IT COST TO CONTINUE IN THE FUTURE IN THIS FINANCIAL MANNER?
2. SHOULD ANY DUES INCREASE BE RUSHED INTO RIGHT NOW INSTEAD OF BEING MORE DEMOCRATICALLY PUT TO THE ENTIRE MEMBERSHIP BY A

MAIL REFERENDUM VOTE BECAUSE NUMEROUS AND VERY INTERESTED MEMBERS ARE UNABLE TO ATTEND TODAY'S MEETING BECAUSE OF WORK OR EXTENUATING CIRCUMSTANCES.

3. SHOULD ANY UNION SECRETARY FOR LOCAL 695 BE PAID AN ANNUAL SALARY OF MORE THAN \$20,000 AT THIS TIME?
4. DOES THE MEMBERSHIP OF LOCAL 695 WISH TO CONTINUE TO PAY THE SALARY OF A FULL TIME CONSULTANT? A TERM THAT NO WHERE APPEARS IN OUR BY-LAWS.

I have been told Local 695 requires so much secretarial help because of the time required to bill the members and open return mail to receive dues.

I propose for your consideration the following situation based on five office secretaries and 2,200 members. A situation ratio of one secretary for each 440 members. Each secretary working a 40-hour week. The time frame to be for 13 weeks a quarter of the four dues quarters in a year. One of the five secretaries taking as long as 5 minutes for each quarter billing of each group of 440 members. 5 minutes x 440 members = 2200 minutes. Divide this by 60 minutes and it equals 36.6 hours per quarter. I shall round off the 36.6 hours to 40 hours to give Osburn the benefit of any doubt.

Subtract 40 hours from the three-month quarter hours of 520 hours. It leaves 480 hours for that secretary to answer a phone call, type an office letter, make coffee or whatever else [redacted] directs done. Does any member believe it would require more than five minutes for a secretary to stuff a billing envelope and open the return envelope to take out the dues money each quarter?

If so, make it 10 minutes. 10 minutes x 440 members = 4400 minutes divided by 60 minutes = 73.3 hours. Round the 73.3 hours off to 75 hours. 75 hours subtracted from 520 hours each quarter leaves 445 hours for answering a telephone, etc.

I believe numerous out-of-work members would be most pleased to be paid \$25,000 annually to sit for 8 hours a day in the Union Office just answering the telephone.

[redacted] also presented to the Board during the May 4th, 1982 meeting a financial statement to supposedly show how the cost of living has increased during the time he has been Business Representative. Obviously done to have the Board Members think the Cost of Living figures were an actual approximation of how Union costs went up.

I consider that a misleading opinion. The government Cost-of-Living Index primarily jumps up on the inflationary costs of housing, building materials, cars, food and borrowed money interest rates. None of which bears meaningful relations to Local 695 operating expenses.

Of course, mailing costs went up and the price of a typewriter, ink, pencils and paper. Such union operating costs have not gone up in a manner to justify, in my opinion, the fact [redacted] appears unable to now operate the Union on only 1981 revenue of \$759,481.

Of course, an increase of salaries is reflected in the government Cost-of-Living Index. But, hardly so in the case of filmcraft workers of Local 695.

The last contract wage negotiated by [redacted] for film Sound Technicians was a miniscule .04%, .04%, and .04% for a Y-1.

HOW MANY MEMBERS OF LOCAL 695 EARN THE MORE THAN \$70,000 [] IS [] b6 b7C
PAID ANNUALLY?

THE 1981 REVENUE WAS \$759,481. [] RECEIVES APPROXIMATELY 10% OF THAT IN SALARY. [] ALSO RECEIVES MORE THAN \$2,000 A YEAR IN EXPENSE MONEY. LOCAL 695 ALSO PAYS HIS CAR INSURANCE, MEDICAL INSURANCE AND UNION DUES.

I, IF ALLOWED BY [] SHALL PROPOSE THE FOLLOWING MOTION FOR YOUR VOTE DURING TODAY'S MEMBERSHIP MEETING:

1. NO DUES INCREASE DECISION UNTIL A SEVEN-PERSON COMMITTEE, APPOINTED BY THIS MEMBERSHIP MEETING, SHALL EVALUATE THE NOW SPENDING POLICY OF LOCAL 695 AND REPORT THEIR FINDINGS TO THE MEMBERSHIP.
2. THAT LOCAL 695 SHOULD FROM THIS DAY FORWARD FUNCTION WITH A BUSINESS REPRESENTATIVE, TWO ASSISTANT BUSINESS REPRESENTATIVES, AND ONLY THE ABSOLUTELY REQUIRED OFFICE PERSONNEL. THERE WILL NO LONGER BE A CONSULTANT ON THE LOCAL 695 PAYROLL.
3. THAT THE UNION OFFICE MAIL TO EVERY MEMBER OF LOCAL 695 A FULL 1981 FINANCIAL STATEMENT, INCLUDING A BALANCE SHEET, A PROFIT & LOSS STATEMENT, ACCOUNTS RECEIVABLE STATEMENT, NOTES RECEIVABLE STATEMENT, AND ACCOUNTS PAYABLE STATEMENT, AND DETAILING WHAT MONEYS WERE PAID TO WHAT INDIVIDUALS, ON OR OFF PAYROLL. THIS INFORMATION TO BE MAILED TO THE MEMBERSHIP NO LATER THAN MAY 28, 1982, SO ALL OF THE MEMBERSHIP WILL HAVE THE PROPER FINANCIAL DATA FOR CONSIDERATION OF A DUES INCREASE.
4. THAT THE LOCAL 695 BUDGET AND FINANCE COMMITTEE FORTHWITH MEET AND BE PROVIDED ALL LOCAL 695 PERTINENT AND UP-TO-DATE FINANCIAL INFORMATION. THAT THE TREASURER OF LOCAL 695, AS SOON AS REASONABLY POSSIBLE, REPORT THEIR DUES RECOMMENDATION, OR NOT, AND REASONS THEREFORE BY MAIL TO EVERY MEMBER OF LOCAL 695.

I believe [] will again allow [] to make numerous political speeches during today's Membership Meeting. The crucial subject to all members of a dues increase should be restricted to only pertinent dues issues.

Glance at your wristwatch from time to time. Note how long [] allows [] and advocates of the dues increase to speak. Note how much time [] allows to members who today question the now spending policies of [] and a dues increase.

Fraternally yours,


John L. Coffey
Member, Local 695

JLC:009

FEDERAL BUREAU OF INVESTIGATION
FOI/PA
DELETED PAGE INFORMATION SHEET
Civil Action# 07-3240

Total Deleted Page(s) = 35

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Page 2 ~ b6; b7C;
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RECORD REQUEST
LA-40 (Rev. 5-5-77)

Date 10/24/89

COMPUTERIZED INFORMATION CHECK

Identifying ☒ Data Traffic ☒ Data Registered ☒ Vehicle Data Local ☒ Criminal Data State ☒ Criminal Data NCIC ☒ Wanted/ Missing Persons State ☒ Criminal History Computerized ☒ Criminal History (NCIC)

OUTSIDE AGENCY CHECK

☐ Birth ☐ Credit ☐ Criminal ☐ Death ☐ INS ☐ Marriage ☐ Motor Vehicle ☒ Other Photo
☐ Teletype Room ☐ Driver's License

To To the [redacted] Fuded
Return [redacted] File number 156B-LA-103431

Name and address of subject, applicant, or employee, and spouse
Vehicle License, Vehicle Identification Number

OC-1
ROY BREWER
4230 JUBILO DR.
TARZANA (LA)

Addresses
Residence
Business
Former

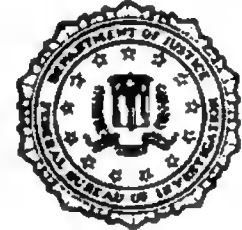
*Date and place of marriage
(if applicable)

156B-LA-103431-5

REP

Memorandum

b6
b7C



To : SAC, LOS ANGELES (156B-LA-103431) (OC-1) P*Date 1/10/90


From : SA 

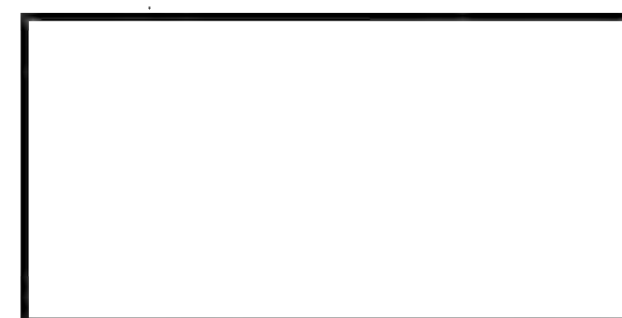
Subject: ROY BREWER;
ET AL;
00: Los Angeles

This matter was opened upon various allegations of illegal activities involving the INTERNATIONAL ALLIANCE OF THEATRICAL and STAGE EMPLOYEES (IATSE). As presented by complainants, it appears that most of the allegations do not fall within the framework of those violations allocated to the FBI, or took place at a time tolling the Statute of Limitations.

DEPARTMENT OF LABOR (DOL) investigators have been apprised of those violations which appear to belong to DOL. However, one area of possible FBI interest concerns an alleged illegal \$114,000 transfer of union funds to former union official, ROY BREWER. This area warrants further investigation when Squad OC-1 investigative resources and time allow.

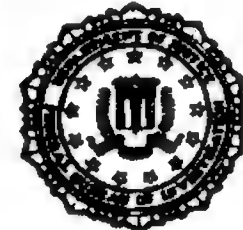
In view of the above, and in view of case agent's time constraints imposed by trial preparation and further investigation in LA matter 194D-365, it is recommended that this matter be placed in a "pending inactive" status. It is further recommended that Squad OC-1 Supervisor review the status of this matter at his discretion regarding reactivation.

 RLL/BAR
(1)



12m
Talk to
M IATSE.

Memorandum



b6
b7C

To : SAC, LOS ANGELES (156B-LA-103431) (OC-1) Date 2/26/90

From : SA [REDACTED]

Subject: ROY BREWER;
ET AL;
OO: Los Angeles

Re memo of SA [REDACTED] dated 1/10/90.

After review of captioned matter, it appears that there is no LCN involvement in this matter, no LCN involvement or references. There does appear that an embezzlement may have occurred.

The new Office of Labor Racketeering (OLR) regional office is looking for routine union embezzlement cases in the Los Angeles area. Due to the current OC-1 case load and commitment to major case work, it is recommended this matter be turned over to OLR.

On 2/26/90, SA [REDACTED] OLR, Los Angeles, was notified and briefed on captioned matter. SA [REDACTED] said his office would investigate captioned matter.

In view of the above, it is recommended this case be disseminated to OLR and closed.

TGG/BAR
(1)

Close
2/28/90
KCP
2/27/90

156B-LA-103431 9

SEARCHED	INDEXED
SERIALIZED	FILED
FEB 27 1990	
KCP	

(01/26/1998)

b6
b7C

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 12/10/1998

To: Los Angeles

From: Los Angeles

C-15

Contact: SA [REDACTED]

gib
Approved By: [REDACTED]

Drafted By: [REDACTED]

Case ID #: 156B-LA-103431 (Pending)

Title: ROY BREWER

ET AL

EMPLOYEE RETIREMENT INCOME SECURITY ACT

OO:LA

Synopsis: Evidence retention/destruction.

Details: Captioned case is in a closed status. All evidence can be disposed of in a manner set forth by FBI rules and regulations.

◆◆

156B-LA-103431-17

34424106, EC

LWL

(01/26/1998)

b2
b6
b7C

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 12/15/1998

To: Los Angeles

From: Los Angeles

C-15

Contact: SA [REDACTED]

Approved By: [REDACTED]

MM
Drafted By: [REDACTED]

Case ID #: 156B-LA-103431 (Closed)

Title: ROY BREWER ET AL
EMPLOYEE RETIREMENT INCOME SECURITY ACT
OO:LA

Synopsis: Inability to locate evidence item 1B1.

Details: On December 9, 1998, SA [REDACTED] attempted to locate item 1B1 of captioned case, one video film VHS. SA [REDACTED] was unable to do so. SA [REDACTED] reviewed the file and found no green sheet or reference to the acquisition of the video. A check of green sheets at the Bulky offsite failed to produce the appropriate document. SA [REDACTED] case agent of the administratively closed case did not recall seizing or obtaining a video. A computer check showed the item checked out to SA [REDACTED] [REDACTED] is retired and does not recall taking possession of the tape. Whatever the disposition there has been no claim for the video. The matter should be deleted from the computer as apparently it was an inadvertent entry.

Q

♦♦

Copy ROUTED TO

[REDACTED]

AT

Bulky.

u

156B-LA-103431-11

24

349RMH02.EC

u

FEDERAL BUREAU OF INVESTIGATION
FOI/PA
DELETED PAGE INFORMATION SHEET
Civil Action# 07-3240

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AX

DO NOT
DESTROY

FOIPA # 1049408

161B-7785

161-15392

b6
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Third Party

7-1-85

3-31-81

Standard Form 86
AUGUST 1964
U.S. CIVIL SERVICE COMMISSION
(F.P.M. CHAPTER 736)
86-107

SECURITY INVESTIGATION DATA
FOR SENSITIVE POSITION

CASE SERIAL NO. (CSC use only)

49

INSTRUCTIONS.—Prepare in triplicate, using a typewriter. Fill in all items. If the answer is "No" or "None," so state. If more space is needed for any item, continue under item 28.

1. FULL NAME (Initials and abridgements of full name are not acceptable. If no middle name, show "(NMN)"; if initials only, show "(no given or middle name)"	(LAST NAME) Ellingwood (FIRST NAME) Herbert (MIDDLE NAME) Eugene	2. DATE OF BIRTH March 5, 1931
OTHER NAMES USED. (Maiden name, names by former marriages, former names changed legally or otherwise, aliases, nicknames, etc. Specify which, and show dates used.) None		3. PLACE OF BIRTH Ordway, Colorado
		4. <input checked="" type="checkbox"/> MALE <input type="checkbox"/> FEMALE
		5. HEIGHT 5'10"
		WEIGHT 180
		COLOR EYES Blue
		COLOR HAIR Blond

6. <input type="checkbox"/> SINGLE <input checked="" type="checkbox"/> MARRIED <input type="checkbox"/> WIDOW(ER) <input type="checkbox"/> DIVORCED	7. IF MARRIED, WIDOWED, OR DIVORCED, GIVE FULL NAME AND DATE AND PLACE OF BIRTH OF SPOUSE OR FORMER SPOUSE. INCLUDE WIFE'S MAIDEN NAME. GIVE DATE AND PLACE OF MARRIAGE OR DIVORCE. (Give same information regarding all previous marriages and divorces.) Audrey Alice Kesler; Cass, West Virginia; August 19, 1932; married April 13, 1957, Baltimore, Maryland
--	---

8. DATES AND PLACES OF RESIDENCE. (If actual places of residence differ from the mailing addresses, furnish and identify both. Begin with present and go back to January 1, 1937. Continue under item 28 on other side if necessary.)

FROM	TO	NUMBER AND STREET	CITY	STATE
8/79	Present	3523 Buckskin Court	Rocklin	California
4/74	8/79	7595 Auburn Folsom Road	Loomis	California
9/73	3/74	235 Macario Ct, #3	Roseville	California
6/73	9/73	2751 Paseo Rio Way	Sacramento	California
6/67	5/73	5401 Shelley Way	Carmichael	California
1/67	6/67	Various Apartments	Sacramento	California
1961	1966	18349 Almond Road	Castro Valley	California
1957	1960	41241 Roberts Avenue	Fremont	California
1942	1957	2 Maher Road	Watsonville	California
1937	1942	101 Highway	Aromas	California

9.	<input checked="" type="checkbox"/> BY BIRTH <input type="checkbox"/> NATURALIZED	ALIEN REGISTRATION NO.	DATE, PLACE, AND COURT
<input checked="" type="checkbox"/> U.S. CITIZEN	CERT. NO.	PETITION NO.	
	<input type="checkbox"/> DERIVED-PARENTS CERT. NO(S).		
<input type="checkbox"/> ALIEN	REGISTRATION NO.	NATIVE COUNTRY	DATE AND PORT OF ENTRY

10. EDUCATION. (All schools above elementary.)

NAME OF SCHOOL	ADDRESS	FROM (Year)	TO (Year)	DEGREES
Salinas Union High School	Salinas, Calif.	1945	1949	Diploma
Yale University	New Haven, Conn.	1949	1953	BA NH
Stanford Law School	Stanford, CA	1957	1960	LLB SF

161B-7785-1
SEARCHED INDEXED
SERIALIZED FILED
SL/BR

11. THIS SPACE FOR FBI USE. (See also item 29.)	12. SOCIAL SECURITY NUMBER 726 09 4567
	13. MILITARY SERVICE (Past or present)
	SERIAL NO. (If none, give grade or rating at separation)
	BRANCH OF SERVICE (Army, Navy, Air Force, etc.)
	FROM (Yr.)
	TO (Yr.)
	First Lt.
	Army
	1953
	1956

b6

b7C

Third Party.

14. HAVE YOU EVER BEEN DISCHARGED FROM THE ARMED FORCES UNDER OTHER THAN HONORABLE CONDITIONS? ☐ YES ☒ NO.
(If answer is "Yes," give details in item 28.)

15. EMPLOYMENT. (List ALL employment dates starting with your present employment. Give both month and year for all dates. Show ALL dates and addresses when unemployed. Give name under which employed if different from name now used.)

FROM	TO	NAME OF EMPLOYER (Firm or agency) AND SUPERVISOR (Full name, if known)	ADDRESS (Where employed)	TYPE OF WORK	REASON FOR LEAVING
7/1979	Present	Caldwell & Toms	812 J Street Sacramento, CA	Attorney	Appointment SC
1/1975	6/79	California Attorney General	555 Capitol Mall Sacramento, CA	Attorney	Private Practice
2/69	12/74	California Governor	State Capitol Sacramento, CA	Attorney	Elections
11/66	1/69	State Bar of California	455 Capitol Mall Sacramento	Legislative Representative	To Governor's Office
6/60	10/66	District Attorney Alameda County	Courthouse, Oakland, CA	Attorney	SF To State Bar

16. HAVE YOU EVER BEEN DISCHARGED (FIRED) FROM EMPLOYMENT FOR ANY REASON? ☐ YES ☒ NO.

17. HAVE YOU EVER RESIGNED (QUIT) AFTER BEING INFORMED THAT YOUR EMPLOYER INTENDED TO DISCHARGE (FIRE) YOU FOR ANY REASON? ☐ YES ☒ NO.
(If your answer to 16 or 17 above is "Yes" give details in item 28. Show the name and address of employer, approximate date, and reasons in each case. This information should agree with the statements made in item 15—EMPLOYMENT.)

18. HAVE YOU EVER BEEN ARRESTED, TAKEN INTO CUSTODY, HELD FOR INVESTIGATION OR QUESTIONING, OR CHARGED BY ANY LAW ENFORCEMENT AUTHORITY?
(You may omit: (1) Traffic violations for which you paid a fine of \$30 or less; and (2) anything that happened before your 16th birthday. All other incidents must be included, even though they were dismissed or you merely forfeited collateral.) ☐ YES ☒ NO.

IF YOUR ANSWER IS "YES," GIVE FULL DETAILS BELOW:

DATE	CHARGE	PLACE	LAW ENFORCEMENT AUTHORITY	ACTION TAKEN
------	--------	-------	------------------------------	--------------

D.

THIS

(SIGNATURE AND TITLE OF AUTHORIZED AGENCY OFFICIAL)

Standard 1

AUGUST 1964
U.S. CIVIL
(F.P.M. CM)

YOU EVER HAD A NERVOUS BREAKDOWN OR HAVE YOU EVER HAD MEDICAL TREATMENT FOR A MENTAL CONDITION? ☐ YES ☒ NO.
 (If answer is "Yes," give details in item 28.)

IN

FOREIGN COUNTRIES VISITED (SINCE 1930). (Exclusive of military service.)

COUNTRY

DATE LEFT U.S.A.

DATE RETURNED U.S.A.

PURPOSE

See attachment A

A X

21. ARE YOU NOW, OR HAVE YOU EVER BEEN, A MEMBER OF THE COMMUNIST PARTY, U.S.A., OR ANY COMMUNIST OR FASCIST ORGANIZATION? ☐ YES ☒ NO.

22. ARE YOU NOW OR HAVE YOU EVER BEEN A MEMBER OF ANY FOREIGN OR DOMESTIC ORGANIZATION, ASSOCIATION, MOVEMENT, GROUP, OR COMBINATION OF PERSONS WHICH IS TOTALITARIAN, FASCIST, COMMUNIST, OR SUBVERSIVE, OR WHICH HAS ADOPTED, OR SHOWS, A POLICY OF ADVOCATING OR APPROVING THE COMMISSION OF ACTS OF FORCE OR VIOLENCE TO DENY OTHER PERSONS THEIR RIGHTS UNDER THE CONSTITUTION OF THE UNITED STATES, OR WHICH SEEKS TO ALTER THE FORM OF GOVERNMENT OF THE UNITED STATES BY UNCONSTITUTIONAL MEANS? ☐ YES ☒ NO.

23. IF YOUR ANSWER TO QUESTION 21 OR 22 ABOVE IS "YES," STATE THE NAMES OF ALL SUCH ORGANIZATIONS, ASSOCIATIONS, MOVEMENTS, GROUPS, OR COMBINATIONS OF PERSONS AND DATES OF MEMBERSHIP. IN ITEM 28 OR ON A SEPARATE SHEET TO BE ATTACHED TO AND MADE A PART OF THIS FORM, GIVE COMPLETE DETAILS OF YOUR ACTIVITIES THEREIN AND MAKE ANY EXPLANATION YOU DESIRE REGARDING YOUR MEMBERSHIP OR ACTIVITIES.

NAME IN FULL

ADDRESS

FROM

TO

OFFICE HELD

None

24. MEMBERSHIP IN OTHER ORGANIZATIONS. (List all organizations in which you are now a member or have been a member, except those which show religious or political affiliations.) (If none, so state.)

NAME IN FULL

ADDRESS

TYPE

FROM

TO

OFFICE HELD

See attachment B

25. RELATIVES. (Parents, spouse, divorced spouse, children, brothers, and sisters, living or dead. Name of spouse should include maiden name and any other names by previous marriage. If person is dead, state "dead" after relationship and furnish information for other columns as of time of death.)

RELATION	NAME IN FULL	YEAR OF BIRTH	ADDRESS	COUNTRY OF BIRTH	PRESENT CITIZENSHIP
Father	Harry Clifford Ellingwood	1900	Deceased	U. S.	Yes
Mother	Edith Arleene Ellingwood	1900	1763 GreenValleyRD Watsonville, CA	U. S.	Yes
Wife	Audrey Alice Kesler	1932	3523 Buckskin Ct Rocklin, CA	U. S.	Yes
Son	Edwin Bruce Ellingwood	1963	3523 Buckskin Ct Rocklin, CA	U. S.	Yes
Son	Thomas Barry Ellingwood	1967	3523 Buckskin Ct Rocklin, CA	U. S.	Yes
Sister	Juanita Ellingwood	1924	Deceased	U. S.	Yes
Sister	Pauline Nelson	1926	792 Echo Valley Rd Salinas, CA	U. S.	Yes
Sister	Mildred Chapman	1928	1763 GreenValleyRd Watsonville, CA	U. S.	Yes
Brother	Al Ellingwood	1935	1000 Highway 101, Aromas, CA	U. S.	Yes
Brother	Wayne Ellingwood	1938	P.O.Box 505, Roodepoort Tvl 1725 South Africa	U. S.	Yes

26a. REFERENCES. (Name three persons, not relatives or employers, who are aware of your qualifications and fitness.)

NAME IN FULL	HOME ADDRESS	BUSINESS ADDRESS	YEARS KNOWN
Rev. Orville Easterly	1041 Audrey Way, Roseville	202 Bonita Ave. Roseville, CA	7
Walter Hanson	4633 Whitney Avenue, Sacramento, CA		20
Steven Merksamer	4700 Olive Oak Way, Carmichael	555 Capitol Mall	15

sc
sc

26b. CLOSE PERSONAL ASSOCIATES. (Name three persons, such as friends, schoolmates or colleagues, who know you well.)

NAME IN FULL	HOME ADDRESS	BUSINESS ADDRESS	YEARS KNOWN
Peter DeMauro	142 Gold Oak Circle, Folsom, CA	555 Capitol Mall Sacramento	6
Flo Snyder	326 Rio Grande, Sacramento, CA	555 Capitol Mall Sacramento	6
Kay Eldridge	3790 Oakdale Ct, Rocklin, CA	812 J Street, Sacramento	12

27. TO YOUR KNOWLEDGE, HAVE YOU EVER BEEN THE SUBJECT OF A FULL FIELD OR BACKGROUND PERSONAL INVESTIGATION BY ANY AGENCY OF THE FEDERAL GOVERNMENT? ☒ YES ☐ NO (If your answer is "Yes," show in item 28, (1) the name of the investigating agency (2) the approximate date of investigation, and (3) the level of security clearance granted, if known.)

28. SPACE FOR CONTINUING ANSWERS TO OTHER QUESTIONS. (Show item numbers to which answers apply. Attach a separate sheet if there is not enough space here.)

#27 - Counter Intelligence, 1954; top secret

29. REPORT OF INFORMATION DEVELOPED. (This space reserved for FBI use.)

DATE:

Before signing this form check back over it to make sure you have answered all questions fully and correctly.

CERTIFICATION

I CERTIFY that the statements made by me on this form are true, complete, and correct to the best of my knowledge and belief, and are made in good faith.

False statement on this form
is punishable by law.

3/11/81
(DATE)

Walter E. Merksamer
(SIGNATURE—Sign original and first carbon copy)

INFORMATION TO BE FURNISHED BY AGENCY

INSTRUCTIONS TO AGENCY: See Federal Personnel Manual Chapter 736 and FPM Supplement 296-31, Appendix A, for details on when this form is required and how it is used. If this is a request for investigation before appointment, insert "APPL" in the space for Date of Appointment and show information about the proposed appointment in the other spaces for appointment data. The original and the first carbon copy should be signed by the applicant or appointee. Submit the original and the unsigned carbon copy of the form, Standard Form 87 (Fingerprint Chart), and any investigative information about the person received on voucher forms or otherwise, to the United States Civil Service Commission, Bureau of Personnel Investigations, Washington, D.C., 20415. If this is a request for full field security investigation, submit these forms to the attention of the Division of Reimbursable Investigations; if this is a request for preappointment national agency checks, submit these forms to the attention of the Control Section.

RETAIN THE CARBON COPY OF STANDARD FORM 86 (SIGNED BY THE APPLICANT OR APPOINTEE) FOR YOUR FILES

DATE OF APPOINTMENT	TYPE OF APPOINTMENT <input type="checkbox"/> EXCEPTED <input type="checkbox"/> COMPETITIVE. (Include indefinite and temporary types of competitive appointments.)	CIVIL SERVICE REGULATION NUMBER OR OTHER APPOINTMENT AUTHORITY	TITLE OF POSITION AND GRADE OR SALARY
DEPARTMENT OR AGENCY	DUTY STATION	SEND RESULTS OF PREAPPOINTMENT CHECK TO:	
THIS IS A SENSITIVE POSITION			
(SIGNATURE AND TITLE OF AUTHORIZED AGENCY OFFICIAL)			

Question 24:

Membership in Other Organizations:

	Type	From - To	Office Held
State Bar of California 555 Franklin St., San Francisco	Professional	1960 to Present	SF Various Offices
American Bar Association 1800 M Street, N.W. Washington DC	"	1960 to Present	"
Sacramento County Bar Association 901 H Street, Sacramento, CA	"	1967 to Present	None
Placer County Bar Assn. 730 Sunrise Avenue, Roseville	"	1974 to Present	None
Christian Legal Society P. O. Box 2069, Oak Park, Ill.	"	1967 to Present	National President
Chabot College 2555 Hesperian Blvd., Hayward, CA	Education	Circa 1965	Instructor
Calif. Specialized Training Institute	Education	1972-1974	Member, Academic Bd.
Oakland Junior Chamber of Commerce Oakland, CA	Civic	1960-1967	Various Committees
Alpha Foundation 22556 Gilmore St., Canoga Park, CA	Education	1972 - Present	Director
Institute of Continuing Studies, California State University Sacramento, CA	"	1976 - Present	Member, Advisory Bd.
California Junior Statesmen of America Foundation 480 California Ave., Palo Alto CA	Education	1964 - Present	Various Offices

FBI Release

AUTHORITY TO RELEASE INFORMATION

TO WHOM IT MAY CONCERN:

I hereby authorize any Special Agent or other authorized representative of the Federal Bureau of Investigation bearing this release, or copy thereof, within one year of its date, to obtain any information in your files pertaining to my educational records including, but not limited to, academic, achievement, attendance, athletic, personal history, and disciplinary records; employment reports; and credit records. I hereby direct you to release such information upon request of the bearer. This release is executed with full knowledge and understanding that the information is for the official use of the Federal Bureau of Investigation. Consent is granted for the FBI to furnish such information, as is described above, to third parties in the course of fulfilling its official responsibilities. I hereby release you, as the custodian of such records, and any school, college, university, or other educational institution, credit bureau or consumer reporting agency, including its officers, employees, or related personnel, both individually and collectively, from any and all liability for damages of whatever kind, which may at any time result to me, my heirs, family or associates because of compliance with this authorization and request to release information, or any attempt to comply with it. Should there be any question as to the validity of this release, you may contact me as indicated below:

Full Name: Herbert E. Ellingwood
Signature

Full Name: Herbert E. Ellingwood
Type or Print Name

Parent/Guardian (if required): _____

Date: 3/10/81

Current Address: 3523 Buckskin Court, Rocklin, CA 95677

Telephone Number: (916) 624-4916

b3

Airtel

3/27/81

Director, FBI

SAC, Washington Field - Enc. 3

Alexandria - Enc. 2

Baltimore - Enc. 2

New Haven - Enc. 2

Sacramento - Enc. 2

San Francisco - Enc. 2

St. Louis - Enc. 2

SEARCHED THROUGH
CONFIDENTIAL INDICES

HERBERT EUGENE ELLINGWOOD

SPECIAL INQUIRY

BUDED: APRIL 8, 1981 (B)

Bureau has been requested to conduct investigation of Ellingwood who is being considered for White House staff position. Born March 5, 1931, Ordway, Colorado, and resides 3523 Buckskin Court, Rocklin, California. SF-86 and records release enclosed.

b3

Sacramento, as principal office, insure at least twenty persons knowledgeable re appointee are interviewed.

SPIN

161B-7785-2

SEARCHED INDEXED
SERIALIZED FILED

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b7C
Third Party

GA
CMK/col

v

~~SECRET~~

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

DATE: 05-29-2007
CLASSIFIED BY 60324 AUC BAW/STP/RYS
DECLASSIFY ON: 25X 3.3(1)
05-29-2032

x AIRTEL

CLASSIFICATION PER OGA LETTER
DATED 05-30-2007

1049408

4/10/81

TO: DIRECTOR, FBI

FROM: SAC, ALEXANDRIA (161B-7785) (RUC)

HERBERT EUGENE ELLINGWOOD
SPECIAL INQUIRY (B)
BUDED: 4/8/81

b6
b7C
Third Party

Re Bureau airtel to WFO, 3/27/81.

[redacted] caused a search to be made

(S)

b3

All individuals contacted were apprised of the
provisions of the Privacy Act and those requesting
confidentiality have been so noted.

161B-7785-3

1-Bureau
①-Alexandria
MEH:meh
(2) *meh*

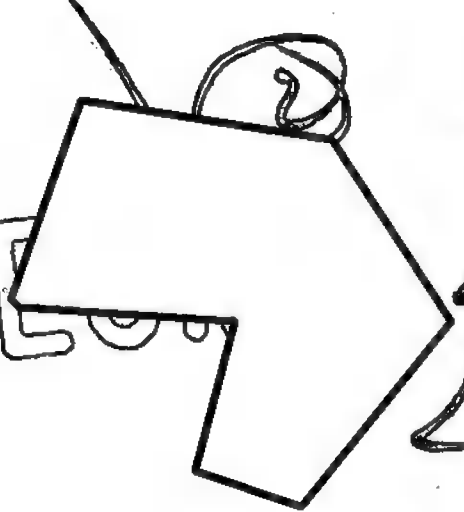
Serp
120

[Handwritten signature/initials inside a circle]

~~SECRET~~

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SERIALIZED FILED

EXPEDITE SPEED



Art
NAAACP
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ORIGINAL

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Third Party

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FORMS.TEXT HAS 1 DOCUMENT

INBOX.6 (#2220)

TEXT:

Tr Co.
Judge Haran
COP Haran

BUTO
4/81

PP AX LA PG RH SC SF WF

DE HQ #0093 181215

ZNR UUUUU

P 292131Z JUN 85

FM DIRECTOR (161-15192)

TO FBI WASHINGTON FIELD (161-14852) PRIORITY

FBI ALEXANDRIA (161B-7785) PRIORITY

FBI LOS ANGELES PRIORITY

FBI PITTSBURGH PRIORITY

FBI RICHMOND PRIORITY

FBI SACRAMENTO (161B-785) PRIORITY

FBI SAN FRANCISCO (161B-3605) PRIORITY

BT

UNCLAS

SECTION 1 OF 2

ENCLOSURE FOR WFO FORWARDED BSM.

HERBERT EUGENE ELLINGWOOD, SPECIAL INQUIRY, BUDED: 7/10/85

WITHOUT FAIL. (B)

THE BUREAU HAS BEEN REQUESTED TO CONDUCT AN EXPEDITE

UPDATE INVESTIGATION OF ELLINGWOOD FOR PRESIDENTIAL

AS ASSISTANT ATTORNEY GENERAL FOR THE OFFICE OF LEGAL POLICY

SPECIAL EXPEDITE

SEARCHED	INDEXED
SERIALIZED	FILED
JUN 30 1985	
FBI ALEXANDRIA	

(9)

Pr who Co credit
set 7/1/85

(12)

Vcin
AP, spouse
& son

AX CO
PA-Appi
spouse
son

McLean
Neigh

Arlington
ref (Mr. Grace)

DoB: 3/5/31, Orway, Colorado
SS# 726-09-456

PAGE TWO DE HQ 0093 UNCLAS

DEPARTMENT OF JUSTICE, WASHINGTON, D. C., WHICH REQUIRES SENATE CONFIRMATION. HANDLE THIS INVESTIGATION AS A SPIN BUT FOLLOW DAPLI REPORTING RULES AND SUBMIT A REPORT TO FBIHQ BY BUDED FOR FORWARDING TO THE WHITE HOUSE.

ALL OFFICES: 161 INVESTIGATION CONDUCTED APRIL, 1981.

UPDATE FROM THAT POINT.

INTERVIEW PRESIDENTS OF LOCAL BARS, ATTORNEYS WHO HAVE OPPOSED APPLICANT, ATTORNEYS, MINORITY AND ETHNIC REPRESENTATIVES, AND APPROPRIATE LAW ENFORCEMENT OFFICIALS, AS WELL AS FEDERAL, STATE AND LOCAL JUDGES. IF APPLICANT HAD HISTORY OF FINANCIAL TROUBLE, ASSURE THAT APPROPRIATE RECORDS ARE CHECKED FOR LOCAL AND STATE TAX LIENS OUTSTANDING AGAINST HIM. ALSO, IF APPOINTEE OWNS REAL PROPERTY, DETERMINE WHETHER PROPERTY ENCUMBERED WITH ANY COVENANTS PERTAINING TO RACE, CREED, COLOR, OR RELIGION THROUGH A REVIEW OF THE COUNTY RECORDER OF DEEDS RECORDS.

WHERE APPROPRIATE, VERIFY ADMISSION TO STATE AND LOCAL BARS, AND CHECK GRIEVANCE COMMITTEE RECORDS. ALSO CHECK NEWSPAPER MORGUES FOR ANY PERTINENT INFORMATION.

APPOINTEE WILL BE REQUIRED TO MAKE DECISIONS CONCERNING

PAGE THREE DE HQ 0093 UNCLAS

POLICY AND PERSONNEL MATTERS; THEREFORE, DURING INTERVIEWS, DETERMINE IF APPOINTEE HAS EXPRESSED OR MANIFESTED ANY BIAS OR PREJUDICE AGAINST ANY CLASS OF CITIZEN, OR ANY RELIGIOUS, RACIAL OR ETHNIC GROUP. ///

BUREAU DEADLINE AS SET FORTH ABOVE MEANS THE REPORT MUST BE RECEIVED AT THE BUREAU ON THAT DATE OR PRIOR THERETO:

BORN MARCH 5, 1931, IN ORDWAY, COLORADO, AND HAS SSAN 726-09-4567

RESIDENCES: AUGUST, 1979, TO JULY, 1981, 3523 BUCKSKIN COURT, ROCKLIN, CALIFORNIA; JULY, 1981, TO THE PRESENT, 6607 BRIAR HILL COURT, MC LEAN, VIRGINIA; LEGAL RESIDENCE: 5629 MAPLE RIDGE COURT, ROCKLIN, CALIFORNIA.

EMPLOYMENT: MARCH, 1981, TO DECEMBER, 1981, THE WHITE HOUSE, DEPUTY COUNSEL TO THE PRESIDENT, FRED FIELDING (SUPERVISOR), OLD EXECUTIVE OFFICE BUILDING, WASHINGTON, D. C.; DECEMBER, 1981, TO THE PRESENT, MERIT SYSTEMS PROTECTION BOARD, 1120 VERMONT AVENUE, N. W., WASHINGTON, D. C., CHAIRMAN.

RELATIVES: FATHER (DECEASED), HARRY CLIFFORD ELLINGWOOD, BORN JULY 29, 1900, IN ALBIA, IOWA; MOTHER, EDITH ARLEENE ELLINGWOOD, BORN MARCH 12, 1900, IN AMES, KANSAS, RESIDES 1763

PAGE FOUR DE HQ 0093 UNCLAS

GREEN VALLEY ROAD, WATSONVILLE, CALIFORNIA; SPOUSE, AUDREY
ALICE KESLER, BORN AUGUST 19, 1932, IN CASS, WEST VIRGINIA,
RESIDES 8607 BRIAR HILL COURT, MC LEAN, VIRGINIA; SON, EDWIN
BRUCE ELLINGWOOD, BORN NOVEMBER 17, 1963, IN CASTRO VALLEY,
CALIFORNIA, RESIDES 8242 GREEN TAVERN LANE, YORBA LINDA,
CALIFORNIA; SON, THOMAS BARRY ELLINGWOOD BORN MARCH 18, 1967,
IN CASTRO VALLEY, CALIFORNIA, RESIDES WITH APPOINTEE AND
SPOUSE; SISTER (DECEASED), JUANITA ELLINGWOOD, BORN JULY 19,
1924, IN LA JUNTA, COLORADO; SISTER, PAULINE NELSON, BORN
SEPTEMBER 13, 1926, IN LA JUNTA, COLORADO, RESIDES 792 ECHO
VALLEY ROAD, SALINAS, CALIFORNIA; SISTER, MILDRED CHAPMAN, BORN
SEPTEMBER 29, 1928, IN ORDWAY, COLORADO, RESIDES 1355
INDEPENDENCE SQUARE, WATSONVILLE, CALIFORNIA; BROTHER, AL
ELLINGWOOD, BORN SEPTEMBER 15, 1934, IN AROMAS, CALIFORNIA,
RESIDES 1000 HIGHWAY 101, AROMAS, CALIFORNIA; BROTHER, WAYNE
ELLINGWOOD, BORN MAY 19, 1938, IN AROMAS, CALIFORNIA, RESIDES
2862 COTTONWOOD, ORANGE, CALIFORNIA.

REFERENCES AND ASSOCIATES: JOYCE KEITH, MERIT SYSTEMS
PROTECTION BOARD (MSPB), 1120 VERMONT AVENUE, N. W., NO.
826, WASHINGTON, D. C., (202) 653-7114; VERNE SPEIRS, MSPB.

PAGE FIVE DE HQ 0093 UNCLAS

(202)653-7115; RICHARD REDENIUS, MSPB, (202)653-6842; MAJOR
GENERAL THOMAS K. TURNAGE, 1023 31ST STREET, N. W., WASHINGTON,
D. C., (202)724-0447; COLONEL FRANK SALCEDO, 1010 WISCONSIN
AVENUE, N. W., NO. 330, WASHINGTON, D. C., (202)333-1080; DR.
VERNON L. GROSE, 1101 S. ARLINGTON RIDGE ROAD, NO. 1117,
ARLINGTON, VIRGINIA, (703)685-0636.

CLEARANCES: FBI, 1981, EQUIVALENT TO TOP SECRET (ON A
NEED TO KNOW BASIS).

WASHINGTON FIELD CHECK OFFICE OF SPECIAL COUNSEL AND
OFFICE OF REVIEW AND APPEALS AT MERIT SYSTEMS PROTECTION BOARD,
CHECK OFFICE OF PUBLIC INTEGRITY AND OFFICE OF PROFESSIONAL
RESPONSIBILITY AT DEPARTMENT OF JUSTICE, AND DETERMINE SECURITY
CLEARANCE. ALSO, AT U. S. GENERAL ACCOUNTING OFFICE, REVIEW
FILES "INQUIRY INTO ALLEGATIONS AGAINST THE CHAIRMAN, MERIT
SYSTEMS PROTECTION BOARD (GAO/AFMD-84-65)". AND DETERMINE
OUTCOME OF INQUIRY. FURTHER, INTERVIEW CONGRESSWOMAN PATRICIA
SCHROEDER, CHAIRWOMAN, SUBCOMMITTEE ON CIVIL SERVICE, HOUSE OF
REPRESENTATIVES, WASHINGTON, D. C., CONCERNING THIS INQUIRY.
DETERMINE IF SHE CONCURS WITH THE GAO CONCLUSIONS AND IF THERE
ARE CURRENTLY ANY ALLEGATIONS AGAINST MR. ELLINGWOOD.

PAGE SIX DE HQ 0093 UNCLAS

WASHINGTON FIELD ALSO CONTACT REPRESENTATIVES FROM THE FOLLOWING AGENCIES OR ORGANIZATIONS TO OBTAIN COMMENTS CONCERNING APPOINTEE'S CHARACTER, ETHICS, AND SUITABILITY: EMPLOYMENT STANDARDS ADMINISTRATION, FAIR LABOR STANDARDS DIVISION, AND WAGE APPEALS BOARD, U. S. DEPARTMENT OF LABOR, 200 CONSTITUTION AVENUE, N. W., WASHINGTON, D. C.; FEDERAL LABOR RELATIONS AUTHORITY, 500 C STREET, S. W., WASHINGTON, D. C.; FEDERAL GOVERNMENT SERVICE TASK FORCE, HOUSE OFFICE BUILDING ANNEX, WASHINGTON, D. C.. 226-2494; AMERICAN FEDERATION OF GOVERNMENT EMPLOYEES, AFL-CIO, 1325 MASSACHUSETTS AVENUE, N. W., WASHINGTON, D. C., 737-8700; NATIONAL ASSOCIATION OF ~~GOVERNMENT~~ EMPLOYEES, 2139 WISCONSIN AVENUE, N. W., WASHINGTON, D. C., 965-4411; NATIONAL FEDERATION OF FEDERAL EMPLOYEES, 1016 16TH STREET, N. W., WASHINGTON, D. C., 862-4400; PEOPLE FOR THE AMERICAN WAY, 1015 18TH STREET, N. W., WASHINGTON, D. C., 822-9450, ANTHONY T. PODESTA, EXECUTIVE DIRECTOR.

SPIN

BT

#0093

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-->READ INBOX.7 TEXT:
FORMS.TEXT HAS 1 DOCUMENT

INBOX.7 (#2221)

TEXT:

VZCZCHQ0094

PP AX LA PG RH SC SF WF

DE HQ #0094 1811145

ZNR UUUUU

P 292131Z JUN 85

FM DIRECTOR FBI (161-15392)

TO FBI WASHINGTON FIELD (161-14852) PRIORITY

FBI ALEXANDRIA (161B-7785) PRIORITY

FBI LOS ANGELES PRIORITY

FBI PITTSBURGH PRIORITY

FBI RICHMOND PRIORITY

FBI SACRAMENTO (161B-785) PRIORITY

FBI SAN FRANCISCO (161B-3605) PRIORITY

BT

UNCLAS

SECTION 2 OF 2

ENCLOSURE FOR WFO FORWARDED BSM.

HERBERT EUGENE ELLINGWOOD, SPECIAL INQUIRY, BUDED: 7/10/85

WITHOUT FAIL. (B)

SACRAMENTO VERIFY APPOINTEE'S POSITION AT STRAWBERRIES AND
STUFF, ROCKLIN, CALIFORNIA, AND DETERMINE NATURE OF BUSINESS.

ALSO VERIFY LEGAL RESIDENCE IN ROCKLIN, CALIFORNIA, AND CONTACT

SEARCHED	INDEXED
SERIALIZED	FILED
JUN 30 1985	
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PAGE TWO DE HQ 0094 UNCLAS

APPROPRIATE CREDIT AND ARREST CHECKS.

WASHINGTON FIELD, AS PRINCIPLE OFFICE, INSURE AT LEAST 25
PERSONS KNOWLEDGEABLE CONCERNING APPOINTEE ARE INTERVIEWED.

INVESTIGATION SHOULD RECEIVED PRIORITY ATTENTION AND
RECEIVING OFFICES SHOULD TELEPHONICALLY ADVISE FBIHQ OF ANY
DEROGATORY INFORMATION DEVELOPED.

SF 86 AND RECORDS RELEASE FOLLOW BY AIRTEL.

DUE TO URGENT NATURE OF REQUEST IT IS IMPERATIVE BUDED BE
MET WITHOUT FAIL.

SPIN

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#0094

NNNN

EXPEDITE

TO: OFFICE SERVICES MANAGER

Subject

Ellingwood, Herbert Eugene

Date

7-1-85

Social Security Account #

26-09-4567

Aliases

Address

Asst. Attorney General for the
Office of Legal Policy Dept. of
Justice

Birth Date

3/5/31

Birthplace

Orway, Colorado

Race

Sex

☒ Male

☐ Female

☐ Exact Spelling

☐ All References

☐ Main Security Case Files Only

☐ Security References Only

☐ Main Criminal Case Files Only

☐ Criminal References Only

☐ Main Security (If no Main, list all Security References)

☐ Main Criminal (If no Main, list all Criminal References)

☐ Restrict Locality of

File & Serial Number	Remarks	File & Serial Number	Remarks
Ellingwood, Harry Clifford		DPOB: 7/29/1900, Albia, Iowa	
Ellingwood, Edith Irene		DPOB: 3/12/1900, Ames, Kansas	
Kessler, Audrey Alice		DPOB: 8/19/32, Cass, W. Va.	
Ellingwood, Edwin Bruce		DPOB: 11/17/63, Castro Valley, CA.	
Ellingwood, Thomas Barry		DPOB: 3/18/67, Castro Valley, CA.	
Ellingwood, Juanita		DPOB: 7/19/24, La Junta, Colorado	
Nelson, Davline		DPOB: 9/13/26, La Junta, Colorado	
Chapman, Mildred		DPOB: 9/29/28, Orway, Colorado	
Ellingwood, Wayne		DPOB: 5/19/38, Aromas, CA.	
Ellingwood, Al		DPOB: 9/15/34, Aromas, CA.	

Requested by

Squad

Extension

File No.

☒ General Indices

Searched by

SEARCHED THROUGH
CONFIDENTIAL INDICES

☒ Confidential Indices

Searched by

SEARCHED THROUGH
ELSUR INDICES

☒ ELSUR Indices

Searched by

☒ ISIS

Searched by

☐ OCIS

Searched by

☐ IIS

Searched by

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Third Party

Date

Date

Consolidated by

Reviewed by

EXPEDITE

Date

Date

File Review Symbols

I - Identical
NI - Not identical

? - Not identifiable
U - Unavailable reference

SEARCHED
SERIALIZED

INDEXED
FILED

118-7785-5

7-1-85

Beats 4/81
SPECIAL

ORIGINAL

-->READ INBOX.11 TEXT
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INBOX.11 (#2225)

EXPEDITE

TEXT:

VZCZCHQ0089

PP AFO

DE HQ #0089 1820100

ZNR UUUUU

P 292131Z JUN 85

FM DIRECTOR FBI

TO ALL FBI *Field* OFFICES PRIORITY

BT

UNCLAS

HERBERT EUGENE ELLINGWOOD. SPECIAL INQUIRY. BUDED: 7/10/85

WITHOUT FAIL. (B)

REBUTELS TO ALL OFFICES DATED SEPTEMBER 7, 1982.

BUREAU HAS BEEN REQUESTED TO CONDUCT EXPEDITE UPDATE
INVESTIGATION OF APPOINTEE FOR PRESIDENTIAL APPOINTMENT, AS
ASSISTANT ATTORNEY GENERAL FOR THE OFFICE OF LEGAL POLICY,
DEPARTMENT OF JUSTICE, WASHINGTON, D. C., WHICH REQUIRES SENATE
CONFIRMATION.

ALL OFFICES CHECK INDICES AND ELSUR FILES BASED UPON
AVAILABLE INFORMATION CONCERNING APPOINTEE, HIS CLOSE RELATIVES
AND PRESENT BUSINESS ESTABLISHMENT.

WASHINGTON FIELD, IF UTILIZING MAJOR CASE INFORMATION
SYSTEM OR ISIS AND ARE OFFICE OF ORIGIN ON THOSE CASES SHOULD

161B-7785-5

*Indices
Being done
7/1/85 gfk*

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SPECIAL

7/1/85

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JUN 30 1985	
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Third Party

PAGE TWO DE HQ 0089 UNCLAS

ALSO CHECK THOSE DATA BASES. PROMPTLY SUTEL RESULTS (POSITIVE OR NEGATIVE) BY TELETYPE SUMMARY AND IF POSITIVE, FOLLOW WITH COPIES OF DOCUMENTS BY AIRTEL.

ALEXANDRIA, LOS ANGELES, PITTSBURGH, RICHMOND, SACRAMENTO, SAN FRANCISCO AND WASHINGTON FIELD SHOULD NOT RUC UPON COMPLETION OF THE ABOVE RECORD CHECKS AS ADDITIONAL INVESTIGATION IS FORTHCOMING.

ELLINGWOOD IS CURRENTLY EMPLOYED BY MERIT SYSTEMS PROTECTION BOARD, WASHINGTON, D. C., IS DESCRIBED AS BORN MARCH 5, 1931, IN ORDWAY, COLORADO; HAS SSAN 726-08-1567; AND RESIDES 6607 BRIAR HILL COURT, MC LEAN, VIRGINIA.

RELATIVES: FATHER (DECEASED), HARRY CLIFFORD ELLINGWOOD, BORN JULY 29, 1900, IN ALBIA, IOWA; MOTHER, EDITH ARLERNE ELLINGWOOD, BORN MARCH 12, 1900, IN AGASS, IOWA; ADDRESS, 1700 GREEN VALLEY ROAD, WATSONVILLE, CALIFORNIA; SPOUSE, AUDREY ALICE KESLER, BORN AUGUST 19, 1932, IN CASS, WEST VIRGINIA. RESIDES 6607 BRIAR HILL COURT, MC LEAN, VIRGINIA; SON, EDWIN BRUCE ELLINGWOOD, BORN NOVEMBER 17, 1963, IN CASTRO VALLEY, CALIFORNIA. RESIDES 6242 GREEN TAVERN LANE, YORBA LINDA, CALIFORNIA; SON, THOMAS BARRY ELLINGWOOD, BORN MARCH 18, 1967.

PAGE THREE DE HQ 0089 UNCLAS

IN CASTRO VALLEY, CALIFORNIA, RESIDES WITH APPOINTEE AND
SPOUSE; SISTER (DECEASED), JUANITA ELLINGWOOD, BORN JULY 19,
1924, IN LA JUNTA, COLORADO; SISTER, PAULINE NELSON, BORN
SEPTEMBER 13, 1926, IN LA JUNTA, COLORADO, RESIDES 792 ECHO
VALLEY ROAD, SALINAS, CALIFORNIA; SISTER, MILDRED CHAPMAN, BORN
SEPTEMBER 29, 1928, IN ORDWAY, COLORADO, RESIDES 1355
INDEPENDENCE SQUARE, WATSONVILLE, CALIFORNIA; BROTHER, AL
ELLINGWOOD, BORN SEPTEMBER 15, 1934, IN AROMAS, CALIFORNIA,
RESIDES 1000 HIGHWAY 101, AROMAS, CALIFORNIA; BROTHER, WAYNE
ELLINGWOOD, BORN MAY 19, 1938, IN AROMAS, CALIFORNIA, RESIDES
2862 COTTONWOOD, ORANGE, CALIFORNIA.

SPIN

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#0089

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Standard Form 86

AUGUST 1964
U.S. CIVIL SERVICE COMMISSION
(F.P.M. CHAPTER 736)
94-107SECURITY INVESTIGATION DATA
FOR SENSITIVE POSITION

CASE SERIAL NO. (CSC use only)

INSTRUCTIONS.—Prepare in triplicate, using a typewriter. Fill in all items. If the answer is "No" or "None," so state. If more space is needed for any item, continue under item 28.

1. FULL NAME (Initials and abridgements of full name are not acceptable. If no middle name, show "(NMN)"; if initials only, show "(no given or middle name)".	(LAST NAME) ELLINGWOOD	(FIRST NAME) HERBERT	(MIDDLE NAME) EUGENE	2. DATE OF BIRTH March 5, 1931	
	OTHER NAMES USED. (Maiden name, names by former marriages, former names changed legally or otherwise, aliases, nicknames, etc. Specify which, and show dates used.) NO CHANGES EXCEPT AS OTHERWISE NOTED			3. PLACE OF BIRTH Ordway, Colorado	
				4. <input checked="" type="checkbox"/> MALE <input type="checkbox"/> FEMALE	
		5. HEIGHT 5'10"	WEIGHT 180	COLOR EYES Blue	COLOR HAIR Blond
6. <input type="checkbox"/> SINGLE <input checked="" type="checkbox"/> MARRIED <input type="checkbox"/> WIDOW(ER) <input type="checkbox"/> DIVORCED	7. IF MARRIED, WIDOWED, OR DIVORCED, GIVE FULL NAME AND DATE AND PLACE OF BIRTH OF SPOUSE OR FORMER SPOUSE. INCLUDE WIFE'S MAIDEN NAME. GIVE DATE AND PLACE OF MARRIAGE OR DIVORCE. (Give same information regarding all previous marriages and divorces.)				

8. DATES AND PLACES OF RESIDENCE. (If actual places of residence differ from the mailing addresses, furnish and identify both. Begin with present and go back to January 1, 1937. Continue under item 28 on other side if necessary.)

FROM	TO	NUMBER AND STREET	CITY	STATE
July 1981	present	6607 Briar Hill Court	McLean	AX/RH VA

9. <input type="checkbox"/> U.S. CITIZEN	<input type="checkbox"/> BY BIRTH	<input type="checkbox"/> NATURALIZED	ALIEN REGISTRATION NO.	DATE, PLACE, AND COURT
	CERT. NO.		PETITION NO.	
<input type="checkbox"/> ALIEN	<input type="checkbox"/> DERIVED-PARENTS CERT. NO(S).		REGISTRATION NO.	NATIVE COUNTRY
			DATE AND PORT OF ENTRY	

10. EDUCATION. (All schools above elementary.)

NAME OF SCHOOL	ADDRESS	FROM (Year)	TO (Year)	DEGREES
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161B-7785-6

SEARCHED	INDEXED
SERIALIZED	FILED
JUL 1 - 1985	

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b7C
Third Party

11. THIS SPACE FOR FBI USE. (See also item 29.)

12. SOCIAL SECURITY NUMBER

13. MILITARY SERVICE (Past or present)

SERIAL NO. (If none, give grade or rating at separation)	BRANCH OF SERVICE (Army, Navy, Air Force, etc.)	FROM (Yr.)	TO (Yr.)

14. HAVE YOU EVER BEEN DISCHARGED FROM THE ARMED FORCES UNDER OTHER THAN HONORABLE CONDITIONS? ☐ YES ☐ NO.
(If answer is "Yes," give details in item 28.)

15. EMPLOYMENT. (List ALL employment dates starting with your present employment. Give both month and year for all dates. Show ALL dates and addresses when unemployed. Give name under which employed if different from name now used.)

FROM	TO	NAME OF EMPLOYER (Firm or agency) AND SUPERVISOR (Full name, if known)	ADDRESS (Where employed)	TYPE OF WORK	REASON FOR LEAVING
3/81	12/81	President Ronald Reagan: In my position as Deputy Counsel to the President, I was supervised by Fred Fielding, Counsel to the President.	Old Executive Office Bldg., The White House	WFO Attorney	Appointment to current position
12/81	- present	Merit Systems Protection Board	1120 Vermont, N.W. Washington, D.C. WFO		Chairman

16. HAVE YOU EVER BEEN DISCHARGED (FIRED) FROM EMPLOYMENT FOR ANY REASON? ☐ YES ☐ NO.

17. HAVE YOU EVER RESIGNED (QUIT) AFTER BEING INFORMED THAT YOUR EMPLOYER INTENDED TO DISCHARGE (FIRE) YOU FOR ANY REASON? ☐ YES ☐ NO.
(If your answer to 16 or 17 above is "Yes" give details in item 28. Show the name and address of employer, approximate date, and reasons in each case. This information should agree with the statements made in item 15—EMPLOYMENT.)

18. HAVE YOU EVER BEEN ARRESTED, TAKEN INTO CUSTODY, HELD FOR INVESTIGATION OR QUESTIONING, OR CHARGED BY ANY LAW ENFORCEMENT AUTHORITY?
(You may omit: (1) Traffic violations for which you paid a fine of \$30 or less; and (2) anything that happened before your 16th birthday. All other incidents must be included, even though they were dismissed or you merely forfeited collateral.) ☐ YES ☐ NO.

IF YOUR ANSWER IS "YES," GIVE FULL DETAILS BELOW:

DATE	CHARGE	PLACE	LAW ENFORCEMENT AUTHORITY	ACTION TAKEN
------	--------	-------	------------------------------	--------------

19. HAVE YOU EVER HAD A NERVOUS BREAKDOWN OR HAVE YOU EVER HAD MEDICAL TREATMENT FOR A MENTAL CONDITION? ☐ YES ☐ NO.
(If your answer is "Yes," give details in item 28.)

20. FOREIGN COUNTRIES VISITED (SINCE 1930). (Exclusive of military service.)

COUNTRY

DATE LEFT U.S.A.

DATE RETURNED U.S.A.

PURPOSE

(see attached sheet) -- Supplemental Sheet #1

21. ARE YOU NOW, OR HAVE YOU EVER BEEN, A MEMBER OF THE COMMUNIST PARTY, U.S.A., OR ANY COMMUNIST OR FASCIST ORGANIZATION? ☐ YES ☐ NO.

22. ARE YOU NOW OR HAVE YOU EVER BEEN A MEMBER OF ANY FOREIGN OR DOMESTIC ORGANIZATION, ASSOCIATION, MOVEMENT, GROUP, OR COMBINATION OF PERSONS WHICH IS TOTALITARIAN, FASCIST, COMMUNIST, OR SUBVERSIVE, OR WHICH HAS ADOPTED, OR SHOWS, A POLICY OF ADVOCATING OR APPROVING THE COMMISSION OF ACTS OF FORCE OR VIOLENCE TO DENY OTHER PERSONS THEIR RIGHTS UNDER THE CONSTITUTION OF THE UNITED STATES, OR WHICH SEEKS TO ALTER THE FORM OF GOVERNMENT OF THE UNITED STATES BY UNCONSTITUTIONAL MEANS? ☐ YES ☐ NO.

23. IF YOUR ANSWER TO QUESTION 21 OR 22 ABOVE IS "YES," STATE THE NAMES OF ALL SUCH ORGANIZATIONS, ASSOCIATIONS, MOVEMENTS, GROUPS, OR COMBINATIONS OF PERSONS AND DATES OF MEMBERSHIP. IN ITEM 28 OR ON A SEPARATE SHEET TO BE ATTACHED TO AND MADE A PART OF THIS FORM, GIVE COMPLETE DETAILS OF YOUR ACTIVITIES THEREIN AND MAKE ANY EXPLANATION YOU DESIRE REGARDING YOUR MEMBERSHIP OR ACTIVITIES.

NAME IN FULL

ADDRESS

FROM

TO

OFFICE HELD

24. MEMBERSHIP IN OTHER ORGANIZATIONS. (List all organizations in which you are now a member or have been a member, except those which show religious or political affiliations.) (If none, so state.)

NAME IN FULL

ADDRESS

TYPE

FROM

TO

OFFICE HELD

(See Supplemental Sheets # 2 and 2(a))

25. RELATIVES. (Parents, spouse, divorced spouse, children, brothers, and sisters, living or dead. Name of spouse should include maiden name and any other names by previous marriage. If person is dead, state "dead" after relationship and furnish information for other columns as of time of death.)

RELATION

NAME IN FULL

YEAR OF BIRTH

ADDRESS

COUNTRY OF BIRTH

PRESENT CITIZENSHIP

(see attached sheet for new addresses and dates and places of birth)
Supplemental Sheet #1

also see additional SF-86

161B-7555* 105-1408* 105-1408-2

26a. REFERENCES. (Name three persons, not relatives or employers, who are aware of your qualifications and fitness.)

NAME IN FULL	HOME ADDRESS	BUSINESS ADDRESS	YEARS KNOWN
Joyce Keith	3169 Fairbury Lane, Fairfax, VA (703/273-2886)	MSPB, 1120 Vt. Ave., N.W., #826 Washington, D.C.	WFO 4 + (202/653-7114)
Verne Speirs	1421 Montague Dr., Vienna, VA (703/759-6576)	(same for all 3)	13 + (202/653-7115)
Richard Redenius	1025 Union Church Rd., McLean, VA (703/759-2713)		3 + (202/653-6842)

26b. CLOSE PERSONAL ASSOCIATES. (Name three persons, such as friends, schoolmates or colleagues, who know you well.)

NAME IN FULL	HOME ADDRESS	BUSINESS ADDRESS	YEARS KNOWN
MGen. Thomas K. Turnage	4100 Cathedral Ave., NW, #718 Washington, D.C. 20016	1023 31st St., NW Washington, DC 20435	WFO 16 (202/724-0447)
Col. Frank Salcedo	2834 Kelly Sq., Vienna, VA 22180 (703/255-9566)	1010 Wisc. Ave., NW, #330 Washington, DC 20007	16 (202/333-1080)
Dr. Vernon L. Grose	1101 S. Arlington Ridge Rd. #1117 Arlington, VA 22202	same	15 (703/685-0636)

27. TO YOUR KNOWLEDGE, HAVE YOU EVER BEEN THE SUBJECT OF A FULL FIELD OR BACKGROUND PERSONAL INVESTIGATION BY ANY AGENCY OF THE FEDERAL GOVERNMENT? ☒ YES ☐ NO. (If your answer is "Yes," show in item 28, (1) the name of the investigating agency (2) the approximate date of investigation, and (3) the level of security clearance granted, if known.)

28. SPACE FOR CONTINUING ANSWERS TO OTHER QUESTIONS. (Show item numbers to which answers apply. Attach a separate sheet if there is not enough space here.)

Item #27

FBI, 1981, equivalent to TOP SECRET (on a need to know basis). WFO

Items 20 and 25 on attached sheet -- Supplemental Sheet #1
Item 24 on Supplemental Sheets 2 and 2(a)

29. REPORT OF INFORMATION DEVELOPED. (This space reserved for FBI use.)

DATE:

Before signing this form check back over it to make sure you have answered all questions fully and correctly.

CERTIFICATION

I CERTIFY that the statements made by me on this form are true, complete, and correct to the best of my knowledge and belief, and are made in good faith.

False statement on this form
is punishable by law.

6/20/85
(DATE)

Walter E. Ellingwood
(SIGNATURE—Sign original and first carbon copy)

INFORMATION TO BE FURNISHED BY AGENCY

INSTRUCTIONS TO AGENCY: See Federal Personnel Manual Chapter 736 and FPM Supplement 296-31, Appendix A, for details on when this form is required and how it is used. If this is a request for investigation before appointment, insert "APPL" in the space for Date of Appointment and show information about the proposed appointment in the other spaces for appointment data. The original and the first carbon copy should be signed by the applicant or appointee. Submit the original and the unsigned carbon copy of the form, Standard Form 87 (Fingerprint Chart), and any investigative information about the person received on voucher forms or otherwise, to the United States Civil Service Commission, Bureau of Personnel Investigations, Washington, D.C., 20415. If this is a request for full field security investigation, submit these forms to the attention of the Division of Reimbursable Investigations; if this is a request for preappointment national agency checks, submit these forms to the attention of the Control Section.

RETAIN THE CARBON COPY OF STANDARD FORM 87 (SIGNED BY THE APPLICANT OR APPOINTEE) FOR YOUR FILES

DATE OF APPOINTMENT	TYPE OF APPOINTMENT <input type="checkbox"/> EXCEPTED <input type="checkbox"/> COMPETITIVE (Include indefinite and temporary types of competitive appointments.)	CIVIL SERVICE REGULATION NUMBER OR OTHER APPOINTMENT AUTHORITY	TITLE OF POSITION AND GRADE OR SALARY
DEPARTMENT OR AGENCY	DUTY STATION	SEND RESULTS OF PREAPPOINTMENT CHECK TO:	
THIS IS A SENSITIVE POSITION			
(SIGNATURE AND TITLE OF AUTHORIZED AGENCY OFFICIAL)			

Standard Form 86
AUGUST 1964
U.S. CIVIL SERVICE COMMISSION
(F.P.M. CHAPTER 7M)
94-107

SECURITY INVESTIGATION DATA FOR SENSITIVE POSITION

CASE SERIAL NO. (CSC use only)

INSTRUCTIONS.—Prepare in triplicate, using a typewriter. Fill in all items. If the answer is "No" or "None," so state. If more space is needed for any item, continue under item 28.

1. FULL NAME (Initials and abridgements of full name are not acceptable. If no middle name, show "(NMN)"; if initials only, show "(no given or middle name)".)	(LAST NAME) Ellingwood	(FIRST NAME) Herbert	(MIDDLE NAME) Eugene	2. DATE OF BIRTH March 5, 1931
	OTHER NAMES USED. (Maiden name, names by former marriages, former names changed legally or otherwise, aliases, nicknames, etc. Specify which, and show dates used.) None			3. PLACE OF BIRTH Ordway, Colorado
				4. <input checked="" type="checkbox"/> MALE <input type="checkbox"/> FEMALE
5. HEIGHT 5'10"		WEIGHT 180	COLOR EYES Blue	COLOR HAIR Blond

6. <input type="checkbox"/> SINGLE <input checked="" type="checkbox"/> MARRIED <input type="checkbox"/> WIDOW(ER) <input type="checkbox"/> DIVORCED	7. IF MARRIED, WIDOWED, OR DIVORCED, GIVE FULL NAME AND DATE AND PLACE OF BIRTH OF SPOUSE OR FORMER SPOUSE. INCLUDE WIFE'S MAIDEN NAME. GIVE DATE AND PLACE OF MARRIAGE OR DIVORCE. (Give same information regarding all previous marriages and divorces.) Audrey Alice Kesler; Cass, West Virginia; August 19, 1932; married April 13, 1957, Baltimore, Maryland
--	--

8. DATES AND PLACES OF RESIDENCE. (If actual places of residence differ from the mailing addresses, furnish and identify both. Begin with present and go back to January 1, 1937. Continue under item 28 on other side if necessary.)

FROM	TO	NUMBER AND STREET	CITY	STATE
8/79	Present	3523 Buckskin Court	Rocklin	California
4/74	8/79	7595 Auburn Folsom Road	Loomis	California
9/73	3/74	235 Macario Ct, #3	Roseville	California
6/73	9/73	2751 Paseo Rio Way	Sacramento	California
6/67	5/73	5401 Shelley Way	Carmichael	California
1/67	6/67	Various Apartments	Sacramento	California
1961	1966	18349 Almond Road	Castro Valley	California
1957	1960	41241 Roberts Avenue	Fremont	California
1942	1957	2 Maher Road	Watsonville	California
1937	1942	101 Highway	Aromas	California

9. <input checked="" type="checkbox"/> U.S. CITIZEN <input type="checkbox"/> ALIEN	<input checked="" type="checkbox"/> BY BIRTH <input type="checkbox"/> NATURALIZED	ALIEN REGISTRATION NO.	DATE, PLACE, AND COURT
	CERT. NO.	PETITION NO.	
	<input type="checkbox"/> DERIVED-PARENTS CERT. NO(S).		
	REGISTRATION NO.	NATIVE COUNTRY	DATE AND PORT OF ENTRY

10. EDUCATION. (All schools above elementary.)

NAME OF SCHOOL	ADDRESS	FROM (Year)	TO (Year)	DEGREES
Salinas Union High School	Salinas, Calif.	1945	1949	Diploma
Yale University	New Haven, Conn.	1949	1953	BA
Stanford Law School	Stanford, CA	1957	1960	LLB

11. THIS SPACE FOR FBI USE. (See also item 29.)

12. SOCIAL SECURITY NUMBER 726 09 4567

13. MILITARY SERVICE (Past or present)

SERIAL NO. (If none, give grade or rating at separation)	BRANCH OF SERVICE (Army, Navy, Air Force, etc.)	FROM (Yr.)	TO (Yr.)
First Lt.	Army	1953	1956

14. HAVE YOU EVER BEEN DISCHARGED FROM THE ARMED FORCES UNDER OTHER THAN HONORABLE CONDITIONS? ☐ YES ☒ NO
(If answer is "Yes," give details in item 28.)

15. EMPLOYMENT. (List ALL employment dates starting with your present employment. Give both month and year for all dates. Show ALL dates and addresses when unemployed. Give name under which employed if different from name now used.)

<u>FROM</u>	<u>TO</u>	<u>NAME OF EMPLOYER (Firm or agency) AND SUPERVISOR (Full name, if known)</u>	<u>ADDRESS (Where employed)</u>	<u>TYPE OF WORK</u>	<u>REASON FOR LEAVING</u>
7/1979	Present	Caldwell & Toms	812 J Street Sacramento, CA	Attorney	Appointment
1/1975	6/79	California Attorney General	555 Capitol Mall Sacramento, CA	Attorney	Private Practice
2/69	12/74	California Governor	State Capitol Sacramento, CA	Attorney	Elections
11/66	1/69	State Bar of California	455 Capitol Mall Sacramento	Legislative Representative	To Governor's Office
6/60	10/66	District Attorney Alameda County	Courthouse, Oakland, CA	Attorney	To State Bar

16. HAVE YOU EVER BEEN DISCHARGED (FIRED) FROM EMPLOYMENT FOR ANY REASON? ☐ YES ☒ NO.

17. HAVE YOU EVER RESIGNED (QUIT) AFTER BEING INFORMED THAT YOUR EMPLOYER INTENDED TO DISCHARGE (FIRE) YOU FOR ANY REASON? ☐ YES ☒ NO.
(If your answer to 16 or 17 above is "Yes" give details in item 28. Show the name and address of employer, approximate date, and reasons in each case. This information should agree with the statements made in item 15—EMPLOYMENT.)

18. HAVE YOU EVER BEEN ARRESTED, TAKEN INTO CUSTODY, HELD FOR INVESTIGATION OR QUESTIONING, OR CHARGED BY ANY LAW ENFORCEMENT AUTHORITY? (You may omit: (1) Traffic violations for which you paid a fine of \$30 or less; and (2) anything that happened before your 16th birthday. All other incidents must be included, even though they were dismissed or you merely forfeited collateral.) ☐ YES ☒ NO.

IF YOUR ANSWER IS "YES," GIVE FULL DETAILS BELOW:

<u>DATE</u>	<u>CHARGE</u>	<u>PLACE</u>	<u>LAW ENFORCEMENT AUTHORITY</u>	<u>ACTION TAKEN</u>
-------------	---------------	--------------	--------------------------------------	---------------------

19 HAVE YOU EVER HAD A NERVOUS BREAKDOWN OR HAVE YOU EVER HAD MEDICAL TREATMENT FOR A MENTAL CONDITION? ☐ YES ☒ NO.
(If your answer is "Yes," give details in item 28.)

20. FOREIGN COUNTRIES VISITED (SINCE 1930). (Exclusive of military service.)

COUNTRY

DATE LEFT U.S.A.

DATE RETURNED U.S.A.

PURPOSE

See attachment A

21. ARE YOU NOW, OR HAVE YOU EVER BEEN, A MEMBER OF THE COMMUNIST PARTY, U.S.A., OR ANY COMMUNIST OR FASCIST ORGANIZATION? ☐ YES ☒ NO.

22. ARE YOU NOW OR HAVE YOU EVER BEEN A MEMBER OF ANY FOREIGN OR DOMESTIC ORGANIZATION, ASSOCIATION, MOVEMENT, GROUP, OR COMBINATION OF PERSONS WHICH IS TOTALITARIAN, FASCIST, COMMUNIST, OR SUBVERSIVE, OR WHICH HAS ADOPTED, OR SHOWS, A POLICY OF ADVOCATING OR APPROVING THE COMMISSION OF ACTS OF FORCE OR VIOLENCE TO DENY OTHER PERSONS THEIR RIGHTS UNDER THE CONSTITUTION OF THE UNITED STATES, OR WHICH SEEKS TO ALTER THE FORM OF GOVERNMENT OF THE UNITED STATES BY UNCONSTITUTIONAL MEANS? ☐ YES ☒ NO.

23 IF YOUR ANSWER TO QUESTION 21 OR 22 ABOVE IS "YES," STATE THE NAMES OF ALL SUCH ORGANIZATIONS, ASSOCIATIONS, MOVEMENTS, GROUPS, OR COMBINATIONS OF PERSONS AND DATES OF MEMBERSHIP. IN ITEM 28 OR ON A SEPARATE SHEET TO BE ATTACHED TO AND MADE A PART OF THIS FORM, GIVE COMPLETE DETAILS OF YOUR ACTIVITIES THEREIN AND MAKE ANY EXPLANATION YOU DESIRE REGARDING YOUR MEMBERSHIP OR ACTIVITIES.

NAME IN FULL

ADDRESS

FROM

TO

OFFICE HELD

None

24. MEMBERSHIP IN OTHER ORGANIZATIONS. (List all organizations in which you are now a member or have been a member, except those which show religious or political affiliations.) (If none, so state.)

NAME IN FULL

ADDRESS

TYPE

FROM

TO

OFFICE HELD

See attachment B

25. RELATIVES. (Parents, spouse, divorced spouse, children, brothers, and sisters, living or dead. Name of spouse should include maiden name and any other names by previous marriage. If person is dead, state "dead" after relationship and furnish information for other columns as of time of death.)

RELATION

NAME IN FULL

YEAR OF BIRTH

ADDRESS

COUNTRY OF BIRTH

PRESENT CITIZENSHIP

X Father Harry Clifford Ellingwood 1900 Deceased U. S. Yes

SF Mother Edith Arleene Ellingwood 1900 1763 GreenValleyRD U. S. Yes

Watsonville, CA

AX/RH Wife Audrey Alice Kesler 1932 3523 Buckskin Ct U. S. Yes

Rocklin, CA

LA Son Edwin Bruce Ellingwood 1963 3523 Buckskin Ct U. S. Yes

Rocklin, CA

AX/RH Son Thomas Barry Ellingwood 1967 3523 Buckskin Ct U. S. Yes

Rocklin, CA

Sister Juanita Ellingwood 1924 Deceased U. S. Yes

SF Sister Pauline Nelson 1926 792 Echo Valley Rd U. S. Yes

Salinas, CA

SF Sister Mildred Chapman 1928 1763 GreenValleyRd U. S. Yes

Watsonville, CA

SF Brother Al Ellingwood 1935 1000 Highway 101, Aromas, CA U. S. Yes

Brother Wayne Ellingwood 1938 P.O.Box 505, Roodepoort Tvl U. S. Yes

26a. REFERENCES (Name three persons, not relatives or employers, who are aware of your qualifications and fitness.)

NAME IN FULL	HOME ADDRESS	BUSINESS ADDRESS	YEARS KNOWN
Rev. Orville Easterly	1041 Audrey Way, Roseville	202 Bonita Ave. Roseville, CA	7
Walter Hanson	4633 Whitney Avenue, Sacramento, CA		20
Steven Merksamer	4700 Olive Oak Way, Carmichael	555 Capitol Mall	15

26b. CLOSE PERSONAL ASSOCIATES. (Name three persons, such as friends, schoolmates or colleagues, who know you well.)

NAME IN FULL	HOME ADDRESS	BUSINESS ADDRESS	YEARS KNOWN
Peter DeMauro	142 Gold Oak Circle, Folsom, CA	555 Capitol Mall Sacramento	6
Flo Snyder	326 Rio Grande, Sacramento, CA	555 Capitol Mall Sacramento	6
Kay Eldridge	3790 Oakdale Ct, Rocklin, CA	812 J Street, Sacramento	12

27. TO YOUR KNOWLEDGE, HAVE YOU EVER BEEN THE SUBJECT OF A FULL FIELD OR BACKGROUND PERSONAL INVESTIGATION BY ANY AGENCY OF THE FEDERAL GOVERNMENT? ☒ YES ☐ NO. (If your answer is "Yes," show in item 28, (1) the name of the investigating agency (2) the approximate date of investigation, and (3) the level of security clearance granted, if known.)

28. SPACE FOR CONTINUING ANSWERS TO OTHER QUESTIONS. (Show item numbers to which answers apply. Attach a separate sheet if there is not enough space here.)

#27 - Counter Intelligence, 1954; top secret

29. REPORT OF INFORMATION DEVELOPED. (This space reserved for FBI use.)

DATE:

Before signing this form check back over it to make sure you have answered all questions fully and correctly.

CERTIFICATION

I CERTIFY that the statements made by me on this form are true, complete, and correct to the best of my knowledge and belief, and are made in good faith.

False statement on this form
is punishable by law.

(DATE)

(SIGNATURE—Sign original and first carbon copy)

INFORMATION TO BE FURNISHED BY AGENCY

INSTRUCTIONS TO AGENCY: See Federal Personnel Manual Chapter 736 and FPM Supplement 296-31, Appendix A, for details on when this form is required and how it is used. If this is a request for investigation before appointment, insert "APPL" in the space for Date of Appointment and show information about the proposed appointment in the other spaces for appointment data. The original and the first carbon copy should be signed by the applicant or appointee. Submit the original and the unsigned carbon copy of the form, Standard Form 87 (Fingerprint Chart), and any investigative information about the person received on voucher forms or otherwise, to the United States Civil Service Commission, Bureau of Personnel Investigations, Washington, D.C., 20415. If this is a request for full field security investigation, submit these forms to the attention of the Division of Reimbursable Investigations; if this is a request for preappointment national agency checks, submit these forms to the attention of the Control Section.

RETAIN THE CARBON COPY OF STANDARD FORM 86 (SIGNED BY THE APPLICANT OR APPOINTEE) FOR YOUR FILES

DATE OF APPOINTMENT	TYPE OF APPOINTMENT <input type="checkbox"/> EXCEPTED <input type="checkbox"/> COMPETITIVE (Include indefinite and temporary types of competitive appointments.)	CIVIL SERVICE REGULATION NUMBER OR OTHER APPOINTMENT AUTHORITY	TITLE OF POSITION AND GRADE OR SALARY
DEPARTMENT OR AGENCY	DUTY STATION	SEND RESULTS OF PREAPPOINTMENT CHECK TO:	
THIS IS A SENSITIVE POSITION			
(SIGNATURE AND TITLE OF AUTHORIZED AGENCY OFFICIAL)			

SUPPLEMENT TO SF-86
(Attach additional pages if necessary)

1. Please furnish the names and addresses of all corporations, firms or other business enterprises, partnerships, nonprofit organizations, and educational or other institutions with which you are presently associated or have been associated during the past five years either as officer, director, trustee, sole owner, partner or stockholder with controlling interest.

(See Supplemental Sheets #2 and 2(a))

2. Have you ever been a candidate for Federal, state or local elected office, or a treasurer or other officer of a political committee? Were there any complaints lodged with the Federal Election Commission or state or local election authorities against you or your political committee? If so, please explain.

Yes - Placer County Republican Central Committee, California - 1978
No complaints were ever lodged against me.

3. Do you maintain any residence other than your permanent residence; e.g., vacation home? If so, please furnish address.

My legal residence is: 5629 Maple Ridge Court
Rocklin, California 95677

SC

4. Have you ever been disciplined or cited for a breach of ethics or unprofessional conduct by, or been the subject of a complaint to, any court, administrative agency, professional association, disciplinary committee, or other professional group? If so, please give full details.

None except in the course of regular governmental business wherein complaints have been made or suits filed, of which none have resulted in any actions against me. Congresswoman Patricia Schroeder (Colorado) chairs the Subcommittee overseeing MSPB and has initiated inquiries into my personal and activities. (See attached GAO letter dated 6/6/84 and questions for confirmation to my present position.) (over) governmental responses to the

5. To your knowledge have your activities or those of any of your business enterprises, or associates in those enterprises, ever been the subject of criminal investigation, or a civil enforcement investigation or proceeding? If so, please give full details.

None

6. Have you every been a party in any civil court action? If so, please give full details.

None except as in answer to question 4, this document.

I understand that the information being provided on this supplement to the SF-86 is to be considered part of the original SF-86 dated 6/20/85 and a false statement on this form is punishable by law.

Signed Walter E. Ellingwood

SUPPLEMENTAL SHEET # 1

Item #20

<u>COUNTRY</u>	<u>DATES</u>	<u>PURPOSE</u>
China	Oct. 31 - Nov. 15, 1982 Feb. 12 - 13, 1984	Tourist "
Costa Rica	Feb. 22 - 24, 1984	"
Hong Kong	Nov. 10 - 15, 1981 Nov. 15 - 17, 1982 Feb. 11 - 13, 1984 Mar. 14 - 16, 1985	" " " "
Indonesia	Feb. 16 - 18, 1984	"
Japan	Nov. 15 - 17, 1981	"
Korea	Nov. 6 - 10, 1981	"
Macau	Feb. 13, 1984	"
Singapore	Feb. 14 - 16, 1984	"
Taiwan	Feb. 9 - 11, 1984 Feb. 18 - 19, 1984 Mar. 10 - 14, 1985	" " "

Item #25

	<u>City & State of Birth</u>
X Father - Harry Clifford Ellingwood - July 29, 1900	Albia, Iowa
SF Mother - Edith Arleene Ellingwood - March 12, 1900 -	Ames, Kansas
Wife - Audrey Alice Kesler - Aug. 19, 1932	Cass, W.Va.
(new address: 6607 Briar Hill Court McLean, VA 22101) AX/RH	
Son - Edwin Bruce Ellingwood - Nov. 17, 1963	Castro Valley, Calif.
(new address: 6242 Green Tavern Lane Yorba Linda, CA 92686) LA	
Son - Thomas Barry Ellingwood - Mar. 18, 1967	Castro Valley, Calif.
(new address: 6607 Briar Hill Court McLean, VA 22101) AX/RH	
X Sister - Juanita Ellingwood - July 19, 1924	LaJunta, Colorado
Sister - Pauline Nelson - Sept. 13, 1926 SF	" "
Sister - Mildred Chapman - Sept. 29, 1928	Ordway, Colorado
(new address: 1355 Independence Sq. Watsonville, CA 75076) SF	
Brother - Al Ellingwood - Sept. 15, 1934 SF	Aromas, CA
Brother - Wayne Ellingwood - May 19, 1938	" "
(new address: 2862 Cottonwood Orange, CA 92665) LA	

SUPPLEMENTAL SHEET # 2

Question 1, Supplement to SF-86

In addition to those affiliations listed in Question 24 of Form 86,
dated 3/11/81:

Foundation For Christian Youth Leadership (Board Member)
15816 Lazy Day Lane, Dumfries, VA 22026

Monte Vista Christian High School (Board Member)
2 School Way, Watsonville, CA 95076

CBN University (Regent)
Virginia Beach, VA 23463

Strawberries & Stuff (President)
5629 Maple Ridge Court, Rocklin, CA 95677

SC

Laws at Work (former Vice President)
5670 Wilshire Boulevard, Suite 2130, Los Angeles, CA 90036

Religious Heritage of America (Board Member)
7900 Jerome Avenue, St. Louis, Missouri 63143

Greater Washington Billy Graham Crusade (Secretary)
P.O. Box 39099, Washington, D.C. 20016

Here's Life Washington (Board Member)
3030 N. Fairfax Drive, Suite 314, Arlington, VA 22201

Full Gospel Businessmen's Fellowship International (Director)
3150 Bear Street, Costa Mesa, CA 92626

Caldwell & Toms (law firm -- former partner)
700 S. Flower Street, 15th Floor, Los Angeles, CA 90017

S.K. Sung, Inc. (former officer)
P.O. Box 24466, San Jose, CA 95154

SF

Nellie Gail #1 Associates (former partner)
24882 Buckboard, Laguna Hills, CA 92653

Giebel Petroleum and Investments (sometimes this is listed as a
partnership, but I am a very minor investor)
1 Marienfeld Place, Midland, Texas 79701

Shelbourne Estates Homeowners Association (former officer)
7575 Auburn-Folsom Road, Loomis, CA 95650

Sunrise Christian Schools (former trustee)
202 Bonita Avenue, Roseville, CA 95678

QUESTION 20:

Foreign Countries Visited	Dates	Purpose
Canada	1953, 1957, 1976	Tourist
Mexico - Many times to Tijuana; latest in 1978		
England, Scotland, Ireland, France, West Germany, Switzerland, Lichenstein, Belgium, Luxemburg, Netherlands, Sweden, Spain, Monte Carlo, Italy, Austria, Saar	1955, 1956	Tourist While in Military
Taiwan	4/2/79 - 4/9/79 7/12/79 - 7/22/79 3/1/80 - 3/6/80 11/30/80 - 12/3/80 2/28/81 - 3/5/81	4/13/79 7/22/79 3/7/80 12/3/80 3/9/81 Tourist
Philippines		
	4/11/79 - 4/13/79 2/28/80 - 3/1/80 6/7/80 - 6/8/80 3/5/81 - 3/6/81	4/13/79 3/7/80 6/8/80 3/9/81 Tourist
HongKong	4/9/79 - 4/11/79 11/6/79 - 11/7/79 3/6/80 - 3/7/80 6/19/80 - 6/21/80	4/13/79 11/7/79 3/7/80 6/21/80 Tourist
Korea	10/25/79 - 10/28/79	Tourist
China	October/November 1979 6/8/80 - 6/19/80	11/7/79 6/21/80 Tourist
Singapore	3/6/81 - 3/9/81	3/9/81 Tourist

Question 24:

Membership in Other Organizations:

	Type	From - To	Office Held
State Bar of California 555 Franklin St., San Francisco	Professional	1960 to Present	Various Offices
American Bar Association 1800 M Street, N.W. Washington DC	"	1960 to Present	"
Sacramento County Bar Association 901 H Street, Sacramento, CA	"	1967 to Present	None
Placer County Bar Assn. 730 Sunrise Avenue, Roseville	"	1974 to Present	None
Christian Legal Society P. O. Box 2069, Oak Park, Ill.	"	1967 to Present	National President
Chabot College 2555 Hesperian Blvd., Hayward, CA	Education	Circa 1965	Instructor
Calif. Specialized Training Institute	Education	1972-1974	Member, Academic Bd
Oakland Junior Chamber of Commerce Oakland, CA	Civic	1960-1967	Various Committees
Alpha Foundation 22556 Gilmore St., Canoga Park, CA	Education	1972 - Present	Director
Institute of Continuing Studies, California State University Sacramento, CA	"	1976 - Present	Member, Advisory B
California Junior Statesmen of America Foundation 480 California Ave., Palo Alto CA	Education	1964 - Present	Various Offices

SUPPLEMENTAL SHEET # 2(a)

Continuation of Question 1, Supplement to SF-86

I am no longer a member of the following organizations listed in the above dated Form 86:

Sacramento County Bar Association
Sacramento, CA 95814

Placer County Bar Association
Roseville, CA 95678

Alpha Foundation
1101 S. Arlington Ridge Road, Suite 1117
Arlington, VA 22202

Institute of Continuing Studies, California State
University at Sacramento
Sacramento, CA 95825

I have not been an officer of the Christian Legal Society (P.O. Box 1492, Springfield, VA 22151) within the last 5 years, and am no longer an officer of Laws at Work (address previously listed).

The California Peace Officers' Association should have been listed in 1981; I am still a member (not an officer) of the organization. (12th & L, Sacramento, CA 95814)

AUTHORITY TO RELEASE INFORMATION

TO WHOM IT MAY CONCERN:

I hereby authorize any Special Agent or other authorized representative of the Federal Bureau of Investigation bearing this release, or copy thereof, within one year of its date, to obtain any information in your files pertaining to my educational records including, but not limited to, academic, achievement, attendance, athletic, personal history, and disciplinary records; employment records; law enforcement records (including, but not limited to any record of charge, prosecution, or conviction for criminal or civil offenses); and credit records, including credit card and payment device numbers. I hereby direct you to release such information upon request of the bearer. This release is executed with full knowledge and understanding that the information is for the official use of the Federal Bureau of Investigation. Consent is granted for the FBI to furnish such information, as is described above, to third parties in the course of fulfilling its official responsibilities. I hereby release you, as the custodian of such records, and any school, college, university, or other educational institution, credit bureau or consumer reporting agency, retail business establishment, law enforcement agency, or criminal justice agency, including its officers, employees, or related personnel, both individually and collectively, from any and all liability for damages of whatever kind, which may at any time result to me, my heirs, family or associates because of compliance with this authorization and request to release information, or any attempt to comply with it. Should there be any question as to the validity of this release, you may contact me as indicated below:

Full Name:

Herbert E. Ellingwood
Signature

Full Name:

HERBERT EUGENE ELLINGWOOD

Type or Print Name

Parent or Guardian
(if required):

Date:

June 20, 1985

Current
Address:

6607 Briar Hill Court

McLean, VA 22101

Telephone
Number:

703/734-6931

TRANSMIT VIA: Airtel

CLASSIFICATION: _____

DATE: July 1, 1985

FROM: Director, FBI (161-15392)

TO: SACS, Alexandria (161B-7785) - Enc. (2) *Pat*
Los Angeles - Enc. (2)
Pittsburgh - Enc. (2)
Richmond - Enc. (2)
Sacramento (161B-785) - Enc. (2)
San Francisco (161B-3605) - Enc. (2)

SEARCHED THROUGH
ELSUR INDICES

✓
HERBERT EUGENE ELLINGWOOD
SPECIAL INQUIRY
BUDED: 7/10/85 (B)

SEARCHED THROUGH
CONFIDENTIAL INDICES

Rebutel 6/29/85.

Enclosed are background data and release received with
request for investigation.

SPIN

** Indices only on
left (Keith, Spins
& Rederms)
* @ assoc.!*

[Signature]

161B-7785-7

SEARCHED *h* INDEXED *h*
SERIALIZED *h* FILED *h*

b6
b7C
Third Party

FBI

TRANSMIT VIA:

☐ Teletype
☐ Facsimile
☒ AIRTEL

PRECEDENCE:

☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date

7-3-85

TO: DIRECTOR, FBI (161-)

FROM: SEL SAC, ALEXANDRIA (161B-7785) (P)

SUBJECT: HERBERT EUGENE ELLINGWOOD, SPECIAL INQUIRY.
 BUDED: 7/10/85 WITHOUT FAIL. (R)

Re Butel to ALL FBI FIELD OFFICES DATED 6-29-85.

The following Alexandria indices were checked with negative results regarding the appointee/applicant, listed family members and present business establishment if applicable:

7-1-85, General Indices - 7-3-85, Confidential and Elsur Indices - 7-1-85, ISIS -

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 b7C
 Third Party

2-Bureau
 1-Alexandria

(3)

Approved: SEL

Transmitted

(Number)

(Time)

Per

161B-7785-8

SEARCHED INDEXED

SERIALIZED FILED ML

313
FOR READ INBOX 2 TEXT
FORMS: TEXT HAS 1 DOCUMENT

INBOX.2 (#2527)

TEXT: VZCZCNYO150

PP HQ AFO

DE NY #0150 1922318

ZNY UUUUU

R 112229Z JUL 85

FM FBI NEW YORK (161-B-R583) (RUC) (A-4)

TO DIRECTOR FBI PRIORITY

ALL FBI FIELD OFFI PRIORITY *RS*

BT

UNCLAS

✓
HERBERT EUGENE ELLINGWOOD; SPECIAL INQUIRY; BUDED: JULY 10, 1985

REBUTEL TO ALL FIELD OFFICES, JUNE 30, 1985.

A REVIEW OF NEW YORK GENERAL INDICES ON JULY 8, 1985, REVEALED
NO IDENTIFIABLE INFORMATION REGARDING APPOINTEE OR MEMBERS OF THE
FAMILY.

A CHECK OF ELSUR INDICES ON JULY 9, 1985, BY SUPPORT EMPLOYEE

PROVED NEGATIVE REGARDING APPOINTEE OR MEMBERS OF THE

161B-7785-9

SEARCHED <i>W</i>	INDEXED <i>W</i>
SERIALIZED <i>W</i>	FILED <i>W</i>
JUL 11 1985	
ANDRIA	

[Signature]

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Third Party

PAGE TWO DE NY 0150 UNCLAS

b6
b7C
Third Party

FAMILY.

ISIS. NYO CHECKED BY SUPPORT EMPLOYEE. ON

JULY 8, 1985. REVEALED NO INFORMATION IDENTIFIABLE WITH APPOINTEE OR
MEMBERS OF THE FAMILY.

BT

#0150

NNNN

ORIGINAL

INBOX.24 (#2342)

TEXT: VZCZCHQ0220

PP AFO

DE HQ #0220 1850405

ZNR UUUUU

P 032059Z JUL 85

FM DIRECTOR FBI

TO ALL FBI FIELD OFFICES PRIORITY

BT

UNCLAS

CHANGED: HERBERT EUGENE ELLINGWOOD, SPECIAL INQUIRY,

BUDED: 7/17/85, WITHOUT FAIL. (B)

TITLE MARKED "CHANGED" TO INDICATE CHANGE IN BUDED FROM
7/10/85, TO 7/17/85, AS INDICATED ABOVE.

REFERENCE BUREAU TELETYPE DATED 6/29/85, TO ALL
OFFICES.

ALL OFFICES ARE REMINDED TO FOLLOW DAPLI REPORTING
RULES AND TO SUBMIT A REPORT SUITABLE FOR DISSEMINATION TO
THE WHITE HOUSE.

DUE TO URGENT NATURE OF REQUEST IT IS IMPERATIVE BUDED
BE MET WITHOUT FAIL.

SPIN

BT#0220

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161B-7785-10

SEARCHED	INDEXED
SERIALIZED	FILED
JUL 4 1985	
FBI - ALEXANDRIA	

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE ALEXANDRIA	OFFICE OF ORIGIN BUREAU	DATE 7/16/85	INVESTIGATIVE PERIOD 7/2/85 - 7/8/85
TITLE OF CASE HERBERT EUGENE ELLINGWOOD		REPORT MADE BY SA 	TYPED BY slf
		CHARACTER OF CASE SPIN	

b6
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Third Party

REFERENCES: Bureau teletype to WFO, ET AL, dated June 29, 1985.

-RUC-

ADMINISTRATIVE:

All individuals contacted were apprised of the provisions of the Privacy Act, and those requesting confidentiality have been so noted.

ACCOMPLISHMENTS CLAIMED						<input checked="" type="checkbox"/> NONE	ACQUIT- TALS	CASE HAS BEEN:
CONVIC.	PRETRIAL DIVERSION	FUG.	FINES	SAVINGS	RECOVERIES			
								PENDING OVER ONE YEAR <input type="checkbox"/> YES <input type="checkbox"/> NO PENDING PROSECUTION OVER SIX MONTHS <input type="checkbox"/> YES <input type="checkbox"/> NO

APPROVED

SPECIAL AGENT
IN CHARGE

DO NOT WRITE IN SPACES BELOW

COPIES MADE:

2-Bureau

①-Alexandria (161B-7785)

Dissemination Record of Attached Report

Agency				
Request Recd.				
Date Fwd.				
How Fwd.				
By				

Notations

161B-7785-11
 SEARCHED INDEXED
 SERIALIZED FILED

A*

COVER PAGE

FBI/DOJ

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

Copy to:

Report of:

SA [REDACTED]

Office: Alexandria, Virginia

Date:

7/16/85

Field Office File #:

161B-7785

Bureau File #:

Title:

HERBERT EUGENE ELLINGWOOD

Character:

SPECIAL INQUIRY

Synopsis:

Neighborhood verified and favorable. Social acquaintance favorably recommends. Credit check satisfactory. Arrest checks negative. Details of interviews with ALEXANDRIA POLICE DEPARTMENT, Director, U. S. DISTRICT COURT Judge, BAR ASSOCIATION, President, NAACP, President, ARLINGTON COUNTY POLICE Chief, all set forth. Interview with references, details set forth.

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Third Party

-RUC-

DETAILS:NEIGHBORHOOD

The following investigation was conducted by SA

[REDACTED]
6607 Briar Hill Court
McLean, Virginia
July, 1981 - Present

On July 2, 1985, ANN MARIE PETERS, 6609 Briar Hill Court, McLean, Virginia, advised that she has lived at this address for four years and met the appointee at that time. She described the appointee as a kind and unobtrusive neighbor. She added that he is very conservative and a religious person. PETERS has no reason to question the appointee's loyalty to the United States. She is unaware of any bias or prejudice that the appointee might possess. PETERS knew of no information that would reflect unfavorably on the character, reputation or associates of the appointee and knows of no alcohol abuse or drug use on his part.

AX 161B-7785

PETERS believed the appointee to be well versed in the law and finds him to be very dedicated and concerned about young people. She said that appointee is a man who loves his work. She concluded by highly recommending appointee for a position of trust and confidence with the UNITED STATES GOVERNMENT.

On July 8, 1985, JOYCE HUTCHINGS, 6610 Briar Hill Court, McLean, Virginia, advised that she has been at this address for four years and has known the appointee for the same. In the time she has known the appointee, HUTCHINGS finds him to be a responsible homeowner, he keeps his property up nicely, all in all, he is a fine neighbor. HUTCHINGS could think of no reason to question the appointee's loyalty to the United States. She is unaware of any prejudice or bias that the appointee might possess and has no knowledge of his qualifications as an attorney. She believed that the appointee has an impeccable reputation and knows of no unfavorable information regarding the character, reputation or associates of the appointee. She further is unaware of any alcohol abuse or drug use on the part of the appointee. HUTCHINGS stated that the appointee is a competent individual and could think of no reason not to recommend him for a position of trust and confidence with the UNITED STATES GOVERNMENT.

SOCIAL ACQUAINTANCE

The following investigation was conducted by
SA [REDACTED] at Arlington, Virginia:

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Third Party

On July 2, 1985, DOCTOR VERNON LESLIE GROSE, 1101 South Arlington Ridge Road, #1117, Arlington, Virginia (703) 685-0636, advised he has been a personal friend and social acquaintance of appointee since 1971. GROSE, having received his Doctor Degree in Science, was appointed by, then, California Governor, RONALD REAGAN, to serve on three committees between 1971 and 1974, which examined problems within the Law Enforcement community. It was during this time, while serving on the CALIFORNIA COUNCIL ON CRIMINAL JUSTICE, that GROSE met appointee. As an Administrator for the Council, appointee was responsible for allocating federal funds to Law Enforcement agencies received through the LAW ENFORCEMENT ADMINISTRATION Program. In addition, appointee served as Legal Affairs Secretary to then, California Governor, REAGAN. After REAGAN stepped down as Governor, appointee continued to work under California

AX 161B-7785

Attorney-General, EVELLE YOUNGER, unsuccessful, 1978 Republican candidate for Governor of California.

GROSE stated in March, 1981, following REAGAN's election to President of the United States, appointee accepted a position as Deputy Counsel to the President, in Washington, D.C. Approximately nine months later, appointee was made Chairman of the MERIT SYSTEM PROTECTION BOARD. GROSE advised, the Board, on the Judicial Justice side of the Office of Personnel Management, is responsible for handling federal employee discharge complaints and grievances.

GROSE stated he received a Presidential appointment to the NATIONAL TRANSPORTATION SAFETY BOARD, Washington, D.C., which enabled GROSE to continue his friendship and regular contact with appointee. GROSE's most recent professional contact with appointee centers around a 1983 Computer Utilization Contract awarded to OMEGA UNIVERSAL, INC., a company controlled by GROSE, awarded by the MERIT SYSTEM PROTECTION BOARD, under the Chairmanship of appointee. Currently, appointee is a member designate of the MERIT SYSTEM PROTECTION BOARD, awaiting confirmation.

GROSE described the appointee as a man of integrity, who is well recognized for that trait as a highly regarded attorney in California. Appointee has a sharp legal mind, having graduated from STANFORD LAW SCHOOL. GROSE stated appointee has a sense of justice, indicative of his background, who is committed to law and order concepts and personally lives up to those standards. GROSE is unaware of any writings published by appointee. Appointee is a speaker of wide breath on a variety of social and political issues. Appointee speaks regularly to church and other community groups, and is in particular demand to speak on the topic of "Spiritual Solutions to Political Problems". Having served the public for the past eighteen years, appointee has not practiced law privately, to GROSE's knowledge. GROSE deemed appointee to be a well rounded attorney, having been named "Man of the Year", in 1968, in Alameda County, California, for his efforts as a Prosecuting Attorney, coupled with appointee's most recent four years experience on the "defense side of the table", as the Administrative Officer of the MERIT SYSTEM PROTECTION BOARD. Among other legal awards appointee received, was recognition by the CALIFORNIA COUNCIL ON CRIMINAL JUSTICE. GROSE stated appointee's most notable accomplishment involved the recent handling of the Federal Air Traffic Controllers issue. Appointee successfully negotiated on behalf of the eight thousand displaced controllers without controversy or resultant law suits.

AX 161B-7785

Based on appointee's nationally recognized integrity, appointee is viewed with equal fairness by both advocates and opponents. GROSE described appointee as a good judge of persons, who uses subordinates well to carry out responsibilities. Appointee presents interesting ideas, is insightful, a very rational and unflappable person, who does not show excitability and never panics under stressful conditions. GROSE stated one of appointee's outstanding marks is his ability to efficiently manage without creating a feeling of oppression. Operations undertaken by appointee are run smoothly with little indication of how he gets the job done. GROSE advised appointee "does the job right the first time", but is very concerned about following up on unfinished business.

GROSE stated he has neither seen nor observed appointee consuming/abusing alcohol or using illegal drugs. All of appointee's friends and associates are of good character and reputation. GROSE stated there is absolutely no question as to appointee's loyalty to the country. Appointee is financially and mentally sound, has maintained a consistent lifestyle, and has never been arrested or been involved in civil litigation. GROSE characterized appointee's character and reputation as impeccable and could not cite any derogatory aspects.

Although appointee works long and hard hours, he takes time for his family. GROSE advised appointee is a strong, good family man, who runs a well ordered home. Appointee's son, THOMAS, is currently a student at PEPPERDINE UNIVERSITY, while the second son, BRUCE, is a U. S. MARINE stationed at CAMP PENDLETON, California. Appointee's wife, AUDREY, previously taught school for a number of years in the California School System, and is currently a volunteer at the WHITE HOUSE. Appointee is a god-fearing man, who is active in a local Fairfax interdenominational church (name unknown).

Being in a position of high visibility, appointee's personal and professional reputation can be attested to through the absence of media attack. Appointee is not biased or prejudice with respect to any race, religion or ethnic groups. Appointee has a great affection for the Chinese, and has traveled frequently to China. As an Intelligence Officer in the U. S. ARMY, during the 1950's, appointee lived in Austria for a number of years. Appointee is neither a member of any racially prejudiced organization, nor a member/supporter of any radical group. GROSE stated he is unaware of any outside business interests or property ownership involving appointee. GROSE advised that he is

AX 161B-10873

not familiar with appointee's civil rights views, however, believes appointee to be a strong supporter of individual rights as a result of his religious background.

GROSE stated he highly recommends appointee for the desired position with the Attorney General's office requiring this security clearance. GROSE advised appointee's career goals are to serve the public and are not politically motivated or geared for personal gain. In specific, GROSE left the prestigious Counsel's position at the WHITE HOUSE to accept the challenge at the MERIT SYSTEM PROTECTION BOARD, where appointee has done an excellent job.

Appointee is an active member of the Republican party, and a staunch supporter of PRESIDENT REAGAN and his policies.

Persons acquainted with appointee who would have had supervisory and/or oversight responsibilities over appointee, would include members of the CALIFORNIA APPELLATE COURT and members of the MERIT SYSTEM PROTECTION BOARD'S OFFICE OF SPECIAL COUNSEL.

CREDIT CHECK

On July 8, 1985, IA [] caused a search to be made of the files of the CREDIT BUREAU OF NORTHERN VIRGINIA, INC., Post Office Box 232, Manassas, Virginia, which covers the Washington Metropolitan Area, and was advised that the appointee has a satisfactory credit record.

ARREST CHECKS

On July 3, 1985, a computerized check of the CENTRAL CRIMINAL RECORDS EXCHANGE (CCRE), VIRGINIA STATE POLICE (VSP), Richmond, Virginia, failed to reflect any information identifiable with appointee, spouse, AUDREY ALICE KESLER, AUDREY ALICE ELLINGWOOD and son, THOMAS BARRY ELLINGWOOD.

IA [] caused a search to be made of the files of the FAIRFAX COUNTY, VIRGINIA, POLICE DEPARTMENT, and was advised on July 3, 1985, that no record was located regarding appointee, spouse, AUDREY ALICE KESLER, AUDREY ALICE ELLINGWOOD, and son, THOMAS BARRY ELLINGWOOD.

INTERVIEW WITH ALEXANDRIA POLICE DEPARTMENT DIRECTOR

The following investigation was conducted by SA [] at Alexandria, Virginia:

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Third Party

AX 161B-7785

On July 2, 1985, CHARLES STROBEL, DIRECTOR, ALEXANDRIA POLICE DEPARTMENT, advised he has no knowledge of the appointee.

INTERVIEW WITH JUDGE, U. S. DISTRICT COURT

The following investigation was conducted by SA [] at Alexandria, Virginia:

On July 7, 1985, the HONORABLE ALBERT V. BRYAN JR., Judge, U. S. DISTRICT COURT, for the Eastern District of Virginia, 200 S. Washington Street, advised that he has no knowledge of the appointee.

INTERVIEW WITH BAR ASSOCIATION PRESIDENT

On July 3, 1985, GWENDOLYN JO CARLBERG, President, ALEXANDRIA BAR ASSOCIATION, 420 South Washington Street, advised that she has no knowledge of the appointee.

INTERVIEW WITH NAACP PRESIDENT

The following investigation was conducted by SA [] on July 2, 1985:

CASELL BUTLER, President, NAACP, 2417 2ND Street South, Arlington, Virginia, advised that he had no knowledge of appointee.

INTERVIEW WITH CHIEF OF POLICE

The following investigation was conducted by SA [] on July 2, 1985:

WILLIAM K. STOVER, Chief, ARLINGTON COUNTY, POLICE DEPARTMENT, 2100 15TH Street North, Arlington, Virginia, advised that he had no knowledge of appointee.

OTHER OFFICIALS

The following investigation was conducted by SA [] at Fairfax, Virginia:

On July 2, 1985, JOHN E. GRANFIELD, Acting Chief of Police, Fairfax County, 10600 Page Avenue, Fairfax, Virginia, advised that he does not know the appointee.

RICHARD HORAN, Judge, FAIRFAX COUNTY GENERAL DISTRICT COURT, 4110 Chainbridge Road, Fairfax, Virginia, advised that he does not know the appointee.

X Airtel

1049408

8/8/85

TO: DIRECTOR, FBI
(ATTENTION: SPIN UNIT, SSA [REDACTED])

FROM: SAC, ALEXANDRIA (161B-7785) (RUC) [REDACTED]

HERBERT EUGENE ELLINGWOOD
SPECIAL INQUIRY
BUDED: PAST

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Third Party

Reference Headquarters Supervisor, [REDACTED]
telcall to Alexandria Supervisor, [REDACTED]

Enclosed for the Bureau is a letter sent to MR. FIELDING by ANNE NEAMON dated August 1, 1985, and a news release published by the Citizens for God and Country entitled, "The Inseparable Separation-Atheism-Terrorism".

On August 1, 1985, ANNE NEAMON was contacted by SA [REDACTED] at telephone number 356-7884 and a meeting was set for 3:45 p.m., on August 1, 1985, at the THREE PIGS Restaurant in McLean, Virginia. NEAMON did not want to meet at her residence in McLean nor would she furnish the address of her residence to SA [REDACTED]

NEAMON arrived at the THREE PIGS Restaurant in McLean, Virginia, with a manilla folder containing the above enclosures.

She advised that there were ideological violations which were occurring and that the FEDERAL BUREAU OF INVESTIGATION (FBI) should be investigating. She advised that MR. ELLINGWOOD was a fine man, who was standing up for his religious convictions and NORMAN LEAR-SHROEDER should not be allowed to publish any disparaging remarks against MR. ELLINGWOOD. She advised

2-Bureau (Enc. 2)

①-Alexandria

AJH:jcs

(3) [REDACTED]

161 B-7785-12
SEARCHED INDEXED
SERIALIZED FILED

AX 161B-7785

that the separation of church and state was a communist plot to divide the United States and that the FBI should investigate this matter under the Hobbs Act and under a conspiracy violation.

NEAMON was unable to furnish any positive information of a Federal violation at this time. NEAMON was advised that if she wish to discuss a Federal violation concerning the above matter that she should gather all her evidence and go to the FBI Office in Alexandria, Virginia, and discuss the matter further.

SA [] searched the Criss-Cross Directory for telephone number 356-7884, and the directory listed the above telephone number to a Colonel PAUL SCORDAS of 6913 Arbor Lane, McLean, Virginia.

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Third Party

The Alexandria indices was searched concerning PAUL SCORDAS and no record was located identifiable. The Alexandria indices was searched and file number 6201018 was located concerning ANNE NEAMON. The file contained miscellaneous information concerning Atheism and anti-Christianity information.

All individuals contacted were apprised of the provisions of the Privacy Act, and those requesting confidentiality have been so noted.

U. S. Department of Justice

FEDERAL BUREAU

of

INVESTIGATION

Bureau File Number

70-*Poulsen*

DO NOT
DESTROY

FOIPA#

1049408

Photo Nos.

CLASSIFICATION NO

Serials

Volume Number

101E-3224

Rec 7-12-85
H. Smith 7-1-85

ROTOR C-2

RM - Roommate
A - Applicant
R - Relative

Ellywood

CA

101A

CITY	LEAD	AGENT
✓ LOS ANGELES	INFORMANT	
✓ LOS ANGELES	ELSUR	
✓ LOS ANGELES	INDICES	
✓ ORANGE	ARREST (R)	
✓ OCSO	ARREST (<i>RES</i>)	

b6

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Third Party

ALL INVESTIGATION CONDUCTED BY RA'S MUST BE
SUBMITTED IN FINAL INSERT FORM, READY FOR DIC-
TATION IN EITHER ROUGH DRAFT OR TYPED COPY.

THIS SHEET IS USED AS AN AID IN DESIGNATING LEADS.
AGENTS ARE RESPONSIBLE FOR DETERMINING LEADS IN
THEIR AREAS AND HANDLING THEM ACCORDINGLY.

COPY TO FILE REVIEW *✓*

FILE # *161B-*

Above leads set out by *ROS*

VZCZCHQ0321

VZCZCHQ0089

PP AFO

DE HQ #0089 1820100

ZNR UUUUU

P 292131Z JUN 85

FM DIRECTOR FBI

TO ALL FBI FIELD OFFICES PRIORITY

BT

UNCLAS

HERBERT EUGENE ELLINGWOOD, SPECIAL INQUIRY, BUDED: 7/10/85

WITHOUT FAIL. (B)

REBUTELS TO ALL OFFICES DATED SEPTEMBER 7, 1982.

BUREAU HAS BEEN REQUESTED TO CONDUCT EXPEDITE UPDATE INVESTIGATION OF APPOINTEE FOR PRESIDENTIAL APPOINTMENT, AS ASSISTANT ATTORNEY GENERAL FOR THE OFFICE OF LEGAL POLICY, DEPARTMENT OF JUSTICE, WASHINGTON, D. C., WHICH REQUIRES SENATE CONFIRMATION.

ALL OFFICES CHECK INDICES AND ELSUR FILES BASED UPON AVAILABLE INFORMATION CONCERNING APPOINTEE, HIS CLOSE RELATIVES AND PRESENT BUSINESS ESTABLISHMENT.

WASHINGTON FIELD, IF UTILIZING MAJOR CASE INFORMATION SYSTEM OR ISIS AND ARE OFFICE OF ORIGIN ON THOSE CASES SHOULD

161B-5224

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SERIALIZED	FILED
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ELES	

7-1-85

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Third Party

JUN 30 3 10 AM '85

TELETYPE ROOM

MUST BE IN
DICTATION BY

211-00-3

ELLINGWOOD, Herbert E.

PAGE TWO DE HQ 0089 UNCLAS

ALSO CHECK THOSE DATA BASES. PROMPTLY SUTEL RESULTS (POSITIVE OR NEGATIVE) BY TELETYPE SUMMARY AND IF POSITIVE, FOLLOW WITH COPIES OF DOCUMENTS BY AIRTEL.

ALEXANDRIA, LOS ANGELES, PITTSBURGH, RICHMOND, SACRAMENTO, SAN FRANCISCO AND WASHINGTON FIELD SHOULD NOT RUC UPON COMPLETION OF THE ABOVE RECORD CHECKS AS ADDITIONAL INVESTIGATION IS FORTHCOMING.

ELLINGWOOD IS CURRENTLY EMPLOYED BY MERIT SYSTEMS PROTECTION BOARD, WASHINGTON, D. C., IS DESCRIBED AS BORN MARCH 5, 1931, IN ORDWAY, COLORADO; HAS SSAN 726-09-4567; AND RESIDES 6607 BRIAR HILL COURT, MC LEAN, VIRGINIA.

RELATIVES: FATHER (DECEASED), HARRY CLIFFORD ELLINGWOOD, BORN JULY 29, 1900, IN ALBIA, IOWA; MOTHER, EDITH ARLEENE ELLINGWOOD, BORN MARCH 12, 1900, IN AMES, KANSAS, RESIDES 1763 GREEN VALLEY ROAD, WATSONVILLE, CALIFORNIA; SPOUSE, AUDREY ALICE KESLER, BORN AUGUST 19, 1932, IN CASS, WEST VIRGINIA, RESIDES 6607 BRIAR HILL COURT, MC LEAN, VIRGINIA; SON, EDWIN BRUCE ELLINGWOOD, BORN NOVEMBER 17, 1963, IN CASTRO VALLEY, CALIFORNIA, RESIDES 6242 GREEN TAVERN LANE, YORBA LINDA, CALIFORNIA; SON, THOMAS BARRY ELLINGWOOD, BORN MARCH 18, 1967,

PAGE THREE DE HQ 0089 UNCLAS

IN CASTRO VALLEY, CALIFORNIA, RESIDES WITH APPOINTEE AND
SPOUSE; SISTER (DECEASED), JUANITA ELLINGWOOD, BORN JULY 19,
1924, IN LA JUNTA, COLORADO; SISTER, PAULINE NELSON, BORN
SEPTEMBER 13, 1926, IN LA JUNTA, COLORADO, RESIDES 792 ECHO
VALLEY ROAD, SALINAS, CALIFORNIA; SISTER, MILDRED CHAPMAN, BORN
SEPTEMBER 29, 1928, IN ORDWAY, COLORADO, RESIDES 1355
INDEPENDENCE SQUARE, WATSONVILLE, CALIFORNIA; BROTHER, AL
ELLINGWOOD, BORN SEPTEMBER 15, 1934, IN AROMAS, CALIFORNIA,
RESIDES 1000 HIGHWAY 101, AROMAS, CALIFORNIA; BROTHER, WAYNE
ELLINGWOOD, BORN MAY 19, 1938, IN AROMAS, CALIFORNIA, RESIDES
2862 COTTONWOOD, ORANGE, CALIFORNIA.

SPIN

BT

#0089

NNNN

LA



1-1A

RM - Roommate
A - Applicant
R - Relative

CITY	LEAD	AGENT
LOS ANGELES	INFORMANT	
LOS ANGELES	ELSUR	
LOS ANGELES	INDICES	
ORANGE	ARREST (R)	
OCSO	ARREST (R) ,	

b6
b7C
Third Party

ALL INVESTIGATION CONDUCTED BY RA'S MUST BE
SUBMITTED IN FINAL INSERT FORM, READY FOR DIC-
TATION IN EITHER ROUGH DRAFT OR TYPED COPY.

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AGENTS ARE RESPONSIBLE FOR DETERMINING LEADS IN
THEIR AREAS AND HANDLING THEM ACCORDINGLY.

COPY TO FILE REVIEW ✓
FILE # 161B-

Above leads set out by Das

VZCZCHQ0328

JUN 30 5 43 AM '85

PP AX LA PG RH SC SF WF

DE HQ #0093 1811315

TELETYPE ROOM

ZNR UUUUU

P 292131Z JUN 85

FM DIRECTOR FBI (161-15392)

TO FBI WASHINGTON FIELD (161-14852) PRIORITY

FBI ALEXANDRIA (161B-7785) PRIORITY

FBI LOS ANGELES PRIORITY

FBI PITTSBURGH PRIORITY

FBI RICHMOND PRIORITY

FBI SACRAMENTO (161B-785) PRIORITY

FBI SAN FRANCISCO (161B-3605) PRIORITY

BT.

UNCLAS

SECTION 1 OF 2

ENCLOSURE FOR WFO FORWARDED BSM.

HERBERT EUGENE ELLINGWOOD, SPECIAL INQUIRY, BUDED: 7/10/85

WITHOUT FAIL. (B)

THE BUREAU HAS BEEN REQUESTED TO CONDUCT AN EXPEDITE
UPDATE INVESTIGATION OF ELLINGWOOD FOR PRESIDENTIAL APPOINTMENT
AS ASSISTANT ATTORNEY GENERAL FOR THE OFFICE OF LEGAL POLICY,

211-00-3
ELLINGWOOD, HERBERT E.

141-5224-2

SEARCHED	INDEXED
SERIALIZED	FILED
JUN 30 1985	
FBI LOS ANGELES	

PAGE TWO DE HQ 0093 UNCLAS

DEPARTMENT OF JUSTICE, WASHINGTON, D. C., WHICH REQUIRES SENATE CONFIRMATION. HANDLE THIS INVESTIGATION AS A SPIN BUT FOLLOW DAPLI REPORTING RULES AND SUBMIT A REPORT TO FBIHQ BY BUDED FOR FORWARDING TO THE WHITE HOUSE.

ALL OFFICES: 161 INVESTIGATION CONDUCTED APRIL, 1981;
UPDATE FROM THAT POINT.

INTERVIEW PRESIDENTS OF LOCAL BARS, ATTORNEYS WHO HAVE OPPOSED APPLICANT, ATTORNEYS, MINORITY AND ETHNIC REPRESENTATIVES, AND APPROPRIATE LAW ENFORCEMENT OFFICIALS, AS WELL-AS FEDERAL, STATE AND LOCAL JUDGES. IF APPLICANT HAD HISTORY OF FINANCIAL TROUBLE, ASSURE THAT APPROPRIATE RECORDS ARE CHECKED FOR LOCAL AND STATE TAX LIENS OUTSTANDING AGAINST HIM. ALSO, IF APPOINTEE OWNS REAL PROPERTY, DETERMINE WHETHER PROPERTY-ENCUMBERED WITH ANY COVENANTS PERTAINING TO RACE, CREED, COLOR, OR RELIGION THROUGH A REVIEW OF THE COUNTY RECORDER-OF DEEDS RECORDS.

WHERE APPROPRIATE, VERIFY ADMISSION TO STATE AND LOCAL BARS, AND CHECK GRIEVANCE COMMITTEE RECORDS. ALSO CHECK NEWSPAPER MORGUES FOR ANY PERTINENT INFORMATION.

APPOINTEE WILL BE REQUIRED TO MAKE DECISIONS CONCERNING

PAGE THREE DE HQ 0093 UNCLAS

POLICY AND PERSONNEL MATTERS; THEREFORE, DURING INTERVIEWS, DETERMINE IF APPOINTEE HAS EXPRESSED OR MANIFESTED ANY BIAS OR PREJUDICE AGAINST ANY CLASS OF CITIZEN, OR ANY RELIGIOUS, RACIAL OR ETHNIC GROUP.

BUREAU DEADLINE AS SET FORTH ABOVE MEANS THE REPORT MUST BE RECEIVED AT THE BUREAU ON THAT DATE OR PRIOR THERETO.

BORN MARCH 5, 1931, IN ORDWAY, COLORADO, AND HAS SSAN 726-09-4567.

RESIDENCES: AUGUST, 1979, TO JULY, 1981, 3523 BUCKSKIN COURT, ROCKLIN, CALIFORNIA; JULY, 1981, TO THE PRESENT, 6607 BRIAR HILL COURT, MC LEAN, VIRGINIA; LEGAL RESIDENCE: 5629 MAPLE RIDGE COURT, ROCKLIN, CALIFORNIA.

EMPLOYMENT: MARCH, 1981, TO DECEMBER, 1981, THE WHITE HOUSE, DEPUTY COUNSEL TO THE PRESIDENT, FRED FIELDING (SUPERVISOR), OLD EXECUTIVE OFFICE BUILDING, WASHINGTON, D. C.; DECEMBER, 1981, TO THE PRESENT, MERIT SYSTEMS PROTECTION BOARD, 1120 VERMONT AVENUE, N. W., WASHINGTON, D. C., CHAIRMAN.

RELATIVES: FATHER (DECEASED), HARRY CLIFFORD ELLINGWOOD, BORN JULY 29, 1900, IN ALBIA, IOWA; MOTHER, EDITH ARLEENE ELLINGWOOD, BORN MARCH 12, 1900, IN AMES, KANSAS, RESIDES 1763

PAGE FOUR DE HQ 0093 UNCLAS

GREEN VALLEY ROAD, WATSONVILLE, CALIFORNIA; SPOUSE, AUDREY ALICE KESLER, BORN AUGUST 19, 1932, IN CASS, WEST VIRGINIA, RESIDES 6607 BRIAR HILL COURT, MC LEAN, VIRGINIA; SON, EDWIN BRUCE ELLINGWOOD, BORN NOVEMBER 17, 1963, IN CASTRO VALLEY, CALIFORNIA, RESIDES 6242 GREEN TAVERN LANE, YORBA LINDA, CALIFORNIA; SON, THOMAS BARRY ELLINGWOOD, BORN MARCH 18, 1967, IN CASTRO VALLEY, CALIFORNIA, RESIDES WITH APPOINTEE AND SPOUSE; SISTER (DECEASED), JUANITA ELLINGWOOD, BORN JULY 19, 1924, IN LA JUNTA, COLORADO; SISTER, PAULINE NELSON, BORN SEPTEMBER 13, 1926, IN LA JUNTA, COLORADO, RESIDES 792 ECHO VALLEY ROAD, SALINAS, CALIFORNIA; SISTER, MILDRED CHAPMAN, BORN SEPTEMBER 29, 1928, IN ORDWAY, COLORADO, RESIDES 1355 INDEPENDENCE SQUARE, WATSONVILLE, CALIFORNIA; BROTHER, AL ELLINGWOOD, BORN SEPTEMBER 15, 1934, IN AROMAS, CALIFORNIA, RESIDES 1000 HIGHWAY 101, AROMAS, CALIFORNIA; BROTHER, WAYNE ELLINGWOOD, BORN MAY 19, 1938, IN AROMAS, CALIFORNIA, RESIDES 2862 COTTONWOOD, ORANGE, CALIFORNIA.

REFERENCES AND ASSOCIATES: JOYCE KEITH, MERIT SYSTEMS PROTECTION BOARD (MSPB), 1120 VERMONT AVENUE, N. W., NO. 826, WASHINGTON, D. C., (202)653-7114; VERNE SPEIRS, MSPB,

PAGE FIVE DE HQ 0093 UNCLAS

(202)653-7115; RICHARD REDENIUS, MSPB, (202)653-6842; MAJOR GENERAL THOMAS K. TURNAGE, 1023 31ST STREET, N. W., WASHINGTON, D. C., (202)724-0447; COLONEL FRANK SALCEDO, 1010 WISCONSIN AVENUE, N. W., NO. 330, WASHINGTON, D. C., (202)333-1080; DR. VERNON L. GROSE, 1101 S. ARLINGTON RIDGE ROAD, NO. 1117, ARLINGTON, VIRGINIA, (703)685-0636.

CLEARANCES: FBU, 1981, EQUIVALENT TO TOP SECRET (ON A NEED TO KNOW BASIS).

WASHINGTON FIELD CHECK OFFICE OF SPECIAL COUNSEL AND OFFICE OF REVIEW AND APPEALS AT MERIT SYSTEMS PROTECTION BOARD, CHECK OFFICE OF PUBLIC INTEGRITY AND OFFICE OF PROFESSIONAL RESPONSIBILITY AT DEPARTMENT OF JUSTICE, AND DETERMINE SECURITY CLEARANCE. ALSO, AT U. S. GENERAL ACCOUNTING OFFICE, REVIEW FILES "INQUIRY INTO ALLEGATIONS AGAINST THE CHAIRMAN, MERIT SYSTEMS PROTECTION BOARD (GAO/AFMD-84-65)", AND DETERMINE OUTCOME OF INQUIRY. FURTHER, INTERVIEW CONGRESSWOMAN PATRICIA SCHROEDER, CHAIRWOMAN, SUBCOMMITTEE ON CIVIL SERVICE, HOUSE OF REPRESENTATIVES, WASHINGTON, D. C., CONCERNING THIS INQUIRY. DETERMINE IF SHE CONCURS WITH THE GAO CONCLUSIONS AND IF THERE ARE CURRENTLY ANY ALLEGATIONS AGAINST MR. ELLINGWOOD.

PAGE SIX DE HQ 0093 UNCLAS

WASHINGTON FIELD ALSO CONTACT REPRESENTATIVES FROM THE FOLLOWING AGENCIES OR ORGANIZATIONS TO OBTAIN COMMENTS CONCERNING APPOINTEE'S CHARACTER, ETHICS, AND SUITABILITY: EMPLOYMENT STANDARDS ADMINISTRATION, FAIR LABBR STANDARDS DIVISION, AND WAGE APPEALS BOARD, U. S. DEPARTMENT OF LABOR, 200 CONSTITUTION AVENUE, N. W., WASHINGTON, D. C.; FEDERAL LABOR RELATIONS AUTHORITY, 500 C STREET, S. W., WASHINGTON, D. C.; FEDERAL GOVERNMENT SERVICE TASK FORCE, HOUSE OFFICE BUILDING ANNEX, WASHINGTON, D. C., 226-2494; AMERICAN FEDERATION OF GOVERNMENT EMPLOYEES, AFL-CIO, 1325 MASSACHUSETTS AVENUE, N. W., WASHINGTON, D. C., 737-8700; NATIONAL ASSOCIATION OF GOVERNMENT EMPLOYEES, 2139 WISCONSIN AVENUE, N. W., WASHINGTON, D. C., 965-4411; NATIONAL FEDERATION OF FEDERAL EMPLOYEES, 1016 16TH STREET, N. W., WASHINGTON, D. C., 862-4400; PEOPLE FOR THE AMERICAN WAY, 1015 18TH STREET, N. W., WASHINGTON, D. C., 822-9450, ANTHONY T. PODESTA, EXECUTIVE DIRECTOR.

SPIN

BT

#0093

NNNN

VZCZCHQ0329 1811231

PP AX LA PG RH SC SF WF

DE HQ #0094 1811145

ZNR UUUUU

P 292131Z JUN 85

FM DIRECTOR FBI (161-1"392)

TO FBI WASHINGTON FIELD (161-14852) PRIORITY

FBI ALEXANDRIA (161B-7785) PRIORITY

FBI LOS ANGELES PRIORITY

FBI PITTSBURGH PRIORITY

FBI RICHMOND PRIORITY

FBI SACRAMENTO (161B-785) PRIORITY

FBI SAN FRANCISCO (161B-3605) PRIORITY

BT

UNCLAS

SECTION 2 OF 2

ENCLOSURE FOR WFO FORWARDED BSM.

HERBERT EUGENE ELLINGWOOD, SPECIAL INQUIRY, BUDED: 7/10/85
WITHOUT FAIL. (B)

SACRAMENTO VERIFY APPOINTEE'S POSITION AT STRAWBERRIES AND
STUFF, ROCKLIN, CALIFORNIA, AND DETERMINE NATURE OF BUSINESS.
ALSO VERIFY LEGAL RESIDENCE IN ROCKLIN, CALIFORNIA, AND CONDUCT

PAGE TWO DE HQ 0094 UNCLAS

APPROPRIATE CREDIT AND ARREST CHECKS.

WASHINGTON FIELD, AS PRINCIPLE OFFICE, INSURE AT LEAST 25 PERSONS KNOWLEDGEABLE CONCERNING APPOINTEE ARE INTERVIEWED.

INVESTIGATION SHOULD RECEIVED PRIORITY ATTENTION AND RECEIVING OFFICES SHOULD TELEPHONICALLY ADVISE FBIHQ OF ANY DEROGATORY INFORMATION DEVELOPED.

SF 86 AND RECORDS RELEASE FOLLOW BY AIRTEL.

DUE TO URGENT NATURE OF REQUEST IT IS IMPERATIVE BUDED BE MET WITHOUT-FAIL.

SPIN

BT

#0094

NNNN

VZCZCHQ0450

PP IP LA

DE WF 023 184 1948

ZNR UUUUU

P 03 1830Z JUL 85

FM: SAC, WASHINGTON FIELD (161-14852) (P) (A-1) **JUL 3 7 21 PM '85**

TO: FBI, INDIANAPOLIS (PRIORITY)

TELETYPE ROOM

FBI, LOS ANGELES (PRIORITY)

BT

UNCLAS

HERBERT EUGENE ELLINGWOOD, SPECIAL INQUIRY, BUDED: **7/17/85**

WITHOUT FAIL (B)

RE BUREAU TELETYPE TO LOS ANGELES DATED 6/29/85.

THE BUREAU HAS BEEN REQUESTED TO CONDUCT AN
EXPEDITE UPDATE INVESTIGATION OF ELLINGWOOD FOR PRESIDENTIAL
APPOINTMENT AS ASSISTANT ATTORNEY GENERAL FOR THE OFFICE
OF LEGAL POLICY, DEPARTMENT OF JUSTICE, WASHINGTON, D.C.,
WHICH REQUIRES SENATE CONFIRMATION. HANDLE THIS INVESTI-
GATION AS A SPIN BUT FOLLOW DAPLI REPORTING RULES AND SUBMIT
A REPORT TO FBIHQ BY BUDED FOR FORWARDING TO THE WHITE HOUSE.
A 161 INVESTIGATION WAS CONDUCTED ON APRIL, 1981, UPDATE

b6

b7C

Third Party

161-B-52243

C-2

SEARCHED	INDEXED
SERIALIZED	FILED
JUL 3 1985	
FBI - LOS ANGELES	

138

PAGE TWO DE WFO #0023 UNCLAS

FROM THAT POINT.

APPOINTEE WILL BE REQUIRED TO MAKE DECISIONS CONCERNING POLICY AND PERSONNEL MATTERS; THEREFORE, DURING INTERVIEWS, DETERMINE IF APPOINTEE HAS EXPRESSED OR MANIFESTED ANY BIAS OR PREJUDICE AGAINST ANY CLASS OF CITIZEN, OR ANY RELIGIOUS, RACIAL OR ETHNIC GROUP.

BUREAU DEADLINE IS SET FORTH ABOVE MEANS THE REPORT MUST BE RECEIVED AT THE BUREAU ON THAT DATE OR PRIOR THERETO.

BORN MARCH 5, 1931, IN ORDWAY, COLORADO, AND HAS SOCIAL SECURITY NUMBER 726-09-4567.

ON JULY 3, 1985, INVESTIGATION BEING CONDUCTED BY WASHINGTON FIELD REVEALED THE FOLLOWING NAMES AS HAVING KNOWLEDGE ABOUT THE APPOINTEE.

LEADS. INDIANAPOLIS AT INDIANAPOLIS, INDIANA. LOCATE AND CONDUCT APPROPRIATE INTERVIEW ON PETER RUSTHOVEN, IN CARE OF EARNS AND THORNBURG, 1315 MERCHANTS BANK BOULEVARD, INDIANAPOLIS, INDIANA.

LOS ANGELES DIVISION AT LOS ANGELES, CALIFORNIA.

PAGE THREE DE WFO #0023 UNCLAS

LOCATE AND CONDUCT APPROPRIATE INTERVIEW ON WILLIAM

FRENCH SMITH, CURRENT TELEPHONE NUMBER 213-229-7560.

TELEPHONE NUMBER IS LOCATED IN LOS ANGELES, CALIFORNIA

AND IT IS NOT KNOWN IF THAT IS A HOME OR WORK NUMBER.

INVESTIGATION SHOULD RECEIVE PRIORITY ATTENTION

AND RECEIVING OFFICES SHOULD TELEPHONICALLY ADVISE

FBIHQ OF ANY DEROGATORY INFORMATION DEVELOPED.

DUE TO URGENT NATURE OF REQUEST, IT IS IMPERATIVE

BUDED BE MADE WITHOUT FAIL.

BT

#0023

NNNN

VZCZCHQ0457

Jul 3 9 18 PM '85

PP AFO

TELETYPE ROOM

DE HQ #0220 1850405

ZNR UUUUU

P 032059Z JUL 85

FM DIRECTOR FBI

TO ALL FBI FIELD OFFICES PRIORITY

BT

UNCLAS

CHANGED: HERBERT EUGENE ELLINGWOOD, SPECIAL INQUIRY,

BUDED: 7/17/85, WITHOUT FAIL. (B)

TITLE MARKED "CHANGED" TO INDICATE CHANGE IN BUDED FROM
7/10/85, TO 7/17/85, AS INDICATED ABOVE.

REFERENCE BUREAU TELETYPE DATED 6/29/85, TO ALL
OFFICES.

ALL OFFICES ARE REMINDED TO FOLLOW DAPLI REPORTING
RULES AND TO SUBMIT A REPORT SUITABLE FOR DISSEMINATION TO
THE WHITE HOUSE.

DUE TO URGENT NATURE OF REQUEST IT IS IMPERATIVE BUDED
BE MET WITHOUT FAIL.

SPIN

BT

#0220

161-5224-4

SEARCHED	INDEXED
SERIALIZED	FILED
JUL 8 1985	
FBI - LOS ANGELES	

Third Party

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE	OFFICE OF ORIGIN	DATE	INVESTIGATIVE PERIOD
LOS ANGELES	BUREAU	7/12/85	7/12/85
TITLE OF CASE		REPORT MADE BY	TYPED BY
HERBERT EUGENE ELLINGWOOD		SA 	grj
		CHARACTER OF CASE	
		SPECIAL INQUIRY	b6 b7C Third Party

REFERENCE: Washington Field teletype to Los Angeles, dated 7/3/85.

- RUC

ADMINISTRATIVE:

All individuals contacted were apprised of the provisions of the Privacy Act and those requesting confidentiality have been so noted.

ACCOMPLISHMENTS CLAIMED					NONE	ACQUIT-TALS	CASE HAS BEEN
CONVIC.	PRETRIAL DIVERSION	FUG.	FINES	SAVINGS	RECOVERIES		
							PENDING OVER ONE YEAR <input type="checkbox"/> YES <input type="checkbox"/> NO
							PENDING PROSECUTION OVER SIX MONTHS <input type="checkbox"/> YES <input type="checkbox"/> NO

APPROVED

SPECIAL AGENT
IN CHARGE

DO NOT WRITE IN SPACES BELOW

COPIES MADE:

2 - Bureau

(1) Los Angeles (161B-5224)(C-2)

Dissemination Record of Attached Report				
Agency				
Request Recd.				
Date Fwd.				
How Fwd.				
By				

Notations

SEARCHED

INDEXED

SERIALIZED

FILED

UNITED STATES DEPARTMENT OF JUSTICE
Federal Bureau of Investigation

Copy to:

Report of:

Date:

[REDACTED]
July 12, 1985

Office: Los Angeles, California

Field Office File #:

161B-5224

Bureau File #:

Title:

HERBERT EUGENE ELLINGWOOD

Character:

SPECIAL INQUIRY

Synopsis:

Reference contacted and favorably
recommends.

b6

b7C

Third Party

- RUC -

DETAILS:REFERENCE

On July 12, 1985, WILLIAM FRENCH SMITH, (213) 229-7560, was contacted by SA [REDACTED] SMITH advised he has known appointee since the 1960's, but his knowledge of appointee has been limited to a few "shoulder-rubbing" occasions. SMITH knew nothing of a derogatory nature regarding appointee's character and has never known appointee to express any bias or prejudice against any class of citizen or any religious, racial or ethnic group. To SMITH's knowledge, all of appointee's associates are of good character and reputation. SMITH was unaware of any drug use or alcohol abuse on the part of appointee and knew nothing of a derogatory nature concerning appointee's reputation. SMITH has no reason to question appointee's loyalty to the United States and has no reason not to recommend appointee for this position.

- 1* -

TO: OFFICE SERVICES MANAGER

Date

7-3-85

Subject

Herbert Eugene Ellingwood

Social Security Account #

Aliases

Address

Birth Date

Birthplace

Race

Sex

☐ Male

☐ Female

☐ Exact Spelling

☐ All References

☐ Main Security Case Files Only

☐ Security References Only

☐ Main Criminal Case Files Only

☐ Criminal References Only

☐ Main Security (If no Main, list all Security References)

☐ Main Criminal (If no Main, list all Criminal References)

☐ Restrict Locality of

File & Serial Number

Remarks

File & Serial Number

Remarks

161-5224 (7/85)

HERBERT E. ELLINGWOOD
211-00-3 (8/81)

Requested by

Squad

Extension

File No.

161-5224

☐ General Indices:

Searched by

Date

☐ Confidential Indices:

Searched by

Date

☐ ELSUR Indices:

Searched by

Date

☐ ISIS:

Searched by

Date

☐ OCIS:

Searched by

Date

☐ IIS:

Searched by

Date

Consolidated by

Date

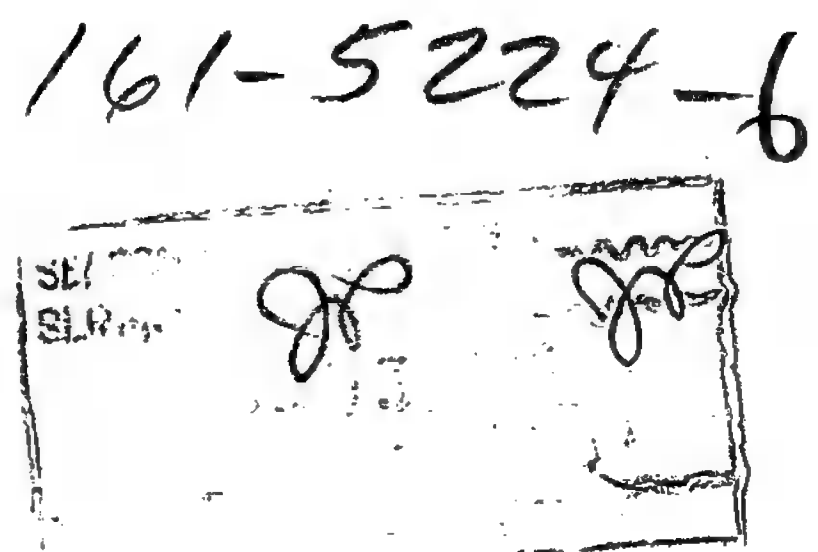
Reviewed by

Date

File Review Symbols

I - Identical
NI - Not identical

? - Not identifiable
U - Unavailable reference



Standard Form 86
AUGUST 1964
U.S. CIVIL SERVICE COMMISSION
(F.P.M. CHAPTER 736)
84-107

SECURITY INVESTIGATION DATA FOR SENSITIVE POSITION

CASE SERIAL NO. (CSC use only)

INSTRUCTIONS.—Prepare in triplicate, using a typewriter. Fill in all items. If the answer is "No" or "None," so state. If more space is needed for any item, continue under item 28.

1. FULL NAME <i>(Initials and abridgements of full name are not acceptable. If no middle name, show "(NMN)"; if initials only, show "(no given or middle name)"</i>	<table style="width: 100%;"> <tr> <td style="width: 33%; text-align: center;">(LAST NAME) ELLINGWOOD</td> <td style="width: 33%; text-align: center;">(FIRST NAME) HERBERT</td> <td style="width: 33%; text-align: center;">(MIDDLE NAME) EUGENE</td> </tr> </table> <p>OTHER NAMES USED. <i>(Maiden name, names by former marriages, former names changed legally or otherwise, aliases, nicknames, etc. Specify which, and show dates used.)</i></p> <p style="text-align: center;">NO CHANGES EXCEPT AS OTHERWISE NOTED</p>	(LAST NAME) ELLINGWOOD	(FIRST NAME) HERBERT	(MIDDLE NAME) EUGENE	2. DATE OF BIRTH March 5, 1931 3. PLACE OF BIRTH Ordway, Colorado 4. <input checked="" type="checkbox"/> MALE <input type="checkbox"/> FEMALE <table style="width: 100%;"> <tr> <td style="width: 25%;">5. HEIGHT</td> <td style="width: 25%;">WEIGHT</td> <td style="width: 25%;">COLOR EYES</td> <td style="width: 25%;">COLOR HAIR</td> </tr> <tr> <td>5'10"</td> <td>180</td> <td>Blue</td> <td>Blond</td> </tr> </table>	5. HEIGHT	WEIGHT	COLOR EYES	COLOR HAIR	5'10"	180	Blue	Blond
(LAST NAME) ELLINGWOOD	(FIRST NAME) HERBERT	(MIDDLE NAME) EUGENE											
5. HEIGHT	WEIGHT	COLOR EYES	COLOR HAIR										
5'10"	180	Blue	Blond										
6. <input type="checkbox"/> SINGLE <input checked="" type="checkbox"/> MARRIED <input type="checkbox"/> WIDOW(ER) <input type="checkbox"/> DIVORCED	7. IF MARRIED, WIDOWED, OR DIVORCED, GIVE FULL NAME AND DATE AND PLACE OF BIRTH OF SPOUSE OR FORMER SPOUSE. INCLUDE WIFE'S MAIDEN NAME. GIVE DATE AND PLACE OF MARRIAGE OR DIVORCE. <i>(Give same information regarding all previous marriages and divorces.)</i>												

8. DATES AND PLACES OF RESIDENCE. *(If actual places of residence differ from the mailing addresses, furnish and identify both. Begin with present and go back to January 1, 1937. Continue under item 28 on other side if necessary.)*

FROM	TO	NUMBER AND STREET	CITY	STATE
July 1981	present	6607 Briar Hill Court	McLean	AX/RH VA

9. <input type="checkbox"/> U.S. CITIZEN <input type="checkbox"/> ALIEN	<table style="width: 100%;"> <tr> <td style="width: 33%;"> <input type="checkbox"/> BY BIRTH <input type="checkbox"/> DERIVED-PARENTS CERT. NO(S). </td> <td style="width: 33%;"> <input type="checkbox"/> NATURALIZED CERT. NO. </td> <td style="width: 33%;"> ALIEN REGISTRATION NO. PETITION NO. </td> </tr> </table>	<input type="checkbox"/> BY BIRTH <input type="checkbox"/> DERIVED-PARENTS CERT. NO(S).	<input type="checkbox"/> NATURALIZED CERT. NO.	ALIEN REGISTRATION NO. PETITION NO.	DATE, PLACE, AND COURT DATE AND PORT OF ENTRY
<input type="checkbox"/> BY BIRTH <input type="checkbox"/> DERIVED-PARENTS CERT. NO(S).	<input type="checkbox"/> NATURALIZED CERT. NO.	ALIEN REGISTRATION NO. PETITION NO.			

10. EDUCATION. *(All schools above elementary.)*

NAME OF SCHOOL	ADDRESS	FROM (Year)	TO (Year)	DEGREES

11. THIS SPACE FOR FBI USE. *(See also item 29.)*

12. SOCIAL SECURITY NUMBER

13. MILITARY SERVICE (Past or present)

SERIAL NO. <i>(If none, give grade or rating at separation)</i>	BRANCH OF SERVICE <i>(Army, Navy, Air Force, etc.)</i>	FROM (Yr.)	TO (Yr.)

25
25
cont sheet

161-5224-7

SEARCHED	INDEXED
SERIALIZED	FILED
JUL 03 1985	
FBI-LOS ANGELES	

14. HAVE YOU EVER BEEN DISCHARGED FROM THE ARMED FORCES UNDER OTHER THAN HONORABLE CONDITIONS? ☐ YES ☐ NO.
(If answer is "Yes," give details in item 28.)

15. EMPLOYMENT. (List ALL employment dates starting with your present employment. Give both month and year for all dates. Show ALL dates and addresses when unemployed. Give name under which employed if different from name now used.)

FROM	TO	NAME OF EMPLOYER (Firm or agency) AND SUPERVISOR (Full name, if known)	ADDRESS (Where employed)	TYPE OF WORK	REASON FOR LEAVING
3/81	12/81	President Ronald Reagan: In my position as Deputy Counsel to the President, I was supervised by Fred Fielding, Counsel to the President.	Old Executive Office Bldg., The White House	WFO Attorney	Appointment to current position
12/81	- present	Merit Systems Protection Board	1120 Vermont, N.W. Washington, D.C. WFO		Chairman

16. HAVE YOU EVER BEEN DISCHARGED (FIRED) FROM EMPLOYMENT FOR ANY REASON? ☐ YES ☐ NO.

17. HAVE YOU EVER RESIGNED (QUIT) AFTER BEING INFORMED THAT YOUR EMPLOYER INTENDED TO DISCHARGE (FIRE) YOU FOR ANY REASON? ☐ YES ☐ NO.
(If your answer to 16 or 17 above is "Yes" give details in item 28. Show the name and address of employer, approximate date, and reasons in each case. This information should agree with the statements made in item 15—EMPLOYMENT.)

18. HAVE YOU EVER BEEN ARRESTED, TAKEN INTO CUSTODY, HELD FOR INVESTIGATION OR QUESTIONING, OR CHARGED BY ANY LAW ENFORCEMENT AUTHORITY?
(You may omit: (1) Traffic violations for which you paid a fine of \$30 or less; and (2) anything that happened before your 16th birthday. All other incidents must be included, even though they were dismissed or you merely forfeited collateral.) ☐ YES ☐ NO.

IF YOUR ANSWER IS "YES," GIVE FULL DETAILS BELOW:

DATE	CHARGE	PLACE	LAW ENFORCEMENT AUTHORITY	ACTION TAKEN
------	--------	-------	------------------------------	--------------

19. HAVE YOU EVER HAD A NERVOUS BREAKDOWN OR HAVE YOU EVER HAD MEDICAL TREATMENT FOR A MENTAL CONDITION? ☐ YES ☐ NO.
(If your answer is "Yes," give details in item 28.)

20. FOREIGN COUNTRIES VISITED (SINCE 1930). (Exclusive of military service.)

COUNTRY

DATE LEFT U.S.A.

DATE RETURNED U.S.A.

PURPOSE

(see attached sheet) -- Supplemental Sheet #1

21. ARE YOU NOW, OR HAVE YOU EVER BEEN, A MEMBER OF THE COMMUNIST PARTY, U.S.A., OR ANY COMMUNIST OR FASCIST ORGANIZATION? ☐ YES ☐ NO.

22. ARE YOU NOW OR HAVE YOU EVER BEEN A MEMBER OF ANY FOREIGN OR DOMESTIC ORGANIZATION, ASSOCIATION, MOVEMENT, GROUP, OR COMBINATION OF PERSONS WHICH IS TOTALITARIAN, FASCIST, COMMUNIST, OR SUBVERSIVE, OR WHICH HAS ADOPTED, OR SHOWS, A POLICY OF ADVOCATING OR APPROVING THE COMMISSION OF ACTS OF FORCE OR VIOLENCE TO DENY OTHER PERSONS THEIR RIGHTS UNDER THE CONSTITUTION OF THE UNITED STATES, OR WHICH SEEKS TO ALTER THE FORM OF GOVERNMENT OF THE UNITED STATES BY UNCONSTITUTIONAL MEANS? ☐ YES ☐ NO.

23. IF YOUR ANSWER TO QUESTION 21 OR 22 ABOVE IS "YES," STATE THE NAMES OF ALL SUCH ORGANIZATIONS, ASSOCIATIONS, MOVEMENTS, GROUPS, OR COMBINATIONS OF PERSONS AND DATES OF MEMBERSHIP. IN ITEM 28 OR ON A SEPARATE SHEET TO BE ATTACHED TO AND MADE A PART OF THIS FORM, GIVE COMPLETE DETAILS OF YOUR ACTIVITIES THEREIN AND MAKE ANY EXPLANATION YOU DESIRE REGARDING YOUR MEMBERSHIP OR ACTIVITIES.

NAME IN FULL

ADDRESS

FROM

TO

OFFICE HELD

24. MEMBERSHIP IN OTHER ORGANIZATIONS. (List all organizations in which you are now a member or have been a member, except those which show religious or political affiliations.) (If none, so state.)

NAME IN FULL

ADDRESS

TYPE

FROM

TO

OFFICE HELD

(See Supplemental Sheets # 2 and 2(a))

25. RELATIVES. (Parents, spouse, divorced spouse, children, brothers, and sisters, living or dead. Name of spouse should include maiden name and any other names by previous marriage. If person is dead, state "dead" after relationship and furnish information for other columns as of time of death.)

RELATION

NAME IN FULL

YEAR OF BIRTH

ADDRESS

COUNTRY OF BIRTH

PRESENT CITIZENSHIP

(see attached sheet for new addresses and dates and places of birth)
Supplemental Sheet #1

also see additional SF-86

26a. REFERENCES. (Name three persons, not relatives or employers, who are aware of your qualifications and fitness.)

NAME IN FULL	HOME ADDRESS	BUSINESS ADDRESS	YEARS KNOWN
Joyce Keith	3169 Fairbury Lane, Fairfax, VA (703/273-2886)	MSPB, 1120 Vt. Ave., N.W., #826 Washington, D.C.	WFO 4 + (202/653-7114)
Verne Speirs	1421 Montague Dr., Vienna, VA (703/759-6576)	(same for all 3)	13 + (202/653-7115)
Richard Redenius	1025 Union Church Rd., McLean, VA (703/759-2713)		3 + (202/653-6842)

26b. CLOSE PERSONAL ASSOCIATES. (Name three persons, such as friends, schoolmates or colleagues, who know you well.)

NAME IN FULL	HOME ADDRESS	BUSINESS ADDRESS	YEARS KNOWN
MGen. Thomas K. Turnage (202/244-6332)	4100 Cathedral Ave., NW, #718 Washington, D.C. 20016	1023 31st St., NW Washington, DC 20435	WFO 16 (202/724-0447)
Col. Frank Salcedo - (703/255-9566)	2834 Kelly Sq., Vienna, VA 22180	1010 Wisc. Ave., NW, #330 Washington, DC 20007	16 (202/333-1080)
Dr. Vernon L. Grose	1101 S. Arlington Ridge Rd. #1117 Arlington, VA 22202	same	15 (703/685-0636)

27. TO YOUR KNOWLEDGE, HAVE YOU EVER BEEN THE SUBJECT OF A FULL FIELD OR BACKGROUND PERSONAL INVESTIGATION BY ANY AGENCY OF THE FEDERAL GOVERNMENT? ☒ YES ☐ NO. (If your answer is "Yes," show in item 28, (1) the name of the investigating agency (2) the approximate date of investigation, and (3) the level of security clearance granted, if known.)

28. SPACE FOR CONTINUING ANSWERS TO OTHER QUESTIONS. (Show item numbers to which answers apply. Attach a separate sheet if there is not enough space here.)

Item #27

FBI, 1981, equivalent to TOP SECRET (on a need to know basis). WFO

Items 20 and 25 on attached sheet -- Supplemental Sheet #1

Item 24 on Supplemental Sheets 2 and 2(a)

29. REPORT OF INFORMATION DEVELOPED. (This space reserved for FBI use.)

DATE:

Before signing this form check back over it to make sure you have answered all questions fully and correctly.

CERTIFICATION

I CERTIFY that the statements made by me on this form are true, complete, and correct to the best of my knowledge and belief, and are made in good faith.

False statement on this form
is punishable by law.

6/20/85
(DATE)

Walter E. Ellingwood
(SIGNATURE—Sign original and first carbon copy)

INFORMATION TO BE FURNISHED BY AGENCY

INSTRUCTIONS TO AGENCY: See Federal Personnel Manual Chapter 736 and FPM Supplement 296-31, Appendix A, for details on when this form is required and how it is used. If this is a request for investigation before appointment, insert "APPL" in the space for Date of Appointment and show information about the proposed appointment in the other spaces for appointment data. The original and the first carbon copy should be signed by the applicant or appointee. Submit the original and the unsigned carbon copy of the form, Standard Form 87 (Fingerprint Chart), and any investigative information about the person received on voucher forms or otherwise, to the United States Civil Service Commission, Bureau of Personnel Investigations, Washington, D.C., 20415. If this is a request for full field security investigation, submit these forms to the attention of the Division of Reimbursable Investigations; if this is a request for preappointment national agency checks, submit these forms to the attention of the Control Section.

RETAIN THE CARBON COPY OF STANDARD FORM 86 (SIGNED BY THE APPLICANT OR APPOINTEE) FOR YOUR FILES

DATE OF APPOINTMENT	TYPE OF APPOINTMENT <input type="checkbox"/> EXCEPTED <input type="checkbox"/> COMPETITIVE. (Include indefinite and temporary types of competitive appointments.)	CIVIL SERVICE REGULATION NUMBER OR OTHER APPOINTMENT AUTHORITY	TITLE OF POSITION AND GRADE OR SALARY
DEPARTMENT OR AGENCY	DUTY STATION	SEND RESULTS OF PREAPPOINTMENT CHECK TO:	
THIS IS A SENSITIVE POSITION			
(SIGNATURE AND TITLE OF AUTHORIZED AGENCY OFFICIAL)			

Standard Form 88
AUGUST 1964
U.S. CIVIL SERVICE COMMISSION
(F.P.M. CHAPTER 736)
86-101

SECURITY INVESTIGATION DATA FOR SENSITIVE POSITION

CASE SERIAL NO. (CSC use only)

INSTRUCTIONS.—Prepare in triplicate, using a typewriter. Fill in all items. If the answer is "No" or "None," so state. If more space is needed for any item, continue under item 28.

1. FULL NAME <i>(Initials and abridgements of full name are not acceptable. If no middle name, show "(NMN)"; if initials only, show "(no given or middle name)."</i>	(LAST NAME) Ellingwood	(FIRST NAME) Herbert	(MIDDLE NAME) Eugene	2. DATE OF BIRTH March 5, 1931
	OTHER NAMES USED. <i>(Maiden name, names by former marriages, former names changed legally or otherwise, aliases, nicknames, etc. Specify which, and show dates used.)</i> None			3. PLACE OF BIRTH Ordway, Colorado
				4. <input checked="" type="checkbox"/> MALE <input type="checkbox"/> FEMALE
5. HEIGHT 5'10"		WEIGHT 180	COLOR EYES Blue	COLOR HAIR Blond

6. <input type="checkbox"/> SINGLE <input checked="" type="checkbox"/> MARRIED <input type="checkbox"/> WIDOW(ER) <input type="checkbox"/> DIVORCED	7. IF MARRIED, WIDOWED, OR DIVORCED, GIVE FULL NAME AND DATE AND PLACE OF BIRTH OF SPOUSE OR FORMER SPOUSE. INCLUDE WIFE'S MAIDEN NAME. GIVE DATE AND PLACE OF MARRIAGE OR DIVORCE. <i>(Give same information regarding all previous marriages and divorces.)</i> Audrey Alice Kesler; Cass, West Virginia; August 19, 1932; married April 13, 1957, Baltimore, Maryland
--	---

8. DATES AND PLACES OF RESIDENCE. *(If actual places of residence differ from the mailing addresses, furnish and identify both. Begin with present and go back to January 1, 1937. Continue under item 28 on other side if necessary.)*

FROM	TO	NUMBER AND STREET	CITY	STATE
8/79	Present	3523 Buckskin Court	Rocklin	California
4/74	8/79	7595 Auburn Folsom Road	Loomis	California
9/73	3/74	235 Macario Ct, #3	Roseville	California
6/73	9/73	2751 Paseo Rio Way	Sacramento	California
6/67	5/73	5401 Shelley Way	Carmichael	California
1/67	6/67	Various Apartments	Sacramento	California
1961	1966	18349 Almond Road	Castro Valley	California
1957	1960	41241 Roberts Avenue	Fremont	California
1942	1957	2 Maher Road	Watsonville	California
1937	1942	101 Highway	Aromas	California

9. <input checked="" type="checkbox"/> U.S. CITIZEN <input type="checkbox"/> ALIEN	<input checked="" type="checkbox"/> BY BIRTH	<input type="checkbox"/> NATURALIZED	ALIEN REGISTRATION NO.	DATE, PLACE, AND COURT
	CERT. NO.		PETITION NO.	
<input type="checkbox"/> DERIVED-PARENTS CERT. NO(S).				
	REGISTRATION NO.	NATIVE COUNTRY	DATE AND PORT OF ENTRY	

10. EDUCATION. *(All schools above elementary.)*

NAME OF SCHOOL	ADDRESS	FROM (Year)	TO (Year)	DEGREES
Salinas Union High School	Salinas, Calif.	1945	1949	Diploma
Yale University	New Haven, Conn.	1949	1953	BA
Stanford Law School	Stanford, CA	1957	1960	LLB

11. THIS SPACE FOR FBI USE. *(See also item 29.)*

12. SOCIAL SECURITY NUMBER 726 09 4567

13. MILITARY SERVICE *(Past or present)*

SERIAL NO. <i>(If none, give grade or rating at separation)</i>	BRANCH OF SERVICE <i>(Army, Navy, Air Force, etc.)</i>	FROM (Yr.)	TO (Yr.)
First Lt.	Army	1953	1956

14. HAVE YOU EVER BEEN DISCHARGED FROM THE ARMED FORCES UNDER OTHER THAN HONORABLE CONDITIONS? ☐ YES ☒ NO.
(If answer is "Yes," give details in item 28.)

15. EMPLOYMENT. (List ALL employment dates starting with your present employment. Give both month and year for all dates. Show ALL dates and addresses when unemployed. Give name under which employed if different from name now used.)

<u>FROM</u>	<u>TO</u>	<u>NAME OF EMPLOYER (Firm or agency) AND SUPERVISOR (Full name, if known)</u>	<u>ADDRESS (Where employed)</u>	<u>TYPE OF WORK</u>	<u>REASON FOR LEAVING</u>
7/1979	Present	Caldwell & Toms	812 J Street Sacramento, CA	Attorney	Appointment
1/1975	6/79	California Attorney General	555 Capitol Mall Sacramento, CA	Attorney	Private Practice
2/69	12/74	California Governor	State Capitol Sacramento, CA	Attorney	Elections
11/66	1/69	State Bar of California	455 Capitol Mall Sacramento	Legislative Representative	To Governor's Office
6/60	10/66	District Attorney Alameda County	Courthouse, Oakland, CA	Attorney	To State Bar

16. HAVE YOU EVER BEEN DISCHARGED (FIRED) FROM EMPLOYMENT FOR ANY REASON? ☐ YES ☒ NO.

17. HAVE YOU EVER RESIGNED (QUIT) AFTER BEING INFORMED THAT YOUR EMPLOYER INTENDED TO DISCHARGE (FIRE) YOU FOR ANY REASON? ☐ YES ☒ NO.
(If your answer to 16 or 17 above is "Yes" give details in item 28. Show the name and address of employer, approximate date, and reasons in each case. This information should agree with the statements made in item 15—EMPLOYMENT.)

18. HAVE YOU EVER BEEN ARRESTED, TAKEN INTO CUSTODY, HELD FOR INVESTIGATION OR QUESTIONING, OR CHARGED BY ANY LAW ENFORCEMENT AUTHORITY?
(You may omit: (1) Traffic violations for which you paid a fine of \$30 or less; and (2) anything that happened before your 16th birthday. All other incidents must be included, even though they were dismissed or you merely forfeited collateral.) ☐ YES ☒ NO.

IF YOUR ANSWER IS "YES," GIVE FULL DETAILS BELOW:

<u>DATE</u>	<u>CHARGE</u>	<u>PLU E</u>	<u>LAW ENFORCEMENT AUTHORITY</u>	<u>ACTION TAKEN</u>
-------------	---------------	--------------	--------------------------------------	---------------------

19 HAVE YOU EVER HAD A NERVOUS BREAKDOWN OR HAVE YOU EVER HAD MEDICAL TREATMENT FOR A MENTAL CONDITION? ☐ YES ☒ NO.
(If your answer is "Yes," give details in item 28.)

20. FOREIGN COUNTRIES VISITED (SINCE 1930). (Exclusive of military service.)

COUNTRY

DATE LEFT U.S.A.

DATE RETURNED U.S.A.

PURPOSE

See attachment A

21. ARE YOU NOW, OR HAVE YOU EVER BEEN, A MEMBER OF THE COMMUNIST PARTY, U.S.A., OR ANY COMMUNIST OR FASCIST ORGANIZATION? ☐ YES ☒ NO.

22. ARE YOU NOW OR HAVE YOU EVER BEEN A MEMBER OF ANY FOREIGN OR DOMESTIC ORGANIZATION, ASSOCIATION, MOVEMENT, GROUP, OR COMBINATION OF PERSONS WHICH IS TOTALITARIAN, FASCIST, COMMUNIST, OR SUBVERSIVE, OR WHICH HAS ADOPTED, OR SHOWS, A POLICY OF ADVOCATING OR APPROVING THE COMMISSION OF ACTS OF FORCE OR VIOLENCE TO DENY OTHER PERSONS THEIR RIGHTS UNDER THE CONSTITUTION OF THE UNITED STATES, OR WHICH SEEKS TO ALTER THE FORM OF GOVERNMENT OF THE UNITED STATES BY UNCONSTITUTIONAL MEANS? ☐ YES ☒ NO.

23 IF YOUR ANSWER TO QUESTION 21 OR 22 ABOVE IS "YES," STATE THE NAMES OF ALL SUCH ORGANIZATIONS, ASSOCIATIONS, MOVEMENTS, GROUPS, OR COMBINATIONS OF PERSONS AND DATES OF MEMBERSHIP. IN ITEM 28 OR ON A SEPARATE SHEET TO BE ATTACHED TO AND MADE A PART OF THIS FORM, GIVE COMPLETE DETAILS OF YOUR ACTIVITIES THEREIN AND MAKE ANY EXPLANATION YOU DESIRE REGARDING YOUR MEMBERSHIP OR ACTIVITIES.

NAME IN FULL

ADDRESS

FROM

TO

OFFICE HELD

None

24. MEMBERSHIP IN OTHER ORGANIZATIONS. (List all organizations in which you are now a member or have been a member, except those which show religious or political affiliations.) (If none, so state.)

NAME IN FULL

ADDRESS

TYPE

FROM

TO

OFFICE HELD

See attachment B

25. RELATIVES. (Parents, spouse, divorced spouse, children, brothers, and sisters, living or dead. Name of spouse should include maiden name and any other names by previous marriage. If person is dead, state "dead" after relationship and furnish information for other columns as of time of death.)

RELATION

NAME IN FULL

YEAR OF BIRTH

ADDRESS

COUNTRY OF BIRTH

PRESENT CITIZENSHIP

X Father

Harry Clifford Ellingwood 1900

Deceased

U. S.

Yes

SF Mother

Edith Arleene Ellingwood 1900

1763 GreenValleyRD
Watsonville, CA

U. S.

Yes

AX/RH Wife

Audrey Alice Kesler 1932

3523 Buckskin Ct
Rocklin, CA

U. S.

Yes

LA Son

(Edwin Bruce Ellingwood) 1963

3523 Buckskin Ct
Rocklin, CA

U. S.

Yes

AX/RH Son

Thomas Barry Ellingwood 1967

3523 Buckskin Ct
Rocklin, CA

U. S.

Yes

Sister

Juanita Ellingwood 1924

Deceased

U. S.

Yes

SF Sister

Pauline Nelson 1926

792 Echo Valley Rd
Salinas, CA

U. S.

Yes

SF Sister

Mildred Chapman 1928

1763 GreenValleyRD
Watsonville, CA

U. S.

Yes

SF Brother

Al Ellingwood 1935 1000 Highway 101

Aromas, CA

U. S.

Yes

Brother Wayne Ellingwood 1938 P.O.Box 505, Roodepoort Tvl

U. S.

Yes

26a. REFERENCES (Name three persons, not relatives or employers, who are aware of your qualifications and fitness.)

NAME IN FULL	HOME ADDRESS	BUSINESS ADDRESS	YEARS KNOWN
Rev. Orville Easterly	1041 Audrey Way, Roseville	202 Bonita Ave. Roseville, CA	7
Walter Hanson	4633 Whitney Avenue, Sacramento, CA		20
Steven Merksamer	4700 Olive Oak Way, Carmichael	555 Capitol Mall	15

26b. CLOSE PERSONAL ASSOCIATES. (Name three persons, such as friends, schoolmates or colleagues, who know you well.)

NAME IN FULL	HOME ADDRESS	BUSINESS ADDRESS	YEARS KNOWN
Peter DeMauro	142 Gold Oak Circle, Folsom, CA	555 Capitol Mall Sacramento	6
Flo Snyder	326 Rio Grande, Sacramento, CA	555 Capitol Mall Sacramento	6
Kay Eldridge	3790 Oakdale Ct, Rocklin, CA	812 J Street, Sacramento	12

27. TO YOUR KNOWLEDGE, HAVE YOU EVER BEEN THE SUBJECT OF A FULL FIELD OR BACKGROUND PERSONAL INVESTIGATION BY ANY AGENCY OF THE FEDERAL GOVERNMENT? ☒ YES ☐ NO. (If your answer is "Yes," show in item 28. (1) the name of the investigating agency (2) the approximate date of investigation, and (3) the level of security clearance granted, if known.)

28. SPACE FOR CONTINUING ANSWERS TO OTHER QUESTIONS. (Show item numbers to which answers apply. Attach a separate sheet if there is not enough space here.)

#27 - Counter Intelligence, 1954; top secret

29. REPORT OF INFORMATION DEVELOPED. (This space reserved for FBI use.)

DATE:

Before signing this form check back over it to make sure you have answered all questions fully and correctly.

CERTIFICATION

I CERTIFY that the statements made by me on this form are true, complete, and correct to the best of my knowledge and belief, and are made in good faith.

False statement on this form
is punishable by law.

(DATE)

(SIGNATURE—Sign original and first carbon copy)

INFORMATION TO BE FURNISHED BY AGENCY

INSTRUCTIONS TO AGENCY: See Federal Personnel Manual Chapter 736 and FPM Supplement 296-31, Appendix A, for details on when this form is required and how it is used. If this is a request for investigation before appointment, insert "APPL" in the space for Date of Appointment and show information about the proposed appointment in the other spaces for appointment data. The original and the first carbon copy should be signed by the applicant or appointee. Submit the original and the unsigned carbon copy of the form, Standard Form 87 (Fingerprint Chart), and any investigative information about the person received on voucher forms or otherwise, to the United States Civil Service Commission, Bureau of Personnel Investigations, Washington, D.C., 20415. If this is a request for full field security investigation, submit these forms to the attention of the Division of Reimbursable Investigations; if this is a request for preappointment national agency checks, submit these forms to the attention of the Control Section.

RETAIN THE CARBON COPY OF STANDARD FORM 86 (SIGNED BY THE APPLICANT OR APPOINTEE) FOR YOUR FILES

DATE OF APPOINTMENT	TYPE OF APPOINTMENT <input type="checkbox"/> EXCEPTED <input type="checkbox"/> COMPETITIVE. (Include indefinite and temporary types of competitive appointments.)	CIVIL SERVICE REGULATION NUMBER OR OTHER APPOINTMENT AUTHORITY	TITLE OF POSITION AND GRADE OR SALARY
DEPARTMENT OR AGENCY	DUTY STATION	SEND RESULTS OF PREAPPOINTMENT CHECK TO:	
THIS IS A SENSITIVE POSITION			
(SIGNATURE AND TITLE OF AUTHORIZED AGENCY OFFICIAL)			

SUPPLEMENT TO SF-86
(Attach additional pages if necessary)

1. Please furnish the names and addresses of all corporations, firms or other business enterprises, partnerships, nonprofit organizations, and educational or other institutions with which you are presently associated or have been associated during the past five years either as officer, director, trustee, sole owner, partner or stockholder with controlling interest.

(See Supplemental Sheets #2 and 2(a))

2. Have you ever been a candidate for Federal, state or local elected office, or a treasurer or other officer of a political committee? Were there any complaints lodged with the Federal Election Commission or state or local election authorities against you or your political committee? If so, please explain.

Yes - Placer County Republican Central Committee, California - 1978

No complaints were ever lodged against me.

3. Do you maintain any residence other than your permanent residence; e.g., vacation home? If so, please furnish address.

My legal residence is: 5629 Maple Ridge Court
Rocklin, California 95677

SC

4. Have you ever been disciplined or cited for a breach of ethics or unprofessional conduct by, or been the subject of a complaint to, any court, administrative agency, professional association, disciplinary committee, or other professional group? If so, please give full details.

None except in the course of regular governmental business wherein complaints have been made or suits filed, of which none have resulted in any actions against me. Congresswoman Patricia Schroeder (Colorado) chairs the Subcommittee overseeing MSPB and has initiated inquiries into my personal and activities. (See attached GAO letter dated 6/6/84 and (over) governmental responses to the questions for confirmation to my present position.)

5. To your knowledge have your activities or those of any of your business enterprises, or associates in those enterprises, ever been the subject of criminal investigation, or a civil enforcement investigation or proceeding? If so, please give full details.

None

6. Have you every been a party in any civil court action? If so, please give full details.

None except as in answer to question 4, this document.

I understand that the information being provided on this supplement to the SF-86 is to be considered part of the original SF-86 dated 6/20/85 and a false statement on this form is punishable by law.

Signed Walter E. Ellingwood

SUPPLEMENTAL SHEET # 1

Item #20

<u>COUNTRY</u>	<u>DATES</u>	<u>PURPOSE</u>
China	Oct. 31 - Nov. 15, 1982 Feb. 12 - 13, 1984	Tourist "
Costa Rica	Feb. 22 - 24, 1984	"
Hong Kong	Nov. 10 - 15, 1981 Nov. 15 - 17, 1982 Feb. 11 - 13, 1984 Mar. 14 - 16, 1985	" " " "
Indonesia	Feb. 16 - 18, 1984	"
Japan	Nov. 15 - 17, 1981	"
Korea	Nov. 6 - 10, 1981	"
Macau	Feb. 13, 1984	"
Singapore	Feb. 14 - 16, 1984	"
Taiwan	Feb. 9 - 11, 1984 Feb. 18 - 19, 1984 Mar. 10 - 14, 1985	" " "

Item #25

	<u>City & State of Birth</u>
X Father - Harry Clifford Ellingwood - July 29, 1900	Albia, Iowa
SF Mother - Edith Arleene Ellingwood - March 12, 1900	Ames, Kansas
Wife - Audrey Alice Kesler - Aug. 19, 1932 (new address: 6607 Briar Hill Court McLean, VA 22101)	Cass, W.Va.
Son - (Edwin Bruce Ellingwood - Nov. 17, 1963) (new address: 6242 Green Tavern Lane Yorba Linda, CA 92686)	Castro Valley, Calif.
Son - Thomas Barry Ellingwood - Mar. 18, 1967 (new address: 6607 Briar Hill Court McLean, VA 22101)	Castro Valley, Calif.
X Sister - Juanita Ellingwood - July 19, 1924	LaJunta, Colorado
Sister - Pauline Nelson - Sept. 13, 1926	" "
Sister - Mildred Chapman - Sept. 29, 1928 (new address: 1355 Independence Sq. Watsonville, CA 75076)	Ordway, Colorado
Brother - Al Ellingwood - Sept. 15, 1934	Aromas, CA
Brother - Wayne Ellingwood - May 19, 1938 (new address: 2862 Cottonwood Orange, CA 92665)	" "

SUPPLEMENTAL SHEET # 2

Question 1, Supplement to SF-86

In addition to those affiliations listed in Question 24 of Form 86,
dated 3/11/81:

Foundation For Christian Youth Leadership (Board Member)
15816 Lazy Day Lane, Dumfries, VA 22026

Monte Vista Christian High School (Board Member)
2 School Way, Watsonville, CA 95076

CBN University (Regent)
Virginia Beach, VA 23463

Strawberries & Stuff (President)
5629 Maple Ridge Court, Rocklin, CA 95677

SC

Laws at Work (former Vice President)
5670 Wilshire Boulevard, Suite 2130, Los Angeles, CA 90036

Religious Heritage of America (Board Member)
7900 Jerome Avenue, St. Louis, Missouri 63143

Greater Washington Billy Graham Crusade (Secretary)
P.O. Box 39099, Washington, D.C. 20016

Here's Life Washington (Board Member)
3030 N. Fairfax Drive, Suite 314, Arlington, VA 22201

Full Gospel Businessmen's Fellowship International (Director)
3150 Bear Street, Costa Mesa, CA 92626

Caldwell & Toms (law firm -- former partner)
700 S. Flower Street, 15th Floor, Los Angeles, CA 90017

S.K. Sung, Inc. (former officer)
P.O. Box 24466, San Jose, CA 95154

SF

Nellie Gail #1 Associates (former partner)
24882 Buckboard, Laguna Hills, CA 92653

Giebel Petroleum and Investments (sometimes this is listed as a
partnership, but I am a very minor investor)
1 Marienfeld Place, Midland, Texas 79701

Shelbourne Estates Homeowners Association (former officer)
7575 Auburn-Folsom Road, Loomis, CA 95650

Sunrise Christian Schools (former trustee)
202 Bonita Avenue, Roseville, CA 95678

QUESTION 20:

Foreign Countries Visited	Dates	Purpose
Canada	1953, 1957, 1976	Tourist
Mexico - Many times to Tijuana; latest in 1978		
England, Scotland, Ireland, France, West Germany, Switzerland, Lichenstein, Belgium, Luxemburg, Netherlands, Sweden, Spain, Monte Carlo, Italy, Austria, Saar	1955, 1956	Tourist While in Military
Taiwan	4/2/79 - 4/9/79 7/12/79 - 7/22/79 3/1/80 - 3/6/80 11/30/80 - 12/3/80 2/28/81 - 3/5/81	4/13/79 7/22/79 3/7/80 12/3/80 3/9/81 Tourist
Philippines	4/11/79 - 4/13/79 2/28/80 - 3/1/80 6/7/80 - 6/8/80 3/5/81 - 3/6/81	4/13/79 3/7/80 6/8/80 3/9/81 Tourist
HongKong	4/9/79 - 4/11/79 11/6/79 - 11/7/79 3/6/80 - 3/7/80 6/19/80 - 6/21/80	4/13/79 11/7/79 3/7/80 6/21/80 Tourist
Korea	10/25/79 - 10/28/79	Tourist
China	October/November 1979 6/8/80 - 6/19/80	11/7/79 6/21/80 Tourist
Singapore	3/6/81 - 3/9/81	3/9/81 Tourist

Question 24:

Membership in Other Organizations:

	Type	From - To	Office Held
State Bar of California 555 Franklin St., San Francisco	Professional	1960 to Present	Various Offices
American Bar Association 1800 M Street, N.W. Washington DC	"	1960 to Present	"
Sacramento County Bar Association 901 H Street, Sacramento, CA	"	1967 to Present	None
Placer County Bar Assn. 730 Sunrise Avenue, Roseville	"	1974 to Present	None
Christian Legal Society P. O. Box 2069, Oak Park, Ill.	"	1967 to Present	National President
Chabot College 2555 Hesperian Blvd., Hayward, CA	Education	Circa 1965	Instructor
Calif. Specialized Training Institute	Education	1972-1974	Member, Academic Bd
Oakland Junior Chamber of Commerce Oakland, CA	Civic	1960-1967	Various Committees
Alpha Foundation 22556 Gilmore St., Canoga Park, CA	Education	1972 - Present	Director
Institute of Continuing Studies, California State University Sacramento, CA	"	1976 - Present	Member, Advisory B
California Junior Statesmen of America Foundation 480 California Ave., Palo Alto CA	Education	1964 - Present	Various Offices

SUPPLEMENTAL SHEET # 2(a)

Continuation of Question 1, Supplement to SF-86

I am no longer a member of the following organizations listed in the above dated Form 86:

Sacramento County Bar Association
Sacramento, CA 95814

Placer County Bar Association
Roseville, CA 95678

Alpha Foundation
1101 S. Arlington Ridge Road, Suite 1117
Arlington, VA 22202

Institute of Continuing Studies, California State
University at Sacramento
Sacramento, CA 95825

I have not been an officer of the Christian Legal Society (P.O. Box 1492, Springfield, VA 22151) within the last 5 years, and am no longer an officer of Laws at Work (address previously listed).

The California Peace Officers' Association should have been listed in 1981; I am still a member (not an officer) of the organization. (12th & L, Sacramento, CA 95814)

AUTHORITY TO RELEASE INFORMATION

TO WHOM IT MAY CONCERN:

I hereby authorize any Special Agent or other authorized representative of the Federal Bureau of Investigation bearing this release, or copy thereof, within one year of its date, to obtain any information in your files pertaining to my educational records including, but not limited to, academic, achievement, attendance, athletic, personal history, and disciplinary records; employment records; law enforcement records (including, but not limited to any record of charge, prosecution, or conviction for criminal or civil offenses); and credit records, including credit card and payment device numbers. I hereby direct you to release such information upon request of the bearer. This release is executed with full knowledge and understanding that the information is for the official use of the Federal Bureau of Investigation. Consent is granted for the FBI to furnish such information, as is described above, to third parties in the course of fulfilling its official responsibilities. I hereby release you, as the custodian of such records, and any school, college, university, or other educational institution, credit bureau or consumer reporting agency, retail business establishment, law enforcement agency, or criminal justice agency, including its officers, employees, or related personnel, both individually and collectively, from any and all liability for damages of whatever kind, which may at any time result to me, my heirs, family or associates because of compliance with this authorization and request to release information, or any attempt to comply with it. Should there be any question as to the validity of this release, you may contact me as indicated below:

Full Name:

Herbert E. Ellingwood
Signature

Full Name:

HERBERT EUGENE ELLINGWOOD

Type or Print Name

Parent or Guardian
(if required):

Date:

June 20, 1985

Current
Address:

6607 Briar Hill Court

McLean, VA 22101

Telephone
Number:

703/734-6931

[Handwritten signature]

TRANSMIT VIA: Airtel

CLASSIFICATION: _____

DATE: July 1, 1985

FROM: Director, FBI (161-15392)

TO: SACS, Alexandria (161B-7785) - Enc. (2)
Los Angeles - Enc. (2) ✓
Pittsburgh - Enc. (2)
Richmond - Enc. (2)
Sacramento (161B-785) - Enc. (2)
San Francisco (161B-3605) - Enc. (2)

✓
HERBERT EUGENE ELLINGWOOD
SPECIAL INQUIRY
BUDED: 7/10/85 (B)

s/s

Rebutel 6/29/85.

Enclosed are background data and release received with request for investigation.

SPIN

*Leads already
set out on Ref
TT.*

161-5224-8

SEARCHED <i>[initials]</i>	INDEXED <i>[initials]</i>
SERIALIZED <i>[initials]</i>	FILED <i>[initials]</i>
C2 JUL 03 1985	

FBI - LOS ANGELES

b6
b7C

Third Party _____

FBI

TRANSMIT VIA:

- ☒ Teletype
☐ Facsimile
☐ _____

PRECEDENCE:

- ☐ Immediate
☒ Priority
☐ Routine

CLASSIFICATION:

- ☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☒ UNCLAS

Date

7/9/85

FM LOS ANGELES (161B-5224) (C-2) (RUC)

TO DIRECTOR PRIORITY

3⁰⁵/p/w

MAG CARD

BT

UNCLAS

HERBERT EUGENE ELLINGWOOD, SPEICAL INQUIRY, BUDED: JULY 10, 1985,
 WITHOUT FAIL.

RE BUREAU TELETYPE TO ALL FIELD OFFICES, DATED JUNE 29, 1985.

ON THE DATES INDICATED, THE FOLLOWING RECORDS OF THE
 LOS ANGELES DIVISION WERE SEARCHED BY THE NAMED FBI PERSONNEL.
 NO RECORD WAS LOCATED IDENTIFIABLE WITH THE APPOINTEE OR
 THE APPOINTEE'S LISTED ADULT RELATIVES, OR, IF THERE WAS
 A RECORD, IT DID NOT CONTAIN ANY DEROGATORY INFORMATION:

CRIMINAL INFORMANT INDICES CHECKED ON JULY 1, 1985, BY
 SUPPORT PERSON (SP) [REDACTED]

GENERAL INDICES CHECKED ON JULY 3, 1985, BY SP [REDACTED]

b6
b7C

Third Party

ELSUR RECORDS CHECKED ON JULY 3, 1985, BY SP [REDACTED]

LBS/tbf
(1)

161B-5224-9
 SEARCHED —
 INDEXED —
 SERIALIZED —
 FILED —

Approved: [Signature]

Transmitted

(Number)

(Time)

Per

PAGE TWO (LA 161B-5224) UNCLAS

ORANGE COUNTY SHERIFF'S OFFICE AND BREA POLICE DEPARTMENT, WHICH COVERS [REDACTED] CHECKED ON JULY 5, 1985, BY INVESTIGATIVE ASSISTANT (IA) [REDACTED]

ADMINISTRATIVE:

ALL INDIVIDUALS CONTACTED WERE APPRISED OF THE PROVISIONS OF THE PRIVACY ACT AND THOSE REQUESTING CONFIDENTIALITY HAVE BEEN SO NOTED.

BT

b6
b7C
Third Party

#

FD-245 (REV. 1-21-80)

U. S. Department of Justice

(MATERIAL MUST NOT BE REMOVED FROM OR ADDED TO THIS FILE)

FEDERAL BUREAU
of
INVESTIGATION

Bureau File Number

161B-3605

#4

See also Nos.

PARA

Volume Number
Serials

RUC 8/1/85

~~8/3/85~~

RUC 7/30/85

~~7/24/85~~

HH 7/8/85

RUC 4/22/84

~~3/24/84~~

900W/161B

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Third Party

Standard Form 86

AUGUST 1964
U.S. CIVIL SERVICE COMMISSION
(F.P.M. CHAPTER 736)
94-107SECURITY INVESTIGATION DATA
FOR SENSITIVE POSITION

CASE SERIAL NO. (CSC use only)

49

INSTRUCTIONS.—Prepare in triplicate, using a typewriter. Fill in all items. If the answer is "No" or "None," so state. If more space is needed for any item, continue under item 28.

1. FULL NAME (Initials and abridgements of full name are not acceptable. If no middle name, show "(NMN)"; if initials only, show "(no given or middle name)".)	(LAST NAME) Ellingwood	(FIRST NAME) Herbert	(MIDDLE NAME) Eugene	2. DATE OF BIRTH March 5, 1931
	OTHER NAMES USED. (Maiden name, names by former marriages, former names changed legally or otherwise, aliases, nicknames, etc. Specify which, and show dates used.) None			3. PLACE OF BIRTH Ordway, Colorado
				4. <input checked="" type="checkbox"/> MALE <input type="checkbox"/> FEMALE
				5. HEIGHT 5'10"
				WEIGHT 180
				COLOR EYES Blue
				COLOR HAIR Blond
6. <input type="checkbox"/> SINGLE <input checked="" type="checkbox"/> MARRIED <input type="checkbox"/> WIDOW(ER) <input type="checkbox"/> DIVORCED	7. IF MARRIED, WIDOWED, OR DIVORCED, GIVE FULL NAME AND DATE AND PLACE OF BIRTH OF SPOUSE OR FORMER SPOUSE. INCLUDE WIFE'S MAIDEN NAME. GIVE DATE AND PLACE OF MARRIAGE OR DIVORCE. (Give same information regarding all previous marriages and divorces.) Audrey Alice Kesler; Cass, West Virginia; August 19, 1932; married April 13, 1957, Baltimore, Maryland			

8. DATES AND PLACES OF RESIDENCE. (If actual places of residence differ from the mailing addresses, furnish and identify both. Begin with present and go back to January 1, 1937. Continue under item 28 on other side if necessary.)

FROM	TO	NUMBER AND STREET	CITY	STATE
8/79	Present	3523 Buckskin Court	Rocklin	California
4/74	8/79	7595 Auburn Folsom Road	Loomis	California
9/73	3/74	235 Macario Ct, #3	Roseville	California
6/73	9/73	2751 Paseo Rio Way	Sacramento	California
6/67	5/73	5401 Shelley Way	Carmichael	California
1/67	6/67	Various Apartments	Sacramento	California
1961	1966	18349 Almond Road	Castro Valley	California
1957	1960	41241 Roberts Avenue	Fremont	California
1942	1957	2 Maher Road	Watsonville	California
1937	1942	101 Highway	Aromas	California

9. <input checked="" type="checkbox"/> U.S. CITIZEN <input type="checkbox"/> ALIEN	<input checked="" type="checkbox"/> BY BIRTH <input type="checkbox"/> NATURALIZED	ALIEN REGISTRATION NO.	DATE, PLACE, AND COURT
	CERT. NO.	PETITION NO.	
<input type="checkbox"/> DERIVED-PARENTS CERT. NO(S).			
	REGISTRATION NO.	NATIVE COUNTRY	DATE AND PORT OF ENTRY

10. EDUCATION. (All schools above elementary.)

NAME OF SCHOOL	ADDRESS	FROM (Year)	TO (Year)	DEGREES
Salinas Union High School	Salinas, Calif.	1945	1949	Diploma
Yale University	New Haven, Conn.	1949	1953	BA NH
Stanford Law School	Stanford, CA	1957	1960	LLB SF

ALL FBI INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 05-29-2007 BY AUC 60324/BAW/STP/cld

MAR 30 1981

FBI - SAN FRANCISCO

11. THIS SPACE FOR FBI USE. (See also item 29.)

12. SOCIAL SECURITY NUMBER
726 09 4567

13. MILITARY SERVICE (Past or present)

SERIAL NO. (If none, give grade or rating at separation)	BRANCH OF SERVICE (Army, Navy, Air Force, etc.)	FROM (Yr.)	TO (Yr.)
First Lt.	Army	1953	1956

161B-3605-1

14. HAVE YOU EVER BEEN DISCHARGED FROM ARMED FORCES UNDER OTHER THAN HONORABLE CONDITIONS? ☐ YES ☒ NO.
(If answer is "Yes," give details in item 28.)

15. EMPLOYMENT. (List ALL employment dates starting with your present employment. Give both month and year for all dates. Show ALL dates and addresses when unemployed. Give name under which employed if different from name now used.)

FROM	TO	NAME OF EMPLOYER (Firm or agency) AND SUPERVISOR (Full name, if known)	ADDRESS (Where employed)	TYPE OF WORK	REASON FOR LEAVING
7/1979	Present	Caldwell & Toms	812 J Street Sacramento, CA	Attorney	Appointment SC
1/1975	6/79	California Attorney General	555 Capitol Mall Sacramento, CA	Attorney	Private Practice
2/69	12/74	California Governor	State Capitol Sacramento, CA	Attorney	Elections
11/66	1/69	State Bar of California	455 Capitol Mall Sacramento	Legislative Representative	To Governor's Office
6/60	10/66	District Attorney Alameda County	Courthouse, Oakland, CA	Attorney	SF To State Bar

16. HAVE YOU EVER BEEN DISCHARGED (FIRED) FROM EMPLOYMENT FOR ANY REASON? ☐ YES ☒ NO.

17. HAVE YOU EVER RESIGNED (QUIT) AFTER BEING INFORMED THAT YOUR EMPLOYER INTENDED TO DISCHARGE (FIRE) YOU FOR ANY REASON? ☐ YES ☒ NO.
(If your answer to 16 or 17 above is "Yes" give details in item 28. Show the name and address of employer, approximate date, and reasons in each case. This information should agree with the statements made in item 15—EMPLOYMENT.)

18. HAVE YOU EVER BEEN ARRESTED, TAKEN INTO CUSTODY, HELD FOR INVESTIGATION OR QUESTIONING, OR CHARGED BY ANY LAW ENFORCEMENT AUTHORITY?
(You may omit: (1) Traffic violations for which you paid a fine of \$30 or less; and (2) anything that happened before your 16th birthday. All other incidents must be included, even though they were dismissed or you merely forfeited collateral.) ☐ YES ☒ NO.

IF YOUR ANSWER IS "YES," GIVE FULL DETAILS BELOW:

DATE	CHARGE	PLACE	LAW ENFORCEMENT AUTHORITY	ACTION TAKEN
------	--------	-------	------------------------------	--------------

D.

THIS

(SIGNATURE AND TITLE OF AUTHORIZED REPRESENTATIVE)

Standard 1

AUGUST 1964
U.S. CIVIL
(F.P.M. CH)

YOU EVER HAD A NERVOUS BREAKDOWN OR HAVE YOU EVER HAD MEDICAL TREATMENT FOR A MENTAL CONDITION? ☐ YES ☒ NO.
 Your answer is "Yes," give details in item 28.)

IN

FOREIGN COUNTRIES VISITED (SINCE 1930). (Exclusive of military service.)

COUNTRY

DATE LEFT U.S.A.

DATE RETURNED U.S.A.

PURPOSE

See attachment A

AX

21. ARE YOU NOW, OR HAVE YOU EVER BEEN, A MEMBER OF THE COMMUNIST PARTY, U.S.A., OR ANY COMMUNIST OR FASCIST ORGANIZATION? ☐ YES ☒ NO.

22. ARE YOU NOW OR HAVE YOU EVER BEEN A MEMBER OF ANY FOREIGN OR DOMESTIC ORGANIZATION, ASSOCIATION, MOVEMENT, GROUP, OR COMBINATION OF PERSONS WHICH IS TOTALITARIAN, FASCIST, COMMUNIST, OR SUBVERSIVE, OR WHICH HAS ADOPTED, OR SHOWS, A POLICY OF ADVOCATING OR APPROVING THE COMMISSION OF ACTS OF FORCE OR VIOLENCE TO DENY OTHER PERSONS THEIR RIGHTS UNDER THE CONSTITUTION OF THE UNITED STATES, OR WHICH SEEKS TO ALTER THE FORM OF GOVERNMENT OF THE UNITED STATES BY UNCONSTITUTIONAL MEANS? ☐ YES ☒ NO.

23. IF YOUR ANSWER TO QUESTION 21 OR 22 ABOVE IS "YES," STATE THE NAMES OF ALL SUCH ORGANIZATIONS, ASSOCIATIONS, MOVEMENTS, GROUPS, OR COMBINATIONS OF PERSONS AND DATES OF MEMBERSHIP IN ITEM 28 OR ON A SEPARATE SHEET TO BE ATTACHED TO AND MADE A PART OF THIS FORM. GIVE COMPLETE DETAILS OF YOUR ACTIVITIES THEREIN AND MAKE ANY EXPLANATION YOU DESIRE REGARDING YOUR MEMBERSHIP OR ACTIVITIES.

NAME IN FULL

ADDRESS

FROM

TO

OFFICE HELD

None

24. MEMBERSHIP IN OTHER ORGANIZATIONS. (List all organizations in which you are now a member or have been a member, except those which show religious or political affiliations.) (If none, so state.)

NAME IN FULL

ADDRESS

TYPE

FROM

TO

OFFICE HELD

See attachment B

25. RELATIVES. (Parents, spouse, divorced spouse, children, brothers, and sisters, living or dead. Name of spouse should include maiden name and any other names by previous marriage. If person is dead, state "dead" after relationship and furnish information for other columns as of time of death.)

RELATION

NAME IN FULL

YEAR OF BIRTH

ADDRESS

COUNTRY OF BIRTH

PRESENT CITIZENSHIP

Father	Harry Clifford Ellingwood	1900	Deceased	U. S.	X	Yes
Mother	Edith Arleene Ellingwood	1900	1763 GreenValleyRD Watsonville, CA	U. S.	SF	Yes
Wife	Audrey Alice Kesler	1932	3523 Buckskin Ct Rocklin, CA	U. S.	SC	Yes
Son	Edwin Bruce Ellingwood	1963	3523 Buckskin Ct Rocklin, CA	U. S.		Yes
Son	Thomas Barry Ellingwood	1967	3523 Buckskin Ct Rocklin, CA	U. S.		Yes
Sister	Juanita Ellingwood	1924	Deceased	U. S.	X	Yes
Sister	Pauline Nelson	1926	792 Echo Valley Rd Salinas, CA	U. S.	SF	Yes
Sister	Mildred Chapman	1928	1763 GreenValleyRd Watsonville, CA	U. S.	SF	Yes
Brother	Al Ellingwood	1935	1000 Highway 101, Aromas, CA	U. S.		Yes
Brother	Wayne Ellingwood	1938	P.O.Box 505, Roodepoort Tvl 1725 South Africa	U. S.	-3-	Yes

26a REFERENCES (Name three persons, not relatives or employers, who are aware of your qualifications and fitness.)

NAME IN FULL	HOME ADDRESS	BUSINESS ADDRESS	YEARS KNOWN
Rev. Orville Easterly	1041 Audrey Way, Roseville	202 Bonita Ave. Roseville, CA	7
Walter Hanson	4633 Whitney Avenue, Sacramento, CA		20
Steven Merksamer	4700 Olive Oak Way, Carmichael	555 Capitol Mall	15

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26b. CLOSE PERSONAL ASSOCIATES. (Name three persons, such as friends, schoolmates or colleagues, who know you well.)

NAME IN FULL	HOME ADDRESS	BUSINESS ADDRESS	YEARS KNOWN
Peter DeMauro	142 Gold Oak Circle, Folsom, CA	555 Capitol Mall Sacramento	6
Flo Snyder	326 Rio Grande, Sacramento, CA	555 Capitol Mall Sacramento	6
Kay Eldridge	3790 Oakdale Ct, Rocklin, CA	812 J Street, Sacramento	12

27. TO YOUR KNOWLEDGE, HAVE YOU EVER BEEN THE SUBJECT OF A FULL FIELD OR BACKGROUND PERSONAL INVESTIGATION BY ANY AGENCY OF THE FEDERAL GOVERNMENT? ☒ YES ☐ NO. (If your answer is "Yes," show in item 28, (1) the name of the investigating agency (2) the approximate date of investigation, and (3) the level of security clearance granted, if known.)

28. SPACE FOR CONTINUING ANSWERS TO OTHER QUESTIONS. (Show item numbers to which answers apply. Attach a separate sheet if there is not enough space here.)

#27 - Counter Intelligence, 1954; top secret

29 REPORT OF INFORMATION DEVELOPED. (This space reserved for FBI use.)

DATE:

Before signing this form check back over it to make sure you have answered all questions fully and correctly.

CERTIFICATION

I CERTIFY that the statements made by me on this form are true, complete, and correct to the best of my knowledge and belief, and are made in good faith.

False statement on this form
is punishable by law.

(DATE)

(SIGNATURE—Sign original and first carbon copy)

INFORMATION TO BE FURNISHED BY AGENCY

INSTRUCTIONS TO AGENCY: See Federal Personnel Manual Chapter 736 and FPM Supplement 296-31, Appendix A, for details on when this form is required and how it is used. If this is a request for investigation before appointment, insert "APPL" in the space for Date of Appointment and show information about the proposed appointment in the other spaces for appointment data. The original and the first carbon copy should be signed by the applicant or appointee. Submit the original and the unsigned carbon copy of the form, Standard Form 87 (Fingerprint Chart), and any investigative information about the person received on voucher forms or otherwise, to the United States Civil Service Commission, Bureau of Personnel Investigations, Washington, D.C., 20415. If this is a request for full field security investigation, submit these forms to the attention of the Division of Reimbursable Investigations; if this is a request for preappointment national agency checks, submit these forms to the attention of the Control Section.

RETAIN THE CARBON COPY OF STANDARD FORM 86 (SIGNED BY THE APPLICANT OR APPOINTEE) FOR YOUR FILES

DATE OF APPOINTMENT	TYPE OF APPOINTMENT <input type="checkbox"/> EXCEPTED <input type="checkbox"/> COMPETITIVE (Include indefinite and temporary types of competitive appointments.)	CIVIL SERVICE REGULATION NUMBER OR OTHER APPOINTMENT AUTHORITY	TITLE OF POSITION AND GRADE OR SALARY
DEPARTMENT OR AGENCY	DUTY STATION	SEND RESULTS OF PREAPPOINTMENT CHECK TO:	
THIS IS A SENSITIVE POSITION			
(SIGNATURE AND TITLE OF AUTHORIZED AGENCY OFFICIAL)			

QUESTION 20:

AX

Foreign Countries Visited	Dates	Purpose
Canada	1953, 1957, 1976	Tourist
Mexico - Many times to Tijuana; latest in 1978		
England, Scotland, Ireland, France, West Germany, Switzerland, Lichenstein, Belgium, Luxemburg, Netherlands, Sweden, Spain, Monte Carlo, Italy, Austria, Saar	1955, 1956	Tourist While in Military
Taiwan	4/2/79 - 4/9/79 7/12/79 - 7/22/79 3/1/80 - 3/6/80 11/30/80 - 12/3/80 2/28/81 - 3/5/81	4/13/79 7/22/79 3/7/80 12/3/80 3/9/81
Philippines		
	4/11/79 - 4/13/79 2/28/80 - 3/1/80 6/7/80 - 6/8/80 3/5/81 - 3/6/81	4/13/79 3/7/80 6/8/80 3/9/81
HongKong	4/9/79 - 4/11/79 11/6/79 - 11/7/79 3/6/80 - 3/7/80 6/19/80 - 6/21/80	4/13/79 11/7/79 3/7/80 6/21/80
Korea	10/25/79 - 10/28/79	Tourist
China	October/November 1979 6/8/80 - 6/19/80	11/7/79 6/21/80
Singapore	3/6/81 - 3/9/81	3/9/81

Question 24:

Membership in Other Organizations:

	Type	From - To	Office Held
State Bar of California 555 Franklin St., San Francisco	Professional	1960 to Present	SF ✓ Various Offices
American Bar Association 1800 M Street, N.W. Washington DC	"	1960 to Present	"
Sacramento County Bar Association 901 H Street, Sacramento, CA	"	1967 to Present	None
Placer County Bar Assn. 730 Sunrise Avenue, Roseville	"	1974 to Present	None
Christian Legal Society P. O. Box 2069, Oak Park, Ill.	"	1967 to Present	National President
Chabot College 2555 Hesperian Blvd., Hayward, CA	Education	Circa 1965	Instructor
Calif. Specialized Training Institute	Education	1972-1974	Member, Academic Bd.
Oakland Junior Chamber of Commerce Oakland, CA	Civic	1960-1967	Various Committees
Alpha Foundation 22556 Gilmore St., Canoga Park, CA	Education	1972 - Present	Director
Institute of Continuing Studies, California State University Sacramento, CA	"	1976 - Present	Member, Advisory Bd.
✓ California Junior Statesmen of America Foundation 480 California Ave., Palo Alto CA	Education	1964 - Present	Various Offices

FBI Release

AUTHORITY TO RELEASE INFORMATION

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 05-29-2007 BY AUC 60324/BAW/STP/cld

TO WHOM IT MAY CONCERN:

I hereby authorize any Special Agent or other authorized representative of the Federal Bureau of Investigation bearing this release, or copy thereof, within one year of its date, to obtain any information in your files pertaining to my educational records including, but not limited to, academic, achievement, attendance, athletic, personal history, and disciplinary records; employment records; and credit records. I hereby direct you to release such information upon request of the bearer. This release is executed with full knowledge and understanding that the information is for the official use of the Federal Bureau of Investigation. Consent is granted for the FBI to furnish such information, as is described above, to third parties in the course of fulfilling its official responsibilities. I hereby release you, as the custodian of such records, and any school, college, university, or other educational institution, credit bureau or consumer reporting agency, including its officers, employees, or related personnel, both individually and collectively, from any and all liability for damages of whatever kind, which may at any time result to me, my heirs, family or associates because of compliance with this authorization and request to release information, or any attempt to comply with it. Should there be any question as to the validity of this release, you may contact me as indicated below:

Full Name: Herbert E. Ellingwood
Signature

Full Name: Herbert E. Ellingwood
Type or Print Name

Parent/Guardian (if required): _____

Date: 3/10/81

Current Address: 3523 Buckskin Court, Rocklin, CA 95677

Telephone Number: (916) 624-4916

Standard Form 86
AUGUST 1964
U.S. CIVIL SERVICE COMMISSION
(F.P.M. CHAPTER 736)
50-107

SECURITY INVESTIGATION DATA FOR SENSITIVE POSITION

CASE SERIAL NO. (CSC use only)

49

INSTRUCTIONS.—Prepare in triplicate, using a typewriter. Fill in all items. If the answer is "No" or "None," so state. If more space is needed for any item, continue under item 28.

1. FULL NAME (Initials and abridgements of full name are not acceptable. If no middle name, show "(NMN)"; if initials only, show "(no given or middle name)".)	(LAST NAME) Ellingwood	(FIRST NAME) Herbert	(MIDDLE NAME) Eugene	2. DATE OF BIRTH March 5, 1931
	OTHER NAMES USED (Maiden name, names by former marriages, former names changed legally or otherwise, aliases, nicknames, etc. Specify which, and show dates used.) None			3. PLACE OF BIRTH Ordway, Colorado
				4. <input checked="" type="checkbox"/> MALE <input type="checkbox"/> FEMALE
5. HEIGHT 5'10"		WEIGHT 180	COLOR EYES Blue	COLOR HAIR Blond
6. <input type="checkbox"/> SINGLE <input checked="" type="checkbox"/> MARRIED <input type="checkbox"/> WIDOW(ER) <input type="checkbox"/> DIVORCED	7. IF MARRIED, WIDOWED, OR DIVORCED, GIVE FULL NAME AND DATE AND PLACE OF BIRTH OF SPOUSE OR FORMER SPOUSE. INCLUDE WIFE'S MAIDEN NAME. GIVE DATE AND PLACE OF MARRIAGE OR DIVORCE (Give same information regarding all previous marriages and divorces.) Audrey Alice Kesler; Cass, West Virginia; August 19, 1932; married April 13, 1957, Baltimore, Maryland			
8. DATES AND PLACES OF RESIDENCE (If actual places of residence differ from the mailing addresses, furnish and identify both. Begin with present and go back to January 1, 1937. Continue under item 28 on other side if necessary.)				
FROM	TO	NUMBER AND STREET	CITY	STATE
8/79	Present	3523 Buckskin Court	Rocklin	California
4/74	8/79	7595 Auburn Folsom Road	Loomis	California
9/73	3/74	235 Macario Ct, #3	Roseville	California
6/73	9/73	2751 Paseo Rio Way	Sacramento	California
6/67	5/73	5401 Shelley Way	Carmichael	California
1/67	6/67	Various Apartments	Sacramento	California
1961	1966	18349 Almond Road	Castro Valley	California
1957	1960	41241 Roberts Avenue	Fremont	California
1942	1957	2 Maher Road	Watsonville	California
1937	1942	101 Highway	Aromas	California

9. <input checked="" type="checkbox"/> U.S. CITIZEN <input type="checkbox"/> ALIEN	<input checked="" type="checkbox"/> BY BIRTH	<input type="checkbox"/> NATURALIZED	ALIEN REGISTRATION NO.	DATE, PLACE, AND COURT
	CERT. NO.		PETITION NO.	
<input type="checkbox"/> DERIVED-PARENTS CERT. NO(S).				
	REGISTRATION NO.	NATIVE COUNTRY	DATE AND PORT OF ENTRY	

10. EDUCATION. (All schools above elementary.)

NAME OF SCHOOL	ADDRESS	FROM (Year)	TO (Year)	DEGREES
Salinas Union High School	Salinas, Calif.	1945	1949	Diploma
Yale University	New Haven, Conn.	1949	1953	BA NH
Stanford Law School	Stanford, CA	1957	1960	LLB SF

b6
b7C
Third Party

MAR 30 1981

FBI-SAN FRANCISCO

SL/BR

11. THIS SPACE FOR FBI USE. (See also item 29.)

12. SOCIAL SECURITY NUMBER 726 09 4567

13. MILITARY SERVICE (Past or present)

SERIAL NO. (If none, give grade or rating at separation)	BRANCH OF SERVICE (Army, Navy, Air Force, etc.)	FROM (Yr.)	TO (Yr.)
First Lt.	Army	1953	1956

761B-3605-1

14. HAVE YOU EVER BEEN DISCHARGED FROM THE ARMED FORCES UNDER OTHER THAN HONORABLE CONDITIONS? ☐ YES ☒ NO.
(If answer is "Yes," give details in item 28.)

15. EMPLOYMENT. (List ALL employment dates starting with your present employment. Give both month and year for all dates. Show ALL dates and addresses when unemployed. Give name under which employed if different from name now used.)

FROM	TO	NAME OF EMPLOYER (Firm or agency) AND SUPERVISOR (Full name, if known)	ADDRESS (Where employed)	TYPE OF WORK	REASON FOR LEAVING
7/1979	Present	Caldwell & Toms	812 J Street Sacramento, CA	Attorney	Appointment SC
1/1975	6/79	California Attorney General	555 Capitol Mall Sacramento, CA	Attorney	Private Practice
2/69	12/74	California Governor	State Capitol Sacramento, CA	Attorney	Elections
11/66	1/69	State Bar of California	455 Capitol Mall Sacramento	Legislative Representative	To Governor's Office
6/60	10/66	District Attorney Alameda County	Courthouse, Oakland, CA	Attorney	To State Bar SF

Donald White
Darius DA
DA Clark M. H. Hunt
Cone to go
Chor friend Ed Mice
Yale

Religious straight person
no drink - smoke

Copy to Grant Amory DA's
Rep to Leg
DIPLOMATIC
Screens for
genuine
legitimate
republican
Pardner
BRILLIANT

16. HAVE YOU EVER BEEN DISCHARGED (FIRED) FROM EMPLOYMENT FOR ANY REASON? ☐ YES ☒ NO.

17. HAVE YOU EVER RESIGNED (QUIT) AFTER BEING INFORMED THAT YOUR EMPLOYER INTENDED TO DISCHARGE (FIRE) YOU FOR ANY REASON? ☐ YES ☒ NO.
(If your answer to 16 or 17 above is "Yes" give details in item 28. Show the name and address of employer, approximate date, and reasons in each case. This information should agree with the statements made in item 15—EMPLOYMENT.)

18. HAVE YOU EVER BEEN ARRESTED, TAKEN INTO CUSTODY, HELD FOR INVESTIGATION OR QUESTIONING, OR CHARGED BY ANY LAW ENFORCEMENT AUTHORITY?
(You may omit: (1) Traffic violations for which you paid a fine of \$30 or less; and (2) anything that happened before your 16th birthday. All other incidents must be included, even though they were dismissed or you merely forfeited collateral.) ☐ YES ☒ NO.

IF YOUR ANSWER IS "YES," GIVE FULL DETAILS BELOW:

DATE	CHARGE	PLA	LAW ENFORCEMENT AUTHORITY	ACTION TAKEN
------	--------	-----	------------------------------	--------------

D.

THIS

(SIGNATURE AND TITLE OF AUTHORIZED REPRESENTATIVE)

Standard J

AUGUST 1944
U.S. CIVIL
(F.P.M. CM)

YOU EVER HAD A NERVOUS BREAKDOWN OR HAVE YOU EVER HAD MEDICAL TREATMENT FOR A MENTAL CONDITION? ☐ YES ☒ NO.
 (If answer is "Yes," give details in item 28.)

IN

FOREIGN COUNTRIES VISITED (SINCE 1930). (Exclusive of military service.)

COUNTRY

DATE LEFT U.S.A.

DATE RETURNED U.S.A.

PURPOSE

See attachment A

A X

21. ARE YOU NOW, OR HAVE YOU EVER BEEN, A MEMBER OF THE COMMUNIST PARTY, U.S.A., OR ANY COMMUNIST OR FASCIST ORGANIZATION? ☐ YES ☒ NO.

22. ARE YOU NOW OR HAVE YOU EVER BEEN A MEMBER OF ANY FOREIGN OR DOMESTIC ORGANIZATION, ASSOCIATION, MOVEMENT, GROUP, OR COMBINATION OF PERSONS WHICH IS TOTALITARIAN, FASCIST, COMMUNIST, OR SUBVERSIVE, OR WHICH HAS ADOPTED, OR SHOWS, A POLICY OF ADVOCATING OR APPROVING THE COMMISSION OF ACTS OF FORCE OR VIOLENCE TO DENY OTHER PERSONS THEIR RIGHTS UNDER THE CONSTITUTION OF THE UNITED STATES, OR WHICH SEEKS TO ALTER THE FORM OF GOVERNMENT OF THE UNITED STATES BY UNCONSTITUTIONAL MEANS? ☐ YES ☒ NO.

23. IF YOUR ANSWER TO QUESTION 21 OR 22 ABOVE IS "YES," STATE THE NAMES OF ALL SUCH ORGANIZATIONS, ASSOCIATIONS, MOVEMENTS, GROUPS, OR COMBINATIONS OF PERSONS AND DATES OF MEMBERSHIP. IN ITEM 28 OR ON A SEPARATE SHEET TO BE ATTACHED TO AND MADE A PART OF THIS FORM, GIVE COMPLETE DETAILS OF YOUR ACTIVITIES THEREIN AND MAKE ANY EXPLANATION YOU DESIRE REGARDING YOUR MEMBERSHIP OR ACTIVITIES.

NAME IN FULL

ADDRESS

FROM

TO

OFFICE HELD

None

24. MEMBERSHIP IN OTHER ORGANIZATIONS. (List all organizations in which you are now a member or have been a member, except those which show religious or political affiliations.) (If none, so state.)

NAME IN FULL

ADDRESS

TYPE

FROM

TO

OFFICE HELD

See attachment B

25. RELATIVES (Parents, spouse, divorced spouse, children, brothers, and sisters, living or dead. Name of spouse should include maiden name and any other names by previous marriage. If person is dead, state "dead" after relationship and furnish information for other columns as of time of death.)

RELATION

NAME IN FULL

YEAR OF BIRTH

ADDRESS

COUNTRY OF BIRTH

PRESENT CITIZENSHIP

Father	Harry Clifford Ellingwood	1900	Deceased	U. S.	X	Yes
Mother	Edith Arlene Ellingwood	1900	1763 GreenValleyRD Watsonville, CA	U. S.	SF	Yes
Wife	Audrey Alice Kesler	1932	3523 Buckskin Ct Rocklin, CA	U. S.	SC	Yes
Son	Edwin Bruce Ellingwood	1963	3523 Buckskin Ct Rocklin, CA	U. S.		Yes
Son	Thomas Barry Ellingwood	1967	3523 Buckskin Ct Rocklin, CA	U. S.		Yes
Sister	Juanita Ellingwood	1924	Deceased	U. S.	X	Yes
Sister	Pauline Nelson	1926	792 Echo Valley Rd Salinas, CA	U. S.	SF	Yes
Sister	Mildred Chapman	1928	1763 GreenValleyRD Watsonville, CA	U. S.	SF	Yes
Brother	Al Ellingwood	1935	1000 Highway 101, Aromas, CA	U. S.		Yes
Brother	Wayne Ellingwood	1938	P.O.Box 505, Roodepoort Tvl 1725 South Africa	U. S.		Yes

26a REFERENCES (Name three persons, not relatives or employers, who are aware of your qualifications and fitness.)

NAME IN FULL	HOME ADDRESS	BUSINESS ADDRESS	YEARS KNOWN
Rev. Orville Easterly	1041 Audrey Way, Roseville	202 Bonita Ave. Roseville, CA	7 sc
Walter Hanson	4633 Whitney Avenue, Sacramento, CA		20 sc
Steven Merksamer	4700 Olive Oak Way, Carmichael	555 Capitol Mall	15

26b CLOSE PERSONAL ASSOCIATES (Name three persons, such as friends, schoolmates or colleagues, who know you well.)

NAME IN FULL	HOME ADDRESS	BUSINESS ADDRESS	YEARS KNOWN
Peter DeMauro	142 Gold Oak Circle, Folsom, CA	555 Capitol Mall Sacramento	6
Flo Snyder	326 Rio Grande, Sacramento, CA	555 Capitol Mall Sacramento	6
Kay Eldridge	3790 Oakdale Ct, Rocklin, CA	812 J Street, Sacramento	12

27. TO YOUR KNOWLEDGE, HAVE YOU EVER BEEN THE SUBJECT OF A FULL FIELD OR BACKGROUND PERSONAL INVESTIGATION BY ANY AGENCY OF THE FEDERAL GOVERNMENT? ☒ YES ☐ NO (If your answer is "Yes," show in item 28, (1) the name of the investigating agency (2) the approximate date of investigation, and (3) the level of security clearance granted, if known.)

28. SPACE FOR CONTINUING ANSWERS TO OTHER QUESTIONS. (Show item numbers to which answers apply. Attach a separate sheet if there is not enough space here.)

#27 - Counter Intelligence, 1954; top secret

29 REPORT OF INFORMATION DEVELOPED. (This space reserved for FBI use.)

DATE

Before signing this form check back over it to make sure you have answered all questions fully and correctly.

CERTIFICATION

I CERTIFY that the statements made by me on this form are true, complete, and correct to the best of my knowledge and belief, and are made in good faith.

False statement on this form
is punishable by law.

(DATE)

(SIGNATURE—Sign original and first carbon copy)

INFORMATION TO BE FURNISHED BY AGENCY

INSTRUCTIONS TO AGENCY: See Federal Personnel Manual Chapter 736 and FPM Supplement 296-31, Appendix A, for details on when this form is required and how it is used. If this is a request for investigation before appointment, insert "APPL" in the space for Date of Appointment and show information about the proposed appointment in the other spaces for appointment data. The original and the first carbon copy should be signed by the applicant or appointee. Submit the original and the unsigned carbon copy of the form, Standard Form 87 (Fingerprint Chart), and any investigative information about the person received on voucher forms or otherwise, to the United States Civil Service Commission, Bureau of Personnel Investigations, Washington, D.C., 20415. If this is a request for full field security investigation, submit these forms to the attention of the Division of Reimbursable Investigations; if this is a request for preappointment national agency checks, submit these forms to the attention of the Control Section.

RETAIN THE CARBON COPY OF STANDARD FORM 87 (SIGNED BY THE APPLICANT OR APPOINTEE) FOR YOUR FILES

DATE OF APPOINTMENT	TYPE OF APPOINTMENT <input type="checkbox"/> EXCEPTED <input type="checkbox"/> COMPETITIVE (Include indefinite and temporary types of competitive appointments.)	CIVIL SERVICE REGULATION NUMBER OR OTHER APPOINTMENT AUTHORITY	TITLE OF POSITION AND GRADE OR SALARY
DEPARTMENT OR AGENCY	DUTY STATION	SEND RESULTS OF PREAPPOINTMENT CHECK TO:	
THIS IS A SENSITIVE POSITION			
(SIGNATURE AND TITLE OF AUTHORIZED AGENCY OFFICIAL)			

QUESTION 20:

AX

Foreign Countries Visited	Dates	Purpose
Canada	1953, 1957, 1976	Tourist
Mexico - Many times to Tijuana; latest in 1978		
England, Scotland, Ireland, France, West Germany, Switzerland, Lichenstein, Belgium, Luxemburg, Netherlands, Sweden, Spain, Monte Carlo, Italy, Austria, Saar	1955, 1956	Tourist While in Military
Taiwan	4/2/79 - 4/9/79 7/12/79 - 7/22/79 3/1/80 - 3/6/80 11/30/80 - 12/3/80 2/28/81 - 3/5/81	4/13/79 7/22/79 3/7/80 12/3/80 3/9/81 Tourist
Philippines	4/11/79 - 4/13/79 2/28/80 - 3/1/80 6/7/80 - 6/8/80 3/5/81 - 3/6/81	4/13/79 3/7/80 6/8/80 3/9/81 Tourist
HongKong	4/9/79 - 4/11/79 11/6/79 - 11/7/79 3/6/80 - 3/7/80 6/19/80 - 6/21/80	4/13/79 11/7/79 3/7/80 6/21/80 Tourist
Korea	10/25/79 - 10/28/79	Tourist
China	October/November 1979 6/8/80 - 6/19/80	11/7/79 6/21/80 Tourist
Singapore	3/6/81 - 3/9/81	3/9/81 Tourist

Question 24:

Membership in Other Organizations:

	Type	From - To	Office Held
State Bar of California 555 Franklin St., San Francisco	Professional	1960 to Present	SF Various Offices
American Bar Association 1800 M Street, N.W. Washington DC	"	1960 to Present	"
Sacramento County Bar Association 901 H Street, Sacramento, CA	"	1967 to Present	None
Placer County Bar Assn. 730 Sunrise Avenue, Roseville	"	1974 to Present	None
Christian Legal Society P. O. Box 2069, Oak Park, Ill.	"	1967 to Present	National President
Chabot College 2555 Hesperian Blvd., Hayward, CA	Education	Circa 1965	Instructor
Calif. Specialized Training Institute	Education	1972-1974	Member, Academic Bd.
Oakland Junior Chamber of Commerce Oakland, CA	Civic	1960-1967	Various Committees
Alpha Foundation 22556 Gilmore St., Canoga Park, CA	Education	1972 - Present	Director
Institute of Continuing Studies, California State University Sacramento, CA	"	1976 - Present	Member, Advisory Bd.
California Junior Statesmen of America Foundation 480 California Ave., Palo Alto CA	Education	1964 - Present	Various Offices

FBI Release

AUTHORITY TO RELEASE INFORMATION

TO WHOM IT MAY CONCERN:

I hereby authorize any Special Agent or other authorized representative of the Federal Bureau of Investigation bearing this release, or copy thereof, within one year of its date, to obtain any information in your files pertaining to my educational records including, but not limited to, academic, achievement, attendance, athletic, personal history, and disciplinary records; employment reports; and credit records. I hereby direct you to release such information upon request of the bearer. This release is executed with full knowledge and understanding that the information is for the official use of the Federal Bureau of Investigation. Consent is granted for the FBI to furnish such information, as is described above, to third parties in the course of fulfilling its official responsibilities. I hereby release you, as the custodian of such records, and any school, college, university, or other educational institution, credit bureau or consumer reporting agency, including its officers, employees, or related personnel, both individually and collectively, from any and all liability for damages of whatever kind, which may at any time result to me, my heirs, family or associates because of compliance with this authorization and request to release information, or any attempt to comply with it. Should there be any question as to the validity of this release, you may contact me as indicated below:

Full Name: [Signature]
Signature

Full Name: Herbert E. Ellingwood
Type or Print Name

Parent/Guardian (if required): _____

Date: 3/10/81

Current Address: 3523 Buckskin Court, Rocklin, CA 95677

Telephone Number: (916) 624-4916

LT Don McNeil

Investigator - DA Prosecutor

Good person,
good nature

Left to go to State T. work
AG - Duke Dugan

Quiet Real gentleman

no drugs or alcohol

Loyalty

inner faith

hard worker

Resound

hired by S. Frank
Corkley
As D.A.

ALL INFORMATION CONTAINED

HEREIN IS UNCLASSIFIED

DATE 05-29-2007 BY AUC 60324/BAW/STP/cld

30670

Herbert Eugene Ellingwood

add Office of the Governor

Legal Affairs

State Capital

Sacramento, Ca 1/19/73

Born 3/5/31 Ordway, Colorado

Gale

Stanford Law

Date Admitted 6/22/60

1/2/80 Caldwell & Tomis ⁻⁸¹²⁹⁸ ~~2020~~
1595 Auburn - Folsom Rd
Loomis, Ca

3/27/81

Director, FBI

SAC, Washington Field - Enc.
Alexandria - Enc. 2
Baltimore - Enc. 2
New Haven - Enc. 2
Sacramento - Enc. 2
San Francisco - Enc. 2
St. Louis - Enc. 2

HERBERT EUGENE ELLINGWOOD
SPECIAL INQUIRY
BUDED: APRIL 8, 1981 (B)

not pertinent
Herbert E. Ellingwood maintained in
92-41-3214 p2 (8/77) dep. 7 safe
100-64351-9 p2 (2/70)
Herbert E. Ellingwood
~~100-68930-1816 p2 (4/73) wrong #~~

Bureau has been requested to conduct investigation of Ellingwood who is being considered for White House staff position. Born March 5, 1931, Ordway, Colorado, and resides 3523 Buckskin Court, Rocklin, California. SF-86 and records release enclosed.

Alexandria check CIA re appointee.

Sacramento, as principal office, insure at least twenty persons knowledgeable re appointee are interviewed.

SPIN

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 06-19-2007 BY AUC 60324/BAW/STP/cld

Subletype
4/7/81

INDEXED	FILED
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MAR 30 1981	
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PARA	

SECRET

161B-3605-2

Personal File Card

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 05-29-2007 BY AUC 60324/BAW/STP/cld

Law clerk Apr 9, 1960
6/22/60

Deputy DA 6/23/60

11/1/66

Job as Legislative Representative
of State Bar Assoc

Special Grants in
Capital Punishment
Proceedings
Legislation

eligible for rehire

Secretary to
District attorney

NIPFA
Wood

C Beyond Question
Bayer Books

Re Billen attorney
well liked

P

SF0494 0980210Z

RR HQ

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R 080200Z APR 81

TO DIRECTOR, FBI (ROUTINE)

BT

U N C L A S

HERBERT EUGENE ELLINGWOOD, SPECIAL INQUIRY, BUDED APRIL 8, 1981 (B)

RE BU AIRTEL DATED MARCH 27, 1981.

EDUCATION

ON APRIL 1, 1981, ERNESTINE M. JONES, INFORMATION SECRETARY, REGISTRAR'S OFFICE, STANFORD UNIVERSITY, STANFORD, CALIFORNIA, ADVISED THAT THE PERMANENT RECORDS OF THE UNIVERSITY REFLECT THAT THE APPOINTEE, HERBERT EUGENE ELLINGWOOD, BORN MARCH 5, 1931, IN ORDWAY, COLORADO, WAS A STUDENT AT STANFORD UNIVERSITY. THE RECORDS REFLECT THAT ELLINGWOOD WAS REGISTERED AS A GRADUATE STUDENT FROM SEPTEMBER, 1957 THROUGH DECEMBER, 1960. APPOINTEE MAJORED IN THE FIELD OF LAW AND HE WAS AWARDED THE L.L.B. DEGREE ON JANUARY 8, 1960.

Searched.....
Serialized.....
Indexed.....
Filed *KB*.....

(PARA) b6
b7C
Third Party

161B-3605-3

PAGE TWO SF 161B-3605 UNCLAS

MRS. JONES ADVISED THAT IT IS THE CURRENT POLICY OF STANFORD UNIVERSITY NOT TO CALCULATE RANK IN CLASS, CLASS STANDINGS, OR GRADE POINT AVERAGES. RECORDS ARE ONLY MAINTAINED OF STUDENT ACHIEVEMENTS AND FAILURES ARE NOT RECORDED.

PAGE THREE SF 161B-3605 UNCLAS

EMPLOYMENT:

b6
b7C
Third Party

THE FOLLOWING INVESTIGATION WAS CONDUCTED BY SA [REDACTED]

[REDACTED]
AT OAKLAND, CALIFORNIA.

ON APRIL 1, 1981, NINFA WOOD, SECRETARY TO THE DISTRICT ATTORNEY FOR ALAMEDA COUNTY, ALAMEDA COUNTY COURTHOUSE, ADVISED THAT A REVIEW OF HER FILES INDICATES THAT APPLICANT CAME TO WORK FOR THE DISTRICT ATTORNEY'S OFFICE ON APRIL 9, 1960, AS A LEGAL CLERK. ON JUNE 22, 1960, HE PASSED THE STATE BAR AND ON JUNE 23, 1960, WAS HIRED AS A DEPUTY DISTRICT ATTORNEY. HE WAS EMPLOYED THERE UNTIL NOVEMBER 1, 1966, WHEN HE RESIGNED TO TAKE A POSITION AS A LEGISLATIVE REPRESENTATIVE OF THE STATE BAR ASSOCIATION. THE RECORD INDICATES THAT HE IS ELIGIBLE FOR REHIRE. THE AVAILABLE RECORDS DO NOT SHOW SPECIFIC WORK ASSIGNMENTS.

MISS WOOD STATED THAT SHE RECALLED APPLICANT AS AN EXCELLENT ATTORNEY WHO WAS AN EFFECTIVE PROSECUTOR DURING HIS CAREER AND LATER SPECIALIZED IN LEGISLATIVE LAW. HE WAS A MAN OF EXCELLENT CHARACTER AND WAS WELL REGARDED AS AN EXCELLENT DISTRICT ATTORNEY AND A MAN OF HIGH MORAL CHARACTER WITH ASSOCIATES OF GOOD REPUTATION. SHE STATED THAT THERE WAS NO DOUBT THAT HE

PAGE FOUR SF 161B-3605 UNCLAS

IS A LOYAL AMERICAN WHO NEVER DRINKS, SMOKES, OR USES NARCOTICS AND IS HIGHLY RECOMMENDED FOR A POSITION OF TRUST AND CONFIDENCE IN THE UNITED STATES GOVERNMENT.

APPLICANT'S FORMER SUPERVISOR, J. FRANK COAKLEY, DISTRICT ATTORNEY, ALAMEDA COUNTY, IS HOSPITALIZED AND NOT AVAILABLE FOR INTERVIEW.

ON APRIL 1, 1981, LT. DONALD MCNEIL, INVESTIGATOR, DISTRICT ATTORNEY'S OFFICE, ALAMEDA COUNTY COURTHOUSE, ADVISED THAT HE HAS KNOWN APPLICANT PROFESSIONALLY SINCE APPLICANT CAME TO WORK AT THE DISTRICT ATTORNEY'S OFFICE IN 1960.

APPLICANT WAS KNOWN AMONG THE INVESTIGATIVE STAFF AS A TOUGH PROSECUTOR WHO COULD BE COUNTED ON TO AGGRESSIVELY PROSECUTE A CASE IN COURT. MCNEIL DESCRIBED HIM AS A REAL GENTLEMAN WHO NEVER DRANK OR USED DRUGS AND GOT ALONG WELL WITH THE DISTRICT ATTORNEY'S STAFF. HE WAS A MAN OF EXCELLENT CHARACTER AND REPUTATION AND A LOYAL AMERICAN. HIS ASSOCIATES ARE NOW MEMBERS OF THE OFFICIAL PRESIDENT REAGAN STAFF AND ALL HAVE EXCELLENT REPUTATIONS.

APPLICANT WAS HIRED AT THE DISTRICT ATTORNEY'S OFFICE BY J. FRANK COAKLEY, A DISTRICT ATTORNEY WHO WAS A GIANT IN HIS FIELD AND AN EXCELLENT JUDGE OF MEN. WHEN HE LEFT THE DISTRICT ATTORNEY'S

PAGE FIVE SF 161B-3605 UNCLAS

OFFICE, IT WAS WITH COAKLEY'S ENCOURAGEMENT SINCE COAKLEY WANTED APPLICANT TO LOBBY IN THE STATE LEGISLATURE FOR THE LAW ENFORCEMENT PROFESSION.

APPLICANT IS HIGHLY RECOMMENDED FOR A POSITION OF TRUST AND CONFIDENCE IN THE UNITED STATES GOVERNMENT.

ON APRIL 1, 1981, MR. DONALD WHYTE, DEPUTY DISTRICT ATTORNEY, DISTRICT ATTORNEY'S OFFICE, ALAMEDA COUNTY COURTHOUSE, ADVISED THAT HE HAS KNOWN APPLICANT PROFESSIONALLY SINCE BOTH CAME TO WORK AT THE DISTRICT ATTORNEY'S OFFICE IN 1960. WHYTE AND APPLICANT BEGAN THEIR CAREER IN THE DISTRICT ATTORNEY'S OFFICE PROCESSING CASES IN OAKLAND MUNICIPAL COURT.

APPOINTEE WAS A BRILLIANT LAWYER AND DEVELOPED INTO A LEGISLATIVE EXPERT. HE WAS HAND PICKED BY THE FORMER DISTRICT ATTORNEY J. FRANK COAKLEY TO LOBBY IN HIS LEGISLATURE FOR LAWS FAVORABLE TO THE DISTRICT ATTORNEY'S OFFICE. APPOINTEE WAS A MAN OF EXCELLENT CHARACTER AND REPUTATION. HE STATED THAT APPLICANT WOULD WORK LATE ON MANY OCCASIONS AND WAS USUALLY THE LAST TO LEAVE AT NIGHT. APPLICANT NEVER DRANK OR USED DRUGS BUT DIPLOMATIC ENOUGH NOT TO STAND OUT WHEN OTHERS HAVE COCKTAILS AT A SOCIAL OCCASION. HIS ASSOCIATES WERE YOUNG ATTORNEYS OF EXCELLENT CHARACTER.

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MR. WHYTE STATED THAT THERE IS NO DOUBT IN HIS MIND THAT APPLICANT IS A LOYAL AMERICAN AND IS HIGHLY RECOMMENDED FOR A POSITION OF TRUST AND CONFIDENCE WITH THE UNITED STATES GOVERNMENT.

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LAW ENFORCEMENT AGENCY CHECKS:

ON APRIL 2, 1981, LIZ PRICE, RECORDS CLERK, PALO ALTO POLICE DEPARTMENT, PALO ALTO, CALIFORNIA, ADVISED THAT SHE COULD LOCATE NO POLICE RECORD IDENTIFIABLE TO THE APPOINTEE OR HIS WIFE.

ON APRIL 1, 1981, SUSAN MURPHY, RECORDS CLERK, SANTA CLARA COUNTY SHERIFF'S OFFICE, SAN JOSE, CALIFORNIA, ADVISED THAT SHE COULD LOCATE NO RECORD IDENTIFIABLE WITH THE APPOINTEE OR HIS WIFE.

ON APRIL 6, 1981, LILA HENDERSON, RECORDS CLERK, FREMONT POLICE DEPARTMENT, FREMONT, CALIFORNIA, ADVISED THAT THE RECORDS OF THE FREMONT POLICE DEPARTMENT CONTAINED NO RECORD IDENTIFIABLE WITH THE APPOINTEE OR HIS WIFE.

ON APRIL 1, 1981, RECORDS WERE REVIEWED AT THE ALAMEDA COUNTY SHERIFF'S OFFICE, CENTRALIZED IDENTIFICATION BUREAU, OAKLAND, CALIFORNIA, WITH NO IDENTIFIABLE ARREST RECORD LOCATED FOR THE APPOINTEE, HERBERT EUGENE ELLINGWOOD, OR HIS WIFE.

ON APRIL 1, 1981, DORIS MANN, RECORDS CLERK, WATSONVILLE POLICE DEPARTMENT, WATSONVILLE, CALIFORNIA, ADVISED THAT THE

PAGE EIGHT SF 161B-3605 UNCLAS

WATSONVILLE POLICE DEPARTMENT HAD NO POLICE RECORD IDENTIFIABLE TO THE APPOINTEE OR MEMBERS OF HIS IMMEDIATE FAMILY.

ON APRIL 1, 1981, CARL WOOD, RECORDS CLERK, SANTA CRUZ COUNTY SHERIFF'S OFFICE, SANTA CRUZ, CALIFORNIA, ADVISED THAT HE COULD LOCATE NO ARREST RECORD IDENTIFIABLE WITH THE APPOINTEE OR HIS IMMEDIATE RELATIVES.

ON APRIL 3, 1981, MRS. SAMMIE KEATING, RECORDS CLERK, SALINAS POLICE DEPARTMENT, SALINAS, CALIFORNIA, ADVISED THAT THE DEPARTMENT HAD NO RECORD FOR THE APPOINTEE OR HIS RELATIVES.

ON APRIL 3, 1981, MRS. BOBBIE FANNING, RECORDS CLERK, MONTEREY COUNTY SHERIFF'S OFFICE, ADVISED THAT HER AGENCY'S RECORDS CONTAINED NO POLICE RECORD IDENTIFIABLE TO THE APPOINTEE OR HIS IMMEDIATE RELATIVES PRESENTLY RESIDING WITHIN THEIR JURISDICTION. FANNING DID STATE, HOWEVER, THAT THERE WAS ONE ARREST RECORD IN THE NAME OF H. C. ELLINGWOOD, BORN JULY 27, 1900, IN THE STATE OF IOWA, WHICH APPEARS TO BE IDENTICAL TO THE APPOINTEE'S DECEASED FATHER. THE RECORD INDICATES THAT ELLINGWOOD WAS ARRESTED ON OCTOBER 27, 1942, BASED UPON A WARRANT OUT OF SAN LUIS OBISPO COUNTY, CHARGING ELLINGWOOD WITH ISSUING FICTITIOUS CHECKS. THE RECORD OF DISPOSITION

PAGE NINE SF 161B-3605 UNCLAS

INDICATES THAT ON THE SAME DATE, OCTOBER 27, 1942, ELLINGWOOD WAS ORDERED RELEASED BY ORDER OF THE DISTRICT ATTORNEY OF SAN LUIS OBISPO.

CREDIT RECORDS

ON APRIL 2, 1981, DELMA WHITE, RECORDS CLERK, CREDIT BUREAU INCORPORATED, SAN JOSE, CALIFORNIA, ADVISED THAT THE APPOINTEE, HERBERT E. ELLINGWOOD, BORN MARCH 5, 1931, AND HIS WIFE, AUDREY, HAVE HAD A CREDIT FILE SINCE JUNE, 1967. THE CREDIT FILE SHOWS A CURRENT ADDRESS OF 3523 BUCKSKIN COURT, ROCKLIN, CALIFORNIA. THE CREDIT RECORD REFLECTS THAT THE APPOINTEE HAS A SATISFACTORY CREDIT RATING AND PAYMENTS ARE MADE AS AGREED.

BAR ASSOCIATION

ON MARCH 31, 1981, JEANINE H. CARDINELLI, ASSISTANT SUPERVISOR, MEMBERSHIP RECORDS, STATE BAR OF CALIFORNIA, 555 FRANKLIN STREET, ADVISED THAT HERBERT EUGENE ELLINGWOOD, BORN MARCH 5, 1931, AT ORDWAY, COLORADO, WAS ADMITTED TO PRACTICE LAW IN CALIFORNIA ON JUNE 22, 1960. BAR RECORDS FURTHER INDICATED THAT THE MEMBER ATTENDED YALE UNIVERSITY IN NEW HAVEN CONNECTICUT AND STANFORD UNIVERSITY LAW SCHOOL AT STANFORD, CALIFORNIA. IN

PAGE TEN SF 161B-3605 UNCLAS

JANUARY, 1980, THE MEMBER'S ADDRESS WAS NOTED AS CALDWELL AND TOMS,
8L2 J STREET, SACRAMENTO, CALIFORNIA.

STATE BAR RECORDS

CARDINELLI HAS PREVIOUSLY ADVISED THAT THE RECORDS OF
GRIEVANCES WHICH MAY HAVE BEEN FILED AGAINST ATTORNEYS ARE NOT
AVAILABLE TO OUTSIDE AGENCIES WITHOUT WRITTEN PERMISSION FROM THE
ATTORNEY INVOLVED.

SPIN

BT

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HEREIN IS UNCLASSIFIED
DATE 05-29-2007 BY AUC 60324/BAW/STP/cld

(Puc)
Intel by 4/10/81

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OO HQ SF

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O 072055Z APR 81

FM SACRAMENTO (161B-785)(P)

TC DIRECTOR IMMEDIATE

SAN FRANCISCO IMMEDIATE

BT

UNCLAS

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SERIALIZED <input checked="" type="checkbox"/>	FILED <input checked="" type="checkbox"/>
APR 7 1981	
FBI - SAN FRANCISCO	

b6
b7C
Third Party

HERBERT EUGENE ELLINGWOOD, SPECIAL INQUIRY, BUDED APRIL 8, 1981

RE BUREAU AIRTEL TO WFO, MARCH 27, 1981, SACRAMENTO TELCALL
TO SUPERVISOR CHARLES ^{SP} EYROPOULOUS, SAN FRANCISCO, APRIL 7, 1981.

ON APRIL 7, 1981, MRS. ELEANOR DANIELSON, ADMINISTRATIVE
ASSISTANT, STATE BAR OF CALIFORNIA, 1210 K STREET, SACRAMENTO,
CALIFORNIA, WAS CONTACTED RE VERIFICATION OF APPOINTEE'S
EMPLOYMENT AS THE LEGISLATIVE REPRESENTATIVE FOR THE STATE
BAR OF CALIFORNIA FOR THE PERIOD NOVEMBER, 1966 TO JANUARY, 1969.
MRS. DANIELSON ADVISED THAT ALL RECORDS RE EMPLOYMENT WITH THE
STATE BAR OF CALIFORNIA WOULD BE MAINTAINED AT THE STATE
HEADQUARTERS, CALIFORNIA STATE BAR, 555 FRANKLIN STREET, SAN
FRANCISCO, CALIFORNIA, TELEPHONE (415) 561-8200. MRS. DANIELSON
FURTHER ADVISED THAT THERE IS CURRENTLY NO EMPLOYEE WITH THE

C B

161B-3605-4

PAGE TWO SC 161B-785 UNCLAS

STATE BAR OF CALIFORNIA IN SACRAMENTO WHO WAS EMPLOYED AT THE
TIME OF APPOINTEE'S EMPLOYMENT.

SAN FRANCISCO AT SAN FRANCISCO. VERIFY EMPLOYMENT AT
LEGISLATIVE REPRESENTATIVE WITH THE CALIFORNIA STATE BAR,
555 FRANKLIN STREET, SAN FRANCISCO, CALIFORNIA.

BT

FBI

TRANSMIT VIA:

☐ Teletype
☐ Facsimile
☐ _____

PRECEDENCE:

☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

4/8/81

Date _____

FM SAN FRANCISCO (161B-3605) (P) (SQ. 4)

TO DIRECTOR, FBI (IMMEDIATE)

BT

UNCLAS

HERBERT EUGENE ELLINGWOOD, SPECIAL INQUIRY, BUDED: APRIL 8, 1981

RE SACRAMENTO TELETYPE TO BUREAU, DATED APRIL 7, 1981.

ON APRIL 8, 1981, RECIKO NAO, PERSONNEL DEPARTMENT,
SAN FRANCISCO STATE BAR, 555 FRANKLIN STREET, SAN FRANCISCO,
CALIFORNIA, ADVISED THAT EMPLOYMENT RECORDS FOR APPOINTEE ARE
IN THEIR ARCHIVES, AND WILL BE AVAILABLE FOR REVIEW IN ABOUT
TWO WEEKS.

BT

SC BGC/bas (SQ. 4)
(1)

Searched _____

Serialized _____

Indexed _____

Filed _____

Approved: _____

Transmitted _____

(Number)

(Time)

Per _____

161B-3605-5

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DE SF 010

O 090012Z MAR 81

FM SAN FRANCISCO (161B-3605)(P)(SQ. 4)

TO DIRECTOR, FBI (IMMEDIATE)

BT

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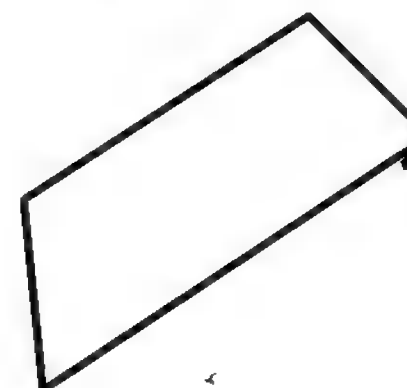
HERBERT EUGENE ELLINGWOOD, SPECIAL INQUIRY, BUDED: APRIL 8, 1981

RE SACRAMENTO TELETYPE TO BUREAU, DATED APRIL 7, 1981.

ON APRIL 8, 1981, RECIKO NAO, PERSONNEL DEPARTMENT,
SAN FRANCISCO STATE BAR, 555 FRANKLIN STREET, SAN FRANCISCO,
CALIFORNIA, ADVISED THAT EMPLOYMENT RECORDS FOR APPOINTEE ARE
IN THEIR ARCHIVES, AND WILL BE AVAILABLE FOR REVIEW IN ABOUT
TWO WEEKS.

BT

Searched.....
Serialized.....
Indexed.....
Filed.....



b6
b7C
Third Party

161B-3605-5

FBI

TRANSMIT VIA:

☒ Teletype
☐ Facsimile
☐ _____

PRECEDENCE:

☐ Immediate
☐ Priority
☒ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☒ UNCLAS

Date 4/22/81

FM SAN FRANCISCO (161B-3605) (RUC) (SQ. 4)

TO DIRECTOR (ROUTINE)

BT

UNCLAS

HERBERT EUGENE ELLINGWOOD, SPECIAL INQUIRY, BUDED APRIL 8, 1981

RE SACRAMENTO TELETYPE TO BUREAU, DATED APRIL 7, 1981, AND
SAN FRANCISCO TELETYPE TO BUREAU, DATED MARCH 9, 1981.

ON APRIL 21, 1981, RECIKO NAO, PERSONAL TECHNICIAN, SAN
FRANCISCO STATE BAR, 555 FRANKLIN STREET, SAN FRANCISCO,
CALIFORNIA, ADVISED APPOINTEE WAS EMPLOYED WITH STATE BAR FROM
NOVEMBER 1, 1966 TO JANUARY, 1969. NO OTHER INFORMATION WAS
AVAILABLE IN THEIR FILES.

BT

Searched.....
Serialized..... *KB*
Indexed.....
Filed..... *KB*

BC/lv

Approved: *WON*

Transmitted

(Number)

(Time)

Per *SL*

161B-3605-6

TO: OFFICE SERVICES MANAGER

Subject

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED

Date _____

Social Security Account #

Aliases

DATE 05-29-2007 BY AUC 60324/BAW/STP/cld

Address

Birth Date

	Birthplace
--	-------------------

Race

Sex	
-----	--

☐ Male
☐ Female

- ☐ Exact Spelling ☐ Main Criminal Case Files Only ☐ Restrict Locality of _____
☐ All References ☐ Criminal References Only
☐ Main Security Case Files Only ☐ Main Security (If no Main, list all Security References)
☐ Security References Only ☐ Main Criminal (If no Main, list all Criminal References)

[illegible]

Requested by

Squad

Extension

File No.

- ☐ **General Indices:**

Searched by

Date _____

- ☐ **Confidential Indices:**

Searched by

Date _____

- ☐ **ELSUR Indices:**

Searched by

Date _____

- ISIS:**

Searched by

Date _____

- OCIS:**

Searched by

Date _____

- 115

Searched by

Date _____

Consolidated by

Date _____

Reviewed by

Date _____

File Review Symbols

I - Identical
NI - Not identical

? - Not identifiable
U - Unavailable reference

6

7/8
KAM

VZCZC 00089

AFO

EdP

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P 292131Z JUN 85

FM DIRECTOR FBI

TO ALL FBI FIELD OFFICES PRIORITY

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reter: CC sent 7/8/85 KAM
1- IA/SFC. ELSUR/INDICES/*

HERBERT EUGENE ELLINGWOOD, SPECIAL INQUIRY, BUDED: 7/12/85 1515
WITHOUT FAIL. (B)

REBUTELS TO ALL OFFICES DATED SEPTEMBER 7, 1982.

BUREAU HAS BEEN REQUESTED TO CONDUCT EXPEDITE UPDATE
INVESTIGATION OF APPOINTEE FOR PRESIDENTIAL APPOINTMENT, AS
ASSISTANT ATTORNEY GENERAL FOR THE OFFICE OF LEGAL POLICY,
DEPARTMENT OF JUSTICE, WASHINGTON, D. C., WHICH REQUIRES SENATE
CONFIRMATION.

ALL OFFICES CHECK INDICES AND ELSUR FILES BASED UPON
AVAILABLE INFORMATION CONCERNING APPOINTEE, HIS CLOSE RELATIVES
AND PRESENT BUSINESS ESTABLISHMENT.

WASHINGTON FIELD, IF UTILIZING MAJOR CASE INFORMATION
SYSTEM OR ISIS AND ARE OFFICE OF ORIGIN ON THOSE CASES SHOULD

SEARCHED <input checked="" type="checkbox"/>		INDEXED <input checked="" type="checkbox"/>	
SERIALIZED <input checked="" type="checkbox"/>		FILED <input checked="" type="checkbox"/>	
JUN 30 1985			
FBI - SAN FRANCISCO			
IA/SFC		CA	

b6
b7C
Third Party

*Reo
+
agg
7/1/85*

161B-3605-7

PAGE TWO DE HQ 0089 UNCLAS.

ALSO CHECK THOSE DATA BASES. PROMPTLY SUTEL RESULTS (POSITIVE OR NEGATIVE) BY TELETYPE SUMMARY AND IF POSITIVE, FOLLOW WITH COPIES OF DOCUMENTS BY AIRTEL.

ALEXANDRIA, LOS ANGELES, PITTSBURGH, RICHMOND, SACRAMENTO, SAN FRANCISCO AND WASHINGTON FIELD SHOULD NOT RUC UPON COMPLETION OF THE ABOVE RECORD CHECKS AS ADDITIONAL INVESTIGATION IS FORTHCOMING.

ELLINGWOOD IS CURRENTLY EMPLOYED BY MERIT SYSTEMS PROTECTION BOARD, WASHINGTON, D. C., IS DESCRIBED AS BORN MARCH 5, 1931, IN ORDWAY, COLORADO; HAS SSAN 726-09-4567; AND RESIDES 6607 BRIAR HILL COURT, MC LEAN, VIRGINIA..

RELATIVES: FATHER (DECEASED), HARRY CLIFFORD ELLINGWOOD, BORN JULY 29, 1900, IN ALBIA, IOWA; MOTHER, EDITH ARLENE ELLINGWOOD, BORN MARCH 12, 1900, IN AMES, KANSAS, RESIDES 1763 GREEN VALLEY ROAD, WATSONVILLE, CALIFORNIA; SPOUSE, AUDREY ALICE KESLER, BORN AUGUST 19, 1932, IN CASS, WEST VIRGINIA, RESIDES 6607 BRIAR HILL COURT, MC LEAN, VIRGINIA; SON, EDWIN BRUCE ELLINGWOOD, BORN NOVEMBER 17, 1963, IN CASTRO VALLEY, CALIFORNIA, RESIDES 6242 GREEN TAVERN LANE, YORBA LINDA, CALIFORNIA; SON, THOMAS BARRY ELLINGWOOD, BORN MARCH 18, 1967,

PAGE THREE DE HQ 0089 L. LAS

IN CASTRO VALLEY, CALIFORNIA, RESIDES WITH APPOINTEE AND
SPOUSE; SISTER (DECEASED), JUANITA ELLINGWOOD, BORN JULY 19,
1924, IN LA JUNTA, COLORADO; SISTER, PAULINE NELSON, BORN
SEPTEMBER 13, 1926, IN LA JUNTA, COLORADO, RESIDES 792 ECHO
VALLEY ROAD, SALINAS, CALIFORNIA; SISTER, MILDRED CHAPMAN, BORN
SEPTEMBER 29, 1928, IN ORDWAY, COLORADO, RESIDES 1355
INDEPENDENCE SQUARE, WATSONVILLE, CALIFORNIA; BROTHER, AL
ELLINGWOOD, BORN SEPTEMBER 15, 1934, IN AROMAS, CALIFORNIA,
RESIDES 1000 HIGHWAY 101, AROMAS, CALIFORNIA; BROTHER, WAYNE
ELLINGWOOD, BORN MAY 19, 1938, IN AROMAS, CALIFORNIA, RESIDES
2862 COTTONWOOD, ORANGE, CALIFORNIA.

SPIN

BT

#0089

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US-3193

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PP AFO

DE HQ #0220 1850405

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P 032059Z JUL 85

FM DIRECTOR FBI

TO ALL FBI FIELD OFFICES PRIORITY

BT

UNCLAS

Antel T-7/8

*retr: cc sent 7/8/85
1- 1A/SFC - INFO Kam*

CHANGED: HERBERT EUGENE ELLINGWOOD, SPECIAL INQUIRY,

BUDED: 7/17/85, WITHOUT FAIL. (B)

TITLE MARKED "CHANGED" TO INDICATE CHANGE IN BUDED FROM
7/10/85, TO 7/17/85, AS INDICATED ABOVE.

REFERENCE BUREAU TELETYPE DATED 6/29/85, TO ALL
OFFICES.

ALL OFFICES ARE REMINDED TO FOLLOW DAPLI REPORTING
RULES AND TO SUBMIT A REPORT SUITABLE FOR DISSEMINATION TO
THE WHITE HOUSE.

DUE TO URGENT NATURE OF REQUEST IT IS IMPERATIVE BUDED
BE MET WITHOUT FAIL.

SPIN

BT

#0220

SEARCHED <i>[initials]</i>	INDEXED <i>[initials]</i>
SERIALIZED <i>[initials]</i>	FILED <i>[initials]</i>
JUL 3 1985	
FBI - SAN FRANCISCO	
1A/SFC 1QA	
161B-3605-8	

Standard Form 86

AUGUST 1944
U.S. CIVIL SERVICE COMMISSION
(F.P.M. CHAPTER 736)
84-107

SECURITY INVESTIGATION DATA FOR SENSITIVE POSITION

CASE SERIAL NO. (CSC use only)

INSTRUCTIONS.—Prepare in triplicate, using a typewriter. Fill in all items. If the answer is "No" or "None," so state. If more space is needed for any item, continue under item 28.

1. FULL NAME <i>(Initials and abridgements of full name are not acceptable. If no middle name, show "(NMN)"; if initials only, show "(no given or middle name)"</i>	(LAST NAME) ELLINGWOOD	(FIRST NAME) HERBERT	(MIDDLE NAME) EUGENE	2. DATE OF BIRTH March 5, 1931
	OTHER NAMES USED. <i>(Maiden name, names by former marriages, former names changed legally or otherwise, aliases, nicknames, etc. Specify which, and show dates used.)</i> NO CHANGES EXCEPT AS OTHERWISE NOTED			3. PLACE OF BIRTH Ordway, Colorado
				4. <input checked="" type="checkbox"/> MALE <input type="checkbox"/> FEMALE
	5. HEIGHT 5'10"	WEIGHT 180	COLOR EYES Blue	COLOR HAIR Blond

6. <input type="checkbox"/> SINGLE <input checked="" type="checkbox"/> MARRIED <input type="checkbox"/> WIDOW(ER) <input type="checkbox"/> DIVORCED	7. IF MARRIED, WIDOWED, OR DIVORCED, GIVE FULL NAME AND DATE AND PLACE OF BIRTH OF SPOUSE OR FORMER SPOUSE. INCLUDE WIFE'S MAIDEN NAME. GIVE DATE AND PLACE OF MARRIAGE OR DIVORCE. <i>(Give same information regarding all previous marriages and divorces.)</i>
--	---

8. DATES AND PLACES OF RESIDENCE. *(If actual places of residence differ from the mailing addresses, furnish and identify both. Begin with present and go back to January 1, 1937. Continue under item 28 on other side if necessary.)*

FROM	TO	NUMBER AND STREET	CITY	STATE
July 1981	present	6607 Briar Hill Court	McLean	AX/RH VA

ALL FBI INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 05-29-2007 BY AUC 60324/BAU/STP/cld

9. <input type="checkbox"/> U.S. CITIZEN	<input type="checkbox"/> BY BIRTH	<input type="checkbox"/> NATURALIZED	ALIEN REGISTRATION NO.	DATE, PLACE, AND COURT
	CERT. NO.		PETITION NO.	
<input type="checkbox"/> ALIEN	<input type="checkbox"/> DERIVED-PARENTS CERT. NO(S).		REGISTRATION NO.	NATIVE COUNTRY
			DATE AND PORT OF ENTRY	

10. EDUCATION. *(All schools above elementary.)*

NAME OF SCHOOL	ADDRESS	FROM (Year)	TO (Year)	DEGREES
<div style="text-align: right;">  JUL 3 1985  </div>				

11. THIS SPACE FOR FBI USE. *(See also item 29.)*

12. SOCIAL SECURITY NUMBER

13. MILITARY SERVICE (Past or present)

SERIAL NO. <i>(If none, give grade or rating at separation)</i>	BRANCH OF SERVICE <i>(Army, Navy, Air Force, etc.)</i>	FROM (Yr.)	TO (Yr.)

161B-3605-9

14. HAVE YOU EVER BEEN DISCHARGED FROM THE ARMED FORCES UNDER OTHER THAN HONORABLE CONDITIONS? ☐ YES ☐ NO.

(If answer is "Yes," give details in item 28.)

15. EMPLOYMENT. (List ALL employment dates starting with your present employment. Give both month and year for all dates. Show ALL dates and addresses when unemployed. Give name under which employed if different from name now used.)

FROM	TO	NAME OF EMPLOYER (Firm or agency) AND SUPERVISOR (Full name, if known)	ADDRESS (Where employed)	TYPE OF WORK	REASON FOR LEAVING
3/81	12/81	President Ronald Reagan: In my position as Deputy Counsel to the President, I was supervised by Fred Fielding, Counsel to the President.	Old Executive Office Bldg., The White House	WFO Attorney	Appointment to current position

12/8 - present Merit Systems
Protection Board

1120 Vermont, N.W.
Washington, D.C.
WFO

Chairman

16. HAVE YOU EVER BEEN DISCHARGED (FIRED) FROM EMPLOYMENT FOR ANY REASON? ☐ YES ☐ NO.

17. HAVE YOU EVER RESIGNED (QUIT) AFTER BEING INFORMED THAT YOUR EMPLOYER INTENDED TO DISCHARGE (FIRE) YOU FOR ANY REASON? ☐ YES ☐ NO.
(If your answer to 16 or 17 above is "Yes" give details in item 28. Show the name and address of employer, approximate date, and reasons in each case. This information should agree with the statements made in item 15—EMPLOYMENT.)

18. HAVE YOU EVER BEEN ARRESTED, TAKEN INTO CUSTODY, HELD FOR INVESTIGATION OR QUESTIONING, OR CHARGED BY ANY LAW ENFORCEMENT AUTHORITY?
(You may omit: (1) Traffic violations for which you paid a fine of \$30 or less; and (2) anything that happened before your 16th birthday. All other incidents must be included, even though they were dismissed or you merely forfeited collateral.) ☐ YES ☐ NO.

IF YOUR ANSWER IS "YES," GIVE FULL DETAILS BELOW:

DATE	CHARGE	PLACE	LAW ENFORCEMENT AUTHORITY	ACTION TAKEN
------	--------	-------	------------------------------	--------------

19. HAVE YOU EVER HAD A NERVOUS BREAKDOWN OR HAVE YOU EVER HAD MEDICAL TREATMENT FOR A MENTAL CONDITION? ☐ YES ☐ NO.
(If your answer is "Yes," give details in item 28.)

20. FOREIGN COUNTRIES VISITED (SINCE 1930). (Exclusive of military service.)

COUNTRY

DATE LEFT U.S.A.

DATE RETURNED U.S.A.

PURPOSE

(see attached sheet) -- Supplemental Sheet #1

21. ARE YOU NOW, OR HAVE YOU EVER BEEN, A MEMBER OF THE COMMUNIST PARTY, U.S.A., OR ANY COMMUNIST OR FASCIST ORGANIZATION? ☐ YES ☐ NO.

22. ARE YOU NOW OR HAVE YOU EVER BEEN A MEMBER OF ANY FOREIGN OR DOMESTIC ORGANIZATION, ASSOCIATION, MOVEMENT, GROUP, OR COMBINATION OF PERSONS WHICH IS TOTALITARIAN, FASCIST, COMMUNIST, OR SUBVERSIVE, OR WHICH HAS ADOPTED, OR SHOWS, A POLICY OF ADVOCATING OR APPROVING THE COMMISSION OF ACTS OF FORCE OR VIOLENCE TO DENY OTHER PERSONS THEIR RIGHTS UNDER THE CONSTITUTION OF THE UNITED STATES, OR WHICH SEEKS TO ALTER THE FORM OF GOVERNMENT OF THE UNITED STATES BY UNCONSTITUTIONAL MEANS? ☐ YES ☐ NO.

23. IF YOUR ANSWER TO QUESTION 21 OR 22 ABOVE IS "YES," STATE THE NAMES OF ALL SUCH ORGANIZATIONS, ASSOCIATIONS, MOVEMENTS, GROUPS, OR COMBINATIONS OF PERSONS AND DATES OF MEMBERSHIP. IN ITEM 28 OR ON A SEPARATE SHEET TO BE ATTACHED TO AND MADE A PART OF THIS FORM, GIVE COMPLETE DETAILS OF YOUR ACTIVITIES THEREIN AND MAKE ANY EXPLANATION YOU DESIRE REGARDING YOUR MEMBERSHIP OR ACTIVITIES.

NAME IN FULL

ADDRESS

FROM

TO

OFFICE HELD

24. MEMBERSHIP IN OTHER ORGANIZATIONS. (List all organizations in which you are now a member or have been a member, except those which show religious or political affiliations.) (If none, so state.)

NAME IN FULL

ADDRESS

TYPE

FROM

TO

OFFICE HELD

(See Supplemental Sheets # 2 and 2(a))

25. RELATIVES. (Parents, spouse, divorced spouse, children, brothers, and sisters, living or dead. Name of spouse should include maiden name and any other names by previous marriage. If person is dead, state "dead" after relationship and furnish information for other columns as of time of death.)

RELATION

NAME IN FULL

YEAR OF BIRTH

ADDRESS

COUNTRY OF BIRTH

PRESENT CITIZENSHIP

(see attached sheet for new addresses and dates and places of birth)
Supplemental Sheet #1

also see additional SF-86

26a. REFERENCES. (Name three persons, not relatives or employers, who are aware of your qualifications and fitness.)

NAME IN FULL	HOME ADDRESS	BUSINESS ADDRESS	YEARS KNOWN
Joyce Keith	3169 Fairbury Lane, Fairfax, VA (703/273-2886)	MSPB, 1120 Vt. Ave., N.W., #826 Washington, D.C.	WFO 4 + (202/653-7114)
Verne Speirs	1421 Montague Dr., Vienna, VA (703/759-6576)	(same for all 3)	13 + (202/653-7115)
Richard Redenius	1025 Union Church Rd., McLean, VA (703/759-2713)		3 + (202/653-6842)

26b. CLOSE PERSONAL ASSOCIATES. (Name three persons, such as friends, schoolmates or colleagues, who know you well.)

NAME IN FULL	HOME ADDRESS	BUSINESS ADDRESS	YEARS KNOWN
MGen. Thomas K. Turnage	4100 Cathedral Ave., NW, #718 Washington, D.C. 20016	1023 31st St., NW Washington, DC 20435	WFO 16 (202/724-0447)
Col. Frank Salcedo	2834 Kelly Sq., Vienna, VA 22180 (703/255-9566)	1010 Wisc. Ave., NW, #330 Washington, DC 20007	16 (202/333-1080)
Dr. Vernon L. Grose	1101 S. Arlington Ridge Rd. #1117 Arlington, VA 22202	same	15 (703/685-0636)

27. TO YOUR KNOWLEDGE, HAVE YOU EVER BEEN THE SUBJECT OF A FULL FIELD OR BACKGROUND PERSONAL INVESTIGATION BY ANY AGENCY OF THE FEDERAL GOVERNMENT? ☒ YES ☐ NO. (If your answer is "Yes," show in item 28, (1) the name of the investigating agency (2) the approximate date of investigation, and (3) the level of security clearance granted, if known.)

28. SPACE FOR CONTINUING ANSWERS TO OTHER QUESTIONS. (Show item numbers to which answers apply. Attach a separate sheet if there is not enough space here.)

Item #27

FBI, 1981, equivalent to TOP SECRET (on a need to know basis). WFO

Items 20 and 25 on attached sheet -- Supplemental Sheet #1
Item 24 on Supplemental Sheets 2 and 2(a)

29. REPORT OF INFORMATION DEVELOPED. (This space reserved for FBI use.)

DATE:

Before signing this form check back over it to make sure you have answered all questions fully and correctly.

CERTIFICATION

I CERTIFY that the statements made by me on this form are true, complete, and correct to the best of my knowledge and belief, and are made in good faith.

False statement on this form
is punishable by law.

6/20/85
(DATE)

Walter E. Ellingwood
(SIGNATURE—Sign original and first carbon copy)

INFORMATION TO BE FURNISHED BY AGENCY

INSTRUCTIONS TO AGENCY: See Federal Personnel Manual Chapter 736 and FPM Supplement 296-31, Appendix A, for details on when this form is required and how it is used. If this is a request for investigation before appointment, insert "APPL" in the space for Date of Appointment and show information about the proposed appointment in the other spaces for appointment data. The original and the first carbon copy should be signed by the applicant or appointee. Submit the original and the unsigned carbon copy of the form, Standard Form 87 (Fingerprint Chart), and any investigative information about the person received on voucher forms or otherwise, to the United States Civil Service Commission, Bureau of Personnel Investigations, Washington, D.C., 20415. If this is a request for full field security investigation, submit these forms to the attention of the Division of Reimbursable Investigations; if this is a request for preappointment national agency checks, submit these forms to the attention of the Control Section.

RETAIN THE CARBON COPY OF STANDARD FORM 86 (SIGNED BY THE APPLICANT OR APPOINTEE) FOR YOUR FILES

DATE OF APPOINTMENT	TYPE OF APPOINTMENT <input type="checkbox"/> EXCEPTED <input type="checkbox"/> COMPETITIVE (Include indefinite and temporary types of competitive appointments.)	CIVIL SERVICE REGULATION NUMBER OR OTHER APPOINTMENT AUTHORITY	TITLE OF POSITION AND GRADE OR SALARY
DEPARTMENT OR AGENCY	DUTY STATION	SEND RESULTS OF PREAPPOINTMENT CHECK TO:	
THIS IS A SENSITIVE POSITION			
(SIGNATURE AND TITLE OF AUTHORIZED AGENCY OFFICIAL)			

Standard Form 86
AUGUST 1964
U.S. CIVIL SERVICE COMMISSION
(F.P.M. CHAPTER 734)
56-107

SECURITY INVESTIGATION DATA FOR SENSITIVE POSITION

CASE SERIAL NO. (CSC use only)

INSTRUCTIONS.—Prepare in triplicate, using a typewriter. Fill in all items. If the answer is "No" or "None," so state. If more space is needed for any item, continue under item 28.

1. FULL NAME <i>(Initials and abridgements of full name are not acceptable. If no middle name, show "(NMN)"; if initials only, show "(no given or middle name)"</i>	(LAST NAME) Ellingwood	(FIRST NAME) Herbert	(MIDDLE NAME) Eugene	2. DATE OF BIRTH March 5, 1931
	OTHER NAMES USED. <i>(Maiden name, names by former marriages, former names changed legally or otherwise, aliases, nicknames, etc. Specify which, and show dates used.)</i> None			3. PLACE OF BIRTH Ordway, Colorado
				4. <input checked="" type="checkbox"/> MALE <input type="checkbox"/> FEMALE
5. HEIGHT 5'10"		WEIGHT 180	COLOR EYES Blue	COLOR HAIR Blond
6. <input type="checkbox"/> SINGLE <input checked="" type="checkbox"/> MARRIED <input type="checkbox"/> WIDOW(ER) <input type="checkbox"/> DIVORCED				
7. IF MARRIED, WIDOWED, OR DIVORCED, GIVE FULL NAME AND DATE AND PLACE OF BIRTH OF SPOUSE OR FORMER SPOUSE. INCLUDE WIFE'S MAIDEN NAME. GIVE DATE AND PLACE OF MARRIAGE OR DIVORCE. <i>(Give same information regarding all previous marriages and divorces.)</i> Audrey Alice Kesler; Cass, West Virginia; August 19, 1932; married April 13, 1957, Baltimore, Maryland				
8. DATES AND PLACES OF RESIDENCE. <i>(If actual places of residence differ from the mailing addresses, furnish and identify both. Begin with present and go back to January 1, 1937. Continue under item 28 on other side if necessary.)</i>				
FROM	TO	NUMBER AND STREET	CITY	STATE
8/79	Present	3523 Buckskin Court	Rocklin	California
4/74	8/79	7595 Auburn Folsom Road	Loomis	California
9/73	3/74	235 Macario Ct, #3	Roseville	California
6/73	9/73	2751 Paseo Rio Way	Sacramento	California
6/67	5/73	5401 Shelley Way	Carmichael	California
1/67	6/67	Various Apartments	Sacramento	California
1961	1966	18349 Almond Road	Castro Valley	California
1957	1960	41241 Roberts Avenue	Fremont	California
1942	1957	2 Maher Road	Watsonville	California
1937	1942	101 Highway	Aromas	California

9. <input checked="" type="checkbox"/> U.S. CITIZEN	<input checked="" type="checkbox"/> BY BIRTH	<input type="checkbox"/> NATURALIZED	ALIEN REGISTRATION NO.	DATE, PLACE, AND COURT
	CERT. NO.		PETITION NO.	
<input type="checkbox"/> ALIEN	<input type="checkbox"/> DERIVED-PARENTS CERT. NO(S).			
	REGISTRATION NO.	NATIVE COUNTRY	DATE AND PORT OF ENTRY	

10. EDUCATION. <i>(All schools above elementary.)</i>				
NAME OF SCHOOL	ADDRESS	FROM (Year)	TO (Year)	DEGREES
Salinas Union High School	Salinas, Calif.	1945	1949	Diploma
Yale University	New Haven, Conn.	1949	1953	BA
Stanford Law School	Stanford, CA	1957	1960	LLB

11. THIS SPACE FOR FBI USE. <i>(See also item 29.)</i>	12. SOCIAL SECURITY NUMBER 726 09 4567			
	13. MILITARY SERVICE <i>(Past or present)</i>			
	SERIAL NO. <i>(If none, give grade or rating at separation)</i>	BRANCH OF SERVICE <i>(Army, Navy, Air Force, etc.)</i>	FROM (Yr.)	TO (Yr.)
	First Lt.	Army	1953	1956

14. HAVE YOU EVER BEEN DISCHARGED FROM THE ARMED FORCES UNDER OTHER THAN HONORABLE CONDITIONS? ☐ YES ☒ NO
(If answer is "Yes," give details in item 28.)

15. EMPLOYMENT. (List ALL employment dates starting with your present employment. Give both month and year for all dates. Show ALL dates and addresses when unemployed. Give name under which employed if different from name now used.)

FROM	TO	NAME OF EMPLOYER (Firm or agency) AND SUPERVISOR (Full name, if known)	ADDRESS (Where employed)	TYPE OF WORK	REASON FOR LEAVING
7/1979	Present	Caldwell & Toms	812 J Street Sacramento, CA	Attorney	Appointment
1/1975	6/79	California Attorney General	555 Capitol Mall Sacramento, CA	Attorney	Private Practice
2/69	12/74	California Governor	State Capitol Sacramento, CA	Attorney	Elections
11/66	1/69	State Bar of California	455 Capitol Mall Sacramento	Legislative Representative	To Governor's Office
6/60	10/66	District Attorney Alameda County	Courthouse, Oakland, CA	Attorney	To State Bar

16. HAVE YOU EVER BEEN DISCHARGED (FIRED) FROM EMPLOYMENT FOR ANY REASON? ☐ YES ☒ NO.

17. HAVE YOU EVER RESIGNED (QUIT) AFTER BEING INFORMED THAT YOUR EMPLOYER INTENDED TO DISCHARGE (FIRE) YOU FOR ANY REASON? ☐ YES ☒ NO.
(If your answer to 16 or 17 above is "Yes" give details in item 28. Show the name and address of employer, approximate date, and reasons in each case. This information should agree with the statements made in item 15—EMPLOYMENT.)

18. HAVE YOU EVER BEEN ARRESTED, TAKEN INTO CUSTODY, HELD FOR INVESTIGATION OR QUESTIONING, OR CHARGED BY ANY LAW ENFORCEMENT AUTHORITY?
(You may omit: (1) Traffic violations for which you paid a fine of \$30 or less; and (2) anything that happened before your 16th birthday. All other incidents must be included, even though they were dismissed or you merely forfeited collateral.) ☐ YES ☒ NO.

IF YOUR ANSWER IS "YES," GIVE FULL DETAILS BELOW:

DATE	CHARGE	PL	E	LAW ENFORCEMENT AUTHORITY	ACTION TAKEN
------	--------	----	---	------------------------------	--------------

19. HAVE YOU EVER HAD A NERVOUS BREAKDOWN OR HAVE YOU EVER HAD MEDICAL TREATMENT FOR A MENTAL CONDITION? ☐ YES ☒ NO.
(If your answer is "Yes," give details in item 28.)

20. FOREIGN COUNTRIES VISITED (SINCE 1930). (Exclusive of military service.)

COUNTRY

DATE LEFT U.S.A.

DATE RETURNED U.S.A.

PURPOSE

See attachment A

21. ARE YOU NOW, OR HAVE YOU EVER BEEN, A MEMBER OF THE COMMUNIST PARTY, U.S.A., OR ANY COMMUNIST OR FASCIST ORGANIZATION? ☐ YES ☒ NO.

22. ARE YOU NOW OR HAVE YOU EVER BEEN A MEMBER OF ANY FOREIGN OR DOMESTIC ORGANIZATION, ASSOCIATION, MOVEMENT, GROUP, OR COMBINATION OF PERSONS WHICH IS TOTALITARIAN, FASCIST, COMMUNIST, OR SUBVERSIVE, OR WHICH HAS ADOPTED, OR SHOWS, A POLICY OF ADVOCATING OR APPROVING THE COMMISSION OF ACTS OF FORCE OR VIOLENCE TO DENY OTHER PERSONS THEIR RIGHTS UNDER THE CONSTITUTION OF THE UNITED STATES, OR WHICH SEEKS TO ALTER THE FORM OF GOVERNMENT OF THE UNITED STATES BY UNCONSTITUTIONAL MEANS? ☐ YES ☒ NO.

23. IF YOUR ANSWER TO QUESTION 21 OR 22 ABOVE IS "YES," STATE THE NAMES OF ALL SUCH ORGANIZATIONS, ASSOCIATIONS, MOVEMENTS, GROUPS, OR COMBINATIONS OF PERSONS AND DATES OF MEMBERSHIP. IN ITEM 28 OR ON A SEPARATE SHEET TO BE ATTACHED TO AND MADE A PART OF THIS FORM, GIVE COMPLETE DETAILS OF YOUR ACTIVITIES THEREIN AND MAKE ANY EXPLANATION YOU DESIRE REGARDING YOUR MEMBERSHIP OR ACTIVITIES.

NAME IN FULL

ADDRESS

FROM

TO

OFFICE HELD

None

24. MEMBERSHIP IN OTHER ORGANIZATIONS. (List all organizations in which you are now a member or have been a member, except those which show religious or political affiliations.) (If none, so state.)

NAME IN FULL

ADDRESS

TYPE

FROM

TO

OFFICE HELD

See attachment B

25. RELATIVES. (Parents, spouse, divorced spouse, children, brothers, and sisters, living or dead. Name of spouse should include maiden name and any other names by previous marriage. If person is dead, state "dead" after relationship and furnish information for other columns as of time of death.)

RELATION

NAME IN FULL

YEAR OF BIRTH

ADDRESS

COUNTRY OF BIRTH

PRESENT CITIZENSHIP

X Father

Harry Clifford Ellingwood 1900

Deceased

U. S.

Yes

SF Mother

Edith Arlene Ellingwood 1900

1763 GreenValleyRD
Watsonville, CA

U. S.

Yes

AX/RH Wife

Audrey Alice Kesler 1932

3523 Buckskin Ct
Rocklin, CA

U. S.

Yes

LA Son

Edwin Bruce Ellingwood 1963

3523 Buckskin Ct
Rocklin, CA

U. S.

Yes

AX/RH Son

Thomas Barry Ellingwood 1967

3523 Buckskin Ct
Rocklin, CA

U. S.

Yes

Sister

Juanita Ellingwood 1924

Deceased

U. S.

Yes

SF Sister

Pauline Nelson 1926

792 Echo Valley Rd
Salinas, CA

U. S.

Yes

SF Sister

Mildred Chapman 1928

1763 GreenValleyRd
Watsonville, CA

U. S.

Yes

SF Brother

Al Ellingwood 1935

1000 Highway 101
Aromas, CA

U. S.

Yes

Brother Wayne Ellingwood 1938 P.O. Box 505, Roodepoort Tvl

U. S.

Yes

26a REFERENCES (Name three persons, not relatives or employers, who are aware of your qualifications and fitness.)

NAME IN FULL	HOME ADDRESS	BUSINESS ADDRESS	YEARS KNOWN
Rev. Orville Easterly	1041 Audrey Way, Roseville	202 Bonita Ave. Roseville, CA	7
Walter Hanson	4633 Whitney Avenue, Sacramento, CA		20
Steven Merksamer	4700 Olive Oak Way, Carmichael	555 Capitol Mall	15

26b. CLOSE PERSONAL ASSOCIATES. (Name three persons, such as friends, schoolmates or colleagues, who know you well.)

NAME IN FULL	HOME ADDRESS	BUSINESS ADDRESS	YEARS KNOWN
Peter DeMauro	142 Gold Oak Circle, Folsom, CA	555 Capitol Mall Sacramento	6
Flo Snyder	326 Rio Grande, Sacramento, CA	555 Capitol Mall Sacramento	6
Kay Eldridge	3790 Oakdale Ct, Rocklin, CA	812 J Street, Sacramento	12

27. TO YOUR KNOWLEDGE, HAVE YOU EVER BEEN THE SUBJECT OF A FULL FIELD OR BACKGROUND PERSONAL INVESTIGATION BY ANY AGENCY OF THE FEDERAL GOVERNMENT? ☒ YES ☐ NO. (If your answer is "Yes," show in item 28, (1) the name of the investigating agency (2) the approximate date of investigation, and (3) the level of security clearance granted, if known.)

28. SPACE FOR CONTINUING ANSWERS TO OTHER QUESTIONS. (Show item numbers to which answers apply. Attach a separate sheet if there is not enough space here.)

#27 - Counter Intelligence, 1954; top secret

29. REPORT OF INFORMATION DEVELOPED. (This space reserved for FBI use.)

DATE:

Before signing this form check back over it to make sure you have answered all questions fully and correctly.

CERTIFICATION

I CERTIFY that the statements made by me on this form are true, complete, and correct to the best of my knowledge and belief, and are made in good faith.

False statement on this form
is punishable by law.

(DATE)

(SIGNATURE—Sign original and first carbon copy)

INFORMATION TO BE FURNISHED BY AGENCY

INSTRUCTIONS TO AGENCY: See Federal Personnel Manual Chapter 736 and FPM Supplement 296-31, Appendix A, for details on when this form is required and how it is used. If this is a request for investigation before appointment, insert "APPL" in the space for Date of Appointment and show information about the proposed appointment in the other spaces for appointment data. The original and the first carbon copy should be signed by the applicant or appointee. Submit the original and the unsigned carbon copy of the form, Standard Form 87 (Fingerprint Chart), and any investigative information about the person received on voucher forms or otherwise, to the United States Civil Service Commission, Bureau of Personnel Investigations, Washington, D.C., 20415. If this is a request for full field security investigation, submit these forms to the attention of the Division of Reimbursable Investigations; if this is a request for preappointment national agency checks, submit these forms to the attention of the Control Section.

RETAIN THE CARBON COPY OF STANDARD FORM 86 (SIGNED BY THE APPLICANT OR APPOINTEE) FOR YOUR FILES

DATE OF APPOINTMENT	TYPE OF APPOINTMENT <input type="checkbox"/> EXCEPTED <input type="checkbox"/> COMPETITIVE (Include indefinite and temporary types of competitive appointments.)	CIVIL SERVICE REGULATION NUMBER OR OTHER APPOINTMENT AUTHORITY	TITLE OF POSITION AND GRADE OR SALARY
DEPARTMENT OR AGENCY	DUTY STATION	SEND RESULTS OF PREAPPOINTMENT CHECK TO:	
THIS IS A SENSITIVE POSITION			
(SIGNATURE AND TITLE OF AUTHORIZED AGENCY OFFICIAL)			

-8-

SUPPLEMENT TO SF-86
(Attach additional pages if necessary)

1. Please furnish the names and addresses of all corporations, firms or other business enterprises, partnerships, nonprofit organizations, and educational or other institutions with which you are presently associated or have been associated during the past five years either as officer, director, trustee, sole owner, partner or stockholder with controlling interest.

(See Supplemental Sheets #2 and 2(a))

2. Have you ever been a candidate for Federal, state or local elected office, or a treasurer or other officer of a political committee? Were there any complaints lodged with the Federal Election Commission or state or local election authorities against you or your political committee? If so, please explain.

Yes - Placer County Republican Central Committee, California - 1978
No complaints were ever lodged against me.

3. Do you maintain any residence other than your permanent residence; e.g., vacation home? If so, please furnish address.

My legal residence is: 5629 Maple Ridge Court
Rocklin, California 95677

SC

4. Have you ever been disciplined or cited for a breach of ethics or unprofessional conduct by, or been the subject of a complaint to, any court, administrative agency, professional association, disciplinary committee, or other professional group? If so, please give full details.

None except in the course of regular governmental business wherein complaints have been made or suits filed, of which none have resulted in any actions against me. Congresswoman Patricia Schroeder (Colorado) chairs the Subcommittee overseeing MSPB and has initiated inquiries into my personal and activities. (See attached GAO letter dated 6/6/84 and questions for confirmation to my present position.) (over) governmental responses to the

5. To your knowledge have your activities or those of any of your business enterprises, or associates in those enterprises, ever been the subject of criminal investigation, or a civil enforcement investigation or proceeding? If so, please give full details.

None

6. Have you every been a party in any civil court action? If so, please give full details.

None except as in answer to question 4, this document.

I understand that the information being provided on this supplement to the SF-86 is to be considered part of the original SF-86 dated 6/20/85 and a false statement on this form is punishable by law.

Signed Walter E. Ellingwood

SUPPLEMENTAL SHEET # 1

Item #20

<u>COUNTRY</u>	<u>DATES</u>	<u>PURPOSE</u>
China	Oct. 31 - Nov. 15, 1982 Feb. 12 - 13, 1984	Tourist "
Costa Rica	Feb. 22 - 24, 1984	"
Hong Kong	Nov. 10 - 15, 1981 Nov. 15 - 17, 1982 Feb. 11 - 13, 1984 Mar. 14 - 16, 1985	" " " "
Indonesia	Feb. 16 - 18, 1984	"
Japan	Nov. 15 - 17, 1981	"
Korea	Nov. 6 - 10, 1981	"
Macau	Feb. 13, 1984	"
Singapore	Feb. 14 - 16, 1984	"
Taiwan	Feb. 9 - 11, 1984 Feb. 18 - 19, 1984 Mar. 10 - 14, 1985	" " "

Item #25

	<u>City & State of Birth</u>
X Father - Harry Clifford Ellingwood - July 29, 1900	Albia, Iowa
SF Mother - Edith Arleene Ellingwood - March 12, 1900 -	Ames, Kansas
Wife - Audrey Alice Kesler - Aug. 19, 1932 (new address: 6607 Briar Hill Court McLean, VA 22101) AX/RH	Cass, W.Va.
Son - Edwin Bruce Ellingwood - Nov. 17, 1963 (new address: 6242 Green Tavern Lane Yorba Linda, CA 92686) LA	Castro Valley, Calif.
Son - Thomas Barry Ellingwood - Mar. 18, 1967 (new address: 6607 Briar Hill Court McLean, VA 22101) AX/RH	Castro Valley, Calif.
X Sister - Juanita Ellingwood - July 19, 1924	LaJunta, Colorado
Sister - Pauline Nelson - Sept. 13, 1926 SF	" "
✓ Sister - Mildred Chapman - Sept. 29, 1928 (new address: 1355 Independence Sq. Watsonville, CA 75076) SF	Ordway, Colorado
✓ Brother - Al Ellingwood - Sept. 15, 1934 SF	Aromas, CA
✓ Brother - Wayne Ellingwood - May 19, 1938 (new address: 2862 Cottonwood Orange, CA 92665) LA	" "

SUPPLEMENTAL SHEET # 2

Question 1, Supplement to SF-86

In addition to those affiliations listed in Question 24 of Form 86,
dated 3/11/81:

Foundation For Christian Youth Leadership (Board Member)
15816 Lazy Day Lane, Dumfries, VA 22026

Monte Vista Christian High School (Board Member)
2 School Way, Watsonville, CA 95076

CBN University (Regent)
Virginia Beach, VA 23463

Strawberries & Stuff (President)
5629 Maple Ridge Court, Rocklin, CA 95677

SC

Laws at Work (former Vice President)
5670 Wilshire Boulevard, Suite 2130, Los Angeles, CA 90036

Religious Heritage of America (Board Member)
7900 Jerome Avenue, St. Louis, Missouri 63143

Greater Washington Billy Graham Crusade (Secretary)
P.O. Box 39099, Washington, D.C. 20016

Here's Life Washington (Board Member)
3030 N. Fairfax Drive, Suite 314, Arlington, VA 22201

Full Gospel Businessmen's Fellowship International (Director)
3150 Bear Street, Costa Mesa, CA 92626

Caldwell & Toms (law firm -- former partner)
700 S. Flower Street, 15th Floor, Los Angeles, CA 90017

S.K. Sung, Inc. (former officer)
P.O. Box 24466, San Jose, CA 95154

SF

Nellie Gail #1 Associates (former partner)
24882 Buckboard, Laguna Hills, CA 92653

Giebel Petroleum and Investments (sometimes this is listed as a
partnership, but I am a very minor investor)
1 Marienfeld Place, Midland, Texas 79701

Shelbourne Estates Homeowners Association (former officer)
7575 Auburn-Folsom Road, Loomis, CA 95650

Sunrise Christian Schools (former trustee)
202 Bonita Avenue, Roseville, CA 95678

QUESTION 20:

Foreign Countries Visited	Dates	Purpose
Canada	1953, 1957, 1976	Tourist
Mexico - Many times to Tijuana; latest in 1978		
England, Scotland, Ireland, France, West Germany, Switzerland, Lichenstein, Belgium, Luxemburg, Netherlands, Sweden, Spain, Monte Carlo, Italy, Austria, Saar	1955, 1956	Tourist While in Military
Taiwan	4/2/79 - 4/9/79 7/12/79 - 7/22/79 3/1/80 - 3/6/80 11/30/80 - 12/3/80 2/28/81 - 3/5/81	4/13/79 7/22/79 3/7/80 12/3/80 3/9/81 Tourist
Philippines	4/11/79 - 4/13/79 2/28/80 - 3/1/80 6/7/80 - 6/8/80 3/5/81 - 3/6/81	4/13/79 3/7/80 6/8/80 3/9/81 Tourist
HongKong	4/9/79 - 4/11/79 11/6/79 - 11/7/79 3/6/80 - 3/7/80 6/19/80 - 6/21/80	4/13/79 11/7/79 3/7/80 6/21/80 Tourist
Korea	10/25/79 - 10/28/79	Tourist
China	October/November 1979 6/8/80 - 6/19/80	11/7/79 6/21/80 Tourist
Singapore	3/6/81 - 3/9/81	3/9/81 Tourist

Question 24:


Membership in Other Organizations:

	Type	From - To	Office Held
State Bar of California 555 Franklin St., San Francisco	Professional	1960 to Present	Various Offices
American Bar Association 1800 M Street, N.W. Washington DC	"	1960 to Present	"
Sacramento County Bar Association 901 H Street, Sacramento, CA	"	1967 to Present	None
Placer County Bar Assn. 730 Sunrise Avenue, Roseville	"	1974 to Present	None
Christian Legal Society P. O. Box 2069, Oak Park, Ill.	"	1967 to Present	National President
Chabot College 2555 Hesperian Blvd., Hayward, CA	Education	Circa 1965	Instructor
Calif. Specialized Training Institute	Education	1972-1974	Member, Academic Bd
Oakland Junior Chamber of Commerce Oakland, CA	Civic	1960-1967	Various Committees
Alpha Foundation 22556 Gilmore St., Canoga Park, CA	Education	1972 - Present	Director
Institute of Continuing Studies, California State University Sacramento, CA	"	1976 - Present	Member, Advisory B
California Junior Statesmen of America Foundation 480 California Ave., Palo Alto CA	Education	1964 - Present	Various Offices

SUPPLEMENTAL SHEET # 2(a)

Continuation of Question 1, Supplement to SF-86

I am no longer a member of the following organizations listed in the above dated Form 86:

 Sacramento County Bar Association
Sacramento, CA 95814

Placer County Bar Association
Roseville, CA 95678

Alpha Foundation
1101 S. Arlington Ridge Road, Suite 1117
Arlington, VA 22202

Institute of Continuing Studies, California State
University at Sacramento
Sacramento, CA 95825

I have not been an officer of the Christian Legal Society (P.O. Box 1492, Springfield, VA 22151) within the last 5 years, and am no longer an officer of Laws at Work (address previously listed).

The California Peace Officers' Association should have been listed in 1981; I am still a member (not an officer) of the organization. (12th & L, Sacramento, CA 95814)

AUTHORITY TO RELEASE INFORMATION

TO WHOM IT MAY CONCERN:

I hereby authorize any Special Agent or other authorized representative of the Federal Bureau of Investigation bearing this release, or copy thereof, within one year of its date, to obtain any information in your files pertaining to my educational records including, but not limited to, academic, achievement, attendance, athletic, personal history, and disciplinary records; employment records; law enforcement records (including, but not limited to any record of charge, prosecution, or conviction for criminal or civil offenses); and credit records, including credit card and payment device numbers. I hereby direct you to release such information upon request of the bearer. This release is executed with full knowledge and understanding that the information is for the official use of the Federal Bureau of Investigation. Consent is granted for the FBI to furnish such information, as is described above, to third parties in the course of fulfilling its official responsibilities. I hereby release you, as the custodian of such records, and any school, college, university, or other educational institution, credit bureau or consumer reporting agency, retail business establishment, law enforcement agency, or criminal justice agency, including its officers, employees, or related personnel, both individually and collectively, from any and all liability for damages of whatever kind, which may at any time result to me, my heirs, family or associates because of compliance with this authorization and request to release information, or any attempt to comply with it. Should there be any question as to the validity of this release, you may contact me as indicated below:

Full Name:

Herbert E. Ellingwood
Signature

Full Name:

HERBERT EUGENE ELLINGWOOD

Type or Print Name

Parent or Guardian
(if required):

Date:

June 20, 1985

Current
Address:

6607 Briar Hill Court

McLean, VA 22101

Telephone
Number:

703/734-6931

TO: OFFICE SERVICES MANAGER

Subject

Date

Social Security Account #

Aliases

ALL INFORMATION CONTAINED

HEREIN IS UNCLASSIFIED

DATE 05-29-2007 BY AUC 60324/BAW/STP/cld

Address

Birth Date

Birthplace

Race

Sex

☐ Male

☐ Female

☐ Exact Spelling

☐ All References

☐ Main Security Case Files Only

☐ Security References Only

☐ Main Criminal Case Files Only

☐ Criminal References Only

☐ Main Security (If no Main, list all Security References)

☐ Main Criminal (If no Main, list all Criminal References)

☐ Restrict Locality of

File & Serial Number	Remarks	File & Serial Number	Remarks
Herbert E. Ellingwood 92-41-3214 p2		(8/77) file out route 7/3	
100-64351-9 p2		(2/70) I	
Herbert Eugene Ellingwood 1113-3605*		(3/81) file out route 7/3	
Herbert E. Ellingwood 100-68932-1816 p2		(9/73) Name not mentioned	
Pauline Nelson 65-3193*		file out route 7/3	
Herbert E. Ellingwood 100-64351-9 p2		I	
Ellingwood was Legal Affairs Secretary to Governor Reagan (1/74) His testimony contains detailed information concerning all of the riots & other disorders which took place on the campus of			

Requested by

Squad

Extension

File No.

☐ General

Searched by

Date

☐ Confidential Indices:

Searched by

Date

☐ ELSUR Indices:

Searched by

Date

☐ ISIS:

Searched by

Date

☐ OCIS:

Searched by

Date

☐ IIS:

Searched by

Date

Consolidated by

Reviewed by

Date

7/3

Date

Symbols

I - Identical

NI - Not identical

? - Not identifiable

U - Unavailable reference

b6

b7C

Third Party

Date

TO: SUPPORT SERVICES SUPERVISOR

Subject

Social Security Account #

Aliases

Address

Birth Date

Birthplace

Race

Sex

☐ Male

☐ Female

☐

Exact Spelling

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Main Criminal Case Files Only

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Restrict to Locality of

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All References

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Criminal References Only

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Main Subversive Case Files Only

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Subversive References Only

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Main Criminal (If no Main, list all Criminal References)

File & Serial Number

Remarks

File & Serial Number

Remarks

*P² the UCB in Berkeley, Calif. concerning
Peoples Park & other demonstrations.
not derog.*

Requested by

Squad

Extension

File No.

Searched by

(date)

Consolidated by

(date)

Reviewed by

(date)

File Review Symbols

I - Identical
NI - Not identical

? - Not identifiable
U - Unavailable reference

6

7/8
KAM



473

TRANSMIT VIA: Airtel

CLASSIFICATION:

DATE: July 1, 1985

FROM: Director, FBI (161-15392)

TO: SACS, Alexandria (161B-7785) - Enc. (2)
Los Angeles - Enc. (2)
Pittsburgh - Enc. (2)
Richmond - Enc. (2)
Sacramento (161B-785) - Enc. (2)
San Francisco (161B-3605) - Enc. (2)

HERBERT EUGENE ELLINGWOOD
SPECIAL INQUIRY
BUDED: 7/10/85 (B)

200F-160

Durp T715

Rebutel 6/29/85.

Enclosed are background data and release received with
request for investigation.

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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 05-29-2007 BY AUC 60324/BAW/STP/cld

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PP AX LA PG RH SC SF WF

DE HQ #0094 1811145

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P 292131Z JUN 85

FM DIRECTOR FBI (161-15392)

TO FBI WASHINGTON FIELD (161-14852) PRIORITY

FBU ALEXANDRIA (161B-7785) PRIORITY

FBU LOS ANGELES PRIORITY

FBI PITTSBURGH PRIORITY

FBI RICHMOND PRIORITY

FBI SACRAMENTO (161B-785) PRIORITY

FBI SAN FRANCISCO (161B-3605) PRIORITY

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SECTION 2 OF 2

ENCLOSURE FOR WFO FORWARDED BSM.

HERBERT EUGENE ELLINGWOOD, SPECIAL INQUIRY, BUDED: 7/10/85

WITHOUT FAIL. (B)

SACRAMENTO VERIFY APPOINTEE'S POSITION AT STRAWBERRIES AND
STUFF, ROCKLIN, CALIFORNIA, AND DETERMINE NATURE OF BUSINESS.

ALSO VERIFY LEGAL RESIDENCE IN ROCKLIN, CALIFORNIA, AND CONDUCT

note: cc's sent 7/2/85 kam
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1- [redacted] - info
1- [redacted] - info

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FBI - SAN FRANCISCO	
[redacted]	
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161B-3605-11

DAPPROPRIATE CREDIT AND ARREST CHECKS.

WASHINGTON FIELD, AS PRINCIPLE OFFICE, INSURE AT LEAST 25 PERSONS KNOWLEDGEABLE CONCERNING APPOINTEE ARE INTERVIEWED.

INVESTIGATION SHOULD RECEIVED PRIORITY ATTENTION AND RECEIVING OFFICES SHOULD TELEPHONICALLY ADVISE FBUHQ OF ANY DEROGATORY INFORMATION DEVELOPED.

SF 86 AND RECORDS RELEASE FOLLOW BY AIRTEL.

DUE TO URGENT NATURE OF REQUEST IT IS IMPERATIVE BUDED BE MET WITHOUT FAIL.

SPIN

BT

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Sgd #6

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 05-29-2007 BY AUC 60324/BAW/STP/cld

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DE HQ #0093 1811315

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P 292131Z JUN 85

FM DIRECTOR FBI (161-15392)

TO FBI WASHINGTON FIELD (161-14852) PRIORITY

FBI ALEXANDRIA (161B-7785) PRIORITY

FBI LOS ANGELES PRIORITY

FBI PITTSBURGH PRIORITY

FBI RICHMOND PRIORITY

FBI SACRAMENTO (161B-785) PRIORITY

FBI SAN FRANCISCO (161B-3605) PRIORITY

BT

UNCLAS

SECTION 1 OF 2

ENCLOSURE FOR WFO FORWARDED BSM.

HERBERT EUGENE ELLINGWOOD, SPECIAL INQUIRY, BUDED: 7/10/85
WITHOUT FAIL. (B)

THE BUREAU HAS BEEN REQUESTED TO CONDUCT AN EXPEDITE
UPDATE INVESTIGATION OF ELLINGWOOD FOR PRESIDENTIAL APPOINTMENT
AS ASSISTANT ATTORNEY GENERAL FOR THE OFFICE OF LEGAL POLICY,

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1 - [] - crim

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FBI - SAN FRANCISCO	

161B-3605-12

PAGE TWO DE HQ 0093 UNCLAS

DEPARTMENT OF JUSTICE, WASHINGTON, D. C., WHICH REQUIRES SENATE CONFIRMATION. HANDLE THIS INVESTIGATION AS A SPIN BUT FOLLOW DAPLI REPORTING RULES AND SUBMIT A REPORT TO FBIHQ BY BUDED FOR FORWARDING TO THE WHITE HOUSE.

ALL OFFICES: 161 INVESTIGATION CONDUCTED APRIL, 1981;
UPDATE FROM THAT POINT.

INTERVIEW PRESIDENTS OF LOCAL BARS, ATTORNEYS WHO HAVE OPPOSED APPLICANT, ATTORNEYS, MINORITY AND ETHNIC REPRESENTATIVES, AND APPROPRIATE LAW ENFORCEMENT OFFICIALS, AS WELL AS FEDERAL, STATE AND LOCAL JUDGES. IF APPLICANT HAD HISTORY OF FINANCIAL TROUBLE, ASSURE THAT APPROPRIATE RECORDS ARE CHECKED FOR LOCAL AND STATE TAX LIENS OUTSTANDING AGAINST HIM. ALSO, IF APPOINTEE OWNS REAL PROPERTY, DETERMINE WHETHER PROPERTY ENCUMBERED WITH ANY COVENANTS PERTAINING TO RACE, CREED, COLOR, OR RELIGION THROUGH A REVIEW OF THE COUNTY RECORDED OF DEEDS RECORDS.

WHERE APPROPRIATE, VERIFY S ADMISSION TO STATE AND LOCAL BARS, AND CHECK GRIEVANCE COMMITTEE RECORDS. ALSO CHECK NEWSPAPER MORGUES FOR ANY PERTINENT INFORMATION.

APPOINTEE WILL BE REQUIRED TO MAKE DECISIONS CONCERNING

PAGE THREE DE HQ 0093 UNCLAS

POLICY AND PERSONNEL MATTERS; THEREFORE, DURING INTERVIEWS, DETERMINE IF APPOINTEE HAS EXPRESSED OR MANIFESTED ANY BIAS OR PREJUDICE AGAINST ANY CLASS OF CITIZEN, OR ANY RELIGIOUS, RACIAL OR ETHNIC GROUP.

BUREAU DEADLINE AS SET FORTH ABOVE MEANS THE REPORT MUST BE RECEIVED AT THE BUREAU ON THAT DATE OR PRIOR THERETO.

BORN MARCH 5, 1931, IN ORDWAY, COLORADO, AND HAS SSAN 726-09-4567.

RESIDENCES: AUGUST, 1979, TO JULY, 1981, 3523 BUCKSKIN COURT, ROCKLIN, CALIFORNIA; JULY, 1981, TO THE PRESENT, 6607 BRIAR HILL COURT, MC LEAN, VIRGINIA; LEGAL RESIDENCE: 5629 MAPLE RIDGE COURT, ROCKLIN, CALIFORNIA.

EMPLOYMENT: MARCH, 1981, TO DECEMBER, 1981, THE WHITE HOUSE, DEPUTY COUNSEL TO THE PRESIDENT, FRED FIELDING (SUPERVISOR), OLD EXECUTIVE OFFICE BUILDING, WASHINGTON, D. C.; DECEMBER, 1981, TO THE PRESENT, MERIT SYSTEMS PROTECTION BOARD, 1120 VERMONT AVENUE, N. W., WASHINGTON, D. C., CHAIRMAN.

RELATIVES: FATHER (DECEASED), HARRY CLIFFORD ELLINGWOOD, BORN JULY 29, 1900, IN ALBIA, IOWA; MOTHER, EDITH ARLEENE ELLINGWOOD, BORN MARCH 12, 1900, IN AMES, KANSAS, RESIDES 1763

PAGE FOUR DE HQ 0093 UNCLAS

GREEN VALLEY ROAD, WATSONVILLE, CALIFORNIA; SPOUSE, AUDREY
ALICE KESLER, BORN AUGUST 19, 1932, IN CASS, WEST VIRGINIA,
RESIDES 6607 BRIAR HILL COURT, MC LEAN, VIRGINIA; SON, EDWIN
BRUCE ELLINGWOOD, BORN NOVEMBER 17, 1963, IN CASTRO VALLEY,
CALIFORNIA, RESIDES 6242 GREEN TAVERN LANE, YORBA LINDA,
CALIFORNIA; SON, THOMAS BARRY ELLINGWOOD, BORN MARCH 18, 1967,
IN CASTRO VALLEY, CALIFORNIA, RESIDES WITH APPOINTEE AND
SPOUSE; SISTER (DECEASED), JUANITA ELLINGWOOD, BORN JULY 19,
1924, IN LA JUNTA, COLORADO; SISTER, PAULINE NELSON, BORN
SEPTEMBER 13, 1926, IN LA JUNTA, COLORADO, RESIDES 792 ECHO
VALLEY ROAD, SALINAS, CALIFORNIA; SISTER, MILDRED CHAPMAN, BORN
SEPTEMBER 29, 1928, IN ORDWAY, COLORADO, RESIDES 1355
INDEPENDENCE SQUARE, WATSONVILLE, CALIFORNIA; BROTHER, AL
ELLINGWOOD, BORN SEPTEMBER 15, 1934, IN AROMAS, CALIFORNIA,
RESIDES 1000 HIGHWAY 101, AROMAS, CALIFORNIA; BROTHER, WAYNE
ELLINGWOOD, BORN MAY 19, 1938, IN AROMAS, CALIFORNIA, RESIDES
2862 COTTONWOOD, ORANGE, CALIFORNIA.

REFERENCES AND ASSOCIATES: JOYCE KEITH, MERIT SYSTEMS
PROTECTION BOARD (MSPB), 1120 VERMONT AVENUE, N. W., NO.
826, WASHINGTON, D. C., (202) 653-7114; VERNE SPEIRS, MSPB,

65-3193 NJ

PAGE FIVE DE HQ 0093 UNCLAS

(202)653-7115; RICHARD REDENIUS, MSPB, (202)653-6842; MAJOR GENERAL THOMAS K. TURNAGE, 1023 31ST STREET, N. W., WASHINGTON, D. C., (202)724-0447; COLONEL FRANK SALCEDO, 1010 WISCONSIN AVENUE, N. W., NO. 330, WASHINGTON, D. C., (202)333-1080; DR. VERNON L. GROSE, 1101 S. ARLINGTON RIDGE ROAD, NO. 1117, ARLINGTON, VIRGINIA, (703)685-0636.

CLEARANCES: FBU, 1981, EQUIVALENT TO TOP SECRET (ON A NEED TO KNOW BASIS).

WASHINGTON FIELD CHECK OFFICE OF SPECIAL COUNSEL AND OFFICE OF REVIEW AND APPEALS AT MERIT SYSTEMS PROTECTION BOARD, CHECK OFFICE OF PUBLIC INTEGRITY AND OFFICE OF PROFESSIONAL RESPONSIBILITY AT DEPARTMENT OF JUSTICE, AND DETERMINE SECURITY CLEARANCE. ALSO, AT U. S. GENERAL ACCOUNTING OFFICE, REVIEW FILES "INQUIRY INTO ALLEGATIONS AGAINST THE CHAIRMAN, MERIT SYSTEMS PROTECTION BOARD (GAO/AFMD-84-65)", AND DETERMINE OUTCOME OF INQUIRY. FURTHER, INTERVIEW CONGRESSWOMAN PATRICIA SCHROEDER, CHAIRWOMAN, SUBCOMMITTEE ON CIVIL SERVICE, HOUSE OF REPRESENTATIVES, WASHINGTON, D. C., CONCERNING THIS INQUIRY. DETERMINE IF SHE CONCURS WITH THE GAO CONCLUSIONS AND IF THERE ARE CURRENTLY ANY ALLEGATIONS AGAINST MR. ELLINGWOOD.

PAGE SIX DE HQ 0093 UNCLAS

WASHINGTON FIELD ALSO CONTACT REPRESENTATIVES FROM THE FOLLOWING AGENCIES OR ORGANIZATIONS TO OBTAIN COMMENTS CONCERNING APPOINTEE'S CHARACTER, ETHICS, AND SUITABILITY: EMPLOYMENT STANDARDS ADMINISTRATION, FAIR LABBR STANDARDS DIVISION, AND WAGE APPEALS BOARD, U. S. DEPARTMENT OF LABOR, 200 CONSTITUTION AVENUE, N. W., WASHINGTON, D. C.; FEDERAL LABOR RELATIONS AUTHORITY, 500 C STREET, S. W., WASHINGTON, D. C.; FEDERAL GOVERNMENT SERVICE TASK FORCE, HOUSE OFFICE BUILDING ANNEX, WASHINGTON, D. C., 226-2494; AMERICAN FEDERATION OF GOVERNMENT EMPLOYEES, AFL-CIO, 1325 MASSACHUSETTS AVENUE, N. W., WASHINGTON, D. C., 737-8700; NATIONAL ASSOCIATION OF GOVERNMENT EMPLOYEES, 2139 WISCONSIN AVENUE, N. W., WASHINGTON, D. C., 965-4411; NATIONAL FEDERATION OF FEDERAL EMPLOYEES, 1016 16TH STREET, N. W., WASHINGTON, D. C., 862-4400; PEOPLE FOR THE AMERICAN WAY, 1015 18TH STREET, N. W., WASHINGTON, D. C., 822-9450, ANTHONY T. PODESTA, EXECUTIVE DIRECTOR.

SPIN

BT

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P 260400Z JUL 85FM SAC, WASHINGTON FIELD (161B-14852) (P) (A-1)

TO DIRECTOR, FBI PRIORITY

FBI, SACRAMENTO PRIORITY

ATTN: SSA [REDACTED] (INFO)

FBI, SAN FRANCISCO (161B-3605) PRIORITY

ATTN: SSA [REDACTED] (INFO)

b6
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Third Party

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UNCLAS SECTION ONE OF TWO

HERBERT EUGENE ELLINGWOOD; SPECIAL INQUIRY; BUDED: PAST.

RE BUTEL TO SACRAMENTO, DATED 7/23/85; BUTEL TO
SAN FRANCISCO, DATED 7/22/85, BU AIRTEL TO WFO DATED 7/22/85,
AND BUTELCALL ON 7/24/85, OF SSA [REDACTED] SPIN UNIT,
TO SF AND SC.

AS A RESULT OF RECENT INVESTIGATION BY WASHINGTON
FIELD OFFICE, INFORMATION HAS BEEN RECEIVED ANONOMOUSLY
SETTING FORTH ALLEGATIONS THAT THE APPOINTEE HAS BEEN
USING THE MERIT SYSTEMS PROTECTION BOARD TELEPHONES TO MAKE

*Re Assign
to SA [REDACTED]
GA
7/29/85*

SEARCHED	INDEXED
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FBI - SAN FRANCISCO	
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161B-3605-13

PAGE TWO DE WF #0033 UNCLAS

PERSONAL TELEPHONE CALLS, BOTH INTERNATIONAL AND NATIONALLY. THE ALLEGATIONS SET FORTH INFORMATION WHICH INDICATES THAT THESE CALLS ARE BEING MADE ON FTS LINES FROM THE APPOINTEES TELEPHONE AT THE MERIT SYSTEMS PROTECTION BOARD. ADDITIONALLY, THE ALLEGATION QUESTIONS THE NEED FOR ANY OVERSEAS CALLS FROM SOMEONE IN THE MERIT SYSTEMS PROTECTION BOARD. A REVIEW OF INVESTIGATIONS DONE TO DATE ON THE APPOINTEE WHILE AT THE MERIT SYSTEMS PROTECTION BOARD HAVE INDICATED THAT THE ABOVE STATED ALLEGATIONS HAVE NOT BEEN LOOKED INTO BY ANY INVESTIGATIVE AGENCY. THE RESULTS OF THE WFO INVESTIGATION LEADING UP TO THE RECEIPT OF THIS ANONYMOUS LETTER IS AS FOLLOWS:

ON 7/23/85, BRUCE MAYOR, ACTING DEPUTY GENERAL COUNSEL FOR THE MERIT SYSTEMS PROTECTION BOARD, WAS INTERVIEWED IN REFERENCE TO HIS KNOWLEDGE CONCERNING THE ABOVE ALLEGATIONS. MAYOR STATED THAT TO THE BEST OF HIS KNOWLEDGE NO ONE IN HIS OFFICE HAD INVESTIGATED THOSE SPECIFIC COMPLAINTS CONCERNING THE APPOINTEE AND HE IS NOT AWARE THAT ANY OF THE OTHER INVESTIGATIVE UNITS WITHIN THE MERIT SYSTEMS PROTECTION

PAGE THREE DE WF #0033 UNCLAS

BOARD HAVE INVESTIGATED THAT SPECIFIC COMPLAINT. MAYOR STATED THAT THE OFFICE OF GENERAL COUNSEL HAS PARTICIPATED IN AT LEAST ONE OTHER INVESTIGATION CONCERNING THE CHAIRMAN (APPOINTEE) DURING WHICH THEY ASSISTED, GAO IN SECURING DOCUMENTS CONCERNING THE APPOINTEES TRAVE, THE RECEIPT OF HONORARIUMS WHILE ON GOVERNMENT TRIPS AND THE MISUSE OF GOVERNMENT VEHICLES.

ON 7/23/85, FRANK HAGAN, COMPTROLLER, OFFICE OF THE COMPTROLLER, UNITED STATES MERIT SYSTEMS PROTECTION BOARD (MSPB), WAS INTERVIEWED IN REGARDS TO ANY INVESTIGATIONS THAT WERE CONDUCTED BY HIS OFFICE ON THE APPOINTEE FOR MISUSE OF THE MERIT SYSTEMS PROTECTION BOARD TELEPHONE SYSTEM, EITHER FTS LINES OR COMMERCIAL LINES. HAGAN STATED THAT HE HAS NO KNOWLEDGE OF ANY INVESTIGATIONS THAT WERE EVER CONDUCTED AS A RESULT OF ALLEGATIONS MADE AGAINST THE APPOINTEE FOR MISUSE OF THE FTS AND COMMERCIAL TELEPHONE LINES AT THE MERIT SYSTEMS PROTECTION BOARD. HAGAN FURTHER ADVISED THAT HE HAS NEVER BEEN ASKED TO RETREIVE THE TELEPHONE BILLS FOR EITHER THE COMMERCIAL LINES OR THE FTS LINES TO THE APPOINTEES OFFICE. HAGAN STATED THAT HE DID COOPERATE WITH GAO ON AN INVESTIGATION

PAGE FOUR DE WF #0033 UNCLAS

INTO THE APPOINTEE, BUT THAT INVESTIGATION FOCUSED ON TRAVEL AND HONORARIUMS WHICH THE APPOINTEE MAY HAVE RECEIVED WHILE ON GOVERNMENT BUSINESS. HAGAN STATED THAT ON THE OCCASIONS WHICH HE WAS ASKED TO CHECK THE TELEPHONE RECORDS AT THE MERIT SYSTEMS PROTECTION BOARD, NONE OF THE REQUESTED DOCUMENTS WERE FOR ANY OF THE TELEPHONE LINES IN THE APPOINTEES OFFICE.

ON 7/23/85, K. WILLIAM OCONNOR, SPECIAL COUNSEL, OFFICE OF THE SPECIAL COUNSEL OF THE MERIT SYSTEMS PROTECTION BOARD, WAS INTERVIEWED CONCERNING HIS KNOWLEDGE OF ANY INVESTIGATIONS INTO ALLEGATIONS THAT THE APPOINTEE WAS MISUSING THE TELEPHONES AT THE MERIT SYSTEMS PROTECTION BOARD BY MAKING INTERNATIONAL PHONE CALLS AND OTHER NATIONAL PHONE CALLS FOR THE PURPOSE OF CONDUCTING PERSONAL BUSINESS. OCONNOR STATED THAT HIS OFFICE HAS NEVER RECEIVED ANY ALLEGATIONS CONCERNING THE MISUSE OF THE TELEPHONES FOR PERSONAL BUSINESS AS IT RELATES TO THE APPOINTEE. OCONNOR ADVISED THAT SINCE THE APPOINTEE HAS BEEN THE CHAIRMAN OF THE MERIT SYSTEMS PROTECTION BOARD, THEY HAVE RECEIVED THREE COMPLAINTS CONCERNING THE APPOINTEE, ONE WHICH LED TO A FULL

PAGE FIVE DE WF #0033 UNCLAS

INVESTIGATION, THE OTHER TWO WHICH WERE DISMISSED AS "LACKING MERIT." THE ONE FULL INVESTIGATION WAS INTO THE ALLEGED ATTEMPTED USE OF INFLUENCE BY THE APPOINTEE TO FORESTALL AN ACTIVE INVESTIGATION BEING CONDUCTED IN SAN FRANCISCO. THE OTHER TWO COMPLAINTS WHICH DID NOT RESULT IN FULL INVESTIGATIONS WERE THE THOMAS INCIDENT AT THE SAN FRANCISCO OFFICE OF THE MERIT SYSTEMS PROTECTION BOARD AND THE CHRISTIAN TALENT BANK WHICH REPORTEDLY HAS BEEN ASSOCIATED WITH THE APPOINTEE. THE LAST TWO COMPLAINTS WERE SELF-INITIATED BY THE OFFICE OF SPECIAL COUNCIL AND DURING THE COURSE OF STAFF EVALUATION DISMISSED AS LACKING MERIT.

ON 7/24/85, MR. CURTIS MAYNARD, ADMINISTRATIVE ASSISTANT TO DR. TIM LA HAYE, AT THE AMERICAN COALITION FOR TRADITIONAL VALUES, WAS INTERVIEWED IN REGARDS TO THE EXTENT OF INVOLVEMENT THAT THE APPOINTEE HAS IN THE "CHRISTIAN TALENT BANK." ^{2100 Thun Sr} MAYNARD STATED THAT THE AMERICAN COALITION FOR TRADITIONAL VALUES (ACTV) IS SEEKING TO ORGANIZE THOSE PERSONS WHO ADHERE TO CERTAIN RELIGIOUS PRINCIPLES INTO A POLITICAL FORCE ON THE LOCAL, STATE AND FEDERAL LEVEL. THE ORGANIZATION ALSO SEEKS TO REGISTER THESE CHRISTIAN PEOPLE TO VOTE FOR THOSE PERSONS

PAGE SIX DE WF #0033 UNCLAS

WHO ADHERE TO THE SET OF CHRISTIAN FUNDAMENTALS THAT HAVE BEEN ESTABLISHED BY ACTV. THE ACTV ALSO IS ATTEMPTING TO PLACE PERSONS HOLDING THE SAME BELIEFS AS THEM INTO GOVERNMENT POSITIONS, BOTH ELECTED, APPOINTED AND CAREER. MAYNARD STATED THAT THE DIRECTOR, DR. TIM LA HAYE, HAS ARTICULATED A TARGET LEVEL CALLING FOR APPROXIMATELY TWENTY-FIVE PERCENT OF GOVERNMENT EMPLOYEES TO BE PERSONS IDENTIFYING WITH THE FUNDAMENTALIST CHRISTIAN BELIEFS. THE 25 PERCENT FIGURE IS TAKEN FROM THE APPROXIMATE PERCENTAGE OF THE AMERICAN POPULATION MADE UP OF FUNDAMENTALIST CHRISTIANS. MAYNARD STATED THAT THE APPOINTEE, AS FAR AS HE KNOWS, DOES NOT TAKE AN ACTIVE ROLE IN THIS CAMPAIGN AND IN FACT ONLY SPOKE TO THE FIRST CONVENTION OF ACTV IN 1984. MAYNARD STATED THAT THE APPOINTEE MENTIONED THE "TALENT BANK" CONCEPT AT THAT CONVENTION. MAYNARD STATED THAT DR. LA HAYE HAS A "VISION" OF PUTTING THAT PROPOSAL INTO PRACTICE WITHIN THE GOVERNMENT. MAYNARD STATED THAT THE TALENT BANK IS CORRECTLY CALLED "THE GOVERNMENT SERVICE ADVISORY PROGRAM" WHICH SOLICITS APPLICATIONS FROM PERSONS ADHERING TO 10 FUNDAMENTAL MORAL CONCERNS AS PROPOSED BY ACTV AND ATTEMPTING TO PLACE THEM IN JOBS THROUGH APPOINTMENTS,

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PP HQ SC SF

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TO DIRECTOR, FBI PRIORITY

FBI, SACRAMENTO PRIORITY

ATTN: SSA [REDACTED]

b6
b7C
Third Party

FBI, SAN FRANCISCO (161B-3605) PRIORITY

ATTN: SSA [REDACTED] (INFO)

BT

UNCLAS SECTION TWO OF TWO

HERBERT EUGENE ALLENWOOD; SPECIAL INQUIRY; BUDED: PAST.

THROUGH VOTING OR THROUGH CAREER PLACEMENT. MAYNARD

STATED THAT THERE IS NO MASTER LIST KEPT AT THIS TIME,

BUT IN FACT, THE RESUMES OF PERSONS ADHERING TO THOSE MORAL

VALUES ARE KEPT ON FILE AND IF SOMEONE FROM A GOVERNMENT

AGENCY IS SEEKING TO FILL A POSITION AND CONTACTS ACTV, THEY

WILL CHECK THEIR FILES AND RECOMMEND PERSONS WHO THEY BELIEVE HAVE

THE QUALIFICATIONS FOR THAT JOB. MAYNARD STATED THIS CONCEPT HAS

PAGE TWO DE WF #0042 UNCLAS

NOT BEEN SUCCESSFUL DUE TO THE FACT THAT THEY DO NOT HAVE THE PERSONNEL TO MANAGE SUCH A COMPLEX SYSTEM. MAYNARD STATED THAT THE ACTV SEND TO PERSPECTIVE REGISTRANTS COPIES OF OPM BULLETINS AND LISTS OF AVAILABLE JOBS IN THE FEDERAL GOVERNMENT. MAYNARD STATED THAT THOSE LISTS ARE PUBLIC KNOWLEDGE AND THEREFORE THE ACTV FEELS THAT THEY ARE JUST POINTING OUT THE OPEN POSITIONS TO MEMBERS WHO ADHERE TO THEIR TRADITIONAL VALUES. MAYNARD REITERATED THAT THE APPOINTEE, AS FAR AS HE IS CONCERNED, HAS NO INVOLVEMENT IN THE "TALENT BANK" AND MAYNARD IS NOT EVEN SURE IF THE APPOINTEE AGREES WITH DR.

PAGE THREE DE WF #0042 UNCLAS

LA HAYES POSITION ON THE TWENTY-FIVE PERCENT FIGURE.

ON 7/24/85, ELLEN BATTISTELLI, PROFESSIONAL STAFF MEMBER OF THE COMMITTEE ON POST OFFICE AND CIVIL SERVICE, SUB COMMITTEE ON CIVIL SERVICE, PROVIDED THE FBI WITH A TYPED COPY OF PART OF AN ANONYMOUS LETTER SUBMITTED TO THAT COMMITTEE ALLEDGING THAT THE APPOINTEE WHILE AT THE MSPB HAS TAKEN TRIPS TO THE FAR EAST AT THE GOVERNMENTS EXPENSE AND HAS MADE THOUSANDS OF DOLLARS WORTH OF OVERSEAS FTS CALLS IN THE PURSUIT OF HIS PERSONAL BUSINESS. THE COMPLAINT ALLEDGES THAT THERE IS NO NEED FOR THE MSPB TO BE MAKING OVERSEAS CALLS AS THEIR DUTIES OUTSIDE THE UNITED STATES ARE NOT READILY APPARENT.

WASHINGTON FIELD OFFICE WILL FOLLOW UP THE ALLEGATIONS CONCERNING THE TELEPHONE CALLS IN AN ATTEMPT TO PROVE OR DISPROVE THEM.

LEAD: WASHINGTON FIELD OFFICE, AT WASHINGTON, D.C. WILL CONTACT THE MERIT SYSTEMS PROTECTION BOARD, OFFICE OF COMPTROLLER, AND SECURE FROM THAT OFFICE THE TELEPHONE TOLL RECORDS OF CALLS EMANATING FROM THE APPOINTEES PHONES AT THE MSPB. WILL ALSO CONTACT GSA TO SECURE

PAGE FOUR DE WF #0042 UNCLAS

THE TELEPHONE TOLL RECORDS OF THE FTS CALLS MADE FROM THE
APPOINTEES TELEPHONES AT THE MERIT SYSTEMS PROTECTION
BOARD. RESULTS OF THOSE LEADS ARE TO BE RECORDED AND
SUBMITTED TO HEADQUARTERS VERBALLY WITH A REPORT TO FOLLOW.

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SF 161B-3605

htc HTC:htc

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CRIMINAL

IA The following investigation was conducted by

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Third Party

AT SAN JOSE, CALIFORNIA:

On July 12, 1985, the records of the Santa Clara County Sheriff's Office, San Jose, California, which cover Stanford, California, were checked for any information identifiable with HERBERT EUGENE ELLINGWOOD with negative results.

161B-3605-14

SF 161B-3605

HTC:htc

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CREDIT

The following investigation was conducted by
IA

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Third Party

AT SAN JOSE, CALIFORNIA:

On July 12, 1985, the records of the Credit Bureau, Incorporated (CBI), 6389 San Ignacio Avenue, San Jose, California, were checked for any information identifiable with HERBERT EUGENE ELLINGWOOD, with negative results.

161B-3605-75

SF 161B-3605

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MEMBERSHIPS

The following investigation was conducted by
IA

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Third Party

AT SAN JOSE, CALIFORNIA:

California Junior Statesmen
of America Foundation
480 California Avenue
Palo Alto, California

The current Telephone Directory for Palo Alto, California, checked July 15, 1985, shows no listing for the California Junior Statesmen of America Foundation, at above address.

On July 15, 1985, the Telephone Company Information Operator advised that she has no listing of a California Junior Statesmen of America Foundation, 480 California Avenue, Palo Alto, California.

AT SAN JOSE, CALIFORNIA:

S.K. SUNG, INC.
P.O. Box 24466
San Jose, California 95154

On July 15, 1985, an attempt to contact S.K. SUNG, proved negative as Mr. SUNG was currently not in the office at that time.

On July 16, 1985, Mr. S.K. SUNG was telephonically contacted, and he advised that he has known HERBERT ELLINGWOOD for many years, 11 or 12, since ELLINGWOOD was a lawyer in Sacramento, California. Mr. SUNG explained that his wife is an Evangelist, and this organization is his Ministry. They conduct crusades in Taiwan, Manila; give bibles free of charge to people wherever they go; feed poor and support orphanages in Korea and Taiwan. He stated that this organization is non-profit.

Mr. SUNG advised that HERBERT ELLINGWOOD was never an officer in his Ministry, and never a member of this organization. He considers ELLINGWOOD a "prayer partner" (ELLINGWOOD prays for them) and he also supports this organization financially. He knew of nothing unfavorable regarding ELLINGWOOD.

161B-3605-16

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EDUCATION

The following investigation was conducted by
IA [REDACTED]

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Third Party

AT STANFORD, CALIFORNIA:

Stanford Law School
Stanford, California
1957 - 1960

On July 15, 1985, KRISTIN MISCAVAGE, Registrar's Office, Stanford University, Stanford, California, furnished the following information concerning HERBERT EUGENE ELLINGWOOD:

HERBERT ELLINGWOOD, date of birth March 5, 1931, matriculated to Stanford Law School in September, 1957. He was awarded an LLD Degree and graduated on January 8, 1960.

He was registered for the following:

Autumn, Winter, Spring and Summer quarters - 1957-1958
Autumn, Winter, Spring and Summer quarters - 1958-1959
Autumn quarter - 1959-1960

Stanford University does not compute a Grade Point Average nor rank in class. There are no Professors presently available who would remember ELLINGWOOD. Ms. MISCAVAGE could furnish no further information.

161B-3605-17

FBI

TRANSMIT VIA:

☒ Teletype
☐ Facsimile
☐ _____

PRECEDENCE:

☐ Immediate
☒ Priority
☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☒ UNCLAS

Date 7/22/85

FM SAN FRANCISCO (161B-3605) (RUC) (SQ. 6)

TO DIRECTOR (PRIORITY)

BT

U N C L A S

ATTENTION: SA [REDACTED] ROOM 5161.

HERBERT EUGENE ELLINGWOOD; SPIN; BUDED: PAST, WOF (B).

RE SAN FRANCISCO TELCALL TO SA [REDACTED] JULY 22, 1985.

FOR THE INFORMATION OF THE BUREAU, ON JULY 22, 1985 WINNIE TONG, MEMBERSHIP RECORDS, STATE BAR OF CALIFORNIA, 555 FRANKLIN STREET, ADVISED THAT APPLICANT HAS BEEN A MEMBER SINCE JUNE 22, 1960 AND IS CURRENTLY ACTIVE, IN GOOD STANDING. BAR RECORDS FURTHER INDICATED THAT THERE IS NO PUBLIC RECORD OF ANY DISCIPLINARY ACTION TAKEN AGAINST APPLICANT.

ON JULY 22, 1985, HERBERT ROSENTHAL, GENERAL COUNCIL, STATE BAR OF CALIFORNIA, #415-561-8332 ADVISED THAT THEIR RECORDS ARE HIGHLY CONFIDENTIAL AND COULD NOT COMMENT FURTHER. ROSENTHAL STATED THAT THE FACTS OF APPLICANT'S NOMINATION FOR A STATE JUDGESHIP MUST BE

SEARCHED

SERIALIZED

INDEXED

FILED

Approved: *RS6/L*Transmitted 15
(Number)

(Time)

Per *[Signature]*

FBI

TRANSMIT VIA:

- ☐ Teletype
☐ Facsimile
☐

PRECEDENCE:

- ☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:

- ☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date _____

PAGE TWO

SF 161B-3605

U N C L A S

OBTAINED PRIOR TO ANY RELEASE FROM THE STATE BAR CONCERNING THEIR RECOMMENDATION. ROSENTHAL ADVISED THAT INFORMATION CONCERNING THIS TYPE OF NOMINATION CAN BE OBTAINED THROUGH THE GOVERNOR'S OFFICE IN SACRAMENTO, CALIFORNIA.

ONCE THE FACTS DETERMINE THAT THE APPLICANT WAS NOMINATED, THEN APPROPRIATE PROCEDURES WILL BE FOLLOWED. ROSENTHAL STATED THAT A MEETING OF THE BOARD OF DIRECTORS WILL BE NECESSARY TO DISCUSS THE RELEASE OF ANY INFORMATION CONCERNING THE STATE BAR RECOMMENDATION.

SAN FRANCISCO REQUESTS THAT THE BUREAU DETERMINE THE POSITION AND YEAR OF THE NOMINATION EITHER BY CONTACTING THE GOVERNOR'S OFFICE IN SACRAMENTO, CALIFORNIA, OR BY CHECKING NEWSPAPER MORGUES IN THE SACRAMENTO AREA.

UPON REQUEST OF POSITIVE INFORMATION, SAN FRANCISCO WILL RE-
CONTACT THE STATE BAR FOR APPROPRIATE HANDLING.

UACB, NO FURTHER INVESTIGATION WILL BE CONDUCTED BY SAN FRANCISCO DIVISION.

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Approved: _____ Transmitted _____ Per _____
(Number) (Time)

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P 231728Z JUL 85

FM DIRECTOR FBI

TO FBI SACRAMENTO (161B-785) PRIORITY

FBI SAN FRANCISCO (161B-3605) (INFO) ROUTINE

FBI WASHINGTON FIELD (161B-14852) (INFO) ROUTINE

BT

INCLAS

ATTENTION: SSA [REDACTED]

HERBERT EUGENE ELLINGWOOD; SPECIAL INQUIRY; BUDED: PAST.

RE BUREAU TELETYPE TO SAN FRANCISCO WITH INFORMATIONAL
CO TO SACRAMENTO, DATED JULY 22, 1985; AND BUTELCALL OF SSA

[REDACTED], SPECIAL INQUIRY UNIT, TO SSA [REDACTED]

[REDACTED] SACRAMENTO, ON JULY 23, 1985.

REFERENCE TELETYPE ADVISED OF ALLEGATION AGAINST
APPOINTEE THAT, IN 1974, THE STATE BAR OF CALIFORNIA OPPOSED
THE NOMINATION OF APPOINTEE TO A POSITION AS A CALIFORNIA STATE
APPELLATE JUDGE. THE SAN FRANCISCO OFFICE ATTEMPTED TO VERIFY
THIS INFORMATION THROUGH CONTACT WITH THE STATE BAR ON 7/22/85.

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161B-3605-19

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UNFORTUNATELY, THE STATE BAR ADVISED THAT ITS RECORDS ARE HIGHLY CONFIDENTIAL AND WOULD NOT CONFIRM OR DENY THE ALLEGATION. AN OFFICIAL OF THE STATE BAR ADVISED, HOWEVER, THAT RELEVANT INFORMATION COULD BE OBTAINED THROUGH THE GOVERNOR'S OFFICE IN SACRAMENTO.

SACRAMENTO IS REQUESTED TO CONTACT THE GOVERNOR'S OFFICE, AND/OR OTHER APPROPRIATE STATE GOVERNMENT OFFICES IN SACRAMENTO, TO OBTAIN THE FOLLOWING INFORMATION:

A) DESCRIPTION OF THE PROCESS USED IN CALIFORNIA FOR APPOINTING STATE JUDGES, PARTICULARLY THE ROLE OF THE STATE BAR IN SUCH PROCESS;

B) A DETERMINATION OF WHETHER THE APPOINTEE HAS EVER BEEN NOMINATED FOR A STATE JUDGESHIP AND, IF SO, OBTAIN THE FOLLOWING DATA:

(1) DATE OF NOMINATION;

(2) POSITION INVOLVED;

(3) OUTCOME OF NOMINATION (APPOINTEE DOES NOT LIST EMPLOYMENT AS A JUDGE ON HIS SF-86); AND

(4) IF KNOWN, ROLE OF STATE BAR IN CONSIDERATION OF NOMINATION.

PAGE THREE DE HQ 0117 UNCLAS

C) NAMES AND LOCATING DATA OF PERSONS WHO MAY BE KNOWLEDGEABLE ABOUT APPOINTEE'S NOMINATION TO THE JUDGESHIP AND ITS CONSIDERATION, PARTICULARLY PERSONS AFFILIATED WITH THE STATE BAR.

SACRAMENTO SHOULD CONDUCT FOLLOW-UP INVESTIGATION AND SET APPROPRIATE LEADS IF WARRANTED BY RESPONSE OF THE GOVERNOR'S OFFICE.

SACRAMENTO MUST ALSO CHECK NEWSPAPER MORGUES TO OBTAIN INFORMATION CONCERNING POSSIBLE NOMINATION OF APPOINTEE TO STATE JUDGESHIP.

THIS INVESTIGATION MUST BE CONDUCTED IMMEDIATELY INIGHT OF THE PAST DEADLINE AND THE IMPORTANCE OF THE POSITION INVOLVED. RESPONSES TO THIS TELETYPE SHOULD BE PROVIDED TO WASHINGTON FIELD AND SAN FRANCISCO, AS WELL AS TO FBIHQ.

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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 05-29-2007 BY AUC 60324/BAW/STP/cld

VZCZ CH00042

PP SC SF WF

DE HQ #0277 2052308

ZNR UUUUU

P 242136Z JUL 85

FM DIRECTOR FBI

TO FBI SACRAMENTO (161B-785) PRIORITY

ATTN: SSA [REDACTED]

FBI SAN FRANCISCO (161B-3605) PRIORITY

ATTN: SSA [REDACTED]

FBI WASHINGTON FIELD OFFICE (161B-14852) (INFO) ROUTINE

BT

UNCLAS

HERBERT EUGENE ELLINGWOOD, SPECIAL INQUIRY, BUDED:
PAST.

REFERENCE BUTEL TO SAN FRANCISCO, DATED 7/22/85; SAN
FRANCISCO TELETYPE TO FBIHQ, DATED 7/22/85; BUEL TO
SACRAMENTO, DATED 7/23/85; AND BUTELCALLS ON 7/24/85 OF SSA
[REDACTED] SPIN UNIT, TO SSA [REDACTED] SAN
FRANCISCO, AND SSA [REDACTED], SACRAMENTO.

REFERENCED TELETYPES CONCERN AN ALLEGATION THAT IN
1974, MR. ELLINGWOOD WAS OPPOSED BY THE STATE BAR OF

SEARCHED [X] INDEXED [X]
SERIALIZED [X] FILED [X]
JUL 24 1985
[REDACTED]
161B-3605-20

PAGE TWO DE HQ 0277 UNCL

CALIFORNIA IN HIS NOMINATION FOR A STATE APPELLATE JUDGESHIP. THE REFERENCED SAN FRANCISCO TELETYPE ADVISED THAT AN ATTEMPT TO CONFIRM THIS ALLEGATION THROUGH CONTACT WITH THE BAR WAS UNSUCCESSFUL IN THAT THE GENERAL COUNSEL OF THE BAR, HERBERT ROSENTHAL, ADVISED THAT THE BAR'S RECORDS ARE HIGHLY CONFIDENTIAL AND THAT WITHOUT SPECIFIC DETAILS CONCERNING THE NOMINATION, AND WITHOUT A MEETING OF THE BAR'S BOARD OF DIRECTORS, NO INFORMATION WILL BE PROVIDED BY THE BAR.

RECENT INVESTIGATION BY WASHINGTON FIELD OFFICE HAS PRODUCED THREE RELEVANT 1974 NEWSPAPER ARTICLES FROM THE SACRAMENTO BEE AND LOS ANGELES TIMES. THE ARTICLES INDICATE THAT THEN-GOVERNOR RONALD REAGAN NOMINATED MR. ELLINGWOOD IN 1974 FOR A JUDGESHIP ON THE THIRD DISTRICT COURT OF APPEALS OF THE STATE OF CALIFORNIA.

THE ARTICLES ALSO INDICATED THAT, IN NOVEMBER 1974, GOVERNOR REAGAN "SENT ELLINGWOOD'S NAME TO THE BOARD OF GOVERNORS OF THE STATE BAR FOR AN EVALUATION" FOR THE POSITION MENTIONED ABOVE. APPARENTLY, THIS WAS UNUSUAL IN THAT REAGAN NORMALLY ASKED FOR OPINIONS FROM THE BAR

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REGARDING PROSPECTIVE TRIAL COURT JUDGES, BUT TYPICALLY DID NOT DO SO FOR APPELLATE COURT NOMINEES. ACCORDING TO THE ARTICLES, THIS STEP WAS TAKEN SINCE CONTROVERSY HAD ARISEN OVER THE NOMINATION BECAUSE MR. ELLINGWOOD HAD NOT PREVIOUSLY SERVED AS A TRIAL COURT JUDGE AND PRESUMABLY LACKED SUFFICIENT EXPERIENCE FOR THE POSITION ON THE APPELLATE COURT. ONE OF THE ARTICLES STATED THAT THE SACRAMENTO COUNTY BAR ASSOCIATION OPPOSED THE NOMINATION FOR THIS REASON. THERE IS NO INDICATION IN THE ARTICLES, HOWEVER, AS TO WHAT THE OPINION OF THE STATE BAR EVENTUALLY WAS.

ACCORDING TO THE ARTICLES, GOVERNOR REAGAN WITHDREW MR. ELLINGWOOD'S NOMINATION IN DECEMBER, 1974, IN THE FACE OF THIS CRITICISM. AT THE TIME, REAGAN WAS ABOUT TO LEAVE OFFICE AND GERRY BROWN WAS ABOUT TO TAKE OVER. THE PAPER STATED THAT ELLINGWOOD'S NOMINATION WAS WITHDRAWN SO THAT A LESS CONTROVERSIAL CANDIDATE COULD BE NAMED, AND CONFIRMED, PRIOR TO THE END OF REAGAN'S TERM.

IN ADDITION TO LEADS SET PREVIOUSLY, SACRAMENTO IS DIRECTED TO CONTACT THE SACRAMENTO COUNTY BAR ASSOCIATION

PAGE FOUR DE HQ 0277 UNCLAS

TO CONFIRM THE REPORT THAT IT OPPOSED MR. ELLINGWOOD'S NOMINATION FOR THE ABOVE-STATED REASON. A REVIEW OF RECORDS AND INTERVIEW(S) OF APPROPRIATE COUNTY BAR OFFICIAL(S) SHOULD BE DONE. SACRAMENTO MUST ENSURE THAT THE COUNTY BAR DID NOT HAVE OTHERS REASONS FOR OPPOSING THE NOMINATION WHICH MIGHT BE RELATED TO THE APPOINTEE'S CHARACTER AND REPUTATION.

SAN FRANCISCO IS DIRECTED TO RECONTACT HERBERT ROSENTHAL, OR CONTACT OTHER APPROPRIATE BAR OFFICIAL(S), TO OBTAIN THE INFORMATION REQUESTED PREVIOUSLY.

ALTERNATIVELY, IT WILL BE SUFFICIENT IF MR. ROSENTHAL SIMPLY CONFIRMS THE INFORMATION STATED ABOVE AND ADVISES THAT THE STATE BAR DID NOT OPPOSE MR. ELLINGWOOD'S NOMINATION BECAUSE OF DOUBTS AS TO HIS CHARACTER. IN REAPPROACHING MR. ROSENTHAL, SAN FRANCISCO, SHOULD STRESS THE FOLLOWING:

(1) THE IMPORTANCE OF THE POSITION INVOLVED AND THE ATTENDANT NEED FOR A THOROUGH BACKGROUND INVESTIGATION;

(2) THE FACT THAT MR. ELLINGWOOD HAS PROVIDED A FULL

RELEASE AND HIS PRIVACY INTERESTS ARE THEREFORE NOT
AT ISSUE:

(3) THE NEED FOR THE INFORMATION QUICKLY BEFORE THE
CONFIRMATION PROCEEDINGS BEGIN;

(4) THE FACT THAT IN 1974 NEWSPAPERS MADE PUBLIC THE
CRITICISMS OF MR. ELLINGWOOD; AND

(5) THE FACT THAT IF THE ISSUE IS NOT FULLY
EXPLORED BY THE FBI BACKGROUND INVESTIGATION, THE
SENATE COMMITTEE MAY APPROACH THE STATE BAR
DIRECTLY FOR THE INFORMATION AND THE CONFIRMATION
PROCEEDINGS COULD THEREBY BE SLOWED UNNECESSARILY.

AGAIN, THE REQUESTED INVESTIGATION MUST BE PERFORMED AS
SOON AS POSSIBLE IN LIGHT OF THE PAST DEADLINE AND THE
IMPORTANCE OF THE POSITION INVOLVED.

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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 05-29-2007 BY AUC 60324/BAW/STP/cld

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DE HQ #0208 2040056

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FM DIRECTOR FBI

TO FBI SAN FRANCISCO (161B-3605) PRIORITY

FBI SACRAMENTO (161B-785) (INFO) ROUTINE

FBI WASHINGTON FIELD (161B-14852) (INFO) ROUTINE

BT

UNCLAS

HERBERT EUGENE ELLINGWOOD, SPECIAL INQUIRY, BUDED: PAST.

PETEL CALLS, JULY 22, 1985 OF SSA [REDACTED]

SPECIAL INQUIRY UNIT, TO SSA [REDACTED] SAN FRANCISCO,

AND OF SA [REDACTED] SAN FRANCISCO, TO SSA [REDACTED]

ON JULY 2, 1985, ANTHONY T. PODESTA, NATIONAL DIRECTOR,
PEOPLE FOR THE AMERICAN WAY (PETA), 1424 16TH STREET, NORTH-
WEST, WASHINGTON, D. C., WAS INTERVIEWED BY WASHINGTON FIELD
CONCERNING APPOINTEE. AMONG OTHER ALLEGATIONS, PODESTA STATED
THAT IT WAS HIS UNDERSANDING THAT, IN 1974, APPOINTEE WAS
REJECTED BY THE CALIFORNIA STATE BAR UPON HIS NOMINATION BY
THEN GOVERNOR RONALD REAGAN FOR A STATE APPELLATE JUDGESHIP.

b6
b7C
Third Party--

SEARCHED	INDEXED
SERIALIZED	FILED
JUL 23 1985	
CISCO	

161B-3605-21

Robot
feels 1 copy
8/9/85 a

PAGE TWO DE HQ 0208 UNCLAS

PODESTA WAS NOT AWARE OF THE REASONS FOR THE REJECTION.

SAN FRANCISCO IS DIRECTED TO CONTACT OFFICIALS OF THE CALIFORNIA STATE BAR ASSOCIATION TO TEST THE VALIDITY OF THIS ALLEGATION. THIS CONTACT MUST INCLUDE A CHECK OF APPROPRIATE RECORDS AND, IMPORTANTLY, INTERVIEWS OF HIGH RANKING OFFICIALS OF THE BAR. IF PRESENT BAR OFFICIALS WERE NOT CONNECTED WITH THE ORGANIZATION IN 1974, SAN FRANCISCO MUST ALSO IDENTIFY AND INTERVIEW FORMER OFFICIALS WHO WOULD HAVE BEEN INVOLVED IN THE STATE BAR IN 1974 AND WOULD HAVE KNOWN OF ANY ACTION TAKEN AGAINST THE APPOINTEE.

BAR OFFICIALS SHOULD BE ASKED WHETHER THE BAR EVER TOOK SUCH A POSITION AGAINST APPOINTEE, AND, IF SO, THE RATIONALE UNDERLYING THE BAR'S POSITION. THEY SHOULD ALSO BE ASKED ABOUT THE RESULTS OF THE BAR'S ACTIONS.

SAN FRANCISCO SHOULD ALSO INQUIRE AS TO THE PROCESS THAT IS FOLLOWED IN A NOMINATION FOR A STATE JUDGESHIP. IF THE STATE BAR DID NOT TAKE A POSITION AGAINST THE APPOINTEE, IT MUST STILL BE DETERMINED IF, IN FACT, APPOINTEE WAS NOMINATED FOR A JUDGESHIP AND, IF SO, WHAT WAS THE RESULT OF THE NOMINATION; I.E., WHY HE WAS NOT APPOINTED. (APPOINTEE DOES NOT

PAGE THREE DE HQ 0208 UNCLAS

LIS A JUDGESHIP IN THE EMPLOYMENT SECTION OF HIS SF-86.) IT IS POSSIBLE THAT FOLLOW-UP INVESTIGATION BY SACRAMENTO WILL BE REQUIRED.

THIS INVESTIGATION MUST BE CONDUCTED IMMEDIATELY IN LIGHT OF THE PAST DEADLINE AND THE IMPORTANCE OF THE POSITION IN QUESTION. RESULTS SHOULD BE SENT FIRST BY TELETYPE, AND THEN BY A REPORT FOLLOWING DAPLI REPORTING GUIDELINES. THE REPORT MUST BE SENT BY EXPRESS MAIL CARRIER. BOTH THE TELETYPE AND REPORT SHOULD BE MARKED TO THE ATTENTION OF SSA ROOM 5161, FBIHQ.

b6
b7C
Third Party

SPIN

BT

#0208

NNNN

FBI

TRANSMIT VIA:

☒ Teletype
☐ Facsimile
☐ _____

PRECEDENCE:

☐ Immediate
☒ Priority
☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☒ UNCLAS
Date 7/29/85

FM SAN FRANCISCO (161B-3605) (P) (SQUAD #6)

TO DIRECTOR, FBI (PRIORITY)

BT

U N C L A S

b6
b7C
Third Party

ATTN: SSA [REDACTED] SPIN UNIT, RM. 5161

HERBERT EUGENE ELLINGWOOD; SPECIAL INQUIRY; BUDED: PAST
RE BU TELETYPE TO SF DATED, JULY 26, 1985; AND SF
TELETYPE TO BU DATED, JULY 22, 1985.

ON JULY 26, 1985, HERBERT ROSENTHAL, GENERAL COUNCIL,
CALIFORNIA STATE BAR NUMBER 415-561-8332 ADVISED THAT THE
ONLY INDIVIDUAL WHO WOULD HAVE KNOWLEDGE OF APPOINTEE'S
NOMINATION FOR STATE JUDGESHIP IS THE SECRETARY OF THE
STATE, MARY WAILES. MRS. WAILES IS OUT OF THE COUNTRY
ON VACATION AND WILL RETURN THE WEEK OF AUGUST 5TH,
1985. ROSENTHAL STATED THAT FORMER MEMBERS OF THE
STATE BAR MAY RECALL THE INCIDENT, HOWEVER, DUE TO THE
CONFIDENTIAL NATURE OF THE BAR, THESE INDIVIDUALS WOULD

GA/djo

SEARCHED
SERIALIZED
INDEXED
FILED

See lead Pg. 2
Pending
7-31-85
Shed

Approved: RBG/mv

Transmitted

#6
(Number)250
(Time)

Per

Pms

161B-3605-23

FBI

TRANSMIT VIA:

☐ Teletype
☐ Facsimile
☐ _____

PRECEDENCE:

☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date _____

PAGE TWO

SF 161B-3605

U N C L A S

BE RELUCTANT TO SPEAK.

ROSENTHAL ADVISED THAT THE STATE BAR'S RECOMMENDATIONS TO THE GOVERNOR'S OFFICE ARE HIGHLY CONFIDENTIAL AND ARE NOT RELEASED TO THE PRESS. ONCE THE RECOMMENDATIONS ARE MADE, THE GOVERNOR'S OFFICE MAY MAKE THIS INFORMATION AVAILABLE TO THE PUBLIC.

ROSENTHAL STATED THAT HE PERSONALLY DID NOT RECALL THE INCIDENT, DUE TO THE FACT THAT THIS WAS NOT HIS AREA OF WORK IN 1974.

ROSENTHAL ADVISED THAT HE WOULD CONTACT THIS OFFICE UPON MRS. WAILES RETURN AND A MEETING WOULD BE SET UP TO DISCUSS THE PROBLEM.

LEAD: SAN FRANCISCO AT SAN FRANCISCO, CALIFORNIA: WILL CONTACT MARY WAILES, SECRETARY OF THE CALIFORNIA STATE BAR AND INTERVIEW HER AS REQUESTED CONCERNING APPOINTEE.

BT

Approved: _____ Transmitted _____ Per _____
 (Number) (Time)

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE SAN FRANCISCO	OFFICE OF ORIGIN BUREAU	DATE 7/10/85	INVESTIGATIVE PERIOD 7/5/85 - 7/08/85
TITLE OF CASE HERBERT EUGENE ELLINGWOOD		REPORT MADE BY SA 	TYPED BY mas
		CHARACTER OF CASE SPECIAL INQUIRY	

b6
b7C
Third Party

REFERENCE:

Bureau tel to San Francisco, dated June 29, 1985.

- RUC -

(as of 8/1/85)

AKB 6

ACCOMPLISHMENTS CLAIMED						<input type="checkbox"/> NONE	ACQUIT- TALS	CASE HAS BEEN: PENDING OVER ONE YEAR <input type="checkbox"/> YES <input type="checkbox"/> NO PENDING PROSECUTION OVER SIX MONTHS <input type="checkbox"/> YES <input type="checkbox"/> NO		
CON VIC.	PRETRIAL DIVERSION	FUG.	FINES	SAVINGS	RECOVERIES					
APPROVED							SPECIAL AGENT IN CHARGE		DO NOT WRITE IN SPACES BELOW	
COPIES MADE:										
2 - Bureau (161-15392)										
① - San Francisco (161B-3605) <i>mas</i>										
Dissemination Record of Attached Report							Notations			
Agency						<div>SEARCHED <i>ea</i></div> <div>SERIALIZED <i>ea</i></div> <div>INDEXED <i>ea</i></div> <div>FILED <i>ea</i></div>				
Request Recd.										
Date Fwd.										
How Fwd.										
By										

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATIONb6
b7C
Third PartyALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 05-29-2007 BY AUC 60324/BAW/STP/cld

Copy to:

Report of:

Date:

7/10/85

Office: SAN FRANCISCO

Field Office File #:

SF 161B-3605

Bureau File #:

Title:

HERBERT EUGENE ELLINGWOOD

Character:

SPECIAL INQUIRY

Synopsis:

Criminal and arrest checks for Applicant and Applicant's relatives were negative at Monterey County and Santa Cruz County, California law enforcement agencies. Monterey County, California Sheriff's Office records at Salinas, California revealed that AL ELLINGWOOD, born September 15, 1934 was arrested August 24, 1981 by the Monterey County Sheriff's Office while driving under the influence and the records reflect no disposition of the matter.

- RUC -

SF 161B-3605
TJS/mas

~~X~~

COURT RECORD CHECK

The following investigation was conducted by Special Agent

b6
b7C
Third Party

IN MONTEREY COUNTY, CALIFORNIA:

On 6/27/85 BOBBIE FANNING, Monterey County Sheriff's Office, Salinas, California advised that they would have no record of a minor incident like taking of undersized clams, dating back to 1971. FANNING advised that the Department of Justice records in California are purged every seven to ten years on minor charges like this. FANNING stated however, that her indices contained no information identifiable with the Applicant.

SF 161B-3605
TJS/mas

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Third Party

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CRIMINAL AND ARREST CHECK

The following investigation was conducted by Special Agent

AT MONTEREY, CALIFORNIA:

On 7/8/85 DEBBIE CONTINI, Monterey County Sheriff's Office records, Salinas, California advised that an AL ELLINGWOOD, date of birth May 19, 1938, was arrested on August 24, 1981 by the Monterey County Sheriff's Office for driving under the influence and the records reflect no disposition of this matter.

The following investigation was conducted by Special Agent

AT SANTA CRUZ, CALIFORNIA:

On 7/8/85 VIVIAN NAKAYAMA of the Santa Cruz County Sheriff's Office, Santa Cruz, California advised that their records reflect no criminal record or arrest for an EDITH ARLENE ELLINGWOOD, date of birth March 12, 1900 or a MILDRED CHAPMAN, date of birth 9/29/28, mother and sister of the Applicant respectively.

FD-263 (Rev. 7-15-75)

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE SAN FRANCISCO	OFFICE OF ORIGIN BUREAU	DATE 7/19/85	INVESTIGATIVE PERIOD 7/11 - 7/17/85
TITLE OF CASE HERBERT EUGENE ELLINGWOOD		REPORT MADE BY SA [REDACTED]	TYPED BY mas
		CHARACTER OF CASE SPECIAL INQUIRY	b6 b7C Third Party

REFERENCE:

Bureau tel of 6/29/85; Bureau airtel, 7/1/85 to San Francisco; San Francisco report to Bureau 7/10/85.

ADMINISTRATIVE:

Referenced San Francisco report is supplemented by instant San Francisco report in view of the fact referenced Bureau airtel contained additional leads.

Where appropriate, Privacy Act (e) (3) data was furnished to persons interviewed. Express promises of confidentiality, both limited and unlimited, have been noted where granted.

San Francisco's indices reflects Appointee was Legal

ACCOMPLISHMENTS CLAIMED						<input type="checkbox"/> NONE	ACQUIT-TALS	CASE HAS BEEN: PENDING OVER ONE YEAR <input type="checkbox"/> YES <input type="checkbox"/> NO PENDING PROSECUTION OVER SIX MONTHS <input type="checkbox"/> YES <input type="checkbox"/> NO	
CONVIC.	PRETRIAL DIVERSION	FUG.	FINES	SAVINGS	RECOVERIES				
APPROVED							SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW	
COPIES MADE: 2 - Bureau (1) - San Francisco (161B-3605) mas									
Dissemination Record of Attached Report								Notations	
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161B-3605-25

SF 161B-3605
TJS/mas

ADMINISTRATIVE (CONT.)

Affairs Secretary to then Governor Reagan in January of 1970.
No unfavorable information was noted in the files.

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATIONb6
b7C
Third PartyALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 05-29-2007 BY AUC 60324/BAW/STP/cld

Copy to:

Report of:

Date:

7/19/85

Office: SAN FRANCISCO

Field Office File #: SF 161B-3605

Bureau File #:

Title: HERBERT EUGENE ELLINGWOOD

Character: SPECIAL INQUIRY

Synopsis:

Appointee's Juris Doctorate Degrees, Stanford Law School, Stanford, California verified. No professors presently available who would remember the Appointee. Employment at Alameda County, California District Attorney's Office verified. Employment for period of 1945 through 1956 was noted through his official personnel file at the Alameda District Attorney's Office. Employment as instructor Chabot College, Hayward, California verified. California State Bar records reflect Appointee a member in good standing. Appointee's membership in Oakland Chamber of Commerce verified. Membership in California Junior Statesman of America not verified inasmuch as Palo Alto Organization not listed in current telephone directory. Appointee known as "Prayer Partner" to S. K. SUNG INC, but not considered an officer or member. No arrest records located on the Appointee or members of his family.

- RUC -

EDUCATION

The following investigation was conducted by
IA [REDACTED]

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Third Party

AT STANFORD, CALIFORNIA:

Stanford Law School
Stanford, California
1957 - 1960

On July 15, 1985, KRISTIN MISCAVAGE, Registrar's Office, Stanford University, Stanford, California, furnished the following information concerning HERBERT EUGENE ELLINGWOOD:

HERBERT ELLINGWOOD, date of birth March 5, 1931, matriculated to Stanford Law School in September, 1957. He was awarded an LL.D Degree and graduated on January 8, 1960.

He was registered for the following:

Autumn, Winter, Spring and Summer quarters - 1957-1958
Autumn, Winter, Spring and Summer quarters - 1958-1959
Autumn quarter - 1959-1960

Stanford University does not compute a Grade Point Average nor rank in class. There are no Professors presently available who would remember ELLINGWOOD. Ms. MISCAVAGE could furnish no further information.

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SF 161B-3605
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Third Party

EMPLOYMENT

The following investigation was conducted by
IA [REDACTED]
AT OAKLAND, CALIFORNIA

On July 16, 1985, a review of HERBERT E. ELLINGWOOD's Official Personnel File (OPF) as made available by Mrs. WOOD, Administrative Services Assistant, Alameda County, District Attorney's Office, Oakland, revealed the following information:

HERBERT E. ELLINGWOOD began working for the District Attorney's Office on April 19, 1960 as a Law Clerk part-time. On June 22, 1960, ELLINGWOOD was admitted into practice as a Deputy District Attorney. On November 1, 1966, he left the District Attorney's Office to work as a Legislative Representative for the State Bar of California. ELLINGWOOD's file contained letters of commendation and no derogatory remarks.

The following prior employments were verified through Appointee's OPF:

1945 - 1949	Yard Worker, Ellingwood Hay and Lumber Company, Watsonville, California.
1950 - 1951	Truck Driver, " " " "
1952 - 1953	Office Manager, " " " "
9/53 - 12/56	Private to First Lieutenant, United States Army.

On July 17, 1985, IA [REDACTED] was unable to verify ELLINGWOOD's membership with the Oakland Junior Chamber of Commerce. There is no listing for the Oakland Junior Chamber of Commerce in the Oakland Telephone Directory. A letter was located in ELLINGWOOD's personnel file at the Alameda County District Attorney's Office dated February 16, 1967 from an ALFRED R. BUCHER, President of the Oakland Junior Chamber of Commerce, 1320 Webster Street, Oakland, stating that ELLINGWOOD was being considered for Selection for the Junior Chamber of Commerce Outstanding Man of the Year Award. Mr. BUCHER was

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SF 161B-3605

was telephonically contacted and he advised that ELLINGWOOD was a member of the Oakland Junior Chamber of Commerce for several years and to his knowledge the Oakland Junior Chamber of Commerce disband several years ago. Mr. BUCHER also stated that their records were probobly destroyed.

SF 161B-3605
RAP

The following investigation was conducted by SC [REDACTED]
[REDACTED]

b6
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Third Party

AT SAN FRANCISCO, CALIFORNIA

STATE BAR CHECK

On July 12, 1985, JEANINE H. QUINLY, Assistant Supervisor, Membership Records, State Bar of California, 555 Franklin Street, advised that HERBERT EUGENE ELLINGWOOD, Membership #30670, born March 5, 1931, at Ordway, Colorado, was admitted to practice law in California on June 22, 1960. His address was noted as Merit Systems Pro. Board, 1120 Vermont Avenue N.W., #826, Washington, D.C. Bar records further indicated that ELLINGWOOD is an active member in good standing and that there is no public record of any disciplinary action having been taken against him.

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Third Party

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SF 161B-3605

[] The following investigation was conducted by IA []

EMPLOYMENT

Instructor
Chabot College
2555 Hesperian Boulevard
Hayward, California
Circa 1965

On July 11, 1985, BARBARA SENTI, Personnel Office, Chabot College, 25555 Hesperian Boulevard, Hayward, California, advised the microfisch records indicate HERBERT EUGENE ELLINGWOOD, SSN 726-09-4567, born March 5, 1931, was Board approved for the 1963-64 academic year as a part-time instructor in police science. He was hired in January, 1964, and resigned in May, 1964. She advised there is no further information available concerning this employment.

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SF 161B-3605

The following investigation was conducted by IA SUSAN E. ERKKILA:

LAW ENFORCEMENT AGENCIES

AT HAYWARD, CALIFORNIA

On July 11, 1985, Record Clerk GLORIA SANTIAGO, Hayward Police Department, advised the files of this agency contain no record identifiable with HERBERT EUGENE ELLINGWOOD, born March 5, 1931.

AT FREMONT, CALIFORNIA

On July 12, 1985, Record Clerk RUTH BARNES, Fremont Police Department, advised the files of this agency contain no record identifiable with HERBERT EUGENE ELLINGWOOD, born March 5, 1931.

~~SECRET~~
MEMBERSHIPS

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Third Party

The following investigation was conducted by
IA [REDACTED]

AT SAN JOSE, CALIFORNIA:

California Junior Statesmen
of America Foundation
480 California Avenue
Palo Alto, California

The current Telephone Directory for Palo Alto, California, checked July 15, 1985, shows no listing for the California Junior Statesmen of America Foundation, at above address.

On July 15, 1985, the Telephone Company Information Operator advised that she has no listing of a California Junior Statesmen of America Foundation, 480 California Avenue, Palo Alto, California.

AT SAN JOSE, CALIFORNIA:

S.K. SUNG, INC.
P.O. Box 24466
San Jose, California 95154

On July 15, 1985, an attempt to contact S.K. SUNG, proved negative as Mr. SUNG was currently not in the office at that time.

On July 16, 1985, Mr. S.K. SUNG was telephonically contacted, and he advised that he has known HERBERT ELLINGWOOD for many years, 11 or 12, since ELLINGWOOD was a lawyer in Sacramento, California. Mr. SUNG explained that his wife is an Evangelist, and this organization is his Ministry. They conduct crusades in Taiwan, Manila; give bibles free of charge to people wherever they go; feed poor and support orphanages in Korea and Taiwan. He stated that this organization is non-profit.

Mr. SUNG advised that HERBERT ELLINGWOOD was never an officer in his Ministry, and never a member of this organization. He considers ELLINGWOOD a "prayer partner" (ELLINGWOOD prays for them) and he also supports this organization financially. He knew of nothing unfavorable regarding ELLINGWOOD.

SF 161B-3605
HTC:htc

~~X~~
CREDIT

The following investigation was conducted by
IA

AT SAN JOSE, CALIFORNIA:

On July 12, 1985, the records of the Credit Bureau, Incorporated (CBI), 6389 San Ignacio Avenue, San Jose, California, were checked for any information identifiable with HERBERT EUGENE ELLINGWOOD, with negative results.

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Third Party

SF 161B-3605
EA/ea

POLICE RECORDS

The following investigation was conducted by
IA [REDACTED]

b6
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Third Party

AT OAKLAND, CALIFORNIA

On July 16, 1985, IA [REDACTED] reviewed the records of the Criminal Oriented Records Production Unified System (CORPUS) at FBI Oakland which includes all Police Departments and Sheriff's Offices in Alameda County, with no identifiable arrest record located for Appointee, HERBERT EUGENE ELLINGWOOD, born March 5, 1931 or Appointee's wife, AUDREY ALICE ELLINGWOOD nee KESLER, born August 19, 1932.

SF 161B-3605

WHTC:htc

~~CRIMINAL~~

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Third Party

The following investigation was conducted by
IA [REDACTED]

AT SAN JOSE, CALIFORNIA:

On July 12, 1985, the records of the Santa Clara County Sheriff's Office, San Jose, California, which cover Stanford, California, were checked for any information identifiable with HERBERT EUGENE ELLINGWOOD with negative results.

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE SAN FRANCISCO	OFFICE OF ORIGIN BUREAU	DATE 8/09/85	INVESTIGATIVE PERIOD 8/09/85
TITLE OF CASE HERBERT EUGENE ELLINGWOOD		REPORT MADE BY SA 	TYPED BY bjb
		CHARACTER OF CASE SPECIAL INQUIRY <div style="text-align: right;">b6 b7C Third Party</div>	

REFERENCE: Butel to San Francisco, dated 7/24/85; and San Francisco telcall to Bureau, 8/07/85.

- RUC -

ADMINISTRATIVE

Where appropriate, Privacy Act (e)(3) data was furnished to persons interviewed. Express promises of confidentiality, both limited and unlimited, have been noted where granted.

ACCOMPLISHMENTS CLAIMED						<input type="checkbox"/> NONE	ACQUIT-TALS	CASE HAS BEEN: PENDING OVER ONE YEAR <input type="checkbox"/> YES <input type="checkbox"/> NO PENDING PROSECUTION OVER SIX MONTHS <input type="checkbox"/> YES <input type="checkbox"/> NO
CONVIC.	PRETRIAL DIVERSION	FUG.	FINES	SAVINGS	RECOVERIES			

APPROVED	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW	
COPIES MADE: b6 b7C Third Party			
2 - Bureau (Attn: Room 5161 - SPIN Unit)			
① - San Francisco (161B-3605)			

Dissemination Record of Attached Report					Notations SEARCHED _____ SERIALIZED _____ INDEXED _____ FILED _____ 161B-3605-26
Agency					
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Date Fwd.					
How Fwd.					
By					

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FBI/DOJ

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATIONALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 05-29-2007 BY AUC 60324/BAW/STP/cld

Copy to:

Report of:

SA [REDACTED]

Office:

San Francisco

Date:

8/09/85

Field Office File #: 161B-3605

Bureau File #:

161-15392

Title:

HERBERT EUGENE ELLINGWOOD

b6
b7C
Third Party

Character:

SPECIAL INQUIRY

Synopsis:

MARY S. WAILES, Secretary, State Bar of California, stated that she could not confirm nor deny if Appointee's name was sent to the State Bar from the Governor's Office for recommendation on a nomination to a state judgeship.

- RUC -

DETAILS:

The following investigation was conducted by SA [REDACTED]
[REDACTED] on August 9, 1985:

AT SAN FRANCISCO, CALIFORNIACALIFORNIA STATE BAR

MARY S. WAILES, Secretary, State Bar of California, telephone (415) 561-8370, stated that she could not confirm nor deny if Appointee's name was sent to the State Bar from the Governor's Office for recommendation on a nomination to a state judgeship. WAILES advised that the State Bar records are highly confidential and reportings to the Governor's Office concerning the State Bar's recommendations would not state the reason for the Bar's opinion.

WAILES advised that the U.S. Attorney General EDWIN J. MEESE was a member of the then Governor REAGAN's cabinet, along with Appointee, and may have knowledge of the situation.

SF 161B-3605
GA/bjb

WAILES stated that she is fully aware of the importance of the FBI investigation concerning Appointee and that she may be approached by the Senate Committee in this matter.

FD-36 (Rev. 8-26-82)

FBI

TRANSMIT VIA:

- ☒ Teletype
☐ Facsimile
☐ _____

PRECEDENCE:

- ☐ Immediate
☐ Priority
☒ Routine

CLASSIFICATION:

- ☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☒ UNCLAS

Date 7/16/85

FM SAN FRANCISCO (161B-3605) (P) (SQUAD 6)

TO DIRECTOR (ROUTINE)

BT

U N C L A S

HERBERT EUGENE ELLINGWOOD; SPIN; BUDED: JULY 17, 1985, WOF (B).

REBUTEL, JUNE 30, 1985.

ISIS CHECKED ON JULY 2, 1985, BY CLERK [REDACTED]

ELSUR INDICES, CHECKED ON JULY 5, 1985, BY CLERK CORAL GOC; AND

OFFICE INDICES, CHECKED ON JUNE 30, 1985, BY CLERK [REDACTED]

WERE ALL NEGATIVE REGARDING APPOINTEE AND HIS RELATIVES SINCE LAST
SPIN INVESTIGATION.

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Serialized _____
Indexed _____
Filed _____

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7-17-85
JHmes
161B-3605-27

Approved: _____

Transmitted _____

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Per _____